

The background of the cover is a photograph of the Oklahoma State Capitol building. The central focus is the large, white, domed structure with a statue on top. The building's facade, featuring classical columns and ornate carvings, is visible in the foreground and middle ground. The sky is a clear, bright blue.

STATE OF
OKLAHOMA

SUBMITTED BY
MARY FALLIN, GOVERNOR

TO THE
FIRST SESSION OF THE
53RD OKLAHOMA LEGISLATURE

EXECUTIVE BUDGET - HISTORICAL DATA
FOR THE FISCAL YEAR ENDING JUNE 30, 2012

FY-2012

Executive Budget

Historical Document

Governor
Mary Fallin

February 7, 2011

OKLAHOMA OFFICE OF STATE FINANCE

February 7, 2011

Citizens of the State of Oklahoma Members of the First Regular Session of the Fifty-Third Legislature

Governor Mary Fallin's FY-2012 budget consists of the following two documents. Both are available on the Internet. You can view them by accessing the Oklahoma Home Page, the Home Page of the Office of the Governor, or the Home Page of the Office of State Finance. The Oklahoma Home Page address is: <http://www.ok.gov>.

These documents are distributed to the State Legislature, the media and the State Publications Clearinghouse.

"FY-2012 EXECUTIVE BUDGET"

This document contains Governor Fallin's budget recommendations to the 2011 Legislature. It includes a discussion of state revenues, a summary of his proposed budget, and explanations of budget recommendations for state agencies.

"FY-2012 EXECUTIVE BUDGET - HISTORICAL DATA"

This document is the larger of the two and includes detailed historical financial information about each agency of state government. The information is arranged by Cabinet Department and Branch of Government.

The individual display for each agency/entity of government includes the following:

- Mission of the Agency
- Description of the Board/Commission that governs the agency
- Description of agency Duties/Responsibilities
- Constitutional and Statutory References related to the entity
- Information regarding agency workload or performance criteria
- Expenditure and personnel data for FY-2009, FY-2010 and FY-2011

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This Executive Budget was prepared by the Office of State Finance, Division of the Budget, under the Direction of the Director of State Finance

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<p>Chris Sherman Dept. of Public Safety; Military; Law Enforcement Entities; Corrections Department; Council on Judicial Complaints; Pardon and Parole; Judiciary</p>	<p>Larry Asberry, Jr., MBA Governor; Lt. Governor; Auditor and Inspector; Central Services, OCIA; Election Board; Ethics Commission; State Finance; Human Rights; Legislature; Merit Protection; Personnel Management; Secretary of State; Tax Commission; Treasurer; Transportation; Retirement Systems Employee Benefits Council; Employee Security Commission</p>	<p>Shelly Paulk Commerce and Tourism, Labor Revenue</p>
<p>Lia Tepker Agriculture; Energy; Environment Banking; Consumer Credit Horse Racing; Insurance Department; Securities Commission</p>		<p>Rich Edwards University Hospitals; Veterans Affairs; Mental Health Human Services Agencies excluding Physician Manpower Training Human Services Agencies excluding Physician Manpower Training</p>

<p>PROGRAM DEVELOPMENT</p>		
<p>Rita Bowman Information Systems Specialist</p>		<p>Mike Jorski Information System Data Management Analyst</p>
	<p>Steve Troutman Information Systems Specialist</p>	

The contributions of the Office of State Finance Division of Central Accounting and Reporting, the Comprehensive Annual Financial Reporting Division, and the Information Services Division are gratefully acknowledged, as are the contributions of the many state agency managers and fiscal officers, who have provided information for this document.

GOVERNOR (305)

MISSION

As the Commander-in-Chief of the militia of the State, and the Supreme Executive power of the State, the Governor is vested with the authority to cause the laws of the State to be faithfully executed.

STATUTORY REFERENCES

Program Name	Statutory Reference
Governor's Office	Article VI, Sections 6-14 of the Oklahoma Constitution

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

Type of Fund:	\$000's		
	FY- 2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
19X General Revenue	2,602	2,264	2,210
225 Grants & Donations Fund	0	0	0
443 Interagency Reimbursement Fund	368	457	759
Total Expenditures by Fund	<u>\$2,970</u>	<u>\$2,721</u>	<u>\$2,969</u>

EXPENDITURES BY OBJECT

Object of Expenditure	\$000's		
	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Salaries and Benefits	2,610	2,431	2,583
Professional Services	109	27	20
Travel	49	32	49
Lease-Purchase Expenditures	0	0	0
Equipment	4	8	8
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	198	223	308
Total Expenditures by Object	<u>\$2,970</u>	<u>\$2,721</u>	<u>\$2,968</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
1	General Operations			
1	General Operations	2,613	2,426	2,661
3	Secretary of Veterans Affairs	97	99	102
4	Mansion Expenses	70	65	65
5	Tulsa Office	134	83	68
6	Agen Bds Comm Appt Expenses	24	27	28
88	Data Processing	31	22	45
	Total General Operations	2,969	2,722	2,969
Total Expenditures by Activity		\$2,969	\$2,722	\$2,969

LIEUTENANT GOVERNOR (440)

MISSION

The Lieutenant Governor of the State of Oklahoma is vested with Executive authority to perform the duties of the office as may be designated in the Constitution and Statutes, and to represent the citizens of Oklahoma with honesty and integrity.

STATUTORY REFERENCES

Program Name	Statutory Reference
No specific programs operated by the Lt. Governor.	Article VI, Sections 1, 3, 15, 16, and 32, Oklahoma Constitution; Article X, Section 21, Oklahoma Constitution; Title 47, Section 1162, Title 67, Section 305, and Title 74, Section 1804 of the Oklahoma Statutes.

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

Type of Fund:	\$000's		
	FY- 2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
19X General Revenue	674	629	627
Total Expenditures by Fund	<u>\$674</u>	<u>\$629</u>	<u>\$627</u>

EXPENDITURES BY OBJECT

Object of Expenditure	\$000's		
	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Salaries and Benefits	581	559	566
Professional Services	63	42	31
Travel	3	2	1
Lease-Purchase Expenditures	0	0	0
Equipment	5	0	0
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	23	25	29
Total Expenditures by Object	<u>\$675</u>	<u>\$628</u>	<u>\$627</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
1 General Operations				
1 General Operations	674	629	627	
Total General Operations	<u>674</u>	<u>629</u>	<u>627</u>	
Total Expenditures by Activity	<u><u>\$674</u></u>	<u><u>\$629</u></u>	<u><u>\$627</u></u>	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
1 General Operations	8.3	8.0	9.0
Total FTE	8.3	8.0	9.0
Number of Vehicles	0	0	0

AGRICULTURE, FOOD & FORESTRY, DEPT. OF (40)

MISSION

To look at agriculture with vision as to what it will be in the next 100 years. We must increase the value of agriculture products and enhance the value of life in our rural communities. We must develop our state's food and fiber resources in a manner that will always protect consumer health and safety, natural resources, property, and the environment.

THE BOARD

The State Board of Agriculture consists of five members appointed by the Governor with the advice and consent of the Senate. Four of such members shall be appointed from within and represent one of the four agricultural districts established. These four members of the board shall be appointed for terms of four (4) years. One member shall be appointed from the state at-large and shall serve a term coterminous with that of the Governor. The at-large member shall be the President of the State Board of Agriculture and shall serve at the pleasure of the Governor.

DUTIES/RESPONSIBILITIES

The Oklahoma Department of Agriculture, Food, and Forestry is responsible for the detection, eradication, and control of livestock and poultry diseases and parasites; inspection of milk and milk byproducts from the farm through all phases of production and for supervising sanitary practices in each phase of the process; continuous oversight of meat and poultry plants in the state by performing antemortem and postmortem inspections on every animal slaughtered; regulate Oklahoma egg and poultry producers, dealers and retailers to insure the quality and safety of eggs, poultry and egg products; provide the citizens and landowners of Oklahoma with services through forest resource management and protection, urban forestry, forest water quality and environmental education; test consumer products for quality and quantity of agricultural products; audit and investigate violations of the Oklahoma Agriculture Code; assist the Oklahoma food and agricultural industry to produce, process and merchandise agricultural products both nationally and internationally; assure that Oklahoma's water and environment are protected from possible animal waste contamination; and assist in controlling wildlife damage to agriculture, safeguarding human health and safety, and protecting natural resources.

STATUTORY REFERENCES

Program Name	Statutory Reference
Administrative Services/Information Technology	Title 2 Section 1 - 2
Office of General Counsel	2 O.S. (2001) 1-1 et.seq.
Statistics Division	Statistics Division, also known as the USDA-NASS, Oklahoma Field Office, is a cooperative program between the USDA and the ODAFF. The Division operates under a Memorandum of Understanding that was originally signed July 1, 1949. It was updated August 1, 1963.
Forestry Services Division	Forestry program authorities are contained primarily in the Agricultural Code in Title 2 of the Oklahoma Statutes. O. S. Title 2, Article 16 is the Oklahoma Forestry Code which defines basic agency duties and responsibilities. Rural fire program authorities are contained in Title 19, Section 901.55 through 901.61. Additional authorities are derived through federal legislation and cooperative agreements with the U. S. Department of Agriculture.
Animal Industry Services	Title 2, Section 1 - 1 et seq., of the Oklahoma Statutes.
Food Safety Division - Meat Inspection	Title 2, Section 1-1 et seq., of the Oklahoma Statutes.
Food Safety Division - Dairy	Title 2, Section 7-401 through 7-420 et seq., of the Oklahoma Statutes.
Market Development	2 O.S. (2001), Section 5-1.1 et.seq.

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Consumer Protection Services 2 O.S. Section 5-301 through 5-307; Title 2 O.S. Section 3-1 through 3-220; 2 O.S. Section 5-21 through 5-29; 2 O.S. Section 5-61(a-1) and section 62; Title 2 O.S. Section 8-21 through 8-74; Title 2 O.S. Section 9-20 through 9-47.4; Title 2 O.S. Sections 1701 through 1713; Title 2 O.S. Sections 1451 through 1460; Public Law 91-597.

Wildlife Services ODA is mandated by H.B. 808 and H.B. 1014, 29th Session of the OK Legislature, Session Laws 1963, and by State Statutes (Title 2.O.S. 1981 2-K,L,M and 2.O.S. 1993 Suppl. 12-1) to cooperate with USDA in the control of injurious wild animals. The Federal authority is the Animal Damage Control (ADC) Act of 1931 which directs the Secretary of USDA to cooperate with States to establish cooperative ADC programs.

Laboratory Services Oklahoma Statutes, Title 2 Section 14-1 et seq.; State Board of Agriculture

Public Information Division HB 1534 of the 1999 Legislative Session (First session, Forty-Seventh Oklahoma Legislature)

Agricultural Environmental Management Services (AEMS) Title 2 O.S. Sec. 20-1, et. seq.; Title 2 O.S. Sec. 10-9.1 et. seq.; 35:17-5; 35:17-11; 35:17-9; 35:17-7; 35:17-3.

Food Safety Division - Egg, Poultry & Organic Section Title 2, O.S. 5-21

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Protect producers and consumers by ensuring the safety and quality of agricultural products and services.

- * Sampling of Ag Products to identify and remove those found to be adulterated and/or contaminated, ensure AG producers receive a fair market value and the buyer receives a quality product. Samples from pesticide, nursery, fertilizer, feed and seed sections.

Samples Tested	6,967	7,662	8,000	8,000
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- * Identify and remove from food channels, poultry and egg products which are contaminated, adulterated, or unsound. Number of inspections to assure quality and food safety of eggs and poultry.

Food Vendor Inspections	1,682	2,800	2,500	2,500
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- * Detection, eradication, prevention and control of livestock, poultry and aquaculture diseases and parasites. Disease and parasite surveillance. Percent of livestock, poultry, and aquaculture population under surveillance.

Animal Disease Prevention	99%	99%	99%	99%
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- * Maintain a standard of not more than 10% of samples exceeding the specified turnaround time. We are not reaching this goal due to our inability to replace aging equipment, invest in new technologies, acquire adequate training and maintain sufficient staff.

Sample Turnaround Time	18%	15%	10%	10%
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- * Maintain proficiency in all testing programs. Maintain a 95% pass ratio on all required proficiency tests.

Proficiency in Testing	95%	96%	95%	95%
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- * To respond quickly and efficiently to Animal Emergency Situations. Emergency Response Training. Percent of staff members trained.

Animal Emergency Situations	100%	100%	100%	100%
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Goal: Protect and conserve the State's natural and agricultural resources while enhancing agricultural related production.

- * Investigate and resolve consumer complaints with 180 days. Number of complaints investigated and resolved, average number of days.

Complaints Resolved/Invest.	738Avg42Days	609Avg31days	592Avg52days	600Avg60days
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STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Goal: Protect and conserve the State's natural and agricultural resources while enhancing agricultural related production.				
* Presently, owners and/or operators of Confined Animal Feed Yard Operations (CAFO) are licensed both at the federal and state level. Generally, owners and/or operators of CAFOs favor state regulation to federal regulation whenever possible. Dual licensing and regulation could be eliminated if the Department obtained National Pollutant Discharge Elimination System (NPDES) delegation from the U.S. Environmental Protection Agency; thus making the state the sole regulator of these facilities. Also, the ODAFF will regulate wastewater discharges from CAFOs and egg washing, and storm water discharges for forestry silviculture, pesticide application, etc. for the federal government and for the state. For state delegation to occur, the Department has requested in the FY 10 and FY 11 budgets for state appropriations to fund this program.				
Obtain NPEDS Delegation	0	0	588,578	728,000
* Reduce the value of resources and property lost annually to wildfires in Oklahoma. Improve effectiveness of wildfire response and reduce fire starts.(\$millions)				
Reduce Losses From Wildfires	\$19.8	\$18	\$19.5	\$20
* Increase forestland being actively managed to 25% of the State's total by 2012. Increase landowner participation in forest management.				
Forestland Under Active Mgmt	1.3%	1.4%	1.5%	2.0%
* Damage Requests. Provide field investigations, public education and the implementation of wildlife management techniques to halt or prevent depredation to livestock and crops from wildlife.				
Protect Crops and Livestock	4,000	1,800	1,900	2,000
* Maintain acceptable sanitation in meat processing and slaughter facilities. Daily sanitation inspection of slaughter and processing plants.				
Sanitation Checks Performed	10,140	10,270	10,000	10,000
* Damage Requests. Provide field investigations, public education and the implementation of wildlife management techniquet to halt or prevent depredations to forest, range and wildlife from wildlife				
Protect Range and Wildlife	1,000	850	900	900
Goal: Diversity and expand the economic contribution of agriculture and enhance the viability of rural communities in Oklahoma.				
* Number of producers and/or businesses served.				
Value-Added Marketing Svcs	1,345	1,495	1,583	1,605
* Forestry Services will maintain a strong rural fire defense program of assistance to rural community fire departments. Provide grant funding, equipment, wildland fire and incident command training, technical assistance and coordination services to improve the capabilities of local fire departments.				
Savings on Insurance Premium	\$72,500,000	\$72,250,000	\$72,125,000	\$70,000,000
* Forestry Services will provide well-trained professional urban foresters to help communities and other stakeholders better manage their urban forest resources. Broaden funding support for grants for special projects, develop partnerships, provide networking opportunities and raise awareness of forest values in our communities.				
Tree City USA Communities	82%	82%	82%	82%
* Number of communities served through expansion of value-added agricultural businesses.				
Economic Development Service	295	333	346	357

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Goal: Assure that the public is informed and knowledgeable about agricultural and natural resource issues and opportunities.				
* Increase revenues for service samples from the previous fiscal year.				
Increase Revenue Generation	\$685,000	\$700,000	\$750,000	\$750,000
* Number of seminars, compliance meetings, tests given, training, stop sales, and notice of violations issued.				
Seminars Conducted	3,584	8,472	8,000	8,000
* To provide education for the public, producers and veterinarians on livestock, poultry and aquatic related issues. Presentations performed. Percent of presentations performed for the intent of public education.				
Education for the Public	90%	100%	100%	100%
* Number of clients served electronically on a weekly basis. (Bi-weekly measure prior to FY 2011)				
Informational Services	10,050	6,100	3,260	3,675
* Number of teachers, students, and school administrators educated.				
Ag in the Classroom	33,897	41,662	42,000	43,000
Goal: Assure effective and efficient delivery of agricultural program services by a well-trained and motivated workforce.				
* Maintain a well-trained inspection force and keep industry informed of all new regulations and requirements. Attend FDA training courses. Present training courses and provide information to producers and processors.				
Contacts and Education	750	700	700	700

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Administrative Services/Information Technology				
Goal: Payment of claims within 30 days.				
* Percentage of claims paid within 30 days.				
Monthly Sampling	98%	98%	99%	99%
Goal: Provide for accurate accounting of all revenues.				
* All revenue items are reported with correct division and revenue codes. All revenue items requiring separate tracking are assigned unique codes. Percentage of revenue reported correctly.				
Post Revenues Correctly	100%	100%	100%	100%
Goal: Criminal cases.				
* Number of cases initiated.				
Criminal cases investigated.	196	227	261	282
* Number of criminal investigations completed.				
Criminal invest. completed.	124	154	158	170
* Number of criminal charges filed.				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>

Program: Administrative Services/Information Technology

Goal: Criminal cases.

Criminal charges filed.	61	365	322	347
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Goal: Incidents investigated.

* Number of incidents assigned for investigation. Complaints which do not result in criminal investigation.

Incidents investigated.	75	139	76	82
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Program: Agricultural Environmental Management Services (AEMS)

Goal: Enforce State Statutes/Rules pertaining to animals and poultry.

* The AEMS Division has several programs that are mandated by state statute. They are found either in Title 2, Agriculture, or Title 27A, Environmental and Natural Resources. They are:

- *Administering the Concentrated Animal Feeding Operations program.
- *Seeking program assumption for EPA's NPDES CAFO program.
- *Directing an animal waste enforcement program.
- *Managing the poultry program.
- *Overseeing general programs pertaining to animals and poultry.

Number of complaints investigated and inspections conducted.

Enforcement	8,763	9,813	9,800	9,500
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Goal: EPA NPDES Delegation to ODAFF.

* In 1993, the Oklahoma Legislature passed the Oklahoma Pollutant Discharge Elimination System Act authorizing the Oklahoma Department of Environmental Quality (ODEQ) to seek delegation from the Environmental Protection Agency (EPA) to administer the National Pollutant Discharge Elimination System (NPDES) for all point and non-point source discharges within its environmental jurisdiction. The next year, the Oklahoma legislature passed 27A O.S. Section 1-3-102 that directs the Department of Agriculture to seek this delegation from EPA to administer any and all of the NPDES programs for agricultural point and non-point source discharges. With the creation of the Oklahoma Agriculture Pollutant Discharge Elimination System Act approved in House Bill 1467 in 2005, ODAFF took a necessary step in the process toward receiving this delegation.

With the NPDES delegation status, ODAFF would be the agency named to implement and enforce the federal Clean Water Act for Concentrated Animal Feeding Operations (CAFOs) wastewater discharges. ODAFF would then enforce both EPA's federal standards and Oklahoma's laws and rules for CAFOs. The 2009 Oklahoma Legislature appropriated funding to ODAFF, AEMS for the start-up of the EPA NPDES Delegation Program.

The purpose includes state autonomy. The ODAFF will regulate wastewater discharges from CAFOs and egg washing, and storm water discharges for forestry silviculture, pesticide application, etc. for the federal government and for the state. There are 278 licensed CAFO's, with 138 of these possessing CAFO permits with EPA. It is estimated that more CAFOs may need EPA permits, as follows:

Obtain EPA NPDES delegation.	0	0	97	97
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Program: Animal Industry Services

Goal: Detection, eradication, prevention and control of livestock, poultry, cervidae and aquaculture diseases and parasites.

* Percent of livestock, poultry and aquaculture population under surveillance.

Disease & Parasite Surv.	99%	99%	99%	99%
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated
Program: Animal Industry Services				
Goal: Detection, eradication, prevention and control of livestock, poultry, cervidae and aquaculture diseases and parasites.				
* Percent of market/sales facilities inspected.				
Insp. of Mrkt/Sales Facility	100%	100%	99%	99%
Goal: To eliminate diseased livestock, poultry and aquatic animals				
* Percent of animals destroyed with positive test results for disease or parasites.				
Animals destroyed w/ disease	100%	100%	100%	100%
Goal: Approval and monitoring the use of vaccines and biologics.				
* Percent of vaccine and biologic requests approved and monitored.				
Vaccines & Biologics Records	100%	100%	100%	100%
Goal: To provide education for the public, producers and veterinarians on livestock, poultry and aquatic related issues.				
* Percent of presentations performed for the intent of public education.				
Presentations performed	90%	100%	100%	100%
Goal: To respond quickly and efficiently to animal emergency situations.				
* Percent of staff members trained.				
Emergency Response Training	100%	100%	100%	100%
* To develop interagency agreements with Oklahoma counties and Oklahoma Indian tribes. Percent of counties and tribes with interagency agreements.				
Interagency Agreements	20%	30%	30%	30%
Program: Consumer Protection Services				
Goal: Identify and remove products which do not meet label quantities				
* Package and/or labels checked (Weights and Measures)				
Labels and Packages Checked	149,000	124,000	120,000	120,000
Goal: Ensure consumers are provided insect and disease free plants, ensure acceptable sanitation, safety standards and best management practices are followed at regulated facilities.				
* Inspections for pesticide, nursery, fertilizer and feed sections.				
Inspections Performed	11,000	7,000	8,000	8,000
Goal: Identify and/or remove from use or service inaccurate or fraudulent scanners, weighing and measuring devices.				
* Scales, scanners and/or meters checked (Weight's and Measures)				
Scales and Scanners Checked	15,000	14,000	13,000	13,000
Goal: Registrations for AG products or firms providing a service.				
* Registrations for pesticide, soil amendments, Ag lime and fertilizer sections.				
Registrations	14,795	14,742	15,000	15,000
Goal: Sampling of AG products to identify and remove those found to be adulterated and/or contaminated, ensure AG producers receive a fair market value and the buyer receives a quality product.				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated
Program: Consumer Protection Services				
Goal: Sampling of AG products to identify and remove those found to be adulterated and/or contaminated, ensure AG producers receive a fair market value and the buyer receives a quality product.				
* Samples from pesticide, nursery, fertilizer, feed and seed sections.				
Samples Tested	6,967	7,662	8,000	8,000
Goal: Investigate and resolve consumer complaints within 180 days.				
* Number of complaints investigated and resolved, number of days average.				
Complaints resolved/investig	609Avg31days	592Avg52days	600Avg60days	600Avg60days
Goal: License firms selling AG products or providing a service.				
* Fertilizer, Ag lime, Feed, Seed, Pesticide Applicators, Private Applicators, Nursery, and Weight's and Measures licenses issued.				
Licenses Issued	15,773	10,261	10,000	10,000
Goal: Conduct seminars, compliance meetings, testing, training, stop sales and issue letters of warning.				
* Number of seminars, tests given, compliance meetings held, training, stop sales and letters of warning issued.				
Seminars Conducted	3,584	8,472	8,000	8,000
Goal: Ensure plants and plant products offered for sale, export and shipping meet plant quarantine requirements for both foreign country and individual states.				
* Number of state and federal phytosanitary certifications issued.				
Certifications Issued	2,143	3,432	2,500	2,500
Goal: FY2010 to FY2011 will maintain a constant number of performed warehouse exams.				
* Number of warehouse exams in each FY will be compared to the number of exams from previous goal attainment for result of 0 or greater.				
Number of Exams Completed	44	42	40	40
Program: Food Safety Division - Dairy				
Goal: Identify and remove from food channels dairy products which are contaminated, adulterated or unsound.				
* Output- Any dairy not meeting standards is degraded.				
Dairies Degraded	42	30	30	30
* Retail samples collected and tested.				
Retail Samples Tested	951	970	950	950
Goal: Maintain acceptable sanitation in dairy facilities.				
* Perform routine inspections and follow-up inspections as needed.				
Dairy Sanitation Inspections	1,842	1,800	1,800	1,800
Goal: Assurance of composition and safety of dairy products.				
* Raw milk samples and water samples collected and tested.				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated
Program: Food Safety Division - Dairy				
Goal: Assurance of composition and safety of dairy products.				
Antibiotic/Microbial Tests	2,751	2,791	2,700	2,700
Goal: Maintain dairy producing facilities that meet required standards of construction.				
* Perform facility and equipment construction reviews.				
Construction Reviews	40	37	40	40
Goal: Maintain a well trained inspection force and keep industry informed of all new regulations and requirements.				
* Attend FDA training courses. Present training courses and provide information to producers and processors.				
Contacts and Education	740	700	700	700
Program: Food Safety Division - Egg, Poultry & Organic Section				
Goal: Identify and remove from food channels poultry and egg products which are contaminated, adulterated, or unsound.				
* Number of inspections to assure quality and food safety of eggs and poultry.				
Food Vendor Inspections	2,288	2,800	2,500	2,500
Goal: Assurance of labeling and safety of poultry and egg products.				
* Number of routine grade and labeling inspections.				
Labeling/Quality Inspections	2,288	2,288	2,200	2,200
Program: Food Safety Division - Meat Inspection				
Goal: Identify and remove from food channels, meat and poultry products which are contaminated, adulterated or unsound.				
* Number of pounds of meat products inspected during processing.				
Processed Meat Inspected	26,193,105	18,970,988	18,000,000	18,000,000
* Number of pounds of condemned meat product removed from food channels.				
Meat Product Condemned	244,911	238,855	200,000	200,000
Goal: Maintain acceptable sanitation in meat processing and slaughter facilities.				
* Acceptable sanitation reviews.				
Quarterly Reviews of Plants	370	360	350	350
* Daily sanitation inspection of slaughter and processing plants.				
Sanitation Checks Performed	10,140	10,270	10,000	10,000
Goal: Assurance of composition and safety of meat products.				
* Approved labels according to product standards.				
Labels Approved	39	45	40	40
* Number of samples verifying products meet standards.				
Lab and Chemical Samples	465	149	150	150
Goal: Provide disease surveillance for livestock producers.				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Food Safety Division - Meat Inspection

Goal: Provide disease surveillance for livestock producers.

- * Inspection of retained carcasses by veterinarian.

Disposition of Carcasses	55	21	21	21
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- * Number of blood samples checked for disease conditions.

Blood Samples	2,055	1,300	1,300	1,300
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Program: Forestry Services Division

Goal: Increase number of landowners who actively manage their lands for timber and non-timber benefits.

- * Increase forestland being actively managed to 25% by 2012.

Forestland Under Active Mgmt	1.3%	1.4%	1.5%	2%
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- * Certify at least 2,000 Forest Stewardship Plans by 2020.

# Active Stewardship Plans	1,888	1,064	1,200	1,360
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- * Certify at least 300,000 acres under Active Stewardship by 2020.

Stewardship Acres	358,246	141,775	154,000	200,000
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Goal: Reduce the losses in Oklahoma due to wildfire.

- * Reduce the value of resources and property lost annually to wildfires in Oklahoma. (\$million)

Reduction of Wildfires	\$19.8	\$18	\$19.5	\$20.0
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- * Average size of wildfire on which Forestry firefighters take action (acres).

Reduce Size of Wildfires	47	40	50	55
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- * Reduce the ten-year average for structures lost to fire in the protection area.

Wildfire Saving Improvement	50	6	20	25
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Goal: Reduce the risk of loss or damage caused by fire in rural communities in Oklahoma.

- * Number of operational grants awarded to fire departments.

Maintain Operational Grants	878	878	875	875
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- * Cumulative number Insurance Service Office (ISO) rate reductions that result from program actions.

Insurance Rate Reductions	1,455	1,475	1,500	1,525
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- * Increase dollar savings of insurance premiums resulting from program actions to \$70 million by 2012.

Insurance Savings of 10%	\$72,500,000	\$72,250,000	\$72,135,000	\$70,000,000
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- * Dollar value of federal excess property and firefighter property loaned to communities annually.

Increase Excess Property	\$14,209,000	\$11,078,394	\$14,250,000	\$14,500,000
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Goal: Improve the quality of urban living through better management of the urban forest.

- * Increase percentage of population living in recognized Tree City USA communities to 85% by 2012.

Population in Tree City USAs	82%	82%	82%	82%
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Forestry Services Division

Goal: Assure an adequate supply of quality tree seedlings for Oklahoma landowners.

- * By 2012, increase conservation seedling planting to five million trees annually.

Increase Tree Planting	2,676,823	3,000,425	4,000,000	4,250,000
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Goal: Maintain the quality of Oklahoma's forest water resources.

- * Increase overall forestry Best Management Practices (BMP) compliance to at least 94% by 2015.

Increase BMP Compliance	91.6%	92.1%	92.1%	92.1%
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Program: Laboratory Services

Goal: Maintain proficiency in all testing programs.

- * Maintain a 95% pass ratio on all required proficiency tests.

Proficiency in Testing	97%	96%	95%	95%
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Goal: Ensure customer satisfaction.

- * Maintain a standard of not more than 10% of samples exceeding the specified turnaround time.

Note: The Laboratory continues to struggle to meet this goal due to shortfalls in the operational budget and the inability to adequately staff the Division at levels needed to meet customer needs.

Sample Turnaround Time	17%	15%	10%	10%
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Goal: Become more fiscally self-sufficient.

- * Increase revenues for service samples from the previous FY.

Increase Revenue Generation	\$709,000	\$685,000	\$700,000	\$750,000
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Program: Market Development

Goal: To exemplify the importance of agriculture by building agriculture literacy in Pre-K - 8th grade students.

- * Ag in the Classroom poster, essay, story board and bulletin board contest entries.

Poster & Essay Contests	3,614	3,023	3,500	3,750
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- * Provide AITC training and resources statewide to Oklahoma teachers and conduct educator workshops. (inclusive of 77 counties)

Teachers AITC Trained	18,019	16,077	18,000	19,000
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- * Direct contact with Oklahoma students through individual class and school-wide presentations, pre-service, teachers, college students, outdoor schools, and state, community and county educational events.

Students Contacted	15,878	25,585	24,000	25,000
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- * Number of new and revised AITC pre-k through 8th grade lessons aligned with Oklahoma Priority Academic Student Skills (PASS)

P.A.S.S. Aligned Curriculum	221	226	300	350
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Goal: To promote the growing, producing and marketing of alternative crops in Oklahoma.

- * Number of vendors operating in Oklahoma Farmer's Markets.

Vendors Operating in Markets	675	700	725	750
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated

Program: Market Development

Goal: To promote the growing, producing and marketing of alternative crops in Oklahoma.

* Number of registered farmer's markets in Oklahoma.				
Farmer's Markets	58	72	75	80
* Number of farmer's markets certified "Oklahoma Grown"				
"Okla Grown" Farmer's Mrkts	52	65	70	75
* Number of plasticulture gardens installed				
Plasticulture Participation	70	65	70	70
* Number of school districts participating in the Farm to School Program.				
Farm to School Participation	53	60	67	74
* Number of school CND's, teachers, administrators; farmers; students and advocates educated about Farm to School.				
Farm to School Outreach	3,500	4,000	4,500	5,000

Goal: To enhance consumer awareness and provide sales opportunities to Made in Oklahoma companies involved in gourmet, food service, and retail sales of food or agricultural-related products.

* Number of participants in the Made in Oklahoma program.				
MIO Participants	220	250	265	270
* Number of consumer impressions achieved through circulation of publications and websites.				
MIO Program Awareness	179,500	175,300	275,300	276,000
* Made in Oklahoma companies participating in wholesale and retail shows.				
MIO Company Participation	65	45	73	48

Goal: To increase the export of Oklahoma agricultural products.

* Number of Oklahoma companies exporting agricultural products.				
Companies Exporting	10	10	11	12
* Number of products introduced in new international markets.				
International Marketing	15	20	24	25
* Number of new targeted country initiatives.				
New Countries Targeted	2	2	3	1
* Number of Oklahoma companies assisted in establishing international business and trade relations.				
International Assistance	22	26	26	25

Goal: To collect and disseminate commodity prices and trade information for all major Oklahoma agricultural products to agricultural producers, media, and institutions.

* Number of calls received per month on the Market News Messaging System.				
Market News Messaging	16,500	8,000	8,000	7,500
* Average electronically circulation weekly beginning FY 2011. Prior years circulation was bi-weekly.				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated

Program: Market Development

Goal: To collect and disseminate commodity prices and trade information for all major Oklahoma agricultural products to agricultural producers, media, and institutions.

Oklahoma Market Report	2,075	2,100		
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Goal: To enhance rural economic development through the development and expansion of agricultural business ventures.

* Number of Agriculture Enhancement and Diversification applications received.

AEDP Applications Received	29	43	36	38
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* Number of Agriculture Enhancement and Diversification loan applications awarded.

AEDP Applications Awarded	3	4	4	5
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* Number of companies and/or rural communities assisted with new business startup or increased production.

Companies Assisted	27	35	29	33
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* Number of Agricultural Enhancement and Diversification grants awarded.

AEDP Grants Awarded	18	26	22	24
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Goal: To provide agricultural producers with resources and educational materials to assist with developing and sustaining a successful agritourism business.

* Number of resource manuals, brochures, etc. distributed. (Materials include categorical brochures, maps, resource guides and informational bookmarks)

Agritourism Prog. Awareness	42,000	62,258	60,000	60,000
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* Number of Agritourism businesses involved as members in the Oklahoma Agritourism Association.

Oklahoma Agritourism Assoc	36	49	75	75
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* Number of agritourism attractions and events visited and or/served.

Producers Served	430	475	500	500
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Goal: To strengthen the economic well being of the state through the dissemination of agricultural information; by developing opportunities for domestic and international sales of Oklahoma food and agricultural products; increasing agricultural literacy and awareness; and the stimulation of rural economic development.

* Number of communities served through expansion of value-added agricultural businesses.

Economic Development Svcs	295	333	346	357
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* Number of producers and/or businesses served

Value-added Marketing Svcs	1,345	1,495	1,583	1,605
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* Number of clients served on a weekly basis beginning in FY 2011. (prior year measurers were on a bi-weekly basis)

Informational Services	10,100	6,100	3,260	3,675
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* Number of teachers, students, and school administrators educated.

Ag in the Classroom	33,897	41,662	42,000	43,000
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Program: Office of General Counsel

Goal: Maintain or increase the average number of administrative enforcement actions resolved each year.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Office of General Counsel

Goal: Maintain or increase the average number of administrative enforcement actions resolved each year.

- * Number of resolved cases shall be compared to the number of resolved cases from previous years.

Number of cases resolved	1,412	1,477	1,500	1,800
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Program: Public Information Division

Goal: Assure that the public has access to factual information about the agency's services.

- * Provide timely news releases, photographs, videos and publications.

Increase Publicity	625	650	675	700
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- * Enhance the public image of the agency by coordinating and/or publicizing exhibits, press conferences, special events and training.

Agency Public Image	40	40	35	35
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- * Increase distribution of publications online and at trade shows.

Customer Awareness	1,550	1,525	1,550	1,550
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Program: Statistics Division

Goal: Reliable crop and livestock production estimates.

- * Maintain at least a 75% useable response rate on probability surveys.

Response Rate	78.0%	75%	75%	78%
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Goal: Respond to data users requests in a timely manner.

- * Upload reports same day released 99% of the time.

Upload Reports to Website	99.5%	99.9%	100%	100%
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- * Respond same day request is received 99% of the time.

Response Time	100.0%	100.0%	100.0%	100.0%
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Program: Wildlife Services

Goal: Protection of crops and livestock.

- * Provide field investigations, public education and the implementation of wildlife management techniques to halt or prevent depredation to livestock and crops from wildlife.

Damage Requests	1,500	1,800	1,900	2,000
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Goal: Protection of forest, range and wildlife.

- * Provide field investigations, public education and the implementation of wildlife management techniques to halt or prevent depredations to forest, range and wildlife from wildlife.

Damage Requests	850	850	900	900
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Goal: Protection of human health and safety.

- * Provide field investigations, public education and the implementation of wildlife techniques to halt or prevent wildlife borne diseases or physical threats or injury to humans from wildlife.

Damage Requests	2,000	1,270	1,300	1,300
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Goal: Protection of urban facilities.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Wildlife Services

- * Provide public education and the implementation of wildlife management techniques to halt or prevent damage to property or nuisance situations created by urban wildlife.

Damage Requests	400	500	500	600
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Goal: Protection of roads and structures from beaver damage.

- * Provide field investigations, public education and the implementation of wildlife management techniques to halt or prevent damage to roads and structures by beaver.

Damage Requests	1,200	1,560	1,600	1,650
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>		<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X	General Revenue	29,521	24,027	19,305
205	Rural Fire Defense Equip Revolv	59	107	439
210	Agriculture Revolving Fund	18,168	16,119	20,087
215	Vol Firefighter Employer Contrib	85	85	0
225	Enhancement & Diversification Fd	245	293	843
230	Okla Pet Overpopulation Fund	32	19	52
235	Animal Friendly Revolving Fund	9	6	5
240	Unwanted Pesticide Disp Fund	155	144	240
245	Rural Fire Revolving Fund	10	16	3,306
250	Rural Fire Equipment Grant Rev	11	5	117
285	Milk & Milk Prod Inspec Revolving	266	267	269
286	Ag In The Classroom Revolving	14	2	26
290	OK JR LIVESTOCK AUCTION SCHL R	3	0	0
57X	Special Cash Fund	4,468	6,881	7,000
Total Expenditures by Fund		\$53,046	\$47,971	\$51,689

EXPENDITURES BY OBJECT

		\$000's		
<u>Object of Expenditure</u>		<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits		26,342	26,807	26,409
Professional Services		2,439	1,659	1,993
Travel		728	487	584
Lease-Purchase Expenditures		154	488	308
Equipment		6,248	3,578	3,506
Payments To Local Govt Subdivisions		3,978	3,298	2,245
Other Operating Expenses		13,158	11,655	16,648
Total Expenditures by Object		\$53,047	\$47,972	\$51,693

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1	Administration Services			
1	Administration	5,858	4,114	5,144
5	General Counsel	0	0	28
1008	Audits and Investigations Unit	1,117	1,031	1,174
1026	General Services	512	347	620
1100	Wildfire Special Ops Fund	10	16	3,306
1103	Agri-Business Leadership	43	57	40
1105	Agriculture Mediation Program	85	2	0
1115	Rural Enterprise Institute	1,733	1,543	750
1116	Firefighters Pensions	85	85	79
1160	Sunrise Agri News Program	190	161	75
1175	OSU IFMAPS	127	114	40
88100	Information Technology Service	1,397	1,269	1,251
	Total Administration Services	11,157	8,739	12,507
3	Public Information			
1	Public Information	252	231	232
	Total Public Information	252	231	232
5	Legal Services			
1	Office of General Counsel	557	518	534
	Total Legal Services	557	518	534
7	Ag Environ Mgmt Services			
1	Ag Environ Mgmt Services	1,265	1,302	1,386
7501	AEMS Poultry Research	84	56	54
	Total Ag Environ Mgmt Services	1,349	1,358	1,440
9	Statistical Reporting Services			
1	Agricultural Statistics	94	102	153
	Total Statistical Reporting Services	94	102	153
11	Forestry Services			
11121	Federal Funded Projects	0	0	0
11124	General Operations	10,995	10,669	10,456
11171	Rural Fire Federal Pass Thru	209	129	264
17436	Rural Fire Supplies/Materials	71	112	556
17437	Rural Fire Operational Grants	4,883	4,682	3,870
17438	Rural Fire 80/20 Match Grants	789	553	200
17439	Rural Fire Dry Hydrant Prog	49	0	0
17440	Rural Fire Local Proj Grants	269	0	0
17442	Surplus Property Purchases	10	0	0
17443	Rural Fire Coord Contracts	983	760	700
17488	Forestry Info Technology	27	23	22
	Total Forestry Services	18,285	16,928	16,068
21	Animal Industry Services			
1	Animal Industry	2,349	2,204	2,078
42107	OSU Animal Diagnostic Lab	49	44	0
42188	Information Technology	2	0	3

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
21	Animal Industry Services		
43240	Livestock Depopulation	69	69
	Total Animal Industry Services	2,469	2,150
31	Market Development Services		
1	Market Development Division	2,036	2,104
31412	Sustain Ag/Plasticulture	47	42
31418	Agritourism	187	140
31471	Ag Exhibits/Shows	463	190
31475	Ag Enhance & Diversification	245	893
48202	Ag In The Classroom	117	98
48257	REAP	150	0
	Total Market Development Services	3,245	3,467
41	Plant Industry & Consumer Serv		
1	Consumer Protection Services	4,476	4,669
60045	Legume Research	43	0
60088	CPS Info Technology	81	36
	Total Plant Industry & Consumer Serv	4,600	4,705
51	Wildlife Services		
1	Wildlife Services	2,253	1,903
	Total Wildlife Services	2,253	1,903
61	Food Safety		
1	Meat Inspection	2,669	2,565
2	Egg Inspection	750	722
3	Milk And Milk Products	465	428
	Total Food Safety	3,884	3,715
67	Agricultural Laboratory Svcs		
1	Agricultural Laboratories	4,900	4,818
	Total Agricultural Laboratory Svcs	4,900	4,818
Total Expenditures by Activity		\$53,045	\$51,692

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES

Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
1 Administration Services	48.0	44.7	47.5
3 Public Information	2.9	3.0	3.0
5 Legal Services	5.8	6.7	7.0
7 Ag Environ Mgmt Services	13.5	16.2	16.0
9 Statistical Reporting Services	1.7	1.9	2.5
11 Forestry Services	159.2	157.0	147.0
21 Animal Industry Services	29.9	28.7	26.0
31 Market Development Services	19.0	19.0	20.0
41 Plant Industry & Consumer Serv	56.6	54.5	56.0
51 Wildlife Services	16.2	14.8	15.0
61 Food Safety	60.3	56.9	54.0
67 Agricultural Laboratory Svcs	38.5	37.9	35.0
Total FTE	451.6	441.3	429.0
Number of Vehicles	341	326	285

BOLL WEEVIL ERADICATION ORG. (39)

MISSION

To design and implement boll weevil eradication and post-eradication programs that are economical, producer friendly, and beneficial to the State of Oklahoma.

THE BOARD

The governing body of OBWEO is composed of a five member board of cotton growers, each of whom is elected from the five separate districts established by the initial Board. "Eligible cotton grower" means any person actively engaged in the production of cotton either currently or in any two (2) of the three (3) years immediately preceding the calling of an election or a referendum.

DUTIES/RESPONSIBILITIES

The function of The Oklahoma Boll Weevil Eradication Organization is to eradicate the boll weevil from Oklahoma cotton in the most effective and efficient manner possible. By law, producer assessments fund the program. In previous years, funding from the USDA and the State of Oklahoma has allowed the program to become a reality. It is the intent of the board of directors and staff of OBWEO to eradicate the boll weevil and ensure against reinfestation, thus increasing cotton production in Oklahoma.

STATUTORY REFERENCES

Program Name	Statutory Reference
Boll Weevil Eradication	Title 2, Sec. 3-50.1 et. Seq.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Quality Control - Maintain Accurate Data

- * Data collection and dissemination is a major factor in eradication of and controlling new infestations of weevils. When data is collected in a timely manner and weevils are treated immediately, eradication is being accomplished. This same process becomes all the more critical in a post-eradication environment where the introduction of a single undetected gravid female weevil can cause a very costly reinfestation of a previously eradicated area.

95% Data Accuracy	95%	95%	95%	95%
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Goal: Communication - Maintain Continuous Communication Both Internally And Externally

Goal: Post Eradication - Maintain A Long Term Boll Weevil Detection Plan For The State Of Oklahoma

Goal: Benefit The Environment - Reduce Use Of Chemicals

- * Reducing the use of Malathion (boll weevil pesticide) greatly reduces the need to treat secondary pest populations resulting from application of such pesticides. Less overall chemical application will result in an increase in beneficial insects, further reducing the need to spray for secondary pests.

Reduce Chemical Use 95 %	-99%	-100%	-100%	-100%
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Boll Weevil Eradication

Program: Boll Weevil Eradication

Goal: By effective pest management techniques increase lint pounds per acre

- * By means of effective eradication measures, increase and maintain cotton lint yields above 550 Lb per acre.

Lint yield above 550Lb	837	836	840	840
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Goal: Decrease eradication program cost

- * Reduce personnel and general operating costs such that program costs decrease on an annual basis. The reduction in expected planted cotton acreage caused the FY08 and FY09 budgeted cost per acre to increase. This trend should be reversed for FY10.

Reduce cost per acre	6.12	5.31	3.84	4
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Goal: Maintain minimal producer complaints

- * By means of sound public relations, ensure producer complaints remain at zero.

Complaint level of zero	0	0	0	0
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:	FY- 2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
200 Boll Weevil Eradication Revolving	883	1,014	1,044	
Total Expenditures by Fund	<u><u>\$883</u></u>	<u><u>\$1,014</u></u>	<u><u>\$1,044</u></u>	

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
Salaries and Benefits	715	717	735	
Professional Services	14	6	28	
Travel	6	3	4	
Lease-Purchase Expenditures	0	0	0	
Equipment	9	60	92	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	138	227	187	
Total Expenditures by Object	<u><u>\$882</u></u>	<u><u>\$1,013</u></u>	<u><u>\$1,046</u></u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
1 Administration				
1 Administration	866	991	905	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1 Administration			
2 Data Processing	17	23	139
Total Administration	883	1,014	1,044
Total Expenditures by Activity	\$883	\$1,014	\$1,044

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1 Administration	20.0	12.0	12.0
Total FTE	20.0	12.0	12.0
Number of Vehicles	20	17	17

CONSERVATION COMMISSION (645)

MISSION

The mission of the Oklahoma Conservation Commission (OCC) is to conserve, protect and restore Oklahoma's natural resources working in collaboration with the Conservation Districts and other Partners, on behalf of the citizens of Oklahoma.

The OCC will fulfill its mission by:

Providing Tools:

Finances, legal assistance and information, technical expertise, programs, data, policies, rules, criteria, training, equipment, people and guidance

Providing Leadership:

Guidance, policy, priorities, direction, goals and objectives, voice, accountability, coordination, feedback and dialogue

Providing Planning and Assessment

Providing Public Information

Providing Protection:

Human health and safety, Abandoned Mine Lands (AML) safety, Water Quality (WQ), flooding, soils, wildlife, environment

Providing Restoration

Providing Education

Providing liaison services between federal and state agencies and conservation districts

The OCC will fulfill its mission on behalf of the following:

Citizens of Oklahoma

Private Organizations

Conservation Districts

OCC Staff and Commissioners

Educational Institutions

Local, State and Federal Agencies

Congress

State Legislature

Tribes

General Public

THE BOARD

In 1971, the State Legislature established the Oklahoma Conservation Commission, replacing the duties, responsibilities and property of the State Soil Conservation Board. The Commission consists of five members. The state is divided into five state areas for the purpose of selecting Conservation Commission members, Members are appointed by the Governor subject to confirmation by the Senate. Members serve a term of five years.

DUTIES/RESPONSIBILITIES

The Oklahoma Conservation Commission has the responsibility of providing assistance to the 88 conservation districts in Oklahoma to foster a sense of care, wise use and best management of Oklahoma's renewable natural resources. This includes

STATUTORY REFERENCES

Program Name	Statutory Reference
1 - Administration	Title 27A, sections 3-1-101 and following Title 82, 1501-205.1 & 205.2

FY - 2012 EXECUTIVE BUDGET

3 - Watershed Operation & Maintenance	- Oklahoma Statutes Title 27A, Conservation District Act - Public Law 78-534 Flood Control Act of 1944 - Public Law 83-566, Watershed Protection and Flood Prevention Act of 1954, as amended to include the Watershed Rehabilitation Amendments of 2000
2 - Field Service	Conservation District Law. Title 27A
6 - Water Quality - Cost-Share Program	Title 27A, House Bill 3299, Senate Bill 965 which authorizes the the program at the Commission.
4 - Abandoned Mine Land Reclamation Program	Title 45 - Section 740.1 to 740.7
5 - Water Quality / Wetlands	Title 27A, Section 3-2-106 and Section 3-2-108

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 1 - Administration

Goal: Strengthen Oklahoma's grass roots natural resources conservation delivery system by increasing efficiency, sharing resources and streamlining operations over the next 5 years.

* Provide administrative support to all divisions of the Commission. Implement two new programs and/or procedures to assist all divisions in carrying out their duties and responsibilities.				
Administrative Support	3 programs	2 programs	2 programs	2 programs
* The commission will evaluate the procedures for processing claims for reimbursement to ensure both timeliness and accuracy. The commission processes over 4,000 vouchers for reimbursement per year. Efforts and procedures will be developed and / or continued to ensure the timeliness of the processing of vouchers.				
Claims Reimbursement	3 days	3 days	3 days	3 days

Program: 2 - Field Service

Goal: Advance the stewardship of Oklahoma's natural resources to improve the quality of life for current and future generations.

* Increase the knowledge and awareness of Oklahoma's natural resources and improve the natural resource decision making process of Oklahoma's citizens through conservation efforts. Unit of Measure: # of clients reached / yr.				
Conservation Education	67,146	61,270	65,000	65,000

Program: 3 - Watershed Operation & Maintenance

Goal: Provide financial and technical assistance for the operation and maintenance of 2,105 floodwater retarding structures to maintain the \$2 billion public infrastructure and sustain the \$75 million in annual benefits.

* Total number of structures moved through the planning, design and approval process for rehabilitation each year	
Planned Rehabilitation	7
* Number of structures that rehabilitation contracts have been awarded in each year	
Rehabilitation contracts	3
* Number of structure inspected annually	
Inspect all structures	2,105
* Total number of technical and financial assistance requests received by conservation districts and land owners	
Request received	350
* Number of structures repair and maintenance was performed on	

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 3 - Watershed Operation & Maintenance

Goal: Provide financial and technical assistance for the operation and maintenance of 2,105 floodwater retarding structures to maintain the \$2 billion public infrastructure and sustain the \$75 million in annual benefits.

Structures receiving repair 281

* Funding provided to conservation districts for the operation and maintenance of structures and technical assistance

Funding provided 997

* Number of days it takes staff to respond to requests from conservation districts and land owners

Response time 2 days

Program: 4 - Abandoned Mine Land Reclamation Program

Goal: Advance the stewardship of Oklahoma's natural resources to improve the quality of life for current and future generations.

* The commission, working with conservation districts and other units of government, will reclaim abandoned mine sites that are hazardous to the public and/or degrading the environment. Unit of Measure: # of projects and acres reclaimed

Reclaim Scarred Lands 3 - 180 3 - 541 4 - 114 3 - 165

Program: 5 - Water Quality / Wetlands

Goal: Advance the stewardship of Oklahoma's natural resources to improve the quality of life for current and future generations.

* The commission will reduce NPS loading to streams and rivers statewide. Units of Measure: Stream segment / waterbody pairs removed from the 303(d) list for nonpoint source-related causes and published in EPA accepted success summaries.

Water Quality 4 successes 5 successes 5 successes 5 successes

* The commission will promote a net gain of wetlands in Oklahoma. Unit of Measure: Net wetland acres gained

Wetlands 200 200 200 200

Program: 6 - Water Quality - Cost-Share Program

Goal: Advance the stewardship of Oklahoma's natural resources to improve the quality of life for current and future generations.

* Sustain or improve soil quality by increasing and diversifying the number of participants implementing best management practices through the state locally led cost-share program.

Soil Quality 787 859 900 900

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>		<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X	General Revenue	9,103	10,235	9,846
200	Small Watershed Revolving	113	35	25
205	GIS Revolving Fund	0	1	50

CONSERVATION COMMISSION

- 27 -

AGRICULTURE

EXPENDITURES BY FUND (continued)

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY-2011 Budgeted</u>
210 Conservation Cost-Share Fund	\$ 258	225	0
215 OCC Infrastructure Revolv Fnd	734	1,933	1,710
245 Donation Fund	9	4	178
250 OK Con Comm Infrastructure Rev	3,127	3,583	2,798
400 Federal Funds	11,330	11,919	27,433
410 Tar Creek Mine Reclamation	104	27	25
415 Sugar Creek Watershed	55	275	2,130
490 American Recov. & Reinv. Act	0	582	10,020
57X Special Fund Cash	0	167	0
Total Expenditures by Fund	\$24,833	\$28,986	\$54,215

EXPENDITURES BY OBJECT

\$000's

<u>Object of Expenditure</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits	7,120	7,491	8,494
Professional Services	1,801	3,720	830
Travel	181	157	164
Lease-Purchase Expenditures	0	0	0
Equipment	3,950	5,054	33,312
Payments To Local Govt Subdivisions	6,667	6,456	6,298
Other Operating Expenses	5,114	6,107	4,836
Total Expenditures by Object	\$24,833	\$28,985	\$53,934

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

\$000's

<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
10 Administration			
1 Administration	838	2,923	3,137
2 Data Processing	57	39	64
4 GIS	129	137	210
5 Public Information Services	91	80	79
Total Administration	1,115	3,179	3,490
20 Watershed Ops and Maintenance			
1 Watershed Ops & Maint Program	945	1,833	1,289
2 Small Watershed New Construct	0	35	25
3 Watershed Rehabilitation	3,098	6,270	22,010
4 FEMA PW1059	41	109	300
5 FEMA PW1060	0	34	30
6 FEMA PW1234	0	1	300
7 FEMA PW1266	0	1	300
8 FEMA General	99	126	300
9 FEMA PW1278	1	0	0
10 FEMA PW1279	0	0	300

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
20	Watershed Ops and Maintenance		
11	0	2	300
12	0	1	300
	<u>4,184</u>	<u>8,412</u>	<u>25,454</u>
30	Field Service		
1	5,987	5,891	5,550
2	2,403	2,548	3,450
3	249	204	209
4	88	88	96
5	181	176	264
	<u>8,908</u>	<u>8,907</u>	<u>9,569</u>
40	Abandoned Mine Land Reclam		
1	265	261	350
2	104	27	25
4	10	73	100
5	2,327	860	4,000
6	97	409	250
7	0	0	0
	<u>2,803</u>	<u>1,630</u>	<u>4,725</u>
50	Water Quality/Wetlands		
1	3,960	3,584	6,310
2	165	250	400
3	0	0	0
4	1,322	1,051	750
5	0	0	150
6	321	479	1,050
7	1	2	0
8	513	0	0
9	169	413	500
11	502	470	600
12	527	265	560
13	0	0	0
14	343	343	658
	<u>7,823</u>	<u>6,857</u>	<u>10,978</u>
Total Expenditures by Activity	<u>\$24,833</u>	<u>\$28,985</u>	<u>\$54,216</u>

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
10	12.0	12.0	12.0
20	6.0	6.0	6.0
30	2.0	2.0	2.0
40	9.0	9.0	9.0
50	42.0	42.0	42.0
Total FTE	71.0	71.0	71.0
Number of Vehicles	20	20	32

PEANUT COMMISSION (535)

MISSION

The Oklahoma Peanut Commission is to promote, by education and research, the greater use of Oklahoma grown peanuts.

THE COMMISSION

The Oklahoma Peanut Commission is composed of six members appointed by the Governor. Senate confirmation is not required. Commission members, two from each of the three established districts, serve for a term of three years.

DUTIES/RESPONSIBILITIES

STATUTORY REFERENCES

Program Name	Statutory Reference
"Peanut Research "	Title 2, Sec 18-55
"Peanut Promotions"	Title 2, Sec 18-55
"Peanut Education"	Title 2, Sec 18-55

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:	FY- 2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
200 Peanut Commission Revolving Fund	146	134	171	
Total Expenditures by Fund	<u><u>\$146</u></u>	<u><u>\$134</u></u>	<u><u>\$171</u></u>	

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
Salaries and Benefits	95	90	98	
Professional Services	0	1	0	
Travel	12	10	10	
Lease-Purchase Expenditures	0	0	0	
Equipment	0	0	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	39	33	63	
Total Expenditures by Object	<u><u>\$146</u></u>	<u><u>\$134</u></u>	<u><u>\$171</u></u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
10 Administration				
10 Administration	30	22	30	
20 Research - State	53	49	78	
30 Promotions	31	27	32	
40 Education	31	35	32	
Total Administration	<u>145</u>	<u>133</u>	<u>172</u>	
Total Expenditures by Activity	<u>\$145</u>	<u>\$133</u>	<u>\$172</u>	

WHEAT COMMISSION (875)

MISSION

This mission statement of the Commission is to develop and expand domestic and international markets for US wheat producers while keeping them technologically competitive. This mission also positions the Commission to address issues which affect the Oklahoma wheat producer and his customer, focus on the wheat industry and keep Oklahoma wheat producers competitive in the world market.

THE COMMISSION

The Oklahoma Wheat Utilization, Research and Market Development Commission is composed of five members appointed by the Governor for a term of five years. Senate confirmation is not required. One member is designated from each of five districts defined by statute. In addition to the appointive members, ex officio non-voting members are the President of the Oklahoma State Board of Agriculture and the Director of the State Extension Service, Oklahoma State University.

DUTIES/RESPONSIBILITIES

STATUTORY REFERENCES

Program Name	Statutory Reference
Administration	Title 2 O.S. 1981 Sections 1021-1038
Research	Title 2 O.S. 1981 Sections 1021-1038
Information and Education	Title 2 O.S. 1981 Sections 1021-1038
Market Development	Title 2 O.S. 1981 Sections 1021-1038

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

Type of Fund:	\$000's		
	FY- 2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
200 Wheat Commission Revolving Fund	1,420	1,163	2,180
Total Expenditures by Fund	<u>1,420</u>	<u>1,163</u>	<u>2,180</u>

EXPENDITURES BY OBJECT	\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Salaries and Benefits	356	305	310
Professional Services	443	469	713
Travel	87	58	66
Lease-Purchase Expenditures	0	0	0
Equipment	14	12	26
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	520	318	1,066
Total Expenditures by Object	<u>\$1,420</u>	<u>\$1,162</u>	<u>\$2,181</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY	\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
10 Administration			
1 Administration	302	256	293
Total Administration	<u>302</u>	<u>256</u>	<u>293</u>
20 Research			
1 Research	303	247	687
Total Research	<u>303</u>	<u>247</u>	<u>687</u>
30 Promotion and Education			
1 Promotion And Education	100	61	182
Total Promotion and Education	<u>100</u>	<u>61</u>	<u>182</u>
40 Market Development			
1 Market Development	709	592	1,004
Total Market Development	<u>709</u>	<u>592</u>	<u>1,004</u>
88 Data Processing			
1 Data Processing	6	7	14
Total Data Processing	<u>6</u>	<u>7</u>	<u>14</u>
Total Expenditures by Activity	<u>\$1,420</u>	<u>\$1,163</u>	<u>\$2,180</u>

COMMERCE, DEPARTMENT OF (160)

MISSION

The Oklahoma Department of Commerce's mission is to increase the quality and quantity of jobs in Oklahoma.

DUTIES/RESPONSIBILITIES

We accomplish our mission by:

- supporting communities in the development of globally competitive rural and regional economies;
- encouraging the growth and expansion of existing Oklahoma companies; and
- attracting new business and industry.

STATUTORY REFERENCES

Program Name	Statutory Reference
Community Development	Title 74, Sections 5001 et seq. of the Oklahoma Statutes
Global Business	Title 74, Sections 5001 et seq. of the Oklahoma Statutes
Operational Support - Operations Group (Stimulus)	American Recovery and Reinvestment Act

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Goal: Significantly increase jobs and investment in Oklahoma

- * Resources will be provided to local governments to improve infrastructure to benefit business growth and will create new jobs each year. By June 30, 2016, a total of 1,500 new jobs will be created.

Jobs from Infrastructure Dev	109	197	300	300
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- * Facilitate training and/or re-training of Oklahoma's workforce to meet business needs in the emerging global economy. By June 30, 2016, we will have awarded a total of 70,000 Career Ready Certificates (CRC). This will poise communities to participate in our Work Ready program whereby the community will have evidence of both qualified workers and sites ready for development.

Workforce Training	11,635	12,971	25,000	13,000
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- * Develop strategies to significantly increase jobs and investment in Oklahoma. By June 30, 2016, ODOC will have assisted in business expansions or new locations that result in the creation of \$3.5 billion of new investment.

New Investment	\$288,838,295	\$274,109,000	\$400,000,000	\$500,000,000
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- * Market the competitive advantages of Oklahoma as a location for existing and future businesses in a global economy. By June 30, 2016, ODOC will have assisted in business expansions or new locations that result in the creation of 65,000 new jobs.

New Jobs	5,136	5,818	9,000	11,000
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Goal: Work with Oklahoma communities in a partnership to assist the communities grow and develop

- * Main Street provides community volunteer training and technical assistance for downtown revitalization. By June 30, 2016, \$132,500,500 total reinvestment of the private sector based on helping the communities develop a sense of accomplishment while the local businesses develop and thrive economically which in turn helps the entire community

State Main Street	\$30,000,000	\$20,907,000	\$22,000,000	\$25,000,000
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STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Work with Oklahoma communities in a partnership to assist the communities grow and develop

- * By June 30, 2016, 875 community improvement projects, which includes capital improvement plans, will be completed by counties, communities, and nonprofit service organizations.

Community Improvement Projec	170	195	240	175
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Community Development

Goal: Work with Oklahoma communities in a partnership to assist the communities grow and develop

- * By June 30, 2016, 875 community improvement projects, which includes capital improvement plans, will be completed by counties, communities, and nonprofit service organizations.

Community Improvement Projec	170	195	240	175
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- * Main Street provides community volunteer training and technical assistance for downtown revitalization. By June 30, 2016, \$132,500,000 total reinvestment of the private sector based on helping the communities develop a sense of accomplishment while the local businesses develop and thrive economically which in turn helps the entire community.

State Main Street	\$30,000,000	\$20,907,000	\$22,000,000	\$25,000,000
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Goal: Significantly increase jobs and investment in Oklahoma

- * Market the competitive advantages of Oklahoma as a location for existing and future businesses in a global economy. By June 30, 2016, ODOC will have assisted in business expansions or new locations that result in the creation of 65,000 new jobs.

New Jobs	5,136	5,818	9,000	11,000
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- * Develop an industry sector strategy to significantly increase jobs and investment in Oklahoma. By June 30, 2016, ODOC will have assisted in business expansions or new locations that result in the creation of \$3.5 billion of new investment.

New Investment	\$288,838,295	\$274,109,000	\$400,000,000	\$500,000,000
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- * Facilitate training and/or re-training of Oklahoma's workforce to meet business needs in the emerging global economy. By June 30, 2016, we will have awarded a total of 70,000 Career Readiness Certificates (CRC). This will poise communities to participate in our Work Ready program whereby the community will have evidence of both qualified workers and sites ready for development.

Workforce Training	11,635	12,971	25,000	13,000
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- * Resources will be provided to local governments to improve infrastructure to benefit business growth and will create new jobs each year. By June 30, 2016, a total of 1,500 new jobs will be created.

Jobs from Infrastructure Dev	109	197	300	300
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Program: Global Business

Goal: Significantly increase jobs and investment in Oklahoma

Goal: Lead the development and implementation of a rural economic plan for the state

Program: Operational Support - Operations Group (Stimulus)

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
19X	General Revenue	13,719	21,489	26,907
205	Commerce Department Revolving	18,162	2,705	3,212
206	Native Am Cul & Edu Auth Fund	4,098	6,191	687
210	Energy Conservation Assist Fund	0	7	1
216	Community Develop Center Program	107	92	199
235	Minority Bus Develop Program Fund	182	154	0
240	Capital Improve Program Revolving	527	482	132
280	State Data Center Revolving	2	11	0
285	STRAT. MILITARY PLANNING COM	394	1,566	261
286	OK Opportunity Fund	4,000	0	103
287	OK Bioenergy Center Rev Fund	3,518	1,758	3,869
340	CMIA Programs Disburing Fund	52,284	50,428	52,446
400	HHS - Community Services Blk Grant	377	222	885
405	DHS - LIHEAP	21	30	59
412	U.S. Dept. Of Energy	287	449	498
440	Dept. of Ed Community Action	542	302	210
443	Interagency Reimbursement Fund	919	1,048	1,197
450	HUD - Community Devel Block Grant	508	515	1,044
455	Hud-Community Dev. Blk Grant	964	187	205
460	Military Base Pro & Exp Bond F	0	360	519
470	Dol Workforce Inv. Act	1,834	2,118	1,785
490	American Recov. & Reinv. Act	844	42,394	86,896
57X	Special Cash Fund	0	16,031	0
Total Expenditures by Fund		<u>\$103,289</u>	<u>\$148,539</u>	<u>\$181,115</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
	Salaries and Benefits	12,188	12,343	11,838
	Professional Services	1,716	2,265	7,874
	Travel	689	534	1,528
	Lease-Purchase Expenditures	1	10	0
	Equipment	289	409	203
	Payments To Local Govt Subdivisions	78,401	117,352	142,765
	Other Operating Expenses	10,008	15,625	16,904
Total Expenditures by Object		<u>\$103,292</u>	<u>\$148,538</u>	<u>\$181,112</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
43	Community Development			
4	Comm Dev -Community Develop	0	2,920	10,700
20	Comm Devl - Main Street	0	506	515
32	Comm Devl - Workforce	0	1,714	3,825
337	Main Street Program	596	60	0
383	Administration	38,058	2,190	0
394	Workforce Administration	1,794	471	0
	Total Community Development	40,448	7,861	15,040
45	Global Business			
34	Business Services- Bus Group	0	2,975	2,965
382	Business Location	1,527	59	0
387	Business Solutions	1,371	35	0
391	Export Solutions	759	47	0
	Total Global Business	3,657	3,116	2,965
67	Contracts for Comm & Econ Dev			
1	Contract Com&Econ Dev-Executiv	0	21,069	47,524
4	Contract Com&Econ Dev-Comm Dev	0	65,366	82,779
6	Contract Com&Econ Dev-Admn Srv	0	650	0
20	Contract Com&Econ Dev-Main St	0	25	0
23	Contract Com&Econ Dev-NACEA	0	6,549	7,856
32	Contract Com&Econ Dev-Wrkforce	0	29,673	16,446
34	Contract Com&Econ Dev-Bus Grp	0	1,731	390
36	Contract Com&Econ Dev-Gen Cnsl	0	30	0
37	Contract Com&Econ Dev-Operatio	0	15	0
371	Community Development	24,051	2,177	0
378	Native Amer Cultural Center	4,857	40	0
388	Business Solutions	2,746	528	0
395	Workforce Contracts	19,756	2,203	0
	Total Contracts for Comm & Econ Dev	51,410	130,056	154,995
70	Operational Support			
1	Op Support- Executive	0	1,219	2,164
6	Op Support- Admin. Services	0	1,143	1,881
26	Op Support- Research	0	639	638
28	Operational Support- Marketing	0	1,059	1,098
31	Op Support- Info Technology	0	872	922
33	Op Support- Human Resources	0	412	406
35	Op Support- Central Services	0	520	587
36	Op Support- General Counsel	0	378	413
37	Op Support- Operations	0	509	0
304	Data Processing	1,262	51	0
338	Executive	1,816	358	0
368	Administrative Services	2,009	64	0
386	Human Resources	459	28	0
389	Marketing	1,357	165	0
390	Research and Policy	873	87	0

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
Total Operational Support	7,776	7,504	8,109
Total Expenditures by Activity	\$103,291	\$148,537	\$181,109

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
43 Community Development	44.5	43.8	42.0
45 Global Business	32.0	24.4	25.0
67 Contracts for Comm & Econ Dev	11.0	11.0	11.0
70 Operational Support	68.5	62.8	64.0
Total FTE	156.0	142.0	142.0
Number of Vehicles	20	25	25

CAPITAL OUTLAY and SPECIAL PROJECTS			\$000's
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated
90 Oil Settlement Fund Projects			
900 Cap Outlay-Comm Energy&Edu Mgt	0	0	1,000
901 Cap. Outlay- Educa'l Loan Prog	0	40	68
94002 Commun Energy & Educ Mgt Prog	250	0	0
94004 State Agency Loan Program	269	422	0
97031 Alternative Fuels Revol Loan	85	0	0
92 Military Strategic Plng Comm			
1 Military Strategic Plng Comm	1	0	0
93 Rural Economic Action Plan			
1 Rural Economic Action Plan	13,223	2,277	0
905 Cap. Outlay-Rural Econ Action	0	11,114	12,401
Total Capital Outlay by Project	\$13,828	\$13,853	\$13,469

HISTORICAL SOCIETY (350)

MISSION

The mission of the Oklahoma Historical Society is to preserve and perpetuate the history of Oklahoma and its people by collecting, interpreting and disseminating knowledge of Oklahoma and the Southwest.

Each of the museums and sites operated by the OHS across the state has an individual mission statement pertinent to history it interprets.

The Board of Directors of the OHS has prepared a mission statement for the new history center in the Capitol Complex that impacts our strategic planning and budgeting.

The statement:

The Oklahoma History Center exists as the statewide center for learning, preserving, and promoting the history and heritage of the diverse people of Oklahoma. Through its dynamic collections, exhibitions, education, and outreach programs as well as research facilities, the Oklahoma History Center serves people of all generations by promoting appreciation and understanding of Oklahoma's rich history and the impact of that history on the present.

THE BOARD

The Oklahoma Historical Society is unique in that membership in the Society is open to the public. The Society is both a private membership organization and a state agency. The Society is governed by a twenty-five member Board of Directors. Oklahoma statutes provide that the Board of Directors will consist of not more than twenty-five members, with the Governor as an ex officio member. The number of members may be decreased by an act of the Legislature or by the Society amending its constitution. Each position is filled according to the constitution and by-laws of the Society, which provide that twelve members are appointed by the Governor (Senate confirmation not required) and thirteen members are elected by the members of the Society. Six of the members elected by the membership of the Society, and six of the members appointed by the Governor must be from the six Congressional Districts. The remainder of the members are at-large members. The term of office is three years.

DUTIES/RESPONSIBILITIES

The duties of the Oklahoma Historical Society include the following. 1. To collect, preserve and catalog materials in excess of 500,000 artifacts, 9 million manuscript pages, 5 million historic photos, 86,500 library volumes, 18,000 microforms, 9,000 taped interviews and 35,000 rolls of newspapers on microfilm and 12,000,000 lineal feet of motion picture films and 15,000 maps relating to the history of Oklahoma, the Indian and the West. These collections continue to grow.

2. To gather and utilize collected materials to create interpretive exhibits illustrative of the history of Oklahoma, the United States and the world in the State Museum of History and at 35 other assigned museums and sites, to be open to the public without fee.

3. To prepare and publish materials relative to the history of the State of Oklahoma. Such materials may be offered for sale at a reasonable cost to the public at museums and historic sites operated by the Society.

4. To prepare and publish matters relating to the Society's transactions and research as may be useful to its membership and the public. Such publications shall include an annual report of its collections.

5. To develop an oral history program for the State of Oklahoma designed to promote the preservation and utilization of oral history within the state concerning significant elements of the social, political, economic, cultural, educational, ethnic and military history of the geographical area now known as the State of Oklahoma; to transcribe, index, catalog and maintain the results of these interviews and to make them readily available to the public and historical researchers.

6. To develop an educational program for the purpose of making available to schools and the public, information pertaining to the history of Oklahoma, through the utilization of collections and properties held by the Society. There are

more than 5,200 special events, living history and educational programs produced statewide each year. Reasonable charges may be made for the dissemination of any such facts or information.

7. To contract and make cooperative agreements with municipalities, corporations, associations and individuals to protect and preserve, maintain or operate any historic or archaeological building, site, object or property, regardless of whether the item is owned by the State of Oklahoma. The agency is responsible for maintaining the National Register of Historic Places and related activities as it applies to Oklahoma. The agency also participates in the Federal 106 review process.

STATUTORY REFERENCES

Program Name	Statutory Reference
Administration No. 01	Title 53
Museums and Sites No. 10	Title 53
Preservation No. 20	Title 53
Research No. 40	Title 53

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated
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Goal: Improve care for historic properties and collections.

- * Count new artifacts, documents, manuscripts and photographs. Measure is total amount of new artifacts, documents, photos and manuscripts collected.

Increase new collections	233,500	324,000	400,100	405,000
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- * Increase the number of research patrons physically served by at least 2 percent a year, with 5 percent growth from outside Oklahoma City.

Research patrons.	78,000	83,715	84,500	90,000
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- * Work with volunteers and the Utah Geneological Society, complete microfilm conversion of county records in at least 2 counties a year.

Conversion of county records	6 counties	3 counties	2 counties	4 counties
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- * Complete cataloging and computerization of all OHS, Museum of History collections by 20012. Measure is number of new artifacts cataloged

Artifact collections.	6,429	7,825	8,000	8,000
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Goal: Improve interpretation, appreciation, and preservation of Oklahoma history.

- * Increase membership base by at least 5 percent a year. Measure is total membership each year.

Membership growth.	8,685	9,786	10,500	11,025
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- * Develop and conduct regular youth history programs in the History Center for at least 4,000 students. Measure is number of students attending.

Youth history program	4,515	4,500	4,600	4,700
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- * Open at least 30 new temporary or traveling exhibits yearly.

Traveling exhibits.	32 exhibits	34 exhibits	35 exhibits	35 exhibits
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- * Sponsor at least 75 living history or demonstration programs each year. Success measured by number of people attending.

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Goal: Improve interpretation, appreciation, and preservation of Oklahoma history.				
Living history programs.	67,540	68,680	70,000	72,000
* Create an agency wide volunteer office responsible for the creation and administration of an organized volunteer program with reward and recognition systems and formal training functions so that the number of volunteer hours will increase by 3-5% each year.				
Volunteer office.	45,210	50,388	54,000	55,000
* Increase by at least 5 percent a year student visitation at the State Museum. Measure is number of student visitors.				
Student visitation.	24,468	28,438	30,000	31,500
Goal: Secure funds and support for OHS programs.				
* Develop fund raising campaigns for OHS programs, museums and sites. Measure is revenue received from all campaigns.				
Fund raising development.	918,456	444,480	675,000	750,000
* Increase donations at sites and museums where no admission fee is charged by at least 2 percent a year until revenue totals at least 25% of the local operating budget.				
Sites and Museums donations.	52,279	61,835	63,000	65,000
* Start at least three major research, exhibit, archaeological, educational or capital improvement projects each year funded by at least 75 percent from non-appropriated sources.				
Project funding.	3	4	4	4
* Increase revenue from marketing OHS products and services by at least 2 percent a year.				
Revenue from marketing.	1,213,314	1,310,058	1,400,00	1,428,000
Goal: Improve management of resources at the OHS.				
* Increase time and resources for staff training, including external education and internal programs so every employee completes at least 16 hours of training each year. Measure is percentage of employees being trained.				
Staff training.	84%	86%	89%	90%
Goal: Encourage the teaching of Oklahoma history in the school system, Kindergarden - College.				
* Increase by 2 percent a year student participation in History Day competition.				
History day competition.	4,515	4,685	4,800	5,000

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Administration No. 01

Goal: Assist the Board of Directors in establishing and administering the policies that govern the OHS.

* Executive Director shall serve as CEO and perform such duties as provided by the statutes of Oklahoma and assigned by the Board of Directors. The Board of Directors shall review duties and performance.

Board review.	reviewed	reviewed	reviewed	reviewed
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Goal: Maintain and oversee day-to-day operations of the Oklahoma Historical Society.

HISTORICAL SOCIETY

COMMERCE AND TOURISM

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Administration No. 01				
Program: Museums and Sites No. 10				
Goal: Maintain, repair and preserve museum facilities and sites.				
Goal: Increase volunteer hours.				
* Recruit and train new volunteers. Measure is count of new volunteers.				
Recruit new volunteers.	187	190	190	190
* Increase current hours given by volunteers.				
Increase volunteer hours.	49,370	53,845	55,000	55,000
Goal: Increase educational programs presented.				
* Develop new educational programs.				
Develop new programs.	1,422	1,385	1,450	1,450
* Count number of students participating in programs.				
Increase student contact.	181,456	187,543	160,000	160,000
Program: Preservation No. 20				
Goal: To conduct federal project reviews as provided under section 106 of the National Historic Preservation Act.				
* Review and comment on all federal undertakings. Measure is number of reviews completed.				
Federal reviews.	3,394	4,079	3,750	3,750
Goal: To conduct the National Register of Historic Places program for the state of Oklahoma.				
* Submit National Register of Historic Places nominations to the Keeper of the Register, U.S. Dept. of the Interior. Success is measured by the number of contributing resources included in submitted nominations.				
National Register nomination	247	97	450	450
Goal: To foster public awareness of Oklahoma's significant historic and prehistoric resources and provide technical assistance for their preservation.				
* Provide at least twenty public presentations on Oklahoma's historic preservation programs.				
Public presentations.	24	36	30	30
Goal: To foster development of local historic preservation programs.				
* A Certified Local Government is a city government that enforces a local historic preservation zoning ordinance in accordance with the State Historic Preservation Office's guidelines and enters into a Certification Agreement with the SHPO that receives U.S. Department of the Interior concurrence. Measure is number of local governments assisted through the Certified Local Governments (CLG) program.				
Assist local governments.	9	11	10	10
Goal: Identify and record archeological and architectural historic resources in Oklahoma.				
Program: Research No. 40				
Goal: Improve care for collection.				
* Process at least 5,000 images per year of Myers-Hillerman collection.				
Process Hillerman collection	24,212	21,318	N/A grant ended	

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Research No. 40				
Goal: Improve care for collection.				
* Transfer film collections to video. Measure is number of linear feet of films transferred.				
Transfer film.	39,026	12,000	13,500	15,000
* Develop new bibliographies.				
New bibliographies.	5	4	5	5
Goal: Improve interpretation of and appreciation for Oklahoma history.				
* Migrate older collections to newer mediums plus prepare needed transcription of tapes.				
Oral history.	70	10	40	65
Goal: Secure funds and support for OHS programs.				
* Maintain number of volunteer hours.				
Maintain volunteer hours.	40,683	45,000	48,000	50,000
Goal: Increase membership by 5%.				
* Increase membership base by at least 5 percent a year. Measure is total membership each year.				
Membership growth.	8,685	9,786	10,500	11,025
Goal: Publish outreach materials which assist in meeting the mission.				
* Publish scholarly articles and books. Measure is number of publications published and circulated.				
Publications.	16	16	16	16

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
19X General Revenue	14,902	13,390	12,913	
200 Historical Society Revolving Fund	1,974	2,338	2,836	
225 OK Hist Soc Cap Imprv&Op Revl	0	0	1,200	
240 1921 Tulsa Race Riot Cm Revolving	16	444	264	
250 Commissioning of Art in Public	1,752	1,351	5,000	
260 Art in Public Places Administr	83	97	246	
400 Federal - Restore Historical Site	419	449	490	
57X Special Cash Fund	0	293	0	
Total Expenditures by Fund	\$19,146	\$18,362	\$22,949	

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	8,703	9,046	8,175	
Professional Services	2,126	1,934	5,470	
Travel	176	99	145	
Lease-Purchase Expenditures	0	0	0	
Equipment	3,952	4,333	5,611	
Payments To Local Govt Subdivisions	87	12	0	
Other Operating Expenses	4,101	2,939	3,549	
Total Expenditures by Object	\$19,145	\$18,363	\$22,950	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1 Administration				
1 Administration	1,499	1,562	1,400	
Total Administration	1,499	1,562	1,400	
10 Museums and Sites				
1 General Operations	14,818	13,689	18,518	
3 AIPP Maint. and Repair	0	0	125	
4 AIPP Admin. and Educ.	83	97	121	
Total Museums and Sites	14,901	13,786	18,764	
20 Preservation				
1 Historic Preservation	649	700	757	
Total Preservation	649	700	757	
40 Research				
1 Research	2,098	2,316	2,028	
Total Research	2,098	2,316	2,028	
Total Expenditures by Activity	\$19,147	\$18,364	\$22,949	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1 Administration	19.5	17.5	16.5	
10 Museums and Sites	103.0	101.0	98.0	
20 Preservation	10.0	10.0	10.0	
40 Research	39.0	37.0	33.5	
Total FTE	171.5	165.5	158.0	
Number of Vehicles	18	18	18	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
90	Survey and Planning Grants			
1	Survey And Planning Grants	293	341	430
92	ISTEA Transportation Grant			
1	ISTEA Transportation Grant	115	97	1,200
Total Capital Outlay by Project		<u><u>\$408</u></u>	<u><u>\$438</u></u>	<u><u>\$1,630</u></u>

INDUSTRIAL FINANCE AUTHORITY (370)

MISSION

The Oklahoma Industrial Authority and Oklahoma Development Finance Authority were consolidated in 1992 to form the Oklahoma Finance Authorities. The Oklahoma Finance Authorities' mission is to assist in the creation and retention of employment opportunities, businesses and institutions on a basis that will not jeopardize the credit rating of the State and at a minimum cost and minimal risk to exposure to the taxpayers of the State. In addition, the Authority Helps diversify the State's economy by issuing bonds and serving as a capital source for Oklahoma businesses.

THE AUTHORITY

The Board of Directors is composed of seven members appointed by the Governor, with the advice and consent of the Senate, for overlapping six year terms. One member must be the Director of the Oklahoma Department of Commerce representing the state at large and one each from the present six Congressional Districts. At least five of the appointed members must have fifteen years experience in banking, mortgage loans, or financial management. The remaining member must have demonstrated outstanding ability in business or industry. The State Treasurer is an ex officio, nonvoting member of the Board of Directors.

DUTIES/RESPONSIBILITES

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	Article X, Oklahoma Constitution, Section 34, Title 74, Section 851-878, of the Oklahoma Statutes.

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
200	Industrial Development Loan Fund	973	997	4,495
805	Industrial Fin Auth Bond Redem Fund	4,065	3,275	576
810	Industrial Finance Auth Interest Fund	1,642	1,473	1,932
Total Expenditures by Fund		<u>\$6,680</u>	<u>\$5,745</u>	<u>\$7,003</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
	Salaries and Benefits	728	689	737
	Professional Services	69	70	82
	Travel	0	0	2
	Lease-Purchase Expenditures	0	0	0
	Equipment	5,707	4,748	2,560
	Payments To Local Govt Subdivisions	0	0	0
	Other Operating Expenses	176	239	3,622
Total Expenditures by Object		<u>\$6,680</u>	<u>\$5,746</u>	<u>\$7,003</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
10	General Operations			
1	General Operations	6,680	5,745	7,003
	Total General Operations	6,680	5,745	7,003
Total Expenditures by Activity		<u>\$6,680</u>	<u>\$5,745</u>	<u>\$7,003</u>

OUTSTANDING DEBT		\$000's		
		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
	Lease-purchase obligations	0	0	0
	Revenue bond issues	0	0	0
	Other debt	53,595	50,250	47,450
Total Outstanding Debt		<u>\$53,595</u>	<u>\$50,250</u>	<u>\$47,450</u>

J.M. DAVIS MEMORIAL COMMISSION (204)

MISSION

The Mission of the J.M. Davis Memorial Commission is to house, preserve, display and update the unique collection of firearms and historical artifacts collected by Mr. J.M. Davis, and to provide an historical and educational experience for the viewing public.

THE COMMISSION

The J.M. Davis Memorial Commission is composed of five members, appointed by the Governor with the advice and consent of the Senate. The term of office is four years.

DUTIES/RESPONSIBILITIES

STATUTORY REFERENCES

Program Name	Statutory Reference
J. M. DAVIS ARMS & HISTORICAL MUSEUM	Title 53, Sec. 201

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Goal: To increase attendance by at least 3 percent or more annually through FY-2012

- * As much as possible, compare attendance records on a year to year basis to calculate percent of increase.

Increase attendance 21,676

Goal: To increase revenue for the museum

- * Increase inventory of the museum gift shop to offer more shopping items (jewelry, books, clothing) along with our existing Oklahoma and Route 66 products. With emphasis on increasing our tourism base, donations for the museum will also increase.

Increase revenue 53,289

Goal: To increase local, regional, and national attention to the museum through promotions, fundraising, advertising, and tourism activities.

- * Group tour promotions, media & magazine articles, advertising (billboards, media, magazines, etc.) conventions, co-op with Claremore Visitors Bureau and other tourism groups and increased community involvement with the museum and the J.M. Davis Foundation.

Promote/advertise museum \$18,000

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Program: J. M. DAVIS ARMS & HISTORICAL MUSEUM

Goal: To increase attendance by at least three percent annually.

Program: J. M. DAVIS ARMS & HISTORICAL MUSEUM

Goal: To increase attendance by at least three percent annually.

- * As much as possible, compare attendance records on a year to year basis. Visitor sign-in sheets are maintained at the front desk as the visitors enter the museum. Attendance sheets are calculated monthly and annually. During special events and festivals, attendance is approximated.

Increase of attendance	23,880	30000	31000	32000
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Goal: To increase revenue from gift shop and donations

- * To increase sales in the gift shop and increase museum donations.

increase revenue	55,789	57500	58000	59000
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Goal: To increase public outreach programs effectiveness

- * Since January 2007, data has been collected on the number of research requests the agency fulfills.

Research requests	233	250	275	300
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- * Number of presentations to school-aged groups both in the museum and outside the museum.

Presentations: School aged	19	26	32	38
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- * Number of presentations to adult civic groups both in the museum and outside the museum.

Presentations: Civic Groups	24	30	35	40
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Goal: To increase the use of volunteers to augment public outreach programs and staff operations

- * Design, implement and document volunteer programs and activities to build a baseline for performance measures for future budget request. The museum must "grow" its next generation of museum volunteers.

Volunteer support helps us reach our goals during periods of below average staffing levels and reduced budgets. The majority of the volunteer hours were devoted to artifact inventory, cataloging, and research organization.

To increase volunteers	260 hours	950 hours	1200 hours	1500 hours
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009 Actual	FY-2010 Actual	FY-2011 Budgeted
19X	General Revenue	505	348	307
200	J. M. Davis Revolving Fund	37	98	91
Total Expenditures by Fund		\$542	\$446	\$398

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	281	334	301	
Professional Services	6	10	12	
Travel	3	1	0	
Lease-Purchase Expenditures	0	0	0	
Equipment	147	14	1	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	105	86	85	
Total Expenditures by Object	\$542	\$445	\$399	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10 Museum Operations				
1 Museum Operations	543	446	397	
Total Museum Operations	543	446	397	
Total Expenditures by Activity	\$543	\$446	\$397	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10 Museum Operations	6.4	6.3	5.0	
Total FTE	6.4	6.3	5.0	
Number of Vehicles	1	0	0	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
90 Capital Improvements				
1 Capital Improvements	40	0	0	
Total Capital Outlay by Project	\$40	\$0	\$0	

LABOR DEPARTMENT (405)

MISSION

The statutory mission of the Commissioner of Labor is to foster, promote, and develop the welfare of the wage earners of the State of Oklahoma; to improve their working conditions; to advance their opportunities for profitable employment; and, to enforce and administer the labor laws of the State of Oklahoma. In addition to our statutory mission, ODOL provides the citizens and visitors to the state with regulatory, licensing, enforcement and educational services necessary to live, work and recreate in an environment free from workplace hazards and unfair treatment. Providing these tools is directly linked to our commitment to excellence and quality.

DUTIES/RESPONSIBILITIES

STATUTORY REFERENCES

Program Name	Statutory Reference
Occupational Safety and Health	This program is in accordance with Title 29 of the U.S. Code of Federal Regulations, Part 1908, Section (6) of Public Law 91-596, also known as the Williams-Steiger Occupational Safety and Health Act of 1970 (29 U.S.C.A & 655), and the Consultation and Cooperative Agreements pursuant to Section 21(d) of 29 U.S.C. & 656 Oklahoma Statute 40 & 414 et. seq., United States Public Law 29 U.S.C.A. & 651 et. Seq. and the statutory authority for the OSHA Consultation Division.
Asbestos Abatement	The Asbestos Division, authorized by the Oklahoma Asbestos Control Act. Title 40 § 450 - 456 Oklahoma Environmental Quality Act, Title 27A, 1992 Asbestos in Schools Rule, U.S. EPA Title 40, Chapter 1, Part 763
Safety Standards	The Amusement Rides unit is authorized by, 40 O.S. 406-471 and OSS 380:55 The Boilers and Pressure Vessels unit is authorized by 40 O.S. 141.1 - 141.20 and OAC 380. Also administered through this unit is welding licensing and certification which is authorized by the Welding Law 59 O.S. 1624-1641 and OAC 380:20 The Elevator program is authorized by 49 O.S. 3020 et seq and OAC 380:70
Employment Standards Division	Wage and Hour is authorized by Title 40 O.S. Sec. 165.1-199, Administrative Rules 380:30-(1-14)-(3-8)-(5-1-12), and the Federal Labor Standards Act (29 U.S.C. 201, et seq.). Workers Compensation Enforcement, authorized by the Workers Comp Act 85 O.S. 61 et seq. and Title 85 O.S. 63.1-63.3 The Child Labor program authorized by Title 40 O.S. 71 et seq. and Administrative Rules 380 (15-1-1)-(16-5-4)

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Administration Services

Goal: Claim efficiency

* Maintain Claim Efficiency with an error rate of seven percent or less.

Claim efficiency	1%	1%	1%	1%
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Goal: Process and deposit receipts received within 24 hours - 100% of the time

* Process and deposit receipts received within 24 hours \geq 100% of the time

Deposit receipts w/in 24hrs	100%	100%	100%	100%
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Program: Asbestos Abatement

Goal: Respond within 24 hours for needed inspections at least 95% of the time

* Respond within 24 hours for needed inspections at least 95% of the time

Response Rate	100%	100%	100%	100%
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Goal: AHERA Inspections - Record Keeping

* Inspectors are required to track total number of inspections in accordance with EPA requirements. A minimum of 50 are required annually.

AHERA - Record Keeping	120	133	144	144
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Goal: Oklahoma Accreditation Program - ODOL's goal is to perform at least the minimum established by the EPA.

* Perform at least the minimum OAP inspections as established by EPA.

Okla Accreditation Program	136	178	178	178
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Goal: Project Design Action - ODOL's goal is to review the plans submitted by contractor within five days at least 95% of the time.

* The Project Design Action goal is to review plans submitted by contractors within five days at least 95% of time. For FY 2008 & 09 100% were evaluated within 5 days and expectations for future FY is at least 95% as described in goal.

Project Design Action	100%	100%	100%	100%
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Program: Employment Standards Division

Goal: Increase activity of Child Labor Unit (investigations, compliance checks, permit reviews, and educational outreach program) by 5%. Although random compliance checks and investigations have decreased, educational outreach has increased. Factors contributing to fewer investigations include ODOL's focus on cooperative consultation with employers rather than punitive enforcement. Educational Outreach, presentations in classrooms across the state, is resulting in a more knowledgeable youth workforce. The drop in activity from 09 to 10 is a result of confusion within the Dept. of Education who was responsible for the new on-line system & consequential failure of information from the Dept. of Education to Superintendents regarding Title 40. ODOL is in the process of meeting with principals and superintendents to educate them on the definitions outlined in Title 40.

* Increase Child Labor activity (investigations, compliance checks, permit reviews and educational outreach) by 5% annually.

Child Labor annual activity	2293 (+14.3%)	1306	1371	1440
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Goal: Reduce the Average Days to Process Wage & Hour complaints.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY- 2011</u> <u>Budgeted</u>	<u>FY-2012</u> <u>Estimated</u>
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Program: Employment Standards Division

Goal: Reduce the Average Days to Process Wage & Hour complaints.

- * Reduce Average days to process Wage & Hour complaints by 5% per year
Accurately resolve claim cases within established time frames to minimize delay to claimants in recovering lost wages for whom orders of determination are issued.
Reduce the number of days to resolution (*receipt of claim to agency determination). Despite the complexity of some wage claims and the number of claims against a single employer, this unit is measured by its ability to reach an annual days-to-resolution rate of 28 to 33 days.

Reduce Process Days 5% per yr	35 (no change)	33	33	33
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Goal: Increase W.C. Compliance checks

- * Compliance checks listed only reflect FY opened cases and omit cases that are from previous Fiscal years that have been stagnant for some time and have been reopened. Therefore although this goal has not been met, actual production in comp has improved.

Inc.W.C.Compl. cks 20% per.y	1029 (-27%)	1021	1225	1470
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Program: Occupational Safety and Health

Goal: Consultation Visits

- * Each full-performance consultant is expected to perform 65 initial visits, 6 training and assistance or follow-up visits. Industrial Hygienists are expected to perform 65 initial visits and 6 training and assistance or follow-up visits. Assistant Director is expected to complete 24 initial visits.
If you take our annual operating budget and divide it by the number of consultation visits you come up with a unit cost.

Consultation Visits	947	935	750	750
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Goal: Small Employers Served

- * No less than 90% of consultations to small high hazard employers each year

Small Employers Served	98%	99%	>/ 90%	>/ 90%
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Goal: To continuously strengthen the skills of our safety consultants and industrial hygienists

- * At least one technical and / or organizational course is to be provided to each member of the consultation staff each year.

Skill Development	1 course per y			
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Program: Public Occupational Safety and Health (PEOSH)

Goal: No less than 100 inspections per full performance inspector per year

- * Compare the number of inspection visits from year to year to determine the amount of change. Each full-performance inspector is expected to perform the minimum number of inspections listed below:
***Inspector= 100 inspections per year.

Note: The projected number of inspection provided in the table below, are based on existing full-performance staff and estimates of what performance may be expected if we are able to fill current vacancy.

Inspections	481	578	350	350
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Goal: Skill Development

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Public Occupational Safety and Health (PEOSH)

Goal: Skill Development

- * At least one technical and / or organizational course is to be provided to each member of the consultation staff each year. If you take the the annual operating budget and divide it by the number of inspections you end up with a unit cost. Every employer receives a customer satisfaction survey. The survey provides an opportunity for the employer to provide feedback regarding their experience working with the programs. The survey is a tool to measure customer satisfaction, quality of services, and recommendations to improve services. The majority of surveys are positive.

Note: Supervisor receives an additional 12 hours of mandatory supervisor training each year

Skill Development	1 course per yr			
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Program: Safety Standards

Goal: Maintain non-compliant state boiler inspections at a minimum rate of less than 2%

- * Maintain non-compliant state boiler inspections at a minimum of less than 2%. The number of overdue inspections is reflective of the amount that was non-compliant as of the fiscal year end.

Maintain overdue B/I at <2%	123	100	105	100
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Goal: Increase the number of divisional inspections by 2%

- * Compare the number of boiler, amusement ride and elevator inspections performed annually.

Increase # of Division Insp	22,803(+.4%)	22938	23396	23863
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Goal: Technical and Organizational training of at least one course per amusement ride inspector per year.

- * Each amusement ride inspector must have at least one organizational and/or technical training course per year and each elevator inspector must receive 8 hours of continuing education per year.

Skill Development	100%	100%	100%	100%
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Goal: Reduce the number of non-comp Boilers

- * Reduce by 10% non-compliant insured boilers from year to year. NOTE: The insurance industry is the controlling factor in non-compliant boilers in this area. We encourage prompt inspections by the insurance industry with reminders when a boiler they insure is overdue. However, current trend is for insurers to inspect fewer of the boilers they insure.

Redue overdue insp 10%/year	115 (-47%)	100	105	100
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Program: Statistical Research

Goal: BLS/OSH Survey: The survey rate represents the amount of survey respondents without errors and must not be less than 90% by established due dates.

- * To collect the most data for publication purposes. To meet standards the survey rate representing the amount of survey respondents without errors must not be less than 90%, achieved by established due dates.

Survey Rate - OSH Survey	92.2%	90.5%	90%	90%
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Goal: Public Sector Survey (PSEC): - The minimum response rate 93%, which represents the number of survey respondents, must be met by established due dates.

- * To collect the most data for publication purposes. To meet standards the survey rate representing the amount of survey respondents without errors must not be less than 95% achieved by established due dates. During FY 2009, a response rate of 99.68% was achieved, exceeding program goals. In the public sector program, this is the third year we have collected case characteristics and demographic data on workplace injuries. Analysis is yet to be presented.

Public Sector Survey	99.68%	99.64%	99%	99%
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Statistical Research

Goal: Census of Fatal Occupational Injuries (CFOI): The clean usable record status must not be less than 95%, met by due dates. For 2010, a 100% was achieved.

- * CFOI Program Goal: Has at least 3 average source documents per case. For FY 2008, 5 average number of source documents per case were attached, exceeding program goals.

Clean Usable Rate	4.45/Case	4.45/Case	4/Case	4/Case
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Goal: Occupational Safety and Health Administration (OSHA) Survey: The response rate must not be less than 95% by established due dates.

- * OSHA Program Goal: To collect the most data for publication purposes. To meet standards the survey rate representing the amount of survey respondents without errors must not be less than 95%, achieved by established due dates.

Response Rate-OSHA Survey	98%	99%	98%	98%
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Goal: MHIL

- * Repealed June 2004, Title 36 O.S. Section 6702

MHIL	N/A	N/A	N/A	N/A
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X General Revenue	1,430	1,154	715
200 Dept. of Labor Revolving Fund	48	13	1,113
205 Worker's Comp Enforcement Fund	395	466	0
210 Asbestos Monitoring Fund	206	477	0
215 Safety Consultation & Reg Fund	1,320	1,295	1,339
216 Elevator Safety Revolving Fund	129	177	0
410 Federal Fund	1,485	1,498	1,902
54X Occupational Health and Safety	2,302	2,392	2,451
Total Expenditures by Fund	\$7,315	\$7,472	\$7,520

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
Salaries and Benefits	6,205	6,258	6,477	
Professional Services	37	35	30	
Travel	100	101	113	
Lease-Purchase Expenditures	0	0	0	
Equipment	80	46	31	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	894	1,030	868	
Total Expenditures by Object	<u>\$7,316</u>	<u>\$7,470</u>	<u>\$7,519</u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
10 Administration				
1 General Operations	916	853	678	
88 Information Technology	1	0	0	
Total Administration	<u>917</u>	<u>853</u>	<u>678</u>	
20 Common Services				
1 Common Services	425	489	493	
Total Common Services	<u>425</u>	<u>489</u>	<u>493</u>	
30 Asbestos Abatement				
1 Asbestos Abatement	765	817	821	
88 Information Technology	0	0	0	
Total Asbestos Abatement	<u>765</u>	<u>817</u>	<u>821</u>	
40 Regulation & Enforcement				
1 Regulatory / Enforcement	0	0	0	
5 Safety Standards Division	1,688	1,679	1,983	
6 Employment Standards Division	1,366	1,482	1,370	
88 Information Technology	2	0	0	
Total Regulation & Enforcement	<u>3,056</u>	<u>3,161</u>	<u>3,353</u>	
41 Statistical Research & Lic.				
1 Licensing	3	0	0	
2 Statistics	305	300	327	
88 Information technology	0	0	0	
Total Statistical Research & Lic.	<u>308</u>	<u>300</u>	<u>327</u>	
60 Occupational Safety and Health				
1 OSHA	1,458	1,478	1,463	
88 Information Technology	1	0	0	
300 Public OSHA	385	371	384	
Total Occupational Safety and Health	<u>1,844</u>	<u>1,849</u>	<u>1,847</u>	
Total Expenditures by Activity	<u>\$7,315</u>	<u>\$7,469</u>	<u>\$7,519</u>	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES

Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
10 Administration	11.4	8.8	6.8
30 Asbestos Abatement	9.0	10.2	10.2
40 Regulation & Enforcement	46.0	46.6	45.6
41 Statistical Research & Lic.	4.6	4.7	4.6
60 Occupational Safety and Health	25.0	24.7	24.8
Total FTE	96.0	95.0	92.0
Number of Vehicles	35	35	35

SCENIC RIVERS COMMISSION (568)

MISSION

To protect, preserve and enhance Oklahoma's designated "scenic river areas."

THE COMMISSION

Twelve member board of which seven members are appointed (3 Governor 2 Senate, 2 House) and 5 members elected (2 at-large, 1 Cherokee, 1 Adair, and 1 Delaware Co).

DUTIES/RESPONSIBILITIES

1. Act in cooperation with all federal, state, tribal and local governments and agencies thereof to implement Oklahoma Scenic Rivers Act (OSRA)
2. Promulgate rules and issue orders to achieve purposes of OSRA
3. Prepare and adopt management plan to guide and control private activities and public programs.
4. Review proposed projects (public, private & other) to determine impacts to natural and aesthetic environment.
5. Accept real and personal property to implement the purposes of OSRA.
6. Enter contracts to implement purposes of OSRA.
7. Identify public and private nuisances which are adverse to purposes of OSRA.
8. Own, control public access areas/points - issue use permits - regulate floating action.
9. Review action by local, municipal or county within OSRC jurisdiction. Take any action necessary to abate adverse impacts.
10. Suspend the effectiveness of any action taken by local and county government when adverse to OSRA.
11. Administrator appoints commissioned peace officers to secure OSRC jurisdiction.

STATUTORY REFERENCES

Program Name	Statutory Reference
Oklahoma Scenic Rivers Commission	O.S. 82 Section 1461

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
19X	Fy 2003 General Revenue Fund	9	45	279
260	Scenic Rivers Comm Revol Fund	343	220	0
261	Scenic Rivers Commision	490	433	450
Total Expenditures by Fund		<u>\$842</u>	<u>\$698</u>	<u>\$729</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
	Salaries and Benefits	524	483	475
	Professional Services	150	57	0
	Travel	5	3	3
	Lease-Purchase Expenditures	0	0	0
	Equipment	45	48	76
	Payments To Local Govt Subdivisions	0	4	71
	Other Operating Expenses	117	102	104
Total Expenditures by Object		<u>\$841</u>	<u>\$697</u>	<u>\$729</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
35	Scenic Rivers Commission			
1107	Scenic Rivers Commission	775	688	500
1108	Poultry Industry Donation	65	10	229
	Total Scenic Rivers Commission	<u>840</u>	<u>698</u>	<u>729</u>
Total Expenditures by Activity		<u>\$840</u>	<u>\$698</u>	<u>\$729</u>

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES

<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
35 Scenic Rivers Commission	13.7	13.7	16.3
Total FTE	13.7	13.7	16.3
Number of Vehicles	13	13	12

TOURISM & RECREATION, DEPT. OF (566)

MISSION

To advance the exceptional quality of life in Oklahoma by preserving, maintaining, and promoting our natural assets and cultural richness.

THE COMMISSION

The commission consists of nine members; the Lt. Governor serves in an ex officio voting capacity, and the additional members are appointed by the Governor with the advice and consent of the Senate. They serve a term of 6 years. No more than one Commission member shall be from any one county. One member shall be appointed from each congressional district (who shall be a resident and qualified elector in the district appointed). The remaining members shall be appointed from the state at large. The Oklahoma Tourism and Recreation Commission is the policy-determining body for the Oklahoma Tourism and Recreation Department. The Commission develops the broad plans and programs for the accomplishment of duties and responsibilities of the agency provided by law. Five members of the Commission shall constitute a quorum and the vote of the majority of members present shall be necessary for any action to be taken by the Commission.

DUTIES/RESPONSIBILITIES

The Department of Tourism and Recreation operates under the general supervision of the Executive Director and in accordance with policies formulated by a nine member Oklahoma Tourism and Recreation Commission. The Department is organized into six divisions; Administration; Parks; Travel Promotion; Oklahoma Today Magazine; Office of the Film and Music Commission; and Discover Oklahoma.

THE ADMINISTRATIVE SERVICES DIVISION - coordinates the fiscal activities of the operating divisions, provides financial information, fiscal control and payroll, in addition to personnel, purchasing, and vendor payment services. It interprets policy and procedures promulgated by the Commission. Its Information Technology section operates the Department's central computer system and network.

THE DIVISION OF STATE PARKS - is responsible for operating 42 state parks, 5 lodges and 7 golf courses under the jurisdiction and control of the Commission. Parks also includes contracting with firms that operate 50 leased concessions such as marinas. The division also develops statistical research and analysis, and economic development and provides planning assistance to the Department and to communities throughout the state. This Department also administers federal grant funds for outdoor recreational development.

THE DIVISION OF TRAVEL PROMOTION - is responsible for the formulation of information and marketing plans and programs designed to attract tourists to the state and the dissemination of information concerning the State's public and private attractions, lodges, parks and recreational facilities. This division also assists municipalities, public and private associations and organizations in the promotion of special events of local or historical interest and in the solicitation of conferences, meetings and conventions. Also, the division operates the 11 Tourism Information Centers located throughout the state.

THE DIVISION OF OKLAHOMA TODAY MAGAZINE - is responsible for producing a 38,000+ circulation regional magazine that informs Oklahomans and non-Oklahomans about the state's culture, history, heritage, people, environments, places, and events. This division also produces a limited number of promotional products from T-shirts to tote bags and mugs designed to enhance the image of Oklahoma to both Oklahomans and non-Oklahomans alike. The magazine provides a unique statewide advertising medium that is especially valuable to small businesses that market locally-produced merchandise.

THE DIVISION OF OKLAHOMA FILM AND MUSIC - The Office of the Oklahoma Film & Music Commission promotes, supports and strives to expand film, television and music activities and to grow the economy and job opportunities in Oklahoma. It provides prospective film, television and music production companies with information on location sites, permits, crew member availability, equipment, and any other general information needed. Further, it supports those companies when they bring their productions to Oklahoma. The long-term goals of the office are to increase film, television and music productions in Oklahoma for the purpose of economic development and to create

infrastructure to support self-sustaining Oklahoma industries.

THE DIVISION OF DISCOVER OKLAHOMA - Discover Oklahoma is part of the marketing efforts of the Tourism and Recreation Department. This weekly television program, now in its 20th year, hosted by former news anchor Jenifer Reynolds, is a destination specific marketing approach to compliment the image-based marketing of the advertising campaign. The program features fun stories about attractions, restaurants, interesting people and unique activities in every corner of the state. Feedback from featured businesses indicates an immediate spike in customer visits, and repeatedly owners have reported that coverage on Discover Oklahoma generates a larger response than any other form of advertising. This year, the program is targeting regional news markets with re-purposed content from the show's archives. This will extend the reach of the program to other audiences, utilizing existing resources.

STATUTORY REFERENCES

Program Name	Statutory Reference
State Parks	74 O.S., Section 2211 (supplemental 2005) Div of State Parks 74 O.S. 2212 (supp 2005) Lease Concession & other Auth 74 O.S. 2219 (supp 2005) Minerals Program 74 O.S. 2279 (supp 2005) Trails Program 11 O.S. 33-114 Planning assistance to local areas 68 O.S. 2357.36 Tourism Development Act 74 O.S. 1901 Oklahoma Tourism and Recreation Development Act Public Law 88-578 Federal Grant Program - LWCF Public Law 105-178, 16 USC 777g-1 Federal Grant Program- BIG 23 USC 104.206 - Fed Grant Prog: RTP
Travel Promotion Division	O. S. Title 74 Section 2230 (supplemental 2005)
Oklahoma Today Magazine	O.S. Title 74, Section 2237 (supplemental 2005) Sale of Advertising O.S. Title 74, Section 2237 (supp 2005) Copyright Protection O.S. Title 74, Section 2238 (supp 2005) Financial Contributions O.S. Title 74, Section 2252 (supp 2005) Oklahoma Today Revolving Fund O.S. Title 74, Section 2242 (supp 2005) Positions in Unclassified Service O.S. Title 74, Section 2237 (supp 2005) Exemptions from Central Purchasing Act O.S. Title 74, Section 2237 (supp 2005) Pay Incentive Plan
Administrative Services Division	O.S. Title 74 Section 2211 (supplemental 2005)
Office of the Oklahoma Film & Music Commission	Office of the Oklahoma Film and Music Commission 74 O.S. Section 5026
Discover Oklahoma	Title 74 Section 2230

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated
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Goal: Expand the economy of the state through increased tourism promotion and development.

* Number of Oklahoma jobs attributable to tourism industries and services per the U.S. Travel Association Economic Impact Study 2008 (next scheduled study due in 2011 for 2010 numbers).

Tourism employment	76,200	76,200	77,000	77,000
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* Revenue generated by tourism activities in Oklahoma per the U.S. Travel Association Economic Impact Study 2008 (next scheduled study due in 2011 for 2010 numbers) (numbers below are stated in thousands).

Impact on Tourism Industry	6,100,000	6,100,000	6,500,000	6,500,000
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* Tourism Industry generated state, local and federal tax receipts. Source: U.S. Travel Association Economic Impact Study 2008 (Next scheduled study due 2011 for 2010 numbers, growth rate of 3.5% used to estimate future years) (numbers are stated in thousands).

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Goal: Expand the economy of the state through increased tourism promotion and development.				
Tax receipts	953,400	900,000	931,500	964,100
Goal: Protect and preserve the environment and natural resources in the State park system for current and future generations.				
* Number of visitors to the State Park, Lodge, and Golf system. (Number is stated in thousands)				
Park attendance	12,245	11,088	11,200	11,500
Goal: Effectively promote Oklahoma as a destination for visitors.				
* Percent of market share of annual domestic travel expenditures for Oklahoma to judge effectiveness of Oklahoma marketing efforts to attract more visitation to our state. Source: U.S. Travel Association Economic Impact Study 2008.				
Oklahoma's travel position	1.0%	1.0%	1.1%	1.1%
Goal: Increase opportunities for all citizens to enjoy Oklahoma's natural resources and the benefits they provide toward well-being and quality of life.				
* Earned Revenue as a percent of expenditures (Parks, Lodges and Golf)				
Operational efficiency	56%	56%	56%	56%
* Number of state park visitors statewide as reported by park visitation counts to gauge usage as well as public interest in recreation facilities and service (numbers are stated in thousands).				
Usage of State Parks	12,245	11,088	11,200	11,500
Goal: Improve agency operations in order for the Department to lead tourism development in Oklahoma.				
* Total separations divided by employees at end of period				
Agency turnover rate	9.5%	12.7%	12.7%	12.7%
* The number of requisitions processed and the total dollar amount processed. Changes in Purchase Card usage as well as changes in our purchasing processes have reduced the number of requisitions. The FY10 budget cuts reduced the dollar amount processed.				
Requisition processing	2,880/\$60.2 mil	2,358/\$57.3 mil	2,100/\$57.0 mil	2,100/\$57.0 mil

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Administrative Services Division				
Goal: Improve agency operations in order for the Department to lead tourism development in Oklahoma.				
* Total separations divided by employees at end of period				
Agency turnover rate	9.5%	12.7%	12.7%	12.7%
Program: Discover Oklahoma				
Goal: Expand the economy of the state through increased tourism promotion and development.				
* Audience levels are measured by total viewers/number of broadcast markets				
Attract additional viewers	185K/4	175K/4	185K/4	185K/4

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Discover Oklahoma

Goal: Expand the economy of the state through increased tourism promotion and development.

- * Discover Oklahoma's goal is to include as many new stories and reach as many viewers as resources allow. It pushes its original story count with minimums set at 3 new features each week and 35-39 new episodes each year. The schedule is affected by network pre-emptions of the show's time slot. The figures below represent the number of original episodes/the number of new stories produced annually.

Original production	37/163	38/183	39/140	39/140
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Goal: Effectively promote Oklahoma as a destination for visitors.

- * Revenue streams have built in limits due to limited available time for sale in the show, however we continue to re-evaluate sponsorships and make adjustments to capitalize on the unique selling points of the program.

Increase revenue streams	378	378	378	378
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Program: Office of the Oklahoma Film & Music Commission

Goal: Agency Assistance

- * By statute, any company requesting the assistance of the Oklahoma Film & Music Office is required to apply for and be granted a no-cost filming permit by the Oklahoma Film & Music Office. Tracking the number of applicants will allow us to track increases in production in the state.

Project Info	92	110	140	170
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- * Track numbers of unique visitors to web site.

Page Views	N/A	72,240	79,500	87,500
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- * Track number of on-line Oklahoma Film & Video Production Guide registrants to substantiate industry growth.

On-line guide registrants	227	380	480	550
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Program: Oklahoma Today Magazine

Goal: Provide cost effective, high quality educational magazine highlighting the people, places, travel, culture, food, and outdoors of Oklahoma in an attractive and engaging publication that enhances The Oklahoma Experience.

- * Advertising revenue is an indicator of positive economic return from readership base, but is highly dependent upon the condition of the economy on a state and national level. Acts of terrorism and war have a direct (negative) impact on the advertising industry.

Positive economic return	832,631	760,951	780,000	760,000
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Goal: Achieve high customer satisfaction with product

- * This utilizes the percent of subscribers seeking renewal of magazine. Industry standard is approx. 55%. We have increased the number of multi-year subscriptions, which helps increase the renewal rate.

Renewal Rates	74%	75%	75%	75%
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- * This measure provides an indicator for the readership base (including subscriber and newstand copies) of the magazine on an annual basis.

Subscription circulation	248,733	232,022	228,000	235,000
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Program: State Parks

Goal: Provide safe, high quality recreation opportunities for citizens and visitors

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: State Parks

Goal: Provide safe, high quality recreation opportunities for citizens and visitors

* Occupancy rates of the facilities indicate the level of usage. As a private industry standard, a facility must maintain at least an average level of occupancy of 60% to break even on expenditures. Occupancy rate will change from prior years due to the closure of Roman Nose during construction.

Lodge occupancy rates	36.82%	33.95%	35%	35%
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* Rounds played as a percent of capacity

Rounds as a % of capacity	31.5%	28.4%	29%	30%
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* Number of visitors to the Division of State Park properties (Number is stated in thousands)

Park Attendance	12,245	11,088	11,200	11,500
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* Total rounds played (Number is stated in thousands) Fountainhead Golf Course closed in 1st Qtr FY-09.

State Golf Course usage	91	79	80	82
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Goal: Ensure organizational effectiveness and efficiency

* Total expenditure per visitor (calculated by dividing total appropriated expenditures by number of visitors)

Recreation cost	\$1.51	\$1.32	\$1.33	\$1.31
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* Revenue as a percent of expenditures

Operated efficiency	54%	56%	54%	54%
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Goal: Concessions - Commit to the capital investments required to offer customers a first class recreational product, resulting in the maintenance and protection of natural and structural resources entrusted to the Department's care.

* Periodic inspections will be performed for each of the concession business operations. These can include accessibility inspections, maintenance and operational examinations. (Number of concession facilities inspected per fiscal year will be monitored along with the number of facilities inspected that result in a satisfactory or better result, with a score of 3 being satisfactory and 5 being excellent.)

Concession inspection	10 (90%)	20 (90%)	20 (95%)	20 (95%)
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* Increase revenue generated by concession operation through the addition of new services, where appropriate, or through improvement of the business product. (Monitor gross and concession payment revenue collections on a fiscal year basis.) In fiscal year 2007 and 2008 the commission authorized the closure of three concessions which impacts revenue and the department.

Increase Concession revenue	819,000	\$856,168	\$850,000	\$850,000
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Goal: Grants - Increase opportunities for all citizens to enjoy Oklahoma's natural resources and the benefits they provide toward health and quality of life.

* Process reimbursement information and complete projects in accordance with program regulations and in a timely fashion. (Conformance with ADA and other requirements is achieved.) (Number of projects completed that are in compliance and the percentage of projects completed without time extensions.)

Compliant & timely projects	16 (60%)	25 (60%)	20 (60%)	20 (60%)
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Program: Travel Promotion Division

Goal: Implement marketing strategies that effectuate travel to and within Oklahoma.

* Total inquiries generated by contacts with call center and web site. (Source: OTRD TRIP Activity Report)

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Travel Promotion Division				
Goal: Implement marketing strategies that effectuate travel to and within Oklahoma.				
Total Customer Contacts	1,417,202	1,237,975	1,250,000	1,500,000
* Number of inquiries converted to travel (50.1% based on November 2009 Conversion Study)				
Effectiveness of marketing	674,304	850,000 est	860,000 est	880,000 est
* Market share of domestic travel expenditures in Oklahoma (Source: U. S. Travel Association Economic Impact Study 2008, next report is available in 2011 for 2010 expenditures).				
Oklahoma's travel position	1.2%	1.0%	1.15	1.1%
Goal: Encourage the development and growth of Oklahoma's tourism economy (economic indicators are used to judge the status of tourism in Oklahoma).				
* Number of Oklahomans employed in tourism industry jobs. Source: U. S. Travel Association Economic Impact Study, 2008 (Next scheduled study due 2011 for 2010 numbers.)				
Industry supported jobs	76,200	76,200	77,000	77,000
* U. S. Travel Association Economic Impact Study, 2008 (Next scheduled study due in 2011 for 2010 numbers)				
Tourism revenue	6,100,000,000	6,100,000,000	6,500,000,000	6,500,000,000
* Number of visitors registering their attendance at the State's tourism information centers.				
Tourism Info Center visits	1,305,676	1,351,417	1,250,000	1,260,000

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
19X General Revenue	27,699	25,725	22,503	
211 Tourism 1993 Bond Revolving	557	541	575	
215 Tourism & Recreation Fund	23,159	19,789	21,878	
225 Tourism Promotion Revolving	6,044	7,299	6,404	
230 Golf Course Operations Revolving	0	0	250	
240 Tourism Equipment Revolving	756	11	0	
250 STATE PARK SYSTEM IMPRV REV	2	0	0	
265 Color Oklahoma Revolving Fund	7	6	10	
266 OK Tour & Rec Depart Cap Ex Re	196	0	0	
267 OK Tourism Capital Imp Rev Fun	1,642	0	1,650	
360 Oklahoma State Park Trust Fund	0	240	502	
443 Intra-Agency Reimbursement Fund	8	0	15	
460 Tourism Bond Proceeds Fund	1	0	0	
475 Land & Water Conservation Fund	1,685	1,887	5,238	
57X Special Cash Fund	106	25	0	
Total Expenditures by Fund	\$61,862	\$55,523	\$59,025	

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	30,377	29,693	30,395	
Professional Services	6,304	7,604	6,328	
Travel	392	248	364	
Lease-Purchase Expenditures	1	0	12	
Equipment	5,079	965	777	
Payments To Local Govt Subdivisions	2,703	2,660	5,926	
Other Operating Expenses	17,006	14,353	15,224	
Total Expenditures by Object	\$61,862	\$55,523	\$59,026	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10 Division of State Parks				
1500 Grants in Aid	4	0	0	
1501 Parks Div.--Admin. Office.	250	0	0	
1624 Parks Capital Improvement Rev	1,632	0	0	
1625 Parks Admin. Capital Programs	1,374	6	0	
1626 Parks Admin. Interp. Prog.	1	0	0	
1627 Protective Services	13	(7)	0	
4501 Parks Admin Major Maint.	39	0	0	
5482 Central Region Office	4	0	0	
5506 Lake Murray State Park	110	0	0	
5507 Osage Hills State Park	25	0	0	
5516 Lake Texoma	38	0	0	
5519 Lake Thunderbird	73	0	0	
5544 Tucker Tower	4	0	0	
5557 Keystone	71	0	0	
5559 Walnut Creek	8	0	0	
5590 Wah-Sha-She	12	0	0	
6481 Northeast Region Main Office	34	0	0	
6505 Greenleaf State Park	57	0	0	
6514 Sequoyah State Park	54	0	0	
6515 Tenkiller State Park	64	0	0	
6526 Cherokee State Park	9	0	0	
6527 Honey Creek State Park	20	0	0	
6528 Twin Bridges State Park	38	0	0	
6533 Spavinaw State Park	1	0	0	
6536 Okmulgee State Park	32	0	0	
6540 Adair State Park	3	0	0	
6547 Snowdale State Park	8	0	0	
6548 Lake Eucha State Park	4	0	0	
6570 Brushy Lake State Park	6	0	0	
6578 Natural Falls State Park	25	0	0	
6584 Bernice State Park	12	0	0	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
10	Division of State Parks		
6617	Cherokee Landing State Park	20	0
6618	Spring River Canoe Trails	0	0
7480	Western Region Office	3	0
7502	Alabaster Caverns State Park	13	0
7504	Boiling Springs State Park	24	0
7510	Red Rock Canyon State Park	24	0
7512	Roman Nose Resort Park	20	0
7520	Fort Cobb Lake State Park	46	0
7521	Black Mesa State Park & Nature	5	0
7530	Little Sahara State Park	27	0
7531	Great Salt Plains State Park	19	0
7534	Foss State Park	18	0
7589	Beaver Dunes State Park	5	0
7595	Great Plains State Park	20	0
8483	Southeast Region Office	11	0
8503	Beavers Bend State Park	90	0
8511	Robbers Cave State Park	93	0
8518	Lake Wister State Park	35	0
8522	Boggy Depot State Park	3	0
8524	Clayton Lake State Park	0	0
8525	Raymond Gary State Park	11	0
8545	Lake Eufaula State Park	37	0
8546	Arrowhead State Park	15	0
8555	Robbers Cave 20-plex-Belle Sta	9	0
8556	Lakeview Lodge Beavers Bend	32	10
8566	Heavener-Runestone State Park	4	0
8567	McGee Creek State Park	19	0
8568	Hugo Lake State Park	15	0
8585	Talimena State Park	14	0
10000	Parks	26,893	26,503
20000	Golf Courses	4,112	3,729
30000	Resorts	6,448	6,338
	Total Division of State Parks	42,110	36,579
15	Golf Courses		
3501	Golf Course Administration	50	0
3660	Arrowhead Golf Course	46	0
3661	Fort Cobb State Park Golf Cour	39	0
3662	Fountainhead Golf Course	14	0
3663	Cedar Creek Golf Course at Bea	58	0
3664	Lake Murray Golf Course	52	0
3666	Roman Nose Golf Course	33	0
3667	Sequoyah Golf Course	25	0
3668	Lake Texoma State Park Golf Co	4	0
3669	Grand Cherokee State Park Golf	27	0
3670	Chickasaw Pointe Golf Resort	2	0
	Total Golf Courses	350	0
20	Division of Travel & Tourism		
1200	Travel And Tourism Admin	32	3
1351	TRIP	2	0
2200	Travel & Tourism Pub. Relation	26	0

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's	
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
20	Division of Travel & Tourism			
4200	25	0	0	
5200	31	0	0	
6200	1,642	0	0	
7200	159	0	0	
10000	5,498	8,236	6,881	
20000	2,089	2,389	3,501	
30000	849	820	1,135	
	<u>10,353</u>	<u>11,448</u>	<u>11,517</u>	
21	Oklahoma Today Magazine			
1300	65	0	0	
	<u>65</u>	<u>0</u>	<u>0</u>	
22	OK Film & Music Commission			
1350	16	0	0	
	<u>16</u>	<u>0</u>	<u>0</u>	
23	Welcome Centers			
1201	4	0	0	
1202	5	0	0	
1203	6	0	0	
1204	3	0	0	
1205	9	0	0	
1206	1	0	0	
1207	1	0	0	
1208	7	0	0	
1209	8	0	0	
1212	9	0	0	
1213	39	0	0	
1214	2	0	0	
1216	0	0	0	
	<u>94</u>	<u>0</u>	<u>0</u>	
25	TRIP			
1351	73	0	0	
	<u>73</u>	<u>0</u>	<u>0</u>	
32	Special Projects			
1111	64	0	0	
	<u>64</u>	<u>0</u>	<u>0</u>	
40	Administration			
10000	2,682	2,683	2,607	
88000	756	608	805	
	<u>3,438</u>	<u>3,291</u>	<u>3,412</u>	
50	Multicounty Organizations			
1242	168	0	0	
	<u>168</u>	<u>0</u>	<u>0</u>	
60	Division of State Resorts			
1150	68	0	0	
2151	32	0	0	
3155	0	0	0	
4153	4	0	0	

TOURISM & RECREATION, DEPT. OF

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COMMERCE AND TOURISM

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's	
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
60	Division of State Resorts			
4513	0	0	0	
4537	1	0	0	
4538	1	0	0	
7513	49	0	0	
7537	132	0	0	
7538	112	0	0	
	<u>399</u>	<u>0</u>	<u>0</u>	
	Resorts			
67	Administration			
1100	52	0	0	
1101	63	0	0	
1112	13	0	0	
	<u>128</u>	<u>0</u>	<u>0</u>	
70	Major Activities			
10000	1,671	1,425	1,481	
20000	433	444	480	
30000	690	754	852	
40000	1,136	1,078	951	
	<u>3,930</u>	<u>3,701</u>	<u>3,764</u>	
80	Pass Throughs			
10000	672	503	145	
	<u>672</u>	<u>503</u>	<u>145</u>	
Total Expenditures by Activity	<u>\$61,860</u>	<u>\$55,522</u>	<u>\$59,027</u>	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
10	583.5	528.1	564.2
20	71.6	68.9	78.8
40	40.5	36.3	40.8
70	22.7	23.0	24.3
90	3.4	6.0	14.8
Total FTE	<u>721.7</u>	<u>662.3</u>	<u>722.9</u>
Number of Vehicles	409	389	389

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project:	FY-2009	FY-2010	FY-2011	
# Project name	Actual	Actual	Estimated	
90	Capital Projects - Parks			
10000	508	169	0	
20000	4,387	12,102	20,000	
30000	1,441	4,388	10,683	
Total Capital Outlay by Project	<u>\$6,336</u>	<u>\$16,659</u>	<u>\$30,683</u>	

OUTSTANDING DEBT	\$000's		
	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Lease-purchase obligations	0	0	0
Revenue bond issues	1,145	790	405
Other debt	5,610	5,275	2,304
Total Outstanding Debt	<u><u>\$6,755</u></u>	<u><u>\$6,065</u></u>	<u><u>\$2,709</u></u>

WILL ROGERS MEMORIAL COMMISSION (880)

MISSION

To collect, preserve, and share the life, wisdom, and humor of Will Rogers for all generations.

THE COMMISSION

The Will Rogers Memorial Commission consists of seven members. At least one member shall be a surviving lineal descendant of Will Rogers. If there is no surviving descendant of Will Rogers who chooses to serve, then the member shall be appointed by the Governor, with the advice and consent of the Senate. The remaining six members are appointed by the Governor, with the advice and consent of the Senate. The term of office is six years.

DUTIES/RESPONSIBILITIES

The Will Rogers Memorial Commission is responsible for maintaining the Will Rogers Memorial Museum and the Will Rogers Birthplace Ranch. The Commission is charged with protecting and preserving the name of "Will Rogers," his photographic likeness, and his papers and artifacts of all classifications.

STATUTORY REFERENCES

Program Name	Statutory Reference
Will Rogers Museum, Tomb, and Campus at Claremore	Title 53, O.S. Sections 45-47.7 and Title 53, O.S. 201-209
Will Rogers Birthplace Ranch	Title 53, O.S. 45-47.7 and Title 53, O.S 201-209
Education/Research/Educational Outreach	Title 53,O.S 45-47.7, and Title 53,O.S 201-209.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Goal: To encourage an increase by 10% annually of theatrical productions, television presentations, motion picture releases, publications and research inquiries to the Memorial Museums about Will Rogers				
* Number of known, new Will Rogers-related films, publications, performances, and research inquiries.				
Advance awareness	0	90	99	109
Goal: To expand public awareness of the life and legacy of Will Rogers through social media as measured by an increase in declared "friends" of Will Rogers on our Facebook page by 10% annually				
* Number of declared "friends" of Will Rogers on our Facebook page.				
Innovate	0	171	188	207
Goal: To continue a strong in-school educational outreach program				
* To provide a speaker to visit schools and teach students about Will Rogers measured in number presentations annually.				
Educational Excellence	41	40	41	42
Goal: To host an increased number of guided field trips by student and youth groups at the museum and to furnish collateral study guides				
* To reach a greater number of students and provide them materials to learn and avail themselves of Will Rogers' life and career (measured in numbers of student and youth groups registered for tours)				
Educational Excellence	84	92	95	98

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Goal: To increase awareness of the life and legacy of Will Rogers through enhanced online accessibility to the educational resources of the museums				
* Increase the number of visits annually (as measured in thousands) to the websites of the Will Rogers Memorial Museums				
Remote Accessibility	0	80	82	85

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Education/Research/Educational Outreach

Goal: To encourage an increase by 10 percent annually of theatrical productions, television presentations, motion picture releases, publications, and research inquiries to the Memorial Museums about Will Rogers.				
* Number of known new Will Rogers-related films, publications, performances, and research inquiries.				
Advance awareness	0	90	99	109
Goal: To expand public awareness of the life and legacy of Will Rogers through social media as measured by an increase in delared "friends" of Will Rogers on our Facebook page by 10 percent annually.				
* Number of delared "friends" of Will Rogers on our Will Rogers Facebook page.				
Respond and Instruct	0	171	188	207
Goal: To continue a strong in-school educational outreach program				
* To provide a speaker to visit schools and teach students about Will Rogers measured in number of presentations annually.				
Educational excellence	311	195	225	250
Goal: To host an increased number of guided field trips by student groups at the museum and to furnish collateral study guides				
* To reach a greater number of students and provide them materials to learn and avail themselves of Will Rogers' life and career (measured in numbers of student and youth groups registered for tours)				
Educational excellence	84	92	95	98

Program: Will Rogers Birthplace Ranch

Goal: To maintain and operate year-round a clean, invigorating, wholesome, inspirational, educational, and tourism icon				
* Fiscal-year count of visitors (in thousands) to the Ranch as determined by data tabulated by electronic vehicle counter and multiplied by average number of vehicle occupants.				
Public Attendance	40	33	35	36
Goal: To increase awareness of the life and legacy of Will Rogers through enhanced online accessibility to the educational resources of the museums.				
* Increase the number of visits annually (as measured in thousands) to the websites of the Will Rogers Memorial Museums.				
diverse media coverage	0	80	82	85

Program: Will Rogers Museum, Tomb, and Campus at Claremore

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Will Rogers Museum, Tomb, and Campus at Claremore

Goal: To maintain and operate year-round a clean, invigorating, wholesome, inspirational, educational, and tourist icon to attract visitors from throughout the world.

- * The multi-gallery museum in Claremore radiates the message of Will Rogers to tourists and to forces seeking a role model and a symbol of Oklahoma values. Number of on-site visitors (in thousands).

Number of on-site visitors	122	137	141	145
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Goal: To promote a high-level public, daily profile of Will Rogers and this world class icon as a symbol of the basic goodness, richness, and importance of Oklahoma's favorite son and thereby help to increase the economic impact of visitors to Oklahoma.

- * Estimated expenditure by cultural and heritage visitors to the Will Roges Memorial Museum as measured by average spending by such out-of-state visitors on a typical cultural and heritage trip (\$623 per trip of 5.2 nights), as per "Historic/Cultural Traveler" study by the U.S. Travel Association and Smithsonian Magazine (2003) and based on recorded percentage of out-of-state visitors to Memorial (64%).

economic impact of visitors	48700	54436	56069	57751
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

<u>Type of Fund:</u>	\$000's		
	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X General Revenue	981	808	745
200 Will Rogers Revolving Fund	8	27	25
Total Expenditures by Fund	\$989	\$835	\$770

EXPENDITURES BY OBJECT

<u>Object of Expenditure</u>	\$000's		
	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits	551	556	549
Professional Services	18	12	3
Travel	7	4	4
Lease-Purchase Expenditures	0	0	0
Equipment	31	29	0
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	382	235	214
Total Expenditures by Object	\$989	\$836	\$770

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
10 Museum Operations				
1 Museum Operations	989	835	770	
Total Museum Operations	989	835	770	
Total Expenditures by Activity	\$989	\$835	\$770	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
10 Museum Operations	13.5	13.5	10.5
Total FTE	13.5	13.5	10.5
Number of Vehicles	3	3	3

ARTS COUNCIL (55)

MISSION

To lead in the development, support and enrichment of a thriving arts environment through funding opportunities, training programs and advocacy.

THE COUNCIL

The Oklahoma Arts Council consists of fifteen members, appointed by the Governor with the approval of the Senate. Members have a real and active interest in the arts and an understanding of the value of these pursuits to our society. The term of office is three years. Members may not serve more than two consecutive terms.

DUTIES/RESPONSIBILITIES

The duties of the Arts Council include the following:

1. Consider the whole state of the arts, cultural activities and cultural heritage of Oklahoma. The purview of the Council shall not be limited to but shall include music, theater, dance, opera, graphic arts, plastic arts, architecture, poetry, plays or any other art form, regardless of period, school or type. Full attention shall also be given to art festivals, arts museums, live performances or concerts, arts exhibits and other like endeavors.
2. Survey the artistic and cultural activities and facilities of the state, and the institutions, organizations or individuals engaged in these undertakings.
3. Study information collected and prepare proposals for the study practice and presentation of the arts.
4. Foster conferences, institutes and exhibits on the arts and hold or cooperate in such ventures.
5. Report to the Governor and Legislature on recommendations and suggestions for the expansion and improvement of the arts and for wider opportunity of participation in these activities by Oklahoma citizens.
6. Publish any reports, surveys, news bulletins or other materials pertaining to its findings, recommendations and work.
7. Provide public notice to all organizations interested in funds dispensed for arts purposes (grants), who may then submit written applications for the funds.

STATUTORY REFERENCES

Program Name	Statutory Reference
Arts Education in Schools	(1) o.s.70.11-103.6 requires that the arts be included in the core curriculum for all students in Oklahoma schools and that all students graduating from Oklahoma high schools complete two units of art. (2) o.s.70.1210.508 requires the assessment of Visual Arts and General Music standards (3) o.s 70, Section 1210.568, B-13 Alternative Education Program: requires SDE to provide opportunities for Artists-in-Residence programs coordinate[d] with the State Arts Council [Oklahoma Arts Council (OAC)].
Arts Learning in Communities	None
Core Operations	None
Public Awareness	None
Community Arts Programs	None

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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- Goal: Leadership: Strengthen the arts in Oklahoma through increased grant funding for the arts, capacity building of cultural organizations and leadership training of individuals by 5% each year**
- Goal: Public Value: Communicate the value of the arts and importance of the arts to Oklahoma's vitality and prosperity by tracking and increasing the number of communities served by 5% each year.**
- Goal: Education: Ensure every Oklahoman has the opportunity for access to arts education by increasing the number of school sites served by 5% per year**
- Goal: Partnerships: Create, sustain and strengthen partnerships that lead to greater awareness, understanding and participating in the arts by tracking the number and types of forums that are implemented which help to develop partnerships with communities and increasing the number by 5% each year.**

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Arts Education in Schools

Goal: Education: Support the implementation of arts education as part of the core curriculum in K-12 schools.

- * Number of students receiving instruction from OAC grant support for arts education programs.

Number of students served	319,504	250,087	220076	250,000
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- * Number of individual schools sites receiving OAC grant support for arts education programs.

Number of sites served	825	690	607	690
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- * Number of alternative education sites receiving OAC grant support for arts education programs. Although pass through funding from SDE has been eliminated in FY 2011, we are keeping the program alive in a minimal form and will pursue reinstatement of this critical program.

Alternative education sites	45	43	9	45
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- * Number of teachers receiving training in arts education through OAC grant support

Teachers trained	1,096	1876	1650	1800
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Program: Arts Learning in Communities

Goal: Education: Support opportunities for lifelong learning through the arts.

- * Number of sites served through the Arts Learning in Communities program.

Number of sites served	287	297	261	297
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- * Number of Oklahoma communities receiving support for Arts Learning in Communities

Number of communities	39	39	35	39
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- * Number of individuals receiving arts instruction through Arts Learning in Communities

Number of participants	29,023	42582	37472	42582
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Program: Community Arts Programs

Goal: Leadership: Strengthen the arts in Oklahoma through increased grant funding for the arts, capacity building of cultural organizations and leadership development.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Community Arts Programs

Goal: Leadership: Strengthen the arts in Oklahoma through increased grant funding for the arts, capacity building of cultural organizations and leadership development.

- * Increase the percent of dollars funded through Community Arts grant to dollars requested.

% requests funded	77%	76%	68%	76%
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Goal: Public Value: Support and provide opportunities for all Oklahomans to experience the value of the arts.

- * Number of Oklahoma counties receiving grants through Community Arts Programs.

Counties served	51	51	45	51
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- * Number of Oklahoma communities receiving grants through Community Arts Programs.

Communities served	81	77	68	77
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Program: Core Operations

Goal: Leadership: Produce the highest level of service to the citizens of Oklahoma by managing agency resources in the most effective and efficient manner.

- * The percentage of administration costs to total fiscal year expenditures.

Administration cost percent	9.4%	8%	9.4%	9.4%
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- * Percentage of agency reports filed by due date.

Timely filed report percent	100%	100%	100%	100%
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Program: Public Awareness

Goal: Public Value: Support and provide opportunities for all Oklahomans to experience the value of the arts.

- * The number of non-profit organizations and schools receiving funding through OAC grants.

Organizations funded	379	346	304	346
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>		<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X	General Revenue	4,849	5,024	4,407
200	OK Arts Council Arts Ed Rev Fu	0	0	52
440	National Endowment For the Arts Fds	743	771	845
443	Interagency Reimbursement Fund	201	173	161
490	American Recov. & Reinv. Act	0	307	0
Total Expenditures by Fund		\$5,793	\$6,275	\$5,465

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
Salaries and Benefits	1,042	1,065	944	
Professional Services	23	24	25	
Travel	68	26	21	
Lease-Purchase Expenditures	0	0	0	
Equipment	29	12	10	
Payments To Local Govt Subdivisions	4,260	4,850	4,305	
Other Operating Expenses	369	299	161	
Total Expenditures by Object	<u>\$5,791</u>	<u>\$6,276</u>	<u>\$5,466</u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
10 Learning and the Arts				
800 Arts At The Core	4	0	0	
850 Arts Education in Schools	632	564	732	
875 Capitol Art School Tours	0	0	20	
950 Arts Learning in Communities	543	800	721	
Total Learning and the Arts	<u>1,179</u>	<u>1,364</u>	<u>1,473</u>	
20 Pub/Priv Partner for Comm Prog				
100 Core Operations	487	454	350	
188 Data Processing	37	26	37	
250 Community Arts Programs	3,823	4,122	3,360	
500 Public Awareness	267	308	246	
Total Pub/Priv Partner for Comm Prog	<u>4,614</u>	<u>4,910</u>	<u>3,993</u>	
Total Expenditures by Activity	<u>\$5,793</u>	<u>\$6,274</u>	<u>\$5,466</u>	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
10 Learning and the Arts	1.9	2.0	2.0	
20 Pub/Priv Partner for Comm Prog	13.4	12.5	11.5	
Total FTE	<u>15.3</u>	<u>14.5</u>	<u>13.5</u>	
Number of Vehicles	0	0	0	

CAREER AND TECHNOLOGY EDUCATION (800)

MISSION

We prepare Oklahomans to succeed in the workplace, in education, and in life.

THE BOARD

The State Board of Career and Technology Education consists of nine members. Three of the members are ex officio voting members; these three members are the State Superintendent of Public Instruction and the two appointed members of the State Board of Education selected by the Governor. The remaining six members are appointed by the Governor with the advice and consent of the Senate. One of the appointed members must be from each of the five Congressional districts and one appointed member will represent the public, private and/or educational interest of the state. After the initial rotation schedule the term of office is six years. The Director of the Department of Career and Technology Education serves as an ex officio nonvoting member, and is the executive officer of the Board.

DUTIES/RESPONSIBILITIES

The State Board of Career and Technology Education shall have the following power and duties to:

1. Have the supervision of the Oklahoma Department of Career and Technology Education of the State Board of Career and Technology Education, which department shall keep its principal offices at Stillwater, and appoint and fix the compensation and duties of the Director and other personnel of such Department;
2. Have the supervision of the technology center schools and colleges of Oklahoma, except Oklahoma State University of Technical Training at Okmulgee and the Oklahoma State University Technical Institutes at Oklahoma City and Stillwater, which, however, shall be eligible to participate in federal programs administered by the State Board of Career and Technology Education as herinafter provided;
3. Cooperate with, and enter into agreements with, and administer programs of, and receive federal funds from, the United States Department of Education and other federal agencies in matters relating to vocational and technical education, youth apprenticeship programs, and manpower training, and be the sole state agency for such purposes. Provided that, programs and funds made available through the Job Training Partnership Act, or its successor programs, shall be excluded;
4. Provide for the formulation and adoption of curricula, courses of study, and other instructional aids necessary for the adequate instruction of students in the technology center schools and colleges of this state. It is the intent of the Legislature that instructional models for vocational students should include higher standards of academic work with increased emphasis on communication, computation and applied science;
5. Develop a plan to provide adequate vocational offerings accessible to all students having the ability to benefit;
6. Purchase or otherwise acquire equipment, materials, supplies and other property, real or personal, as may be necessary for the operation of the technology center schools of this state, and provide for the maximum utilization of such property through a coordinated and cooperative use thereof, including transfer of title to real and personal property to a technology center school district for a reasonable cash consideration if said property is to be utilized in a vocational-technical program administered by the technology center district board of education. Any conveyance of real property for a reasonable consideration shall contain a reversionary clause by which the real property shall revert to the State Board of Career and Technology Education if the property ceases to be used in a vocational-technical program administered by the technology center district board of education;
7. Enter into such agreements and contracts with the State Board of Education, boards of trustees of community junior colleges, boards of education of independent and elementary school districts, boards of education of school districts for technology center schools, private educational or training institutions, public or private industry, and boards of directors

of community action programs, as may be necessary or feasible for the furtherance of vocational and technical training within this state;

8. Cooperate and enter into agreements with the Oklahoma State Regents for Higher Education;
9. Cooperate with the State Department of Education in developing hands-on career exploration activities for students in grade 6 through 10, integrating academic competencies into vocational instruction, and ensuring counseling of all students in order to minimize the number of students graduating from high school without having completed either a vocational-technical program or college preparation;
10. Develop and periodically update a plan to allow teacher training and the purchase and installation of technological equipment necessary to modernize vocational educational programs;
11. Accept and provide for the administration of any land, money, buildings, gifts, funds, donations, or other things of value which may be offered or bequeathed to the schools or colleges under the supervision or control of said Board;
12. Enter into cooperative arrangements with one or more other states for the conduct and administration of programs, services and activities;
13. Cooperate whenever possible, to avoid any duplication of training programs with any established training program registered by the Bureau of Apprenticeship and Training, United States Department of Labor;
14. Accept and expend funds from any source in order to market, advertise or promote programs and services available through the Career and Technology Education system; and
15. Participate in activities pertaining to the recruitment of companies to locate or expand operations in the state, and participate in activities that will increase the competitiveness of companies with headquarters or branch operations located in the state. These activities may require agency staff to travel, train, or provide technical assistance outside the state of Oklahoma.

STATUTORY REFERENCES

Program Name	Statutory Reference
10 Business/Industry/Adults	Oklahoma Statutes, Title 70, Section 14-103 State Board of Career and Technology Education; Powers and Duties
20 Local Schools Financial Support	Oklahoma Statutes, Title 70, Section 14-103.1 - Career and Technology Education - Dropout Recovery Grants - Statewide Plan - Public Law 103-239 - School-To-Work Opportunities Act
30 Statewide Services	Oklahoma Statutes, Title 70, Section 104 Creation of State Agency
40 Dropout Recovery/Young Offender/Skills Centers	Oklahoma Statutes, Title 70, Section 14-103 State Board of Career and Technology Education; Powers and Duties
50 Administration/Data Processing	Oklahoma Statutes, Title 70, Section 104 Creation of State Agency

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES				
Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>

Goal: Develop a Comprehensive Diversity Plan for the Oklahoma Department of Careertech.

- * Avg wages of fulltime program completers. *Data collected in spring of following fiscal year.
Tech Ctr - FT Avg Wages * \$11.90
- * licensure/Certification Rate (% Completers w/certification or license) *Data collected inspring of following fiscal year
Licensure/Certification Rate 62.0%
- * Completion / retention rate of fulltime tech center students *Data collected in spring of following fiscal year
Tech Ctr - FT Comp/Ret Rate * 79.0%
- * Enrollment in fulltime programs at technology centers
Tech Ctr - FT Enrollment 28,995
- * Contract value awarded to clients of Bid Assistance Centers
BID Assist Award Total \$886,788,104
- * *Business count not final until October
Business Served thru BIS * 7000
- * Total enrollment in BIS
BIS Enrollments 394,470
- * Avg wages of TIP jobs
Avg TIP Wages \$13.45
- * TIP Job Slots (as per TIP training agreements)
TIP Job Slots 9690
- * Completion / Retention rate of Skills Centers students. *Data collected in spring of follwoing academic year.
Skills Ctr - Comp/Ret Rate 75.5%
- * Training related placement of Skills Centers completers. * Data collected in spring of following fiscal year.
Skills Ctr - TR Placement * 75.0%
- * Completers of the dropout recovery program that earned a GED or HS diploma
Dropout Recover GED/Diploma 343
- * Remediation rate of 1st time fulltime students who completed a tech center program in the previous academic year. *
Data collected 18 months after TC program completion.
Remediation Rate * 53.0%
- * Total enrollments in comprehensive school CTE programs.
Comp School Enrollment 149,972
- * HS graduation rate of seniors enrolled in CTE programs. *Data collected in fall of following fiscal year.
HS Grad Rate * 95.5%
- * Placement in work, education, or military. * Data collected in spring of following fiscal year.
Tech Ctr - FT Pos Placement 94.5%

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: 10 Business/Industry/Adults				
Goal: Accelerate Oklahoma's Economic Growth				
* Average TIP Wages				
Average TIP Wages	\$15.60	\$14.89	\$14.00	\$14.75
* BIS training enrollments (Industry-specific and Adult & Career Development)				
BIS Training Enrollments	365736	330444	375000	375000
* Number of businesses served by Business and Industry Services (BIS) programs.				
Businesses served by BIS	6739	7094	7100	7100
* TIP Job Slots (as per TIP training agreements)				
TIP Job Slots	8142	5,198	7,000	7,500
Program: 20 Local Schools Financial Support				
Goal: Accelerate Oklahoma's Economic Growth				
* CTE Licensure & Certification Rate (Actual/Completers)				
Certifications and Licensure	57.2%	63.0%	63.0%	63.0%
* High School Graduation Rate (12th graders enrolled in CTE)				
CTE HS Grad Rate	96.6%	96.0%	96.0%	96.0%
Goal: Advance quality career development				
* Positive Placement Rate (FT Pgms)				
Positive Placement	92.8%	94.5%	94.5%	94.5%
* Average Wages (Full-time Pgms)				
Average Wages	\$12.62	\$12.75	\$12.75	\$12.75
* Completion/Retention Rate (Full-time Pgms)				
Completion/Retention	84.4%	85.0%	85.0%	85.0%
* Total Enrollments in Tech Center Full-time Pgms				
TC Enroll in FT Pgms	31,686	30,757	31,000	31,000
* Total Enrollments in Comprehensive School Pgms				
Comp School Enroll in CTE	139,674	136,880	140,000	140,000
Program: 30 Statewide Services				
Goal: Support a System-wide Culture That Values Innovation, Learning, and Personal Growth				
* Professional Development Hours (coordinated by state staff)				
Professional Development Hrs	118,365	58,850	65,000	65,000
* National Board (NBPTS) Certified Teachers				
CAREER AND TECHNOLOGY EDUCATION	-	84	-	EDUCATION

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 30 Statewide Services

Goal: Support a System-wide Culture That Values Innovation, Learning, and Personal Growth

NBPTS Certified Teachers	200	220	240	240
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Goal: Improve agency operations in order to lead the CareerTech system

* Customer Satisfaction (Agency Customers)

Customer Satisfaction	81.0%	84.0%	94.0%	94.0%
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Program: 40 Dropout Recovery/Young Offender/Skills Centers

Goal: Accelerate Oklahoma's Economic Growth

* Completion Rate (Skills Centers)

Completion Rate	91.9%	90.0%	90.0%	90.0%
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* Training-Related Placement (Skills Centers)

Training-related Placement	62.2%	65.0%	65.0%	65.0%
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* Working & Still Free 60 Months After Release (Skills Centers)

Working-Still Free	75.5%	75.5%	75.5%	75.5%
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Program: 50 Administration/Data Processing

Goal: Improve agency operations in order to lead the CareerTech system

* Hours Training for Agency Staff

Staff Training	1,937	1,279	2,000	2,000
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* Agency Administrative cost as a % of budget

Agency Administrative Cost	2.7%	2.6%	3.0%	3.0%
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* Agency personnel turnover rate

Agency Turnover Rate	9.3%	19.1%	9.0%	9.0%
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X General Revenue	56,431	46,175	48,592
200 Career Tech Fund	6,373	5,948	5,899
215 OK DEPT CAREER&TECH AG REV I	3	0	0
340 CMIA Programs Disbursing Fund	112,217	112,511	106,985
380 Education Lottery Revolving Fu	2,800	2,305	3,385
430 Agency Relationship Fund - Federal	4,787	5,103	6,070
490 American Recov. & Reinv. Act	0	2,823	1,228
Total Expenditures by Fund	\$182,611	\$174,865	\$172,159

CAREER AND TECHNOLOGY EDUCATION - 85 -

EDUCATION

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	25,389	24,945	23,452	
Professional Services	861	764	690	
Travel	928	726	708	
Lease-Purchase Expenditures	0	0	0	
Equipment	704	770	701	
Payments To Local Govt Subdivisions	148,395	140,622	140,183	
Other Operating Expenses	6,336	7,035	6,426	
Total Expenditures by Object	\$182,613	\$174,862	\$172,160	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10 Business/Industry/Adult Educ				
2 Payments to Local Schools	1,428	1,927	1,950	
6 Training for Industry (TIP)	5,377	2,913	1,897	
Total	6,805	4,840	3,847	
Business/Industry/Adult Educ				
20 Local Schools Support				
1 Program/Field Support	905	906	551	
2 Payments to Local Schools	142,978	137,456	137,013	
Total Local Schools Support	143,883	138,362	137,564	
30 Statewide Services				
1 Program/Field Support	14,104	13,875	13,929	
7 Curriculum Develop/Distrib	5,431	5,464	5,302	
Total Statewide Services	19,535	19,339	19,231	
40 Dropout Recovery/Youthful Offe				
1 Program/Field Support	248	244	273	
2 Payments to Local Schools	648	1,013	1,147	
4 Skills Centers	6,478	6,170	5,353	
5 Opportunities Industr. Center	180	181	166	
Total Dropout Recovery/Youthful Offe	7,554	7,608	6,939	
50 Administration/Data Processing				
3 Administration	2,623	2,724	2,594	
88 Data Processing	2,212	1,990	1,985	
Total Administration/Data Processing	4,835	4,714	4,579	
Total Expenditures by Activity	\$182,612	\$174,863	\$172,160	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES

Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
30 Statewide Services	203.9	193.9	185.6
40 Dropout Recovery/Youthful Offe	77.4	68.2	62.3
50 Administration/Data Processing	47.8	46.2	44.5
Total FTE	329.1	308.3	292.4
Number of Vehicles	9	11	11

EDUCATION, DEPARTMENT OF (265)

MISSION

The mission of the Oklahoma State Department of Education is to make Oklahoma children Priority One through:

- *Quality services to students, schools and communities;
- *Leadership for education reform and school improvement;
- *Regulatory flexibility focused on accountability and improving student success.

THE BOARD

The State Board of Education consists of seven members. The State Superintendent of Public Instruction serves as President and chief executive officer of the Board as provided by Article VI, Section 1, of the Constitution of Oklahoma. The remaining six members of the Board are appointed by the Governor with the advice and consent of the Senate. One member must be appointed from each of the Congressional districts. All members of the Board must have a high school diploma or certificate of high school equivalency. The term of office is six years.

DUTIES/RESPONSIBILITIES

STATUTORY REFERENCES

Program Name	Statutory Reference
Office of Accountability	A. Oklahoma Educational Indicators Program - Required by 70 O.S. 1210.531 and 1210.541 (SB 183 - The Oklahoma School Testing Program Act) and 70 O.S. 70 3-116 to 118 (HB 1017 - The Oklahoma Educational Reform Act).
	B. School Performance Reviews - Required by 70 O.S. 3-118.1 (HB 1601)
Certified Employee Health Benefit Allowance	70 O.S. 26-101 - 105
Adult Education and Literacy Program	Workforce Investment Act of 1998, Title II (P.L. 105-220)
Oklahoma Parents as Teachers	70 O.S. 10-105.3
Teacher Consultant Stipend (Mentor Teacher)	70-6-106.1
Staff Development	70 O.S. 6-192, 6-193, 6-194
Early Intervention (EI)	Individuals with Disabilities Education Act (P.L. 108-446 [IDEA])
	Keeping Children Safe Act of 2003, P.L. 108-36, Child Abuse and Treatment Act
Alternative Education	Oklahoma Early Intervention Act, Title 70, 13-121 through 13-129 (Supp. 1995), as amended by H.B. 1510 of the 1st Session of the 47th Legislature. 70 O.S. 1210.561-568
Purchase of Textbooks (Instructional Materials)	Constitution of Oklahoma, Article 13 - 6; 70 O.S. 16-114
Advanced Placement (AP)	70 O.S. 1210.701 through 703

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Adult Education and Literacy Program

Goal: Collaboration

Receiving diploma or GED 64% estimate

- * Placement in, retention in, or completion of postsecondary education, training, unsubsidized employment or career advancement.

Personal Advancement 52% estimate

Program: Advanced Placement (AP)

Goal: Student Success

- * % of AP exams with Scores 3 or higher

Student achievement 46.12%

- * Students taking the corresponding AP or IB exams

Student achievement 12,508

- * Oklahoma public high schools offering Advanced Placement (AP) or International Baccalaureate (IB) courses.

Student enrollment 239

Program: Ag in the Classroom (AITC)

Goal: Collaboration

- * Funding for Oklahoma Ag in the Classroom has come from both private and public support. The Oklahoma Legislature has made funding available through the Oklahoma State Department of Education, and industry groups such as the Oklahoma Beef Industry Council, Oklahoma Pork Council, Oklahoma Peanut Commission and Oklahoma Wheat Commission have provided support for the program.

Promote agriculture literacy \$43,788

Program: Alternative Education

Goal: Student Success

Program: Certified Employee Health Benefit Allowance

Goal: Quality Teaching

- * Providing benefit allowance to offset insurance premiums as a financial incentive to attract and retain quality teachers.

Certified Allowance \$199,350,462

Program: Certified Instructional Salary Increase

Goal: Quality Teaching

Program: Community Education

Goal: Collaboration

Program: Driver Education

Goal: Student Success

Program: Early Intervention (EI)

Goal: Collaboration

- * Percent of children receiving services in their natural environment.

Service Provision 98%

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Oklahoma Parents as Teachers

Goal: Collaboration

Collaboration 4,657

Program: Purchase of Textbooks (Instructional Materials)

Goal: Student Success

* To assist districts in providing accurate, up-to-date instructional materials necessary for student success.

Improve Academic Performance \$32,985,000

Program: Regional Education Service Centers

Goal: Student Success

Program: School Lunch Matching/Programs

Goal: Collaboration

Program: School/Community Network for Arts in Education

Goal: Student Success

Program: Special Education Assistance Fund

Goal: Student Success

Program: Staff Development

Goal: Quality Teaching

* Provide professional development funds based on average daily attendance (ADA).

Professional Development \$2,326,944

Program: Support Personnel Health Allowance

Goal: Quality Teaching

* To offset the cost of health insurance by providing a benefit allowance.

Benefit Allowance \$99,149,887

Program: Teacher Consultant Stipend (Mentor Teacher)

Goal: Quality Teaching

* Number of teachers providing mentor services.

Mentor teacher services 2,672

Program: Teacher Retirement Credit

Goal: Accountability

* Defray teacher retirement costs for eligible teachers.

Teacher Retirement Credit \$35,311,375

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
19X	General Revenue	84,750	76,034	1,120,440
205	School Lunch Workshop Revolving	0	0	7
210	National Bd Certification Revolving	10,837	11,115	0
220	Statistical Services Revolving	30	21	200
225	Grants and Donations Fund	188	145	337
235	Drug Abuse Education Revolving	0	0	135
240	Teachers' Certification Fund	447	903	1,171
245	Adult Education Revolving	211	154	247
250	Early Intervention Revolving	14,236	15,658	13,961
271	Common Education Technology Fd	0	0	23,686
275	Charter Schools Incentive Fund	0	0	146
290	OK Sch Psy	3,241	3,198	0
340	CMIA Programs Disbursing Fund	3,115,236	3,003,148	2,137,196
380	Education Lottery Revol. Fund	0	0	19,236
430	Agency Relationship Fund	49	38	400
435	School Lunch Division Fed Adm Fund	2,731	2,656	3,635
443	Interagency Reimbursement Fund	38	38	52
450	Federal Educational Programs	33,720	40,736	50,014
490	Sales Fund - Surplus Property	1,354	27,353	78,859
57X	Special Cash Fund	15	0	5,000
Total Expenditures by Fund		<u><u>\$3,267,083</u></u>	<u><u>\$3,181,197</u></u>	<u><u>\$3,454,722</u></u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
	Salaries and Benefits	25,010	25,447	28,407
	Professional Services	38,244	43,958	56,815
	Travel	1,701	1,562	1,535
	Lease-Purchase Expenditures	0	0	0
	Equipment	905	805	1,093
	Payments To Local Govt Subdivisions	3,142,179	3,026,079	3,332,833
	Other Operating Expenses	59,051	83,350	34,040
Total Expenditures by Object		<u><u>\$3,267,090</u></u>	<u><u>\$3,181,201</u></u>	<u><u>\$3,454,723</u></u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1	Administrative Services			
1	Administrative Services	5,178	3,794	663
	Total Administrative Services	5,178	3,794	663
2	Professional Improvement			
1	Professional Improvement	3,925	3,217	7,429
88	Data Services	2	2	30
1201	OPAT-Field Operations	65	61	65
1501	OPAT-Technical Assistance	32	22	38
1801	Oklahoma Ambassador of Teachin	35	14	0
1901	Education Leadership Oklahoma	14,078	14,313	11,110
2301	Early Childhood Initiative	11,182	9,210	10,000
10001	School Payments	35	0	0
10101	Staff Development-Child Servic	407	337	407
10201	Staff Development-Great Expect	1,122	1,121	1,122
11101	Community Education Consortium	13	59	0
11301	OPAT-Program Evaluation	16	16	16
11401	OPAT-Public Housing Projects	131	93	131
	Total Professional Improvement	31,043	28,465	30,348
3	School Improvement			
1	School Improvement	11,670	8,324	10,664
501	Staff Development-OK A+ School	664	481	664
1601	ICTE-Arts	35	35	0
3001	ICTE - Science Center	175	0	0
3101	Robotics Program	100	75	100
3301	Admin & Support-Pilot Reading	0	45	0
3401	Admin & Support-Passport to Fi	75	139	138
4301	Admin & Support-NAEP	41	46	44
4501	Admin & Support-OKAGE	300	(98)	276
10301	Staff Development-Mathematics	668	341	0
11701	Summer Arts Institute	447	212	447
12101	Middle School Mathematics Labo	2,484	2,449	2,500
	Total School Improvement	16,659	12,049	14,833
4	Federal/Special Services			
1	Federal/Special Services	3,092	2,895	3,393
88	Data Services	48	56	615
401	All Kinds of Minds	92	0	0
701	School Lunch Programs MOE	327	329	359
1001	Adult Education Matching	42	8	51
3201	Recreation Therapy	55	47	0
13601	Rural Infant Stimulation Progr	296	461	0

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
Total Federal/Special Services	3,952	3,796	4,418
5 Financial Services			
1 Financial Services	1,248	3,098	1,133
88 Data Services	1,474	1,494	0
4101 Financial Accounting	176	225	179
Total Financial Services	2,898	4,817	1,312
6 Federal Programs			
1 Federal Programs	24,891	35,977	39,048
88 Data Services	2,864	1,632	3,662
10001 School Payments	329,742	362,798	502,000
Total Federal Programs	357,497	400,407	544,710
7 Financial Support of Schools			
10001 Financial Support Of Schools	1,333,636	925,017	1,074,330
11001 Financial Supp - Const Reserv	0	193,715	0
11991 Financial Support of Schools	10,226	2,134	0
12701 Education Reform	612,962	540,688	599,605
12711 Common Ed Revolving Fund	47,372	47,372	47,372
13801 Financial Support of Schools	28,556	28,738	27,699
14901 Financial Support - ARRA \$	0	202,543	139,522
14911 Financial Sup - ARRA Discr	0	14,734	0
15501 Fin Suppt Of Schools Min Leas	0	3,418	4,085
15571 Financial Support of Schools	782	0	0
15581 Financial Support Of Schools	0	1,721	0
15591 Mineral Leasing 1999	4,085	0	1,656
15761 Financial Support Of Schools	5,191	15,656	0
19991 Financial Support Of Schools	2,866	0	0
Total Financial Support of Schools	2,045,676	1,975,736	1,894,269
9 Purchase of Textbooks			
10001 Purchase of Textbooks	32,900	32,900	32,985
19991 Purchase of Textbooks	100	100	0
Total Purchase of Textbooks	33,000	33,000	32,985
10 Advanced Placement Program			
1 AP Training/Exam Fees	1,143	2,509	1,976
10001 Advanced Placement Program	1,090	1,021	1,087
19991 Advanced Placement Incentives	0	115	0
Total Advanced Placement Program	2,233	3,645	3,063
11 Charter Schools			
10001 Charter Schools Incentive Fund	0	0	196
Total Charter Schools	0	0	196
13 TRS Employer Contribution Rate			
19991 TRS Empl Contr Rate Increase	302	0	0
Total TRS Employer Contribution Rate	302	0	0
15 Academic Achievement Awards			
10001 Academic Achievement Awards	4,869	4,483	0
Total Academic Achievement Awards	4,869	4,483	0
16 ACE Remediation			

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
16	ACE Remediation		
10001	ACE Remediation	7,472	8,618
19991	ACE Remediation	67	0
	Total ACE Remediation	7,539	8,618
18	Staff Development		
11961	Staff Development	2,327	2,327
12961	Reading Sufficiency Act	0	0
19991	Reading Sufficiency Act	6,025	0
	Total Staff Development	8,352	2,327
19	Teacher Consultant Stipend		
10001	Teacher Consultant Stipend	700	0
19991	Mentor Teacher Stipend	0	0
	Total Teacher Consultant Stipend	700	0
22	Alternative & At-Risk Educ.		
10001	Alternative & At-Risk Educ	16,844	15,613
11961	State Aid Payment	753	0
11991	Altern. Educ. Competitive Grnt	8	0
13961	Alternative Education - Arts	175	0
	Total Alternative & At-Risk Educ.	17,780	15,613
23	Agriculture in the Classroom		
10001	Agriculture In The Classroom	44	0
	Total Agriculture in the Classroom	44	0
24	Eighth Grade Testing		
10001	Eighth Grade Testing	0	19
19991	Reading Proficiency	70	0
	Total Eighth Grade Testing	70	19
25	Schl/Comm. Network-Arts in Ed.		
10001	Schl/Comm. Network-Arts In Ed.	112	0
19991	Sch/Community Network Arts Ed	1	0
	Total Schl/Comm. Network-Arts in Ed.	113	0
26	Instr., Co-oper., Tech. Educ.		
12961	Jane Brooks	50	0
13961	Science Engineering Fair	50	0
	Total Instr., Co-oper., Tech. Educ.	100	0
27	School Lunch Matching		
10001	School Lunch Matching	4,424	4,601
	Total School Lunch Matching	4,424	4,601
29	Certified Employee Hlth Allow		
10001	Certified Employee Hlth Allow	196,613	227,615
11901	Certified Employ Hlth Allow	2,737	0
	Total Certified Employee Hlth Allow	199,350	227,615
31	Support Personnel Hlth Allow		
10001	Support Personnel Hlth Allow	96,999	106,118
11901	Support Person Health Allow	1,491	0
19991	Support Personnel Hlth Allow	660	0

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
Total Support Personnel	99,150	89,322	106,118
Hlth Allow			
35 Adult Education Matching			
10001 Adult Education Matching	2,186	2,041	2,265
11901 Adult Education Matching	53	59	0
Total Adult Education	2,239	2,100	2,265
Matching			
36 Driver Education			
10001 Driver Education	443	408	0
12551 Driver Education	900	900	900
Total Driver Education	1,343	1,308	900
37 Voluntary Consolidation Assist			
10001 School Consolidation Assistanc	0	0	3,078
12601 Cons. Asst - Technology	3,344	4,562	0
Total Voluntary	3,344	4,562	3,078
Consolidation Assist			
45 Student Tracking & Identificat			
4488 WAVE / SSIS	2,404	2,424	2,360
Total Student Tracking &	2,404	2,424	2,360
Identificat			
50 Accreditation/Standards			
1 Accreditation/Standards	2,546	2,592	2,170
801 Alternative Education Adminsit	71	65	0
901 Alternative Education Program	1,257	1,202	0
3501 Admin & Support-Pilot Char Ed	14	13	0
Total	3,888	3,872	2,170
Accreditation/Standards			
52 Early Childhood Intervention			
1 Early Childhood Intervention	20,239	18,582	21,442
88 Data Services	77	79	80
Total Early Childhood	20,316	18,661	21,522
Intervention			
53 Parents as Teachers (LEAs)			
10001 Parents as Teachers (LEAs)	1,791	1,653	1,795
19991 Oklahoma Parents As Teachers	5	0	0
Total Parents as Teachers	1,796	1,653	1,795
(LEAs)			
56 Teacher Retirement			
1 Teacher Retirement	35,311	32,521	3,078
Total Teacher Retirement	35,311	32,521	3,078
60 Federal School Lunch Reimburs.			
10001 Fed. Schl Lunch Reimb-Schlpmts	224,948	244,933	269,379
14901 Child Nutrition	0	5	0
Total Federal School Lunch	224,948	244,938	269,379
Reimburs.			
61 ARRA Federal Funds			
14901 ARRA Federal Funds	130,564	67,373	250,500
Total ARRA Federal Funds	130,564	67,373	250,500
62 ARRA NSL Equipment			
14901 ARRA NSL Equipment	0	1,520	0
Total ARRA NSL	0	1,520	0
Equipment			

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
70 Department Services			
1 Department Services	0	0	3,947
88 Department Services - Data	0	0	2,021
Total Department Services	<u>0</u>	<u>0</u>	<u>5,968</u>
79 Clearing and ASA Department			
99999 Clearing and ASA Department	0	0	0
Total Clearing and ASA Department	<u>0</u>	<u>0</u>	<u>0</u>
Total Expenditures by Activity	<u>\$3,267,082</u>	<u>\$3,181,197</u>	<u>\$3,454,723</u>

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1 Administrative Services	43.1	0.0	0.0
2 Professional Improvement	28.8	0.0	0.0
3 School Improvement	20.7	0.0	0.0
4 Federal/Special Services	35.8	0.0	0.0
5 Financial Services	33.4	0.0	0.0
6 Federal Programs	89.6	0.0	0.0
10 Advanced Placement Program	0.7	0.0	0.0
45 Student Tracking & Identificat	9.9	0.0	0.0
50 Accreditation/Standards	23.8	0.0	0.0
52 Early Childhood Intervention	84.6	0.0	0.0
Total FTE	<u>370.4</u>	<u>0.0</u>	<u>0.0</u>
Number of Vehicles	1	0	0

EDUCATIONAL TELEVISION AUTHORITY (266)

MISSION

The mission of the Oklahoma Educational Television Authority is to provide educational and public television programming to the people of Oklahoma on a coordinated statewide basis. In this regard, the Authority is fully committed to the creative use of telecommunications technologies to deliver essential educational and public television programs and value-added services to enrich the quality of life for all Oklahoman citizens and children.

The opportunity for more educational content, new and improved local services, more coverage of state government and innovative bandwidth management is the long-term strategic intent of the Authority.

THE AUTHORITY

The Oklahoma Educational Television Authority consists of thirteen members: six members are ex officio, and seven members are appointed by the Governor with the approval of the Senate. The six ex officio members are the President of the University of Oklahoma, the President of Oklahoma State University, the State Superintendent of Public Instruction, the Chancellor of the Oklahoma Regents for Higher Education, the president of one of the state-supported four-year colleges (chosen by the presidents of this group of institutions), and the president of one of the state-supported two-year colleges (chosen by the presidents of this group of institutions). The seven members appointed by the Governor consist of members from the five (5) Congressional districts and two (2) members are appointed at-large. A majority of the appointed members must be actively engaged in the profession of education. All of the appointed members must have been residents of the state for at least five years preceding the date of their appointment. The term of office of the appointed members is seven years.

DUTIES/RESPONSIBILITIES

The Oklahoma Educational Television Authority is charged with the operation of the television, associated microwave, and EBS channels assigned by the Federal Communications Commission to the State of Oklahoma for non-commercial educational purposes. It is also required to comply with the rules, regulations, and requirements of the Federal Communications Commission or any other federal agency administering any law enacted by the Congress of the United States to aid or encourage education, especially via telecommunications. The Authority is required to construct, maintain, repair and operate television facilities, which are ultimately to serve all geographic areas of the State of Oklahoma. In order to fulfill the duty to construct television facilities, the Authority is authorized to issue bonds. The Authority must have the approval of the Legislature to issue bonds, but it is not required to comply with the requirements of any other law applicable to the issuance of bonds. The bonds must be payable from dedicated revenues. Funds from the Public Building Fund were originally transferred to the Authority in order to pay bonds. In fulfilling its responsibilities, it is the duty of the Authority to seek the advice and counsel of representative citizens of the state. An advisory committee, to consist of no more than 35 persons, may be organized and selected by the Authority. The Authority may not permit advertising on its facilities, nor may it permit any individual or organization to sponsor the election of any party or individual for any public office. In addition, the influence, direction or attempt to influence or direct the program content of programs shown on public television by an elected official or his representative for the purpose of personal gain or political benefit, direct or indirect, is unlawful. Violation of any of the above three laws is a misdemeanor, punishable by a fine not to exceed \$1,000 or imprisonment not to exceed 1 year, or both.

STATUTORY REFERENCES

Program Name	Statutory Reference
Administration	Title 70, Section 23-101, et. Seq., Oklahoma Statutes
Programming/Production	Title 70, Section 23-101, et Seq., Oklahoma Statutes
Broadcasting/Technical	Title 70, Section 23-101, et. Seq., Oklahoma Statutes

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES				
Goals/Measures	FY- 2009	FY- 2010	FY- 2011	FY-2012
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	<u>Estimated</u>

Program: Administration

Goal: Operational Excellence

* Administration expense compared to the agency as a whole.

Overhead Rate	3.8	5.5	8.4	8.4
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* OETA's employee handbook, EEO policy as well as other internal documents will be reviewed on an annual basis to assure that these guidelines are relevant and current.

Admin Policy/Procedure	1	1	1	1
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* Position vacancies and rehires within a given time frame (fiscal year)

Turnover Rate	6.0	4.0	4.0	4.0
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Goal: Regulatory Compliance

* Timely reports to FCC, FAA, CPB, EEO, OPM, Ethics Commission, Etc.

Regulatory Compliance	100%	100%	100%	100%
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Program: Broadcasting/Technical

Goal: Services

* Studio and Technical Operations Maintenance

Hours of Maintenance	3159	3806	4100	3317
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* Efficiency and output measure of the satellite delivery system of OETA's digital signal to the 18 transmission sites outside of the Oklahoma City area.

Hours Satellite Transmission	13,140 hours	35,040	35,040	35,040
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* Total hours of Digital Broadcasting each fiscal year.

Hours Digital Broadcasting	35,000 hours	35,000 hours	35,000 hours	35,000 hours
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* Hours of Translator and Transmitter maintenance per year, 4 digital transmitters and 14 translators in rural Oklahoma)

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Broadcasting/Technical

Goal: Services

Transmitter Maintenance	1938	1946	1962	1938
* Number of operational translators around the state.				
Number of Translators	14	14	14	14
* Number of operational digital transmitters				
No. of Digital Transmitters	4	18	18	18

Program: Programming/Production

Goal: Customer Connected

* Train agency personnel in new workplace technologies				
Personnel Trained	33	20	20	40
* Hours of Oklahoma-Centric programs				
Broadcast of Local Programs	6,550	6,500	6,500	6,500
* Weekly average audience				
Viewership & Internet Usage	1,800,000	1,800,000	1,800,000	1,800,000
* The total number of Oklahoma-Centric minutes produced for the Oklahoma News Report				
Oklahoma News Stories	7,500	6,500	6,500	6,500

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X General Revenue	9,406	5,389	4,201
200 OETA Revolving Fund	2,226	2,876	1,662
Total Expenditures by Fund	\$11,632	\$8,265	\$5,863

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	4,363	4,350	4,271	
Professional Services	23	1	0	
Travel	20	12	12	
Lease-Purchase Expenditures	0	0	0	
Equipment	4,875	2,461	29	
Payments To Local Govt Subdivisions	350	0	0	
Other Operating Expenses	2,000	1,441	1,552	
Total Expenditures by Object	\$11,631	\$8,265	\$5,864	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10 Administration				
1 General Operations	445	453	489	
Total Administration	445	453	489	
20 Programming				
1 Programming/Production-OKC	833	764	880	
2 Oklahoma City News	428	413	395	
3 Oklahoma City Stateline	366	399	367	
4 Oklahoma City Tulsa News	380	370	398	
5 Oklahoma City Gallery	242	251	263	
Total Programming	2,249	2,197	2,303	
30 Technical Services				
1 Technical Ops-Okc Engineering	2,098	3,040	1,807	
2 Technical Ops-Field Engineer	6,308	2,089	768	
3 Technical Ops-Operations	531	487	496	
Total Technical Services	8,937	5,616	3,071	
Total Expenditures by Activity	\$11,631	\$8,266	\$5,863	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10 Administration	5.8	6.2	6.0	
20 Programming	35.0	34.1	37.0	
30 Technical Services	25.8	25.4	25.5	
Total FTE	66.6	65.7	68.5	
Number of Vehicles	11	12	12	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
90	Capital Funds			
11	Studio Control Conversion	579	0	0
Total Capital Outlay by Project		<u>579</u>	<u>0</u>	<u>0</u>

LIBRARY DEPARTMENT (430)

MISSION

The mission of the Oklahoma Department of Libraries is to serve the people of Oklahoma by providing excellent information services and by preserving unique government information resources.

THE BOARD

The Board consists of seven members appointed by the Governor, with the advice and consent of the Senate. The Director of the Oklahoma Department of Libraries serves as an ex officio, non-voting member. One member must be appointed from each of the five Congressional Districts; two members are at-large members. No member may be a librarian in active practice, or connected with the business of publishing or any business connected to selling books, periodicals, or other forms of library materials, or any business manufacturing or selling library supplies or equipment. The term of office of members is six years. Members having served a full six year term may not be reappointed.

DUTIES/RESPONSIBILITIES

The Oklahoma Department of Libraries (ODL) is the official state library of Oklahoma. It is responsible for providing information and records management services to state officials and employees, for assisting public library development in the state, and for coordinating statewide library information technology projects. It serves the general public through its specialized collections and has published the Oklahoma Almanac since 1981. Another important responsibility is the support of community-based literacy programs through ODL's Literacy Resources Office.

STATUTORY REFERENCES

Program Name	Statutory Reference
Statewide Database Licensing	State policy, 65 O.S. 1-102; Agency mandate, 65 O.S. 3-105
Oklahoma Literacy Resource Office	Oklahoma Library Technology Network, 65 O.S. 3-101, 3-105 and 65 O.S. 56 70 O.S. 8003, State policy on literacy coordination; 65 O.S. 1-102, Public library services; 65 O.S. 3-101, 3-105, 65 O.S. 42, Agency mandate; Federal LSTA authorizing legislation.
Access to Legal and Legislative Information.	State policy, 65 O.S. 1-102. Agency functions, 65 O.S. 3-105. Cartwright Library, 65 O.S. 2-101.1.
Information and Resource Sharing.	State policy, 65 O.S. Sec. 3-101, 3-105, 56
Public Library Development	State policy, 65 O.S. 1-102; Standards, 65 O.S. 2-106; agency mandate, 65 O.S. 3-101; federal assistance 65 O.S. 42.
Access to Government Information	Oklahoma Publications Clearinghouse, O.S. 65:3-113 through 3-115; O.S. 74:3104 through 3106.1; State information network, O.S. 65:56; Agency mandate, O.S. 65:3-105; Corner Perpetuation and Filing Act, O.S. 65: 3-116 through 3-123; State Records Administrator, O.S. 67:204-205; Archives and Records Commission, O.S. 67:305-317.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: The Oklahoma Department of Libraries partners with related organizations to encourage cooperative programs, funding, leveraging and sustainability.

- * Partner with at least one new organization each year to accomplish ODL objective.

Cooperative Initiatives	44	40	43	47
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- * Number of participants in a program developed and offered in conjunction with other agencies or organizations.

Cooperative Programs	3137	4755	5105	5707
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Goal: The Oklahoma Department of Libraries is viewed as the leading information provider for Oklahoma's state government and public library communities.

- * Combined number of searches to ODL's online catalog and website by customers will increase 5% each year.

Use of ODL Website	10,794,464	6,342,461	6,976,707	7,674,378
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- * Number of online searches in statewide licensed databases will increase 5% each year

Use of online databases	15,425,761	20,966,505	23,063,156	25,369,472
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Goal: The Oklahoma Department of Libraries is the premier advocate of the importance of libraries and information services in Oklahoma. ODL encourages state and federal legislation that would improve or enhance library/information services. ODL seeks innovative ways to increase funding to support improved services and service delivery of library/information services on the local level and at the state level.

- * Dollars raised from non-state appropriated sources for literacy and reading efforts.

Fund Raising	\$1,045,603	\$965,024	\$2,286,193	\$2,300,000
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Goal: The Oklahoma Department of Libraries (ODL) preserves information for future generations by maximizing the use of available space.

- * Number of cubic feet of permanent state records transferred annually to the State Archives is increased by 10% within five years.

State Records Transferred	42	47	600	50
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- * Shelving capacity in cubic feet for collection growth of identified areas up to 10% within 5 years.

Shelving Capacity	39,000	39,960	40,360	40,370
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Access to Government Information

Goal: The Oklahoma Department of Libraries is viewed as the leading information provider for Oklahoma's state government and public library communities.

- * # of state government information related webpages viewed on ODL website. [Search engines excluded in FY 2010]

Information Service	563,314	130,439	125,000	150,000
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- * Downloads of Records Scheduling Information from ODL Website [search engines excluded in FY 2010].

Records Management Service	31,623	29,419	30,000	30,000
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- * Number of document views in ODL's digital resource, Crossroads

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Access to Government Information				
Goal: The Oklahoma Department of Libraries is viewed as the leading information provider for Oklahoma's state government and public library communities.				
Crossroads Service	881,166	1,544,428	1,500,000	1,750,000
* Number of searches via ODL state government search engine, SoonerSearch.				
SoonerSearch Service	1,785,198	2,551,056	2,500,000	2,750,000
* Percentage of state agency web sites indexed via SoonerSearch.				
Indexing Service	100%	100%	100%	100%
* # of U.S. government information related webpages viewed on ODL's website [search engines excluded in FY 2010].				
US Govt Info Web Service	286,533	158,638	150,000	175,000
* Total number of public land survey corners on file.				
Land Records Service	142,146	151,786	160,286	168,786
Goal: The Oklahoma Department of Libraries preserves information resources for future generations by maximizing the use of available space.				
* Percentage of State Records Center Storage Capacity in Use [shelving added in FY 2010, increasing capacity].				
% of Storage Capacity Used	95%	92%	92%	92%
* Number of cubic feet of storage in use at State Records Center.				
Rec Ct Storage Capacity Used	45,695	50,059	50,000	50,000
* Percentage of State Records Center Annex Storage Capacity in Use.				
% of Storage Capacity Used	95%	90%	87%	87%
* Number of cubic feet in use at State Records Center Annex.				
Annex Storage Capacity Used	24,759	23,288	22,500	22,500
* Number of cubic feet of storage in use in State Archives.				
Archives Storage Capacity	25,764	25,769	25,784	25,784
Program: Access to Legal and Legislative Information.				
Goal: The Oklahoma Department of Libraries is viewed as the leading information provider for Oklahoma's state government and public library communities.				
* Number of onsite and website users of Cartwright Library Resources				
Cartwright Library Users	44,982	36,202	37,000	37,000
Program: Information and Resource Sharing.				
Goal: The Oklahoma Department of Libraries partners with related organizations to encourage cooperative programs, funding, leveraging and sustainability.				
* Number of interlibrary loan requests processed by the Oklahoma Department of Libraries from libraries that loan their materials to another library.				
Interlibrary Loan Requests	52,539	83,935	86,453	89,046
Program: Oklahoma Literacy Resource Office				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Oklahoma Literacy Resource Office

Goal: The Oklahoma Department of Libraries partners with related organizations to encourage cooperative programs, funding, leveraging and sustainability.

* Reported number of active literacy tutors in local literacy programs.				
Literacy Tutors	963	929	975	985
* Number of adult students served by local literacy programs.				
Adult Literacy Students	2,400	2,762	2,600	2,650
* Number of TANF hours of instruction offered.				
TANF Instruction	60,581	80,927	61,000	61,000
* Number of Continuing Education workshops offered by ODL for literacy providers.				
Continuing Ed Opportunities	56	51	55	55
* Number of children receiving books from ODL's First Book Project				
First Book Recipients	8,050	8,480	5,000	5,000
* Number of Literacy Providers receiving continuing education training provided by ODL.				
Literacy Providers & CE	1,221	1,008	1,200	1,200
* Number of children/youths served by local literacy programs.				
Children/Youths & Literacy	13,596	9,214	9,500	9,500

Program: Public Library Development

Goal: The Oklahoma Department of Libraries partners with related organizations to encourage cooperative programs, funding, leveraging and sustainability.

* Percentage of eligible teens enrolled in the Summer Reading Program				
% of Eligible Participants	4%	4%	4.5%	4.5%
* Number of teens enrolled in the Summer Reading Program				
Summer Reading Teens	15,185	16,050	16,371	16,698
* Percentage of eligible children, aged 5-11 years of age, enrolled in the Summer Reading Program.				
% of Eligible Participants	26%	26%	27%	27%
* Number of children enrolled in the Summer Reading Program.				
Summer Reading Participants	87,717	87,915	88,794	89,682
* Percentage of librarians without a professional degree who have received training in public librarianship.				
% of Client Needs Met	64%	84%	84.5%	84.725%
* Number of Individuals certified by completing Institute in Public Librarianship				
Institute Certifications	639	776	825	855

Goal: The Oklahoma Department of Libraries is the premier advocate of the importance of libraries and information services in Oklahoma.

* E-Rate funding received by Oklahoma public libraries through the filing of E-Rate applications.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Public Library Development

Goal: The Oklahoma Department of Libraries is the premier advocate of the importance of libraries and information services in Oklahoma.

Public Lib. E-Rate Funding	\$1,912,169	\$2,146,321	\$2,200,000	\$2,250,000
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Program: Statewide Database Licensing

Goal: The Oklahoma Department of Libraries is viewed as the leading information provider for Oklahoma's state government and public library communities

* Percentage of School Libraries registered to participate in the Statewide Database Project				
% Sch Lib Participation	67%	67%	68%	70%
* Number of School Libraries registered to participate in the Statewide Database Project				
School Library Participation	1,200	1,200	1,225	1,250
* Percentage of Special Libraries registered to participate in the Statewide Database Project.				
% Special Lib Participation	73%	73%	73%	73%
* Percentage of higher education libraries registered to participate in the Statewide Database Project.				
% Academic Lib Participation	100%	100%	100%	100%
* Number of higher education libraries registered to participate in the Statewide Database Project.				
Academic Lib Participation	68	68	68	68
* Percentage of Public Libraries registered to participate in the Statewide Database Project.				
% of Pub Lib Participation	100%	100%	100%	100%
* Number of Public Libraries registered to participate in the Statewide Database Project.				
Public Library Participation	207	207	207	207
* Number of searches performed in statewide databases provided by the Oklahoma Department of Libraries.				
Statewide Database Usage	16,621,038	20,966,505	18,000,000	19,000,000
* Number of Special Libraries registered to participate in the Statewide Database Project.				
Special Lib Participation	110	110	110	110

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>		<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X	General Revenue	7,268	6,629	6,342
200	Department of Libraries Revolving	286	320	1,023
400	Federal Library Fund Title I	2,058	2,092	2,871
405	Federal Library Fund Title Iii	601	616	600

LIBRARY DEPARTMENT - 108 -

EDUCATION

EXPENDITURES BY FUND (continued)

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY-2011 Budgeted</u>
410 Fed Grant Funds Special Projects	\$ 161	183	63
415 Fed Grt Librarians 21st Centur	0	136	421
490 American Recov. & Reinv. Act	0	0	630
Total Expenditures by Fund	\$10,374	\$9,976	\$11,950

EXPENDITURES BY OBJECT

\$000's

<u>Object of Expenditure</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits	3,438	3,467	3,657
Professional Services	481	425	588
Travel	97	117	393
Lease-Purchase Expenditures	0	0	0
Equipment	504	444	1,137
Payments To Local Govt Subdivisions	3,868	3,507	3,978
Other Operating Expenses	1,985	2,016	2,199
Total Expenditures by Object	\$10,373	\$9,976	\$11,952

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

\$000's

<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
10 Administration			
1 Administration	775	768	811
3 Public Information	342	346	361
88 Management Information Svcs	204	209	173
Total Administration	1,321	1,323	1,345
20 Service to Libraries			
1 Public Library Development	3,895	3,548	4,564
2 Literacy	1,180	1,176	1,375
3 Technical Services	228	237	235
4 Int-Lib Loan/Res Shar/Gen Ref	620	776	770
5 BTOP	0	0	893
88 Statewide Electronic Resources	1,235	1,117	1,123
Total Service to Libraries	7,158	6,854	8,960
30 Government Information Service			
1 Records Management	405	201	205
2 Archives	469	578	468
3 Oklahoma Publications Clearing	143	145	147
4 US Government Documents	259	216	169
5 Legislative Reference	86	113	115

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
30 Government Information Service			
6 Law Reference	532	545	543
Total Government Information Service	1,894	1,798	1,647
Total Expenditures by Activity	\$10,373	\$9,975	\$11,952

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
10 Administration	17.1	17.1	17.0
20 Service to Libraries	20.7	21.5	22.0
30 Government Information Service	19.7	17.2	18.1
Total FTE	57.5	55.8	57.1
Number of Vehicles	6	5	5

PRIVATE VOCATIONAL SCHOOLS, BOARD OF (563)

MISSION

The mission of the Board of Private Vocational Schools is to protect the people of Oklahoma by licensing, monitoring, and regulating the private vocational schools, and their representatives, which are offering or conducting training in Oklahoma.

THE BOARD

The Oklahoma Board of Private Vocational Schools was established in 1970. The Board consists of nine members. Three ex officio members are the Chancellor for the Regents of Higher Education, the State Superintendent of Public Instruction and the Director of the Oklahoma Department of Career and Technology Education. The six remaining members are appointed by the Governor with the advice and consent of the Senate. Four of the appointed members must have been executives or managers of a private school for the three years previous to appointment. The other two appointees must have been executives or managers in business and industry other than private schools for the three years previous to appointment. Appointed members serve for a term of six years.

DUTIES/RESPONSIBILITIES

The Board is assigned the responsibilities of licensing private vocational schools, and their sales representatives, which offer or conduct vocational training in the state of Oklahoma; and of licensing out of state vocational schools, and that are soliciting Oklahoma residents for enrollment in their school.

STATUTORY REFERENCES

Program Name	Statutory Reference
Licensing/Investigative Operations	70 O.S. Sections 21 - 101 et seq.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated
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Goal: To provide to the public a means of licensing that is convenient to access, simple in its procedures, yet sufficient to provide information necessary to OBPVS for the execution of its responsibilities.

- * Periodic survey of schools to assess the time necessary to complete licensing or relicensing application and develop ways to simplify the process while maintaining adequate information for OBPVS.

Simplify licensing process	20%	20%	20%	20%
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Goal: To maintain policies, procedures, and regulations that are current with present circumstances and that are adequate for economic, market, and industry expectations and trends.

- * Review 20% of the Board's rules each fiscal year.

Review of Board rules	20%	20%	20%	20%
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Goal: To maintain a fee review procedure that will assure a schedule of fees that is always current and equitable to the licensees, and adequate to the needs of OBPVS.

- * Review current fee schedule annually to ensure fees are sufficient to provide adequate funding for the operation of the Board.

Adequate fee collections	196	192	198	200
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Goal: To provide a comprehensive database of licensed entities and official board actions.

- * Number of schools using the database to complete relicensing applications.

Number of users	0	0	0	0
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STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Goal: To provide a regulatory and procedural structure for the licensing of electronic distance learning that will permit a full and reasonable development that is consistent with consumer protection.				
* The number of schools offering courses through electronic distance education.				
Number of schools.	10	18	20	25
Goal: Create and sustain a salary administration plan.				
* Complete the salary administration plan by January 2011, review salaries yearly, and provide a pay for performance mechanism.				
Review of FTE Salaries	100%	100%	100%	100%
Goal: Create an internal policies and procedures manual.				
* Develop and implement a comprehensive policy and procedures manual by March 2012.				
Creation of manual	30%	20%	30%	20%

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Licensing/Investigative Operations				
Goal: New school licenses				
* Number of new school licenses issued				
Number of new schools	11	6	6	8
Goal: Schools relicensing				
* Number of re-licensing applications processed and licensed for main and branch schools licensed.				
Number of schools relicensing	162	145	150	155
Goal: Solicitor licenses				
* Number of solicitor licenses issued.				
Solicitor licenses issued.	133			
Goal: Complaints				
* Number of student complaints filed by students and investigated by OBPVS staff.				
Student complaints	6	3	6	5
Goal: Unlicensed schools				
* Number of investigation of unlicensed schools including submission to our Board for review and submission to the Attorney General's office for injunctive action and presenting testimony for depositions and court hearings as necessary.				
Unlicensed school investigat	22	19	26	25
Goal: Conduct school workshops				
* Legislative mandated workshops for all licensed school. the workshop covers re-licensing, rules for school operation, and sessions to help schools with items of special interest for daily operation.				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Licensing/Investigative Operations

Goal: Conduct school workshops

Number of attendees	130	123	120	125
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
205 Private Vocational Schools Fnd	184	167	229
Total Expenditures by Fund	\$184	\$167	\$229

EXPENDITURES BY OBJECT

\$000's

<u>Object of Expenditure</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits	165	148	181
Professional Services	1	4	5
Travel	0	0	9
Lease-Purchase Expenditures	0	0	0
Equipment	0	0	8
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	17	15	27
Total Expenditures by Object	\$183	\$167	\$230

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

\$000's

<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
10 Licensing/Investigative Ops			
1 General Administration	183	167	222
88 Data Processing	0	0	8
Total	183	167	230
Licensing/Investigative Ops			
Total Expenditures by Activity	\$183	\$167	\$230

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES

<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
10 Licensing/Investigative Ops	2.5	3.0	3.0
Total FTE	2.5	3.0	3.0
Number of Vehicles	0	0	0

QUARTZ MOUNTAIN ARTS & CONFERENCE CTR. (620)

MISSION

During Legislative Session 2001, the legislature passed SB 567 which transferred all properties as defined as Quartz Mountain from the Tourism and Recreation Department to a newly created 9 member board of trustees for the Quartz Mountain Arts and Conference Center and Nature Park. The bill stated that the board would be budgeted under the State Regents for Higher Education.

THE BOARD

The Board of Trustees for the Quartz Mountain Arts and Conference Center and Nature Park consists of nine members, eight of whom shall be appointed by the Governor with the advice and consent of the Senate. The ninth member shall be the Executive Director of the Oklahoma Tourism and Recreation Department or designee who shall serve as an ex-officio, voting member. The first appointed members hold numbered positions with staggered terms to expire as provided. Successors to the initial appointed board members will serve a seven-year term to expire June 30 of the seventh year following appointment. Positions one through four are members of the board of directors of an organization recognized as a nonprofit organization that operates a fine arts institute for high school students and continuing education program for higher education faculty, elementary and secondary education teachers, and commercial artists. Positions five through seven are residents of Kiowa, Greer, of Jackson counties, and position eight is a person with substantial natural resources or public land use experience.

DUTIES/RESPONSIBILITIES

STATUTORY REFERENCES

Program Name	Statutory Reference
Quartz Mountain Arts and Conference Center	Title 70, Sections 4450 - 4452 of the Oklahoma Statutes

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:	FY- 2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
290 Edu & General Oper Revolv Fund	1,565	1,370	1,702	
Total Expenditures by Fund	<u><u>\$1,565</u></u>	<u><u>\$1,370</u></u>	<u><u>\$1,702</u></u>	

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
Salaries and Benefits	634	649	663	
Professional Services	537	278	577	
Travel	5	2	13	
Lease-Purchase Expenditures	24	24	24	
Equipment	0	84	88	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	366	334	336	
Total Expenditures by Object	<u><u>\$1,566</u></u>	<u><u>\$1,371</u></u>	<u><u>\$1,701</u></u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
10 Quartz Mountain State Park				
7508 Quartz Mountain State Park	752	780	783	
Total Quartz Mountain State Park	<u>752</u>	<u>780</u>	<u>783</u>	
16 QrtMnt. Institutional Support				
7509 Instiutional Support	813	590	919	
Total QrtMnt. Institutional Support	<u>813</u>	<u>590</u>	<u>919</u>	
Total Expenditures by Activity	<u><u>\$1,565</u></u>	<u><u>\$1,370</u></u>	<u><u>\$1,702</u></u>	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
91	Capital Projects			
39653	Infrastructure Improvements	68	19	0
39654	Trails System	617	469	0
39689	Physical Plant Equipment	320	0	0
39776	Eagle's Roost Trail System	44	6	0
50191	Lodge Remediation	428	229	0
50192	Student Dormitory/Family Reun	13	0	0
50193	Performing Arts Hall and Pavil	286	146	0
50194	Quartz Mountain Trail System	253	185	0
50195	Infrastruce Imprvmnts & Preser	282	652	0
50196	New Infrastr/Land/Imprvmnts	13	20	0
Total Capital Outlay by Project		<u>\$2,324</u>	<u>\$1,726</u>	<u>\$0</u>

OUTSTANDING DEBT		\$000's		
		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Lease-purchase obligations		4,359	4,993	3,723
Revenue bond issues		0	0	0
Other debt		0	0	0
Total Outstanding Debt		<u>\$4,359</u>	<u>\$4,993</u>	<u>\$3,723</u>

REGENTS FOR HIGHER EDUCATION (605)

MISSION

The mission of the Oklahoma State Regents for Higher Education is to build a nationally competitive system of higher education that will provide educational programs and services universally recognized for excellence, expand frontiers of knowledge, and enhance quality of life.

The work of the Oklahoma State Regents for Higher Education is defined by constitutional provision, state statute, or State Regents' policy delineating coordination responsibility for the State System of Higher Education, including the areas of institutional functions, programs of study, standards of education, and finances.

The State Regents' office is the administrative headquarters of the Oklahoma State Regents for Higher Education, the coordinating board of control of The Oklahoma State System of Higher Education, and its functions are:

1. To execute State Regents' policies and programs;
2. To gather information about the State System for State Regents' review and consideration relative to policymaking.
3. To provide coordinating leadership at the state level in the general operating of the State System.

THE BOARD

The Oklahoma State Regents for Higher Education is the coordinating board for all public institutions of higher education in the State. The board consists of nine members who are appointed by the governor and confirmed by the State Senate for nine-year terms, one expiring each year. Members will be citizens of the state and at least thirty-five (35) years of age. Members cannot be employees or members of the staff or governing board of any constituent member of the State System or an official or employee of the State of Oklahoma. Other requirements include no more than four members from the same profession or occupation, no more than three graduates of any one institution in the State System, and no more than two members from the same congressional district serving at the same time.

The coordinating powers of the board include the right to prescribe standards for higher education, to approve programs of study and functions for public institutions of higher education, and to establish minimum standards for admission to public institutions in the state.

DUTIES/RESPONSIBILITIES

STATUTORY REFERENCES

Program Name	Statutory Reference
Institutional Educational and General Budgets	Section 2, Article XIII-A, Oklahoma Constitution; 70 O.S. 2001, Sections 3206 (f) (i) (j) (n)
Scholarship Programs: Oklahoma Higher Learning Access Prog.	70 O.S. 2001, Sections 2601 et seq.
Scholarship Programs: Academic Scholars	70 O.S. 2001, Section 2402 et seq.
Scholarship Programs: Regional University Baccalaureate	70 O.S. 2001, Section 3206 (i)
Institutional Educational and General Budgets -- Brain Gain	70 O.S. 2001, Sections 3206 (f) (i) (j) (n)
Institutional Educ.&Gen. Budgets - Teacher Ed. Asst. Program	70 O.S. 2001, Section 6-180 et seq.

FY - 2012 EXECUTIVE BUDGET

Scholarship Programs: National Guard Fee Waiver	70 O.S. 2001, Section 3206 (i)
Scholarship Programs: Oklahoma Tuition Aid Grant Program	70 O.S. 2001, Section 626.1 et seq.
Scholarship Programs: Future Teacher Scholarships	70 O.S. 2001, Section 698.1
Scholarship Programs: Tulsa Reconciliation Scholarships	70 O.S. 2001, Section 2620
Endowment Trust Program	70 O.S. 2001, Section 3952
EPSCoR	70 O.S. 2001, Section 3230.1

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Increase the number of Oklahomans earning a college degree to match the national average

- * Number of Associate Degrees Conferred with the academic year
of Assoc.DegreesConferred 7681
- * Number of Bachelors' Degrees Conferred within the academic year.
of Bachelors' Degrees 15616

Goal: Keep Oklahoma college degree holders in the state and foster student success

- * Cooperative Alliance Credit hour production
Cooperative Alliance Crd. Hr 78592
- * OHLAP Students at the Two-Year Colleges
OHLAP Students Two-Years 5226
- * OHLAP Students at the Regional Universities
OHLAP Students Regionals 7036
- * Retention - Oklahoma research universities must improve their first-year retention rates. The retention rate is defined as the percentage of first-time, full-time, degree-seeking freshmen that entered a research university in the fall and returned the following year to any Oklahoma higher education institution.
Retention % Research Univs. 83%
- * High School to College Going Rate - The high school to college going rate is defined as the number of first-time college freshmen who are recent high school graduates divided by the number of total high school graduates.
High School to College Going 57.4%
- * Two-year Colleges' Graduation rates - The graduation rate is defined as the percentage of first-time, full-time, degree-seeking freshmen that entered a two-year college that graduated with any degree from any Oklahoma higher education institution within six years.
Graduation Rate Two-Year 19%
- * Regionals' Graduation rates - The graduation rate is defined as the percentage of first-time, full-time, degree-seeking freshmen that entered a regional university that graduated with a bachelor's degree from any Oklahoma higher education institution within six years.
Graduation Rate Regionals 32%
- * Research Universities' Graduation Rates - The graduation rate is defined as the percentage of first-time, full-time, degree-seeking freshmen that entered a research university that graduated with a bachelor's degree from any Oklahoma higher education institution within six years.

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Goal: Keep Oklahoma college degree holders in the state and foster student success				
Graduation Rate Research	62%			
* Oklahoma two-year colleges must improve their first-year retention rates. The retention rate is defined as the percentage of first-time, full-time, degree-seeking freshmen that entered a two-year college in the fall and returned the following year to any Oklahoma higher education institution.				
Retention % Two-Years	56%			
* Regional Universities must improve their first-year retention rates. The retention rate is defined as the percentage of first-time, full-time, degree-seeking freshmen that entered a regional university in the fall and returned the following year to any Oklahoma higher education institution.				
Retention % Regionals	65%			
* Concurrent High School Enrollment Credit Hour Production				
Concurrent Enroll Crd. Hrs.	50820			
* Distance Learning Credit Hour Production -- Credit hours generated by on line courses and non traditional instruction methods.				
Distance Learning Crd. Hrs.	470165			
* OHLAP Students at the Research Universities				
OHLAP Students-Res. Univs.	4856			

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Institutional Educational and General Budgets

Goal: Increase the number of degrees held by Oklahomans.

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
204 OFFICE OF ACCOUNTABILITY	700	714	843	
210 State Regents Higher Educ Revolv	54,678	53,631	49,225	
216 Summer Academies Revolving	58	73	600	
235 Tuition Aid Grants Revolving	20,176	19,565	18,927	
406 Student Incentive Grant	860	877	877	
430 Fed Funds Support System Activities	317	515	2,585	
431 Congress Teacher Scholarships	0	126	0	
920 Higher Learning Access Trust	6,102	6,887	57,000	
Total Expenditures by Fund	\$82,891	\$82,388	\$130,057	

Institutions of Higher Education:

Total Expend. by Fund (Institutions)	17,494,851	18,420,637	21,874,031
Total Higher Education Operations	<u>\$18,141,283</u>	<u>\$19,114,978</u>	<u>\$23,173,141</u>

EXPENDITURES BY OBJECT

Object of Expenditure	\$000's		
	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Salaries and Benefits	11,048	11,694	5,998
Professional Services	1,677	1,838	2,714
Travel	365	287	444
Lease-Purchase Expenditures	0	0	0
Equipment	35,752	35,422	20,269
Payments To Local Govt Subdivisions	181	95	0
Other Operating Expenses	33,867	33,050	100,632
Total Expenditures by Object	<u>\$82,890</u>	<u>\$82,386</u>	<u>\$130,057</u>
Total Expenditures (Ops) Higher Ed.	<u>\$82,891</u>	<u>\$82,388</u>	<u>\$130,057</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

Activity No. and Name		\$000's		
		FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
3	Economic Develop Initiatives			
1	Economic Develop Initiatives	81	125	434
	Total Economic Develop Initiatives	81	125	434
4	Office of Accountability			
1	Office of Accountability	700	714	843
	Total Office of Accountability	700	714	843
10	Regent's Administration			
1	Regent's Administration	12,856	12,028	20,767
	Total Regent's Administration	12,856	12,028	20,767
12	TEACH SCHOLARS ADMINIST			
1	TEACH SCHOLARS ADMINISTRATION	1,215	1,426	1,654
	Total TEACH SCHOLARS ADMINISTRATION	1,215	1,426	1,654
19	Regents Training Center			
1	Regents Training Center	5	4	85

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
	5	4	85
34			
1	21,037	20,441	19,804
	21,037	20,441	19,804
36			
1	1,043	1,024	1,168
	1,043	1,024	1,168
37			
1	35	29	40
	35	29	40
41			
1	83	363	395
	83	363	395
44			
1	249	0	1,845
	249	0	1,845
45			
1	317	283	890
	317	283	890
46			
1	0	126	0
	0	126	0
53			
1	58	73	600
	58	73	600
58			
1	35,618	35,169	20,000
	35,618	35,169	20,000
60			
1	0	0	300
	0	0	300
62			
1	6,102	6,887	57,000
	6,102	6,887	57,000
63			
1	394	402	486
	394	402	486
71			
1	1	3	8
	1	3	8

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's	
<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
80 Master Lease Administration				
1 Master Lease Administration	62	78	125	
Total Master Lease Administration	62	78	125	
88 Data Processing				
1 Data Processing	3,036	3,212	3,613	
Total Data Processing	3,036	3,212	3,613	
Total Expenditures by Activity	\$82,892	\$82,387	\$130,057	
Total Expenditures (Higher Ed. Sys.)	\$82,892	\$82,387	\$130,057	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
<u>Expenditures by Project:</u>	<u>FY-2009</u>	<u>FY-2010</u>	<u>FY-2011</u>	
<u># Project name</u>	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>	
91 Capital Projects (pre-91)				
19139 Telecommunications Project	0	0	25	
40129 OneNet Telecommunication Equip	1	0	0	
50000 Master Lease Program Debt Ser	29,370	36,450	50,000	
Total Capital Outlay by Project	\$29,371	\$36,450	\$50,025	
Higher Education Institutions				
Capital Funds (including bonds)	\$1,948,516	\$2,170,910	\$560,291	

OUTSTANDING DEBT		\$000's		
	<u>FY-2009</u>	<u>FY-2010</u>	<u>FY-2011</u>	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
Lease-purchase obligations	39,855	34,400	34,425	
Revenue bond issues	0	0	0	
Other debt	0	0	0	
Total Outstanding Debt	\$39,855	\$34,400	\$34,425	

OUTSTANDING DEBT		\$000's		
Higher Education Institutions	<u>FY-2009</u>	<u>FY-2010</u>	<u>FY-2011</u>	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
Lease-purchase obligations	635,960	637,792	29,607	
Revenue bond issues	969,117	1,073,693	1,079,510	
Other debt	218,878	150,273	273,913	
Total Outstanding Debt	\$1,823,955	\$1,861,758	\$1,383,030	

REGENTS FOR THE OKLAHOMA COLLEGES (610)

MISSION

The Board of Regents of Oklahoma Colleges is the governing board for the following Oklahoma state universities: Southeastern Oklahoma State University; University of Central Oklahoma, East Central University, Northeastern State University, Northwestern Oklahoma State University, and Southwestern Oklahoma State University. The functions of the board are to establish administrative policies, to provide general supervision and control of the institution, and to approve financial and personnel matters of the institutions upon the recommendation of the university president.

THE BOARD

The Board of Regents for Oklahoma Colleges consists of nine members, eight of whom are appointed by the governor and confirmed by the state senate for overlapping terms. The ninth member, the state superintendent of public instruction, is ex officio.

DUTIES/RESPONSIBILITIES

STATUTORY REFERENCES

Program Name	Statutory Reference
Board of Regents for Oklahoma Colleges	Article XIII-B of the Oklahoma Constitution and Title 70, Section 3507 of the Oklahoma Statutes

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:	FY- 2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
200 Regents OK Colleges Special Fund	847	787	917	
Total Expenditures by Fund	<u><u>\$847</u></u>	<u><u>\$787</u></u>	<u><u>\$917</u></u>	

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
Salaries and Benefits	525	515	552	
Professional Services	179	147	187	
Travel	47	30	60	
Lease-Purchase Expenditures	0	0	0	
Equipment	15	24	21	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	81	73	97	
Total Expenditures by Object	<u><u>\$847</u></u>	<u><u>\$789</u></u>	<u><u>\$917</u></u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
1 Administration				
1 Administration	847	787	917	
Total Administration	847	787	917	
Total Expenditures by Activity	<u><u>\$847</u></u>	<u><u>\$787</u></u>	<u><u>\$917</u></u>	

SCHOOL OF SCIENCE & MATH (629)

MISSION

The mission of the Oklahoma School of Science and Mathematics is twofold: (1) to foster the educational development of Oklahoma high school students who are academically talented in science and mathematics and who show promise of exceptional development through participation in a residential educational setting emphasizing instruction in the field of science and mathematics; and (2) to assist in the improvement of science and mathematics education for the state by developing, evaluating, and disseminating instructional programs and resources to all schools and students of the State.

THE BOARD

The Board consists of 25 members. Six members are ex officio members: the Chair of the Oklahoma State Regents for Higher Education, the Chancellor for Higher Education, the Superintendent of Public Instruction, the Dean of the College of Arts and Sciences of Oklahoma State University, the Dean of the College of Arts and Sciences of the University of Oklahoma, and the Dean of the College of Arts and Sciences of the University of Tulsa. Seven members are appointed by the President Pro Tempore of the Senate: one member of the Senate, one superintendent of a public school district, and five members - two of whom are either a scientist or a mathematician and three of whom hold a graduate degree and practice a profession for which a graduate degree is required. Seven members are appointed by the Speaker of the House of Representatives: one member of the House of Representatives, one principal of a public secondary school, and five members who are either scientists or mathematicians or hold a graduate degree and are currently employed in an occupation related to mathematics or one of the sciences. Five members are appointed by the Governor: four members are business or industrial leaders, and one principal of a private secondary school in Oklahoma. The term of office of members appointed by the President Pro Tempore and the Speaker coincide with the term of the appointing authority. The term of office of members appointed by the Governor is six years.

DUTIES/RESPONSIBILITIES

The Oklahoma School of Science and Mathematics is responsible for the education of eleventh and twelfth grade students. The school is responsible for ensuring that the students receive an excellent education in science and mathematics, as well as the other basic subjects. The school is further responsible for summer outreach programs for students who do not attend the school during the academic year, and for in-service training for science and math teachers and counselors. OSSM is additionally responsible for the administration of sixteen Regional Centers with nineteen locations, making high level physics and mathematics classes available to qualified students in rural areas of the State, and for the development and implementation of future Regional Centers.

STATUTORY REFERENCES

Program Name	Statutory Reference
Regional Outreach Science and Math Centers	Title 70 , Section 1210.404 of the Oklahoma Statutes
Statewide Enhancement in the Fields of Mathematics & Science	Title 70, Sections 1210.401 through 1210.403 of the Oklahoma Statutes.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: The public understands and appreciates the mission of OSSM. The State's citizens and leaders continue strong support for OSSM.

- * One of the unique opportunities that the OSSM offers is the chance for students to participate in mentorship programs that place the student with a practicing professional in that student's field of interest. Mentorships are limited to seniors who are in good standing. The mentorship program affords opportunities for OSSM students and allows outside entities to recognize and utilize the talents, interests and abilities of the OSSM students.

Strong Mentorship Program	58%	53%	60%	65%
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- * The Agency hopes to have a fully qualified applicant pool for the residential program and sixteen regional center programs. The OSSM dormitory will house 288 students and a solid regional center program should educate 25 to 30 students per site. With the completion of the second phase of the dorm in July 2011, the agency wants to maintain support throughout the State so that enough qualified applicants are interested in the program to fill the campus dormitory to its capacity of 288 students.

Qualified Applicant Pool	343	347	388	454
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Goal: We have the resources to serve the optimum number of students and teachers.

- * We will have State allocated resources to achieve our goals each year. This measure is the past and projected funding necessary for operations.

Financial Resources	\$7.856 million	\$7.735 million	\$6.637 million	\$8.460 million
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- * Maintaining a quality staff with advanced degrees is essential to the success of OSSM residential program. This measure is the percentage of residential faculty staff that has doctorate degrees. This is a past performance measure. However, management will work to maintain a level of faculty with doctorate degrees of 75% or more.

Quality staff	75%	76%	80%	90%
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Goal: Our outreach programs provide opportunities and resources for teachers and students.

- * The number of students enrolled in the regional center programs.

Regional Center Enrollment	200	209	240	260
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- * OSSM hopes to increase the number of teacher and student workshops and participants that are served each year by these programs. The measures is the number of workshops that OSSM faculty hosts or participates in as instructors or presenters.

Teacher & student workshops	23	139	150	160
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Goal: We maintain the preeminence of our academic programs and facilities at our residential campus.

- * One aspect of the OSSM academic program stresses the importance of students' giving back to the community. The measure is the total number of hours of community service that the graduating class has performed. This is a past performance measure and future estimates are not projected.

Community Service Hours	4,825	4,520	4,750	5,000
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- * OSSM academically prepares students so that they are able to compete for scholarships and also works with students to ensure that all scholarship opportunities are made available to them. This measure is the total scholarships offered to the graduating class.

Scholarship Awards	\$8.3 million	\$7.8 million	n/a	n/a
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STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: We maintain the preeminence of our academic programs and facilities at our residential campus.

- * OSSM strives to maintain high and consistent ACT scores as a way to determine how prepared the students are for college. High ACT scores also increase the possibility for admission into the students' preferred college or university as well as increasing the potential of scholarships. The measure is the actual average of ACT scores for the graduating class. Scores can not be projected for future classes. In the past, OSSM's scores have been among the highest in the nation.

Solid ACT Scores	32.3	32.3	n/a	n/a
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- * Percentage of graduating students admitted to and continuing their education at colleges and universities.

College Admission	100%	100%	100%	100%
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Regional Outreach Science and Math Centers

Goal: Adequate resources to serve the optimum number of students and teachers

- * The performance measure is the necessary funding in dollars it takes to operate the sixteen regional center sites. The funding is primarily for the salaries and benefits for instructors at each site. Funding will need to increase so that a second teacher can be placed at those centers that currently have only one instructor. Funding will also need to be increased to restore the travel budget, educational/laboratory supplies and equipment needs. The program, while still in its early stages, has been successful and continues to grow in the number of Oklahoma students being served.

Financial Resources	2,159,105	2,321,165	1,515,053	2,414,005
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Goal: To provide opportunities and resources for Oklahoma teachers and students

- * The number of students enrolled in the regional center programs at sixteen current sites.

Regional Center Enrollment	200	209	240	260
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Program: Statewide Enhancement in the Fields of Mathematics & Science

Goal: Public understanding and appreciation of the OSSM mission

- * Current capacity of the dormitory is 144. The completion of the dormitory expansion in July 2011 will increase the capacity to 288. The exact timing of this expansion will be a function of funding levels.

Qualified Applicant Pool	137	138	144	194
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Goal: To have resources to serve the optimum number of students and faculty

- * Sufficient funding through appropriations to maintain at a minimum current levels of service.

Adequate Funding	\$5.7 million	\$5.4 million	\$5.1 million	\$6.0 million
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- * The agency hopes to maintain its quality faculty that have advanced degrees, in particular, doctorate degrees. The measure below is the percentage of faculty at the residential campus that have doctorate degrees.

Quality Staff	75%	76%	80%	90%
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Goal: Maintain the preeminence of academic programs and facilities

- * The percentage of graduating seniors admitted to and continuing their education at colleges or universities.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Statewide Enhancement in the Fields of Mathematics & Science

Goal: Maintain the preeminence of academic programs and facilities

College Admissions	100%	100%	100%	100%
* Graduating classes' final average ACT score. OSSM strives to maintain high and consistent ACT scores as a way to determine how prepared its students are for college. High ACT scores also increase admissions into the students' preferred colleges or universities as well as increase potential of scholarships. The measure is the actual average of ACT scores for the graduating class.				
Solid ACT scores	32.2	32.2	n/a	n/a
* Total scholarship amounts earned by graduating seniors. OSSM academically prepares students so that they are able to compete for scholarships and also works with students to ensure that all scholarship opportunities are made available to them. This measure is based on historical data and is not projected for future years.				
Scholarship Awards	\$8.3 million	\$7.8 million	n/a	n/a

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X General Revenue	7,820	7,665	6,540
200 School of Science & Math Fund	40	69	96
Total Expenditures by Fund	\$7,860	\$7,734	\$6,636

EXPENDITURES BY OBJECT

\$000's

<u>Object of Expenditure</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits	5,556	5,672	4,637
Professional Services	78	74	202
Travel	18	6	1
Lease-Purchase Expenditures	0	0	0
Equipment	734	491	498
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	1,470	1,492	1,299
Total Expenditures by Object	\$7,856	\$7,735	\$6,637

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1 St.wide Enhance-Math & Science				
10 Administration	550	549	498	
20 Education	2,792	2,616	2,412	
30 Care And Custody	1,088	1,107	1,067	
60 Maintenance	1,187	1,034	1,130	
88 Data Processing	81	107	14	
Total St.wide Enhance-Math & Science	<u>5,698</u>	<u>5,413</u>	<u>5,121</u>	
2 Regional Outreach Sci & Math				
40 Regional Outreach Sci & Math	2,160	2,321	1,515	
Total Regional Outreach Sci & Math	<u>2,160</u>	<u>2,321</u>	<u>1,515</u>	
79 Clearing and ASA Department				
99999 Clearing and ASA Department	3	0	0	
Total Clearing and ASA Department	<u>3</u>	<u>0</u>	<u>0</u>	
Total Expenditures by Activity	<u>\$7,861</u>	<u>\$7,734</u>	<u>\$6,636</u>	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1 St.wide Enhance-Math & Science	47.5	46.0	44.5
2 Regional Outreach Sci & Math	29.0	30.0	22.0
Total FTE	<u>76.5</u>	<u>76.0</u>	<u>66.5</u>
Number of Vehicles	6	6	6

TEACHER PREPARATION, COMMISSION FOR (269)

MISSION

To develop, implement, and facilitate competency-based teacher preparation, candidate assessment, and professional development systems.

THE COMMISSION

The Oklahoma Commission for Teacher Preparation is composed of public school teachers, a teacher from a vocational technical school, public school administrators, representatives of higher education, lay persons with school-age children, business and community representatives, two members of the State Board of Education, two members of the State Regents for Higher Education as voting members. Ex-officio members are the Secretary of Education, State Superintendent of Public Instruction, Chancellor of the Oklahoma State Regents for Higher Education, and the Director of the State Department of Career Technology or their designee. Appointed members serve at the pleasure of the appointing authority.

DUTIES/RESPONSIBILITIES

1. Create and maintain a performance-based accreditation system that is primarily based on candidates' demonstration of knowledge in the 15 general competencies and relevant subject matter knowledge. Candidate knowledge will be assessed by multiple measures; specifically, the Commission will conduct program reviews, and a site accreditation visit.

2. Administer an efficient and dynamic teacher licensure & certification assessment system. In accordance with legislative mandate OCTP has developed and implements a competency and performance-based candidate assessment system consisting of three components -- general knowledge, subject specific knowledge and teaching skills. OCTP works with contract vendors, and test contract consultants, to ensure continual monitoring, revision, and redevelopment as necessary of the competency examination to meet revised standards. All candidates seeking state licensure and certification must pass all components of the state competency examination.

3. Address the professional development needs of Oklahoma teachers and other school personnel through professional development institutes in reading, science, middle level math and mentoring. The Oklahoma Reading Sufficiency Act, which required OCTP to develop a reading professional development institute for teachers K-6, has laid a sound foundation for OCTP to assist the State in meeting the reading requirements of HR 1, No Child Left Behind. The five essential components of the PDIs parallel the federal requirements. The success of Phase IV of the Literacy First program through the Reading Sufficiency Act has indicated a need for expanding literacy development to include additional funding for Phase IV schools and early childhood and secondary literacy training. Recognizing the importance of the site administrator in creating a school culture conducive to maximum student learning, OCTP includes an Instructional Leadership component in each PDI.

An appropriate mentor experience is critical to teacher effectiveness and retention. OCTP is recommending an expansion of Oklahoma's teacher induction and mentoring system. Additionally, OCTP administers a K-8 science PDI through the K-10 center that provides critical knowledge and understanding of science concepts needed for 21st century skills. OCTP also oversees the Education Leadership Oklahoma project which supports scholarship and training for teachers seeking National Board Certification.

4. Create and maintain an efficient, productive agency operational plan to facilitate daily responsibilities supporting program accreditation activities, assessment of teacher candidates through the certification examination for Oklahoma educators, and providing opportunities for ongoing growth and development of classroom teachers across the State of Oklahoma. Beyond overseeing the day-to-day operations of accreditation, assessment, and professional development, OCTP monitors current educational research in the areas of teacher preparation and student learning in order to ensure that the children of Oklahoma are provided with the best possible educational resources.

FY - 2012 EXECUTIVE BUDGET

STATUTORY REFERENCES

Program Name	Statutory Reference
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Prep & Prof Develop of Teachers House Bill 1549 (Title 70 Section 6-178)

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY- 2011</u> <u>Budgeted</u>	<u>FY-2012</u> <u>Estimated</u>
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Program: Prep & Prof Develop of Teachers

Goal: Ensure that OCTP maintains and improves its role as the premier program for implementing national teaching and program standards.

- * This measure will expand the collaboration between PK-12 teachers and higher education faculty and administration by including NBCTs in trainings, site visits, portfolio assessments and program reviews.

NBCT's involvement	8	8	9	9
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- * Verify that teacher candidates complete a teacher performance assessment documenting knowledge of Oklahoma General Competencies and/or national standards.
As a result of this measure, teacher candidates will be better prepared to design and implement instruction based on state and national standards.

Completes assessment	3	3	4	4
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- * Maximize effective use of appropriated funds by increasing working relationships on program projects.
Description:

This measure is designed to expand the impact of our teacher preparation programs. By providing support projects and professional development to our higher education faculty the quality of their performance with teacher candidates may also be improved. OCTP is committed to providing annual program review training to higher education faculty.

Effective Use of app Funds	3	3	3	3
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- * Increase ethnic and geographic diversity in the pools of state accreditation team members by adding 4 members from diverse backgrounds each year.

Description:

This measure will ensure that the teams that conduct accreditation visits more accurately reflect the population of our state, and that cultural issues are considered when evaluating the diversity preparation of our teacher education candidates.

Increase diversity	4	8	0	0
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Prep & Prof Develop of Teachers

Goal: Ensure that OCTP maintains and improves its role as the premier program for implementing national teaching and program standards.

- * Increase the number of national program reviewers in Oklahoma by providing interested individuals with both technical assistance and financial support in pursuing national program reviewer training.
Description:
This measure is intended to strengthen Oklahoma teacher preparation programs and to increase the number of programs receiving national recognition status. Individuals who attain or maintain national reviewer status will serve as a powerful resource in assisting individual programs in meeting national program standards.

Increase Prog Reviewers	6	7	9	9
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Goal: Ensure quality assessments for Oklahoma educators by measuring teacher knowledge and skill levels utilizing national education and psychometric standards.

- * Design rigorous and accountable performance measures for all components of the competency examination.

This measure establishes a timeline for the implementation of constructed responses to each certification test. It is the intent of the assessment program to have all exams contain a constructed response item.

Performance measures	1	1	1	1
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- * Deliver user-friendly feedback measures for the competency examination annually.
Description:
This measure will ensure that institutions have feedback compatible with program review requirements. The feedback measures will allow institutions to assess the quality of their programs and to take appropriate steps to correct identified weaknesses. This measure will also ensure that other constituents have feedback, which will enable them to track and assess their test candidates.

User-friendly feedback	1	2	2	2
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- * Reviews the relevance and rigor of the competency examination annually.
Description:
Each year in conjunction with a nationally recognized consulting firm, a project will be designed and conducted that assesses a challenge or growth area of the assessment system providing for ongoing analysis and improvement.

Annual review	1	1	1	1
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- * Review and redevelop three certification exams each year to reflect changes made in national standards by specialized professional associations (SPAs).
Description:
This measure will ensure that the tests remain current with state and national standards.

Redevelop Cert Exams	3	3	3	3
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Goal: Professional Development: Increase student learning by providing on-going quality research based professional development to Oklahoma educators.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Prep & Prof Develop of Teachers

Goal: Maintain and promote national standards for accountability, credibility and innovation in quality teacher preparation.:

- * All new legislators will be provided orientation on the relevance of sustaining OCTP as the "specialists in teacher preparation. " All legislators will receive updates and pertinent data on a regular basis. A minimum of 200 contacts per year will be made.

Description: This measure will assist newly elected legislators with understanding OCTP program areas and the value added that OCTP brings to our state.

Legislators Orientation	200	200	210	210
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- * Administer and evaluate the performance of a nationally recognized system of program approval, teacher certification testing and professional development.
Description: Evaluate each of the program areas for effectiveness and ability to produce quality and caring teachers for Oklahoma. Number of evaluations:

Evaluation process	3	3	3	3
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- * Support legislation to improve the quality and skills for teachers in the 21st century.
Description:
This measure will require that OCTP support such measures as improved alternative certification laws and increased funding for professional development that is scientifically research based. OCTP actively supports a minimum of four pieces of legislation per year.

Support Legislation	4	4	5	5
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X General Revenue	2,504	1,475	1,641
205 Educ Leadership OK Revolving	1,005	1,156	1,839
210 Donations Fund	11	5	13
215 Professional Devel Inst Revolving	2,741	2,648	3,522
220 Teachers' Competency Exam Fund	30	120	291
Total Expenditures by Fund	\$6,291	\$5,404	\$7,306

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
Salaries and Benefits	743	718	755	
Professional Services	5,066	4,308	6,173	
Travel	59	44	70	
Lease-Purchase Expenditures	0	0	0	
Equipment	16	32	8	
Payments To Local Govt Subdivisions	91	0	0	
Other Operating Expenses	315	301	300	
Total Expenditures by Object	<u>\$6,290</u>	<u>\$5,403</u>	<u>\$7,306</u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
10 Prep & Prof Devel of Teachers				
1 Administration	353	391	268	
2 Competency-Based Teacher Assmt	330	263	291	
3 Teacher Ed Pgm Accreditation	262	219	287	
4 Prof Develop Institutes	5,346	4,531	6,459	
Total Prep & Prof Devel of Teachers	<u>6,291</u>	<u>5,404</u>	<u>7,305</u>	
Total Expenditures by Activity	<u>\$6,291</u>	<u>\$5,404</u>	<u>\$7,305</u>	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
10 Prep & Prof Devel of Teachers	10.0	10.0	10.0	
Total FTE	<u>10.0</u>	<u>10.0</u>	<u>10.0</u>	
Number of Vehicles	0	0	0	

CAMERON UNIVERSITY (100)

MISSION

Cameron University is a multi-purpose university whose mission is to offer appropriate educational programs to the people living in its service area which includes eleven counties in Southwest Oklahoma. One of Oklahoma's seven regional universities, Cameron is the higher education center of Southwest Oklahoma offering associate, baccalaureate, and master's degree programs. The University recognizes that the educational process includes the development of the intellectual, cultural, social, physical, moral, and occupational capacities of persons who participate in its programs and activities. The University desires to assist its students and other persons living in its service area in acquiring the skills, knowledge, values, and attitudes that will enable them to lead creative, productive, and self-fulfilling lives.

THE BOARD

Cameron University is governed by the Board of Regents of the University of Oklahoma. This Board is created by Section 8, Article XIII, of the Oklahoma Constitution. It consists of seven (7) members, appointed by the Governor, by and with the advice and consent of the Senate.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 3404.1

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
290	Educational & General Opns	38,325	39,074	40,584
430	Agency Relationship Fund	1,729	1,653	4,384
490	American Recov. & Reinv. Act	0	1,756	1,527

CAMERON UNIVERSITY - 137 -

EDUCATION

Total Expenditures by Fund	\$40,054	\$42,483	\$46,495
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EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	29,937	31,712	0	
Professional Services	423	1,102	0	
Travel	549	480	0	
Lease-Purchase Expenditures	0	0	0	
Equipment	2,871	2,877	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	6,273	6,313	43,712	
Total Expenditures by Object	\$40,053	\$42,484	\$43,712	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
11 Instruction				
1 Instruction	38,325	40,831	42,110	
Total Instruction	38,325	40,831	42,110	
21 Sponsored Programs				
1 Sponsored Programs	1,729	1,653	4,384	
Total Sponsored Programs	1,729	1,653	4,384	
Total Expenditures by Activity	\$40,054	\$42,484	\$46,494	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
91 Capital Projects				
37012 Purchase Institutional Equip	63	627	213	
39013 Remodel Physical Sciences	1,384	0	0	
39600 SBI Software	4	0	0	
39639 Centennial Student Activity Co	7,492	4,345	0	
39732 SBI Banner Upgrade	1,279	320	0	
50126 Business Building	3,917	862	0	
50128 Campus Accessibility	214	41	0	

CAMERON UNIVERSITY - 138 -

EDUCATION

FY - 2012 EXECUTIVE BUDGET

\$000's

Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
50129	Parking Lots & Access Roads	347	5	300
50130	Campus Facility Improvements	1,773	753	0
50131	Gymnasium Environmental System	121	0	0
Total Capital Outlay by Project		<u>\$16,594</u>	<u>\$6,953</u>	<u>\$513</u>

OUTSTANDING DEBT

\$000's

	FY-2009	FY-2010	FY-2011
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Lease-purchase obligations	23,601	22,585	21,693
Revenue bond issues	8,495	8,315	8,130
Other debt	0	0	0
Total Outstanding Debt	<u>\$32,096</u>	<u>\$30,900</u>	<u>\$29,823</u>

CARL ALBERT STATE COLLEGE (108)

MISSION

Carl Albert State College provides innovative and responsive programs to the area it serves. As the 21st Century progresses, the college continues to set goals that demand quality in education, prepares students to meet the challenges of the emerging global society, and instill in students a resolve to be their best. Only through excellence can CASC aid in strengthening the community, state, and nation.

Guided by these beliefs, the college has defined the following purposes:

- Provide programs for transfer to four-year colleges or universities.
- Prepare students to meet challenges of the emerging global society.
- Emphasize academic advisement, counseling, and career guidance, with retention being a natural by-product of this effort;
- Be in the forefront in providing outstanding applied sciences programs.
- Provide sound developmental education programs for those students who lack basic academic skills, and, as an adjunct, increase the college's role in adult literacy.
- Provide an appreciation for human values and ethics in global society.
- Further integrate technology into the students' learning processes through distance learning instruction.
- Maintain the economic development role of CASC through increasing and strengthening cooperative partnerships between other colleges, business, industry, government, and all elements of education.
- Provide responsive, community-oriented continuing education courses to meet academic, vocational, or leisure time needs.
- Provide an assessment approach that involves students' entire college experience to insure that students are prepared to meet their goals and to assess the effectiveness of the college through its academic programs and employees.
- Enhance the financial support structure for CASC, maximize public and private sector funding, and ensure continuing responsiveness to the education and training needs of the community.
- Increase institution-wide planning, cooperation, and communication.

THE BOARD

The Board of Regents consists of seven members who serve seven-year, overlapping terms. The members are appointed by the Governor and confirmed by the Senate. Four members shall reside in the county in which the College is located.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 4423.1

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
290	Educational & General Opns	10,122	9,199	10,983
430	Agency Relationship Fund	2,400	2,582	2,619
490	American Recov. & Reinv. Act	0	507	441
Total Expenditures by Fund		<u>\$12,522</u>	<u>\$12,288</u>	<u>\$14,043</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
	Salaries and Benefits	9,700	9,371	0
	Professional Services	85	99	0
	Travel	249	249	0
	Lease-Purchase Expenditures	0	0	0
	Equipment	285	539	0
	Payments To Local Govt Subdivisions	0	0	0
	Other Operating Expenses	2,203	2,028	13,294
Total Expenditures by Object		<u>\$12,522</u>	<u>\$12,286</u>	<u>\$13,294</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
11	Instruction			
1	Instruction	9,601	9,131	10,989
2	Instruction - Information Tech	521	575	434
	Total Instruction	<u>10,122</u>	<u>9,706</u>	<u>11,423</u>
21	Sponsored Programs			
1	Sponsored Programs	2,400	2,582	2,619
	Total Sponsored Programs	<u>2,400</u>	<u>2,582</u>	<u>2,619</u>
Total Expenditures by Activity		<u>\$12,522</u>	<u>\$12,288</u>	<u>\$14,042</u>

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
91	Capital Projects			
39407	Educational Equipment	48	41	25
39408	Replacement of HVAC	24	47	40
39410	Non-Structural Improvements	102	80	100
39411	Structural Improvements	134	327	100
39412	Motor Pool	65	26	25
39491	Roof Repair	8	29	100
39787	College Improvement	0	0	677
50146	Convocational Center	708	286	0
Total Capital Outlay by Project		<u><u>\$1,089</u></u>	<u><u>\$836</u></u>	<u><u>\$1,067</u></u>

OUTSTANDING DEBT		\$000's		
		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Lease-purchase obligations		7,798	6,555	7,344
Revenue bond issues		435	375	0
Other debt		0	0	0
Total Outstanding Debt		<u><u>\$8,233</u></u>	<u><u>\$6,930</u></u>	<u><u>\$7,344</u></u>

CONNERS STATE COLLEGE (165)

MISSION

Connors State College is committed to a positive vision of the future and adapts responsibly to both internal and external needs. The college is a learning organization that ensures a quality educational experience within a congenial, innovative, student-centered environment. It is committed to establishing an atmosphere that enables employees to maintain the highest degree of competency and professionalism possible.

The mission of Connors State College is to provide affordable, accessible, and effective learning environments for the lifelong educational needs of the diverse communities it serves. Connors State College fulfills this mission through:

- College and University Transfer Education
- General Education
- Developmental Education
- Continuing Education
- Occupational and Professional Education
- Student Developmental Services
- Workforce/Economic Development for a Global Society

THE BOARD

Connors State College is governed by the Board of Regents of the Agricultural and Mechanical Colleges. This Board is created by Section 31a, Article 6, of the Oklahoma Constitution. It consists of nine (9) members, one is the President of the State Board of Agriculture, the remaining eight members are appointed by the Governor, by and with the advice of the Senate.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 3405

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
290	Educational & General Opns	9,265	9,559	10,884
430	Agency Relationship Fund	845	1,639	2,129
490	American Recov. & Reinv. Act	0	551	479
Total Expenditures by Fund		<u><u>\$10,110</u></u>	<u><u>\$11,749</u></u>	<u><u>\$13,492</u></u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
	Salaries and Benefits	5,849	6,760	0
	Professional Services	485	534	0
	Travel	128	113	0
	Lease-Purchase Expenditures	0	0	0
	Equipment	98	612	0
	Payments To Local Govt Subdivisions	0	0	0
	Other Operating Expenses	3,552	3,732	13,134
Total Expenditures by Object		<u><u>\$10,112</u></u>	<u><u>\$11,751</u></u>	<u><u>\$13,134</u></u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
11	Instruction			
1	Instruction	9,265	10,110	11,363
	Total Instruction	<u>9,265</u>	<u>10,110</u>	<u>11,363</u>
21	Sponsored Programs			
1	Sponsored Programs	845	1,639	2,129
	Total Sponsored Programs	<u>845</u>	<u>1,639</u>	<u>2,129</u>
Total Expenditures by Activity		<u><u>\$10,110</u></u>	<u><u>\$11,749</u></u>	<u><u>\$13,492</u></u>

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
91	Capital Projects			
39143	Technology	111	125	459
39147	Equipment	0	0	2
39481	Energy Management Program	340	59	0
50147	Roof Renovation & Asbestos Rem	0	0	2
50148	Fine Arts Building Renovation	27	174	393
50149	One-stop Enrollment Center	0	4	213
50150	Muskogee Campus Renovation/Add	3	132	2,506
50151	Classroom & Laboratory Renovat	638	486	172
Total Capital Outlay by Project		<u>\$1,119</u>	<u>\$980</u>	<u>\$3,747</u>

OUTSTANDING DEBT		\$000's		
		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Lease-purchase obligations		7,414	6,677	6,351
Revenue bond issues		10,595	10,270	9,930
Other debt		0	0	0
Total Outstanding Debt		<u>\$18,009</u>	<u>\$16,947</u>	<u>\$16,281</u>

EAST CENTRAL OKLAHOMA STATE UNIV. (230)

MISSION

East Central University's mission is to foster a learning environment in which students, faculty, staff, and community interact to educate students for life in a rapidly changing and culturally diverse society. Within its service area, East Central University provides leadership for economic development and cultural enhancement.

THE BOARD

East Central University is governed by the Board of Regents for Oklahoma Colleges. The Board of Regents for Oklahoma Colleges consists of nine (9) members appointed by the Governor, by and with the advice of the Senate. Not more than two members of the Board practice the same profession, vocation or occupation.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 3515

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
290	Educational & General Opns	30,067	29,392	34,488
430	Agency Relationship Fund	10,955	11,126	18,817
490	American Recov. & Reinv. Act	0	1,428	1,241
Total Expenditures by Fund		<u><u>\$41,022</u></u>	<u><u>\$41,946</u></u>	<u><u>\$54,546</u></u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
Salaries and Benefits	27,960	28,420	0	
Professional Services	2,105	1,914	0	
Travel	813	730	0	
Lease-Purchase Expenditures	2	0	0	
Equipment	2,081	2,443	0	
Payments To Local Govt Subdivisions	644	939	0	
Other Operating Expenses	7,418	7,498	53,174	
Total Expenditures by Object	<u>\$41,023</u>	<u>\$41,944</u>	<u>\$53,174</u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
11 Instruction				
1 Instruction	30,067	30,819	35,728	
Total Instruction	30,067	30,819	35,728	
21 Sponsored Programs				
1 Sponsored Programs	10,955	11,126	18,817	
Total Sponsored Programs	10,955	11,126	18,817	
Total Expenditures by Activity	<u>\$41,022</u>	<u>\$41,945</u>	<u>\$54,545</u>	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Estimated</u>	
91 Capital Projects				
34080 Purchase University Vehicles	0	59	0	
34113 Purchase Instrctnal Equipment	12	5	83	
39079 General Campus Renovation	445	373	290	
39550 Fine Arts Revenue Bonds	3,127	1,943	0	
39612 Fine Arts Project	0	75	0	
39751 New Phone System	111	114	0	
39821 Residence Halls	0	50	0	
39822 Horace Mann	0	559	0	
39823 Asbestos Abatement	0	35	0	

FY - 2012 EXECUTIVE BUDGET

Total Capital Outlay by Project	<u>\$3,695</u>	<u>\$3,213</u>	<u>\$373</u>
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OUTSTANDING DEBT

\$000's

	FY-2009	FY-2010	FY-2011
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Lease-purchase obligations	15,340	15,250	14,572
Revenue bond issues	11,195	10,755	10,295
Other debt	0	0	0
Total Outstanding Debt	<u>\$26,535</u>	<u>\$26,005</u>	<u>\$24,867</u>

EASTERN OKLAHOMA STATE COLLEGE (240)

MISSION

Eastern Oklahoma State College, a public community college, is dedicated to providing equal access to a broad range of higher education programs, campus and community services, and resources in its geographical service area. As part of the Oklahoma State System of Higher Education, Eastern offers two-year Associate Degree programs in university transfer and technical/occupational programs, as well as one-year certificates in technical/occupational programs. Eastern is committed to providing students with quality educational experiences in a setting which fosters academic freedom and corollary obligations and a strong belief in the collegial decision model. Free and open input from all segments of the institution is invited and encouraged. Eastern is accountable to its students, the community, and the Oklahoma State Regents for Higher Education for providing these services in a fiscally responsible manner.

THE BOARD

The Board of Regents consists of seven members who serve seven-year, overlapping terms. The members are appointed by the Governor and confirmed by the Senate.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 3511

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
290	Educational & General Opns	9,727	9,845	10,716
430	Agency Relationship Fund	2,706	2,991	2,672
490	American Recov. & Reinv. Act	0	529	460

EASTERN OKLAHOMA STATE COLLEGE - 149 -

EDUCATION

FY - 2012 EXECUTIVE BUDGET

Total Expenditures by Fund	<u>\$12,433</u>	<u>\$13,365</u>	<u>\$13,848</u>
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EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	9,303	9,136	0	
Professional Services	280	320	0	
Travel	101	193	0	
Lease-Purchase Expenditures	6	0	0	
Equipment	392	690	0	
Payments To Local Govt Subdivisions	0	32	0	
Other Operating Expenses	2,352	2,997	13,462	
Total Expenditures by Object	<u>\$12,434</u>	<u>\$13,368</u>	<u>\$13,462</u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
11 Instruction				
1 Instruction	9,727	10,374	11,176	
Total Instruction	9,727	10,374	11,176	
21 Sponsored Programs				
1 Sponsored Programs	2,706	2,991	2,672	
Total Sponsored Programs	2,706	2,991	2,672	
Total Expenditures by Activity	<u>\$12,433</u>	<u>\$13,365</u>	<u>\$13,848</u>	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
91 Capitol Projects				
39443 Renovation and Repair	138	153	580	
39463 ODFa McAlester Revenue Bond	2	8	37	
39542 McAlester Debt Service	167	165	162	
39596 Sewer Connection	3	0	0	
39700 Equipment	5	62	580	
39707 Master Lease Debt Service 07	110	111	112	
50152 Student Life & Learning Center	6,207	774	2	

EASTERN OKLAHOMA STATE COLLEGE - 150 -

EDUCATION

FY - 2012 EXECUTIVE BUDGET

\$000's

Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
50153	Infrastructure Projects	44	34	0
50154	Technology Upgrades	0	5	0
Total Capital Outlay by Project		<u><u>\$6,676</u></u>	<u><u>\$1,312</u></u>	<u><u>\$1,473</u></u>

OUTSTANDING DEBT

\$000's

	FY-2009	FY-2010	FY-2011
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Lease-purchase obligations	10,319	10,319	9,409
Revenue bond issues	2,635	2,635	2,275
Other debt	0	0	0
Total Outstanding Debt	<u><u>\$12,954</u></u>	<u><u>\$12,954</u></u>	<u><u>\$11,684</u></u>

LANGSTON UNIVERSITY (420)

MISSION

Langston University, a land-grant institution with an urban mission, is an integral part of the Oklahoma State System for Higher Education. Designated as a special purpose university by the State Regents for Higher Education, Langston University is charged with the responsibility to provide both lower-division and upper-division undergraduate study in several fields leading to the bachelor's degree. In this context, Langston University has moved to curricular changes that will embrace new career opportunities for its students with positive educational outcomes.

A goal of Langston University is to place its graduates in a highly favorable position to assume careers that meet the changing demands in the urban society today and in the future. This is to be achieved by demanding a high degree of excellence in its instruction, research, and community services as a land-grant institution with an urban mission. Flexibility in the academics of Langston University students is implied in this mission statement. The dynamics of a free society predict the evolution of new challenges and new opportunities; thus a significant part of Langston University's thrust is to keep abreast of programs and community services utilizing human resources and new technologies emerging on the horizon.

THE BOARD

Langston University is governed by the Board of Regents of the Agricultural and Mechanical Colleges. This Board is created by Section 31a, Article 6, of the Oklahoma Constitution. It consists of nine (9) members, one is the President of the State Board of Agriculture, the remaining eight members are appointed by the Governor, by and with the advice of the Senate.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 3403

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
290	Educational & General Opns	26,328	26,683	31,533
430	Agency Relationship Fund	11,944	16,034	22,581
490	American Recov. & Reinv. Act	0	1,348	1,171
Total Expenditures by Fund		<u>\$38,272</u>	<u>\$44,065</u>	<u>\$55,285</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
	Salaries and Benefits	26,202	28,672	0
	Professional Services	1,107	1,071	0
	Travel	823	803	0
	Lease-Purchase Expenditures	0	0	0
	Equipment	1,884	3,233	0
	Payments To Local Govt Subdivisions	25	97	0
	Other Operating Expenses	8,232	10,188	51,399
Total Expenditures by Object		<u>\$38,273</u>	<u>\$44,064</u>	<u>\$51,399</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
11	Instruction			
1	Instruction	26,346	28,030	32,705
2	Instruction - Information Tech	(17)	0	0
	Total Instruction	<u>26,329</u>	<u>28,030</u>	<u>32,705</u>
21	Sponsored Programs			
1	Sponsored Programs	11,944	16,034	22,581
	Total Sponsored Programs	<u>11,944</u>	<u>16,034</u>	<u>22,581</u>
Total Expenditures by Activity		<u>\$38,273</u>	<u>\$44,064</u>	<u>\$55,286</u>

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
91	Capital Projects			
34006	Educational Equipment	74	107	0
36126	ODFA Revenue Bond Debt Service	1,504	1,801	2,000
38092	Major Renovations - OKC	243	302	0
50023	Agricultural Research Ext OCIA	93	0	0
50132	Student Success Center	3,673	1,987	0
50133	Allied Health Center	2,399	515	0
50135	Performing Arts Center	313	2,519	0
Total Capital Outlay by Project		<u>\$8,299</u>	<u>\$7,231</u>	<u>\$2,000</u>

OUTSTANDING DEBT		\$000's		
		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Lease-purchase obligations		19,993	18,958	18,091
Revenue bond issues		8,885	8,580	8,255
Other debt		46,763	45,676	44,538
Total Outstanding Debt		<u>\$75,641</u>	<u>\$73,214</u>	<u>\$70,884</u>

MURRAY STATE COLLEGE (470)

MISSION

Murray State College provides educational opportunities and services to individuals for an enhanced quality of life.

THE BOARD

The Board of Regents consists of seven members who serve seven-year, overlapping terms. The members are appointed by the Governor and confirmed by the Senate.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 3407

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009 Actual	FY-2010 Actual	FY-2011 Budgeted
290	Educational & General Opns	9,952	9,706	11,613
430	Agency Relationship Fund	725	446	379
490	American Recov. & Reinv. Act	0	462	402
Total Expenditures by Fund		\$10,677	\$10,614	\$12,394

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	8,031	8,170	0	
Professional Services	164	118	0	
Travel	157	93	0	
Lease-Purchase Expenditures	0	0	0	
Equipment	602	126	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	1,722	2,106	12,144	
Total Expenditures by Object	\$10,676	\$10,613	\$12,144	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
11 Instruction				
1 Instruction	9,952	10,168	12,015	
Total Instruction	9,952	10,168	12,015	
21 Sponsored Programs				
1 Sponsored Programs	725	446	379	
Total Sponsored Programs	725	446	379	
Total Expenditures by Activity	\$10,677	\$10,614	\$12,394	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
91 Capital Projects				
36016 Technology Upgrade	56	92	334	
38014 Deferred Maint/Renovation	303	149	300	
38015 Equipment	0	2	0	
39675 Customer Service/Lab Facilitie	219	9	0	
50155 Deferred Maintenance/Renovat	208	82	2,237	
50156 Customer Serive Lab Facilities	1,233	3	0	
50157 Maintenance Building	4	0	0	
50158 Grounds Master Plan	0	131	110	
50159 Technology Upgrades	114	428	4	

FY - 2012 EXECUTIVE BUDGET

\$000's

Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
50160	Equipment	9	100	44
Total Capital Outlay by Project		<u>\$2,146</u>	<u>\$996</u>	<u>\$3,029</u>

OUTSTANDING DEBT

\$000's

	FY-2009	FY-2010	FY-2011
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Lease-purchase obligations	6,656	6,166	5,738
Revenue bond issues	0	0	0
Other debt	3,950	3,905	3,858
Total Outstanding Debt	<u>\$10,606</u>	<u>\$10,071</u>	<u>\$9,596</u>

NORTHEASTERN A & M COLLEGE (480)

MISSION

Northeastern Oklahoma A&M College is organized as a state supported, comprehensive college offering associate degrees and/or certificates while remaining sensitive to the specialized educational needs of the local community. The basic curricula contain freshman and sophomore courses for students who intend to pursue a baccalaureate degree after leaving Northeastern Oklahoma A&M College. Occupational programs provide opportunities for those who plan to enter the work force upon graduation. Other educational programs provide for furthering the cultural, occupational, recreational, and enrichment opportunities for those in the community desiring to study, with or without credit, in specific areas of their interest.

THE BOARD

Northeastern Oklahoma A&M College is governed by the Board of Regents of the Agricultural and Mechanical Colleges. This Board is created by Section 31a, Article 6, of the Oklahoma Constitution. It consists of nine (9) members, one is the President of the State Board of Agriculture, the remaining eight members are appointed by the Governor, by and with the advice of the Senate.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 3408

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		Actual	Actual	Budgeted
290	Educational & General Opns	12,229	12,102	12,746
430	Agency Relationship Fund	318	342	434
490	American Recov. & Reinv. Act	0	728	633
Total Expenditures by Fund		\$12,547	\$13,172	\$13,813

NORTHEASTERN A & M COLLEGE

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EDUCATION

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	10,302	10,755	0	
Professional Services	388	365	0	
Travel	60	61	0	
Lease-Purchase Expenditures	0	0	0	
Equipment	182	292	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	1,615	1,698	13,534	
Total Expenditures by Object	\$12,547	\$13,171	\$13,534	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
11 Instruction				
1 Instruction	12,229	12,830	13,379	
Total Instruction	12,229	12,830	13,379	
21 Sponsored Programs				
1 Sponsored Programs	318	342	434	
Total Sponsored Programs	318	342	434	
Total Expenditures by Activity	\$12,547	\$13,172	\$13,813	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
91 Capital Projects				
39124 OCIA 99 Debt Service	110	0	0	
39422 Master Lease 2003C Debt Serv	49	0	0	
39499 Instructional Equipment	143	180	190	
39574 Commons Hall / Music Remodel	14	0	0	
39590 Academic Equipment FY 2006	79	56	140	
39668 Master Lease Stadium Ren/Upgra	21	7	0	
39673 Real Prop Master Lease 2007A	106	105	105	
39676 2007 Flood	3,366	137	5,000	

FY - 2012 EXECUTIVE BUDGET

\$000's

Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
39745	Master Lease 2008A	320	94	0
39746	Master Lease Debt Service	83	94	90
39782	MLP Recruitment Plus	0	5	0
39783	MLP 2009A Debt Service	0	23	22
39789	Cunningham & Science Addition	0	2,345	1,500
39804	Athletic Training Facility	0	813	70
39805	Student Union Renovations	0	215	8,500
39815	Land Purchases	0	6	0
50166	Health Science Building Expans	0	1,869	0
50167	Performance Ctr/Music/Theater	700	0	0
50168	Science Building Ren. & Restor	157	1,174	0
Total Capital Outlay by Project		<u>\$5,148</u>	<u>\$7,123</u>	<u>\$15,617</u>

OUTSTANDING DEBT

\$000's

	FY-2009	FY-2010	FY-2011
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Lease-purchase obligations	6,736	6,514	6,233
Revenue bond issues	0	0	0
Other debt	0	0	0
Total Outstanding Debt	<u>\$6,736</u>	<u>\$6,514</u>	<u>\$6,233</u>

NORTHEASTERN OKLAHOMA STATE UNIVERSITY (485)

MISSION

Northeastern State University is a comprehensive regional university governed by the Board of Regents of Oklahoma Colleges within a state system coordinated by the Oklahoma State Regents for Higher Education. Its mission is to provide undergraduate and graduate education leading to bachelor's degrees, master's degrees in selected areas, and a doctoral degree in Optometry. In fulfilling this mission the University commits itself to excellence in instruction, to appropriate basic and applied research, to educational outreach and service, and to cultural activities that enhance the quality of life in the region and state. The University's contemporary mission reflects the high aspirations and commitment to classical education made in 1846 by the founders of the original Cherokee seminaries.

THE BOARD

Northeastern State University is governed by the Board of Regents for Oklahoma Colleges. The Board of Regents for Oklahoma Colleges consists of nine (9) members appointed by the Governor, by and with the advice of the Senate. Not more than two members of the Board practice the same profession, vocation or occupation.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 3513

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		Actual	Actual	Budgeted
290	Educational & General Opns	63,467	60,282	72,766
291	Northeastern State University	0	0	0
430	Agency Relationship Fund	4,670	4,691	7,351
490	American Recov. & Reinv. Act	0	2,983	2,593

NORTHEASTERN OKLAHOMA STATE UNIVERSITY - 161 -

EDUCATION

FY - 2012 EXECUTIVE BUDGET

Total Expenditures by Fund	<u><u>\$68,137</u></u>	<u><u>\$67,956</u></u>	<u><u>\$82,710</u></u>
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EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	50,897	50,368	0	
Professional Services	834	1,205	0	
Travel	1,023	856	0	
Lease-Purchase Expenditures	3	6	0	
Equipment	3,110	2,873	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	12,270	12,649	75,804	
Total Expenditures by Object	<u><u>\$68,137</u></u>	<u><u>\$67,957</u></u>	<u><u>\$75,804</u></u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
11 Instruction				
1 Instruction	63,467	63,265	75,359	
Total Instruction	63,467	63,265	75,359	
21 Sponsored Programs				
1 Sponsored Programs	4,670	4,691	7,351	
Total Sponsored Programs	4,670	4,691	7,351	
51 Auxiliary Payroll & Travel Exp				
1 Auxiliary Payroll & Travel Exp	0	0	0	
Total Auxiliary Payroll & Travel Exp	0	0	0	
Total Expenditures by Activity	<u><u>\$68,137</u></u>	<u><u>\$67,956</u></u>	<u><u>\$82,710</u></u>	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project:	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
# Project name				
91 Capital Projects				
34137 Parking & Street Development	17	8	0	
36162 Roof Replacement/Repair	90	0	0	
36164 Repair/Ren. Bldgs,Classrooms	91	7	0	

NORTHEASTERN OKLAHOMA STATE UNIVERSITY - 162 -

EDUCATION

FY - 2012 EXECUTIVE BUDGET

\$000's

Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
37099	Property Purchase	300	3	250
39067	Broken Arrow Complex	787	20	0
39344	Science Building Addition	0	3	0
39441	Energy Management Program	2	31	0
39524	Ren & Repair of Campus Bldg	206	361	600
39556	Hazardous Material Removal	36	0	0
39617	University Signage, Phase I	3	23	40
39649	Campus Landscaping/Outdoor Art	335	224	199
39811	Fine Arts Building Renovation	0	1,652	0
50089	Science Building	48	0	0
50090	Classroom Ren., ADA	3,676	3,185	0
50097	Enrollment Management Center	1,063	363	0
50098	Broken Arrow Campus	1,332	4	0
Total Capital Outlay by Project		<u><u>\$7,986</u></u>	<u><u>\$5,884</u></u>	<u><u>\$1,089</u></u>

OUTSTANDING DEBT

\$000's

	FY-2009	FY-2010	FY-2011
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Lease-purchase obligations	30,421	29,012	30,186
Revenue bond issues	25,290	24,345	23,370
Other debt	0	0	0
Total Outstanding Debt	<u><u>\$55,711</u></u>	<u><u>\$53,357</u></u>	<u><u>\$53,556</u></u>

NORTHERN OKLAHOMA COLLEGE (490)

MISSION

The mission of Northern Oklahoma College, a public, comprehensive two-year college, is to provide persons in its service area an "open-door" higher educational opportunity to seek cultural enrichment, economic achievements, and/or the associate degree.

THE BOARD

The Board of Regents consists of five members, appointed by the Governor and confirmed by the Senate. No two members of the Board can be from the same profession or occupation. And, no more than three members of the Board can be from the same county.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 3701

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
290	Educational & General Opns	22,644	20,329	22,170
430	Agency Relationship Fund	318	319	325
490	American Recov. & Reinv. Act	0	799	695
Total Expenditures by Fund		<u><u>\$22,962</u></u>	<u><u>\$21,447</u></u>	<u><u>\$23,190</u></u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	16,396	16,238	0	
Professional Services	209	216	0	
Travel	173	107	0	
Lease-Purchase Expenditures	105	39	0	
Equipment	401	169	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	5,678	4,680	22,977	
Total Expenditures by Object	\$22,962	\$21,449	\$22,977	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
11 Instruction				
1 Instruction	22,644	21,129	22,865	
Total Instruction	22,644	21,129	22,865	
21 Sponsored Programs				
1 Sponsored Programs	318	319	325	
Total Sponsored Programs	318	319	325	
Total Expenditures by Activity	\$22,962	\$21,448	\$23,190	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
91 Capital Projects				
29364 Dorms;Repair, Replace, Plumbin	55	214	720	
34029 Replace Carpet And Tile	1	6	120	
34063 Purchase of Property - FY2009	64	0	3,240	
34127 Campus Site Development	28	19	750	
36008 Repair of Educational Faciliti	99	144	600	
36009 Roof Replacement - Library	0	5	900	
36010 Library Acquisitions	22	21	480	
36011 Campus Dining Facilities	21	59	1,740	
36012 Physical Plant Equipment	144	181	1,200	

FY - 2012 EXECUTIVE BUDGET

\$000's

Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
36036	Computer Sftwr&License Fee	0	22	720
36037	Instruct Equipment & Furniture	200	395	950
36039	Computers & Networking	203	259	1,950
36095	Purchase Vehicles	218	156	1,010
36096	Office Equipment & Furniture	56	36	360
39022	Debt Service Rev.Bonds - Enid	1,988	2,645	3,600
39216	ADA Compliance	0	45	240
39570	Infrastructure Improvements	11	0	550
39793	Construction of Residence Hall	0	0	6,700
39798	Facility Construction & Maint.	0	18	0
50161	Enid Campus	631	1,931	800
50164	Ren & Exp of Vineyard Libr Adm	204	25	750
Total Capital Outlay by Project		<u>\$3,945</u>	<u>\$6,181</u>	<u>\$27,380</u>

\$000's

OUTSTANDING DEBT		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Lease-purchase obligations		12,924	12,542	12,122
Revenue bond issues		2,450	2,250	2,040
Other debt		0	0	0
Total Outstanding Debt		<u>\$15,374</u>	<u>\$14,792</u>	<u>\$14,162</u>

NORTHWESTERN OKLAHOMA STATE UNIVERSITY (505)

MISSION

Northwestern is one of six state-supported regional universities in Oklahoma and is accredited by the North Central Association of Colleges and Schools and the National Council for Accreditation of Teacher Education.

Northwestern offers Bachelor of Arts and Bachelor of Science degrees in over 40 areas of study. A Bachelor of Science in Nursing degree is also offered. Some of the more popular fields of study include education, business administration, health and physical education, law enforcement, nursing, agriculture, psychology, pre-medicine, biology and mass communications. Study in several pre-professional fields is offered. At the graduate level, master's degrees in Education and Behavioral Science are available.

The Alva campus is the hub of Northwestern and home to about 1,500 of the university's students. All academic programs, administrative offices and athletic teams are based in Alva. Some student services are available at the Enid and Woodward campuses. The Enid Campus offers non-general education, upper division and graduate courses during the day and evening. General education courses are taught through Northern Oklahoma College. The Woodward Campus offers all levels of course work during the day and evening hours.

THE BOARD

Northwestern Oklahoma State University is governed by the Board of Regents for Oklahoma Colleges. The Board of Regents for Oklahoma Colleges consists of nine (9) members appointed by the Governor, by and with the advice of the Senate. Not more than two members of the Board practice the same profession, vocation or occupation.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 3513

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
290	Educational & General Opns	17,695	17,017	18,271
430	Agency Relationship Fund	1,116	1,192	1,228
490	American Recov. & Reinv. Act	0	824	716
Total Expenditures by Fund		<u>\$18,811</u>	<u>\$19,033</u>	<u>\$20,215</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Salaries and Benefits		14,094	14,933	0
Professional Services		200	145	0
Travel		243	249	0
Lease-Purchase Expenditures		0	0	0
Equipment		1,148	702	0
Payments To Local Govt Subdivisions		0	0	0
Other Operating Expenses		3,125	3,003	19,252
Total Expenditures by Object		<u>\$18,810</u>	<u>\$19,032</u>	<u>\$19,252</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
11	Instruction			
1	Instruction	17,695	17,841	18,987
	Total Instruction	<u>17,695</u>	<u>17,841</u>	<u>18,987</u>
21	Sponsored Programs			
1	Sponsored Programs	1,116	1,192	1,228
	Total Sponsored Programs	<u>1,116</u>	<u>1,192</u>	<u>1,228</u>
Total Expenditures by Activity		<u>\$18,811</u>	<u>\$19,033</u>	<u>\$20,215</u>

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
91	Capital Projects			
29276	Equip-Phys.Plant & Motor Pool	32	56	147
34099	Equipment-Library & Media Ctr	49	1	50
36003	Admin. Furniture & Equipment	64	19	64
38027	Instructional Equipment	50	10	43
39227	Major Renovation & Repair	24	86	174
39493	Energy Management Debt Service	147	147	147
39739	Woodward Project	687	0	0
39763	Ranger Field Locker Room Upgra	183	696	0
39803	Agriculture Educational Complx	0	32	0
50099	Education Building-Woodward	549	0	0
50100	Science Building Renovation	1,958	0	0
50101	Health & Phys. Ed. Bldg Renov	729	0	0
Total Capital Outlay by Project		<u>\$4,472</u>	<u>\$1,047</u>	<u>\$625</u>

OUTSTANDING DEBT		\$000's		
		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Lease-purchase obligations		9,446	9,221	8,502
Revenue bond issues		0	0	0
Other debt		295	638	516
Total Outstanding Debt		<u>\$9,741</u>	<u>\$9,859</u>	<u>\$9,018</u>

OSU - CENTER FOR HEALTH SCIENCES (773)

MISSION

It is the mission of the College of Osteopathic Medicine of Oklahoma State University to deliver the pre-doctoral, graduate, and continuing osteopathic medical education, biomedical research, patient care, and public services necessary to prepare high quality primary care physicians for rural and other underserved areas of Oklahoma.

THE BOARD

The Oklahoma State University College of Osteopathic Medicine is governed by the Board of Regents of the Agricultural and Mechanical Colleges. This Board is created by Section 31a, Article 6, of the Oklahoma Constitution. It consists of nine (9) members, one is the President of the State Board of Agriculture, the remaining eight members are appointed by the Governor, by and with the advice of the Senate.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 3423

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
290	Educational & General Opns	52,543	48,499	55,890
430	Agency Relationship Fund	22,708	8,035	9,000
490	ARRA	0	2,435	990
Total Expenditures by Fund		<u>\$75,251</u>	<u>\$58,969</u>	<u>\$65,880</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	39,267	30,983	0	
Professional Services	12,085	4,748	0	
Travel	530	460	0	
Lease-Purchase Expenditures	0	0	0	
Equipment	2,021	3,628	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	21,347	19,150	63,722	
Total Expenditures by Object	\$75,250	\$58,969	\$63,722	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
11 Instruction				
1 Instruction	52,543	49,638	56,880	
Total Instruction	52,543	49,638	56,880	
21 Sponsored Programs				
1 Sponsored Programs	22,708	9,331	9,000	
Total Sponsored Programs	22,708	9,331	9,000	
Total Expenditures by Activity	\$75,251	\$58,969	\$65,880	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
91 Capital Projects				
39638 Rural Health & Telemedicine Ce	11,827	8,426	0	
39698 Forensic Lab Master lease	1,057	13,971	0	
39737 Mass Spectrometer	400	0	0	
39758 CHS Energy Savings Program ML	0	1,601	0	
39774 Medical and Biomedical Facilit	6	0	0	
39828 OSU Med. Ctr. Repair & Remodel	0	0	5,582	
50078 Campus Building Renovations	2,130	565	0	
Total Capital Outlay by Project	\$15,420	\$24,563	\$5,582	

OSU - CENTER FOR VET HEALTH SCIENCES (14)

MISSION

The College of Veterinary Medicine (CVM), Oklahoma State University, has three missions: instruction, research, and public service. The first responsibility of the College is to provide for students in the professional curriculum the very best educational experience possible. Paramount in the educational philosophy of the CVM is the preparation of students for "lifelong" learning and the appreciation of and sensitivity to societal needs.

THE BOARD

The Oklahoma State University College of Veterinary Medicine is governed by the Board of Regents of the Agricultural and Mechanical Colleges. This Board is created by Section 31a, Article 6, of the Oklahoma Constitution. It consists of nine (9) members, one is the President of the State Board of Agriculture, the remaining eight members are appointed by the Governor, by and with the advice of the Senate.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 3201.1

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		Actual	Actual	Budgeted
290	Educational & General Opns	24,541	23,469	26,532
430	Agency Relationship Fund	5,054	4,607	11,000
490	American Recov. & Reinv. Act	0	885	1,457
Total Expenditures by Fund		\$29,595	\$28,961	\$38,989

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	18,918	18,678	0	
Professional Services	184	210	0	
Travel	206	227	0	
Lease-Purchase Expenditures	0	0	0	
Equipment	936	783	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	9,350	9,064	36,337	
Total Expenditures by Object	\$29,594	\$28,962	\$36,337	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
11 Instruction				
1 Instruction	24,541	24,354	27,301	
Total Instruction	24,541	24,354	27,301	
21 Sponsored Programs				
1 Sponsored Programs	5,054	4,607	11,688	
Total Sponsored Programs	5,054	4,607	11,688	
Total Expenditures by Activity	\$29,595	\$28,961	\$38,989	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
91 Capital Projects				
39263 General Restorations	15	0	0	
39618 OADDL Lab Expansion	7,875	2,828	0	
39690 CVHS-Research Labs	196	0	0	
39702 Master Lease Energy Cons 2006C	27	7	0	
50076 McElroy Hall	5	9	0	
50077 Boren Vet. Teaching Hospital	52	5	0	
Total Capital Outlay by Project	\$8,170	\$2,849	\$0	

OSU - EXPERIMENT STATION (11)

MISSION

The Oklahoma Agricultural Experiment Station (OAES) is the agricultural research arm of the University and of the Division of Agricultural Sciences and Natural Resources. Established in 1890 by an act of the Territorial Legislature and defined by Congress as created "to aid in acquiring and diffusing among the people of the United States useful and practical information on subjects connected with agriculture," the organization serves as Oklahoma's agricultural research agency.

The OAES is supported by both State and Federal appropriations as well as by grants, contracts, cooperative agreements, and product sales. Oklahomans help evaluate needs and establish priorities for the Station's researchers through various advisory committees. Research varies from the most fundamental at the cellular and subcellular levels to high impact research of immediate utility.

The OAES system includes laboratories, greenhouses, and plot land at the main campus in Stillwater, as well as 17 research stations distributed around the state to cover Oklahoma's diverse agricultural conditions. Faculty from the Division of Agricultural Sciences and Natural Resources and other colleges conduct many research projects within the OAES. Several faculty in Veterinary Medicine and Human Environmental Sciences hold OAES appointments. The Dean of the Division of Agricultural Sciences and Natural Resources serves as the Director of the OAES. The OAES research program is closely integrated with the companion programs of teaching in the College of Agricultural Sciences and Natural Resources and with technology transfer in Cooperative Extension Service and in International Agriculture Programs.

THE BOARD

The Oklahoma State University Agricultural Experiment Station is governed by the Board of Regents of the Agricultural and Mechanical Colleges. This Board is created by Section 31a, Article 6, of the Oklahoma Constitution. It consists of nine (9) members, one is the President of the State Board of Agriculture, the remaining eight members are appointed by the Governor, by and with the advice of the Senate.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 3103

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
290	Educational & General Opns	28,419	23,931	30,861
430	Agency Relationship Fund	25,205	25,555	34,680
490	American Recov. & Reinv. Act	0	2,169	4,149
Total Expenditures by Fund		<u>\$53,624</u>	<u>\$51,655</u>	<u>\$69,690</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
	Salaries and Benefits	34,135	35,671	0
	Professional Services	2,259	2,622	0
	Travel	887	681	0
	Lease-Purchase Expenditures	0	0	0
	Equipment	2,029	1,674	0
	Payments To Local Govt Subdivisions	0	0	0
	Other Operating Expenses	14,313	11,007	63,671
Total Expenditures by Object		<u>\$53,623</u>	<u>\$51,655</u>	<u>\$63,671</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
11	Instruction			
1	Instruction	28,419	26,100	32,746
	Total Instruction	<u>28,419</u>	<u>26,100</u>	<u>32,746</u>
21	Sponsored Programs			
1	Sponsored Programs	25,205	25,555	36,944
	Total Sponsored Programs	<u>25,205</u>	<u>25,555</u>	<u>36,944</u>
Total Expenditures by Activity		<u>\$53,624</u>	<u>\$51,655</u>	<u>\$69,690</u>

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
91	Capital Projects			
39692	Ardmore Ag. Experiment Station	564	1,753	0
39753	Botanical Garden/Research Cent	0	50	0
Total Capital Outlay by Project		<u>\$564</u>	<u>\$1,803</u>	<u>\$0</u>

OSU - EXTENSION DIVISION (12)

MISSION

The mission of the Oklahoma Cooperative Extension Service is to disseminate information to the people of Oklahoma and encourage the adoption of research-generated knowledge relating to agriculture, family and consumer sciences, rural development and 4-H youth development.

THE BOARD

The Oklahoma State University Extension Division is governed by the Board of Regents of the Agricultural and Mechanical Colleges. This Board is created by Section 31a, Article 6, of the Oklahoma Constitution. It consists of nine (9) members, one is the President of the State Board of Agriculture, the remaining eight members are appointed by the Governor, by and with the advice of the Senate.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 3103

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

Type of Fund:	\$000's		
	FY- 2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
290 Educational & General Opns	36,731	34,062	36,261
430 Agency Relationship Fund	8,953	8,571	12,597
490 American Recov. & Reinv. Act	0	2,377	2,066
Total Expenditures by Fund	<u>\$45,684</u>	<u>\$45,010</u>	<u>\$50,924</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	39,260	39,231	0	
Professional Services	321	383	0	
Travel	924	869	0	
Lease-Purchase Expenditures	0	0	0	
Equipment	390	93	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	4,788	4,433	48,987	
Total Expenditures by Object	\$45,683	\$45,009	\$48,987	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
11 Instruction				
1 Instruction	36,731	36,439	38,327	
Total Instruction	36,731	36,439	38,327	
21 Sponsored Programs				
1 Sponsored Programs	8,953	8,571	12,597	
Total Sponsored Programs	8,953	8,571	12,597	
Total Expenditures by Activity	\$45,684	\$45,010	\$50,924	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
91 Engineering Tech. Skills Cente				
39753 Botanical Garden Research Cent	0	3	0	
Total Capital Outlay by Project	\$0	\$3	\$0	

OSU - SCHOOL OF TECH. TRAINING, OKMULGEE (13)

MISSION

To serve as the lead institution of higher education in Oklahoma and the region for comprehensive, high-quality, advancing-technology programs and services to prepare and sustain a diverse student body as competitive members of a world-class workforce and contributing members of society.

THE BOARD

The Oklahoma State University Technical Branch - Okmulgee is governed by the Board of Regents of the Agricultural and Mechanical Colleges. This Board is created by Section 31a, Article 6, of the Oklahoma Constitution. It consists of nine (9) members, one is the President of the State Board of Agriculture, the remaining eight members are appointed by the Governor, by and with the advice of the Senate.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 3103

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
290	Educational & Gen Operations	22,322	20,806	25,177
430	Agency Relationship Fund	1,840	1,800	2,729
490	American Recov. & Reinv. Act	0	1,170	1,017
Total Expenditures by Fund		<u>\$24,162</u>	<u>\$23,776</u>	<u>\$28,923</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	18,759	18,416	0	
Professional Services	184	223	0	
Travel	106	56	0	
Lease-Purchase Expenditures	0	0	0	
Equipment	454	756	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	4,661	4,326	28,058	
Total Expenditures by Object	\$24,164	\$23,777	\$28,058	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
11 Instruction				
1 Instruction	22,322	21,976	26,194	
Total Instruction	22,322	21,976	26,194	
21 Sponsored Programs				
1 Sponsored Programs	1,840	1,800	2,729	
Total Sponsored Programs	1,840	1,800	2,729	
Total Expenditures by Activity	\$24,162	\$23,776	\$28,923	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
91 Capital Projects				
39315 Instructional Equipment	42	0	0	
39488 Gen. Campus Maintenance	289	226	1	
39505 Student Success Center	18	7	0	
39593 Campus Streets & Parking Lots	107	52	0	
39641 Instructional Equipment	0	13	2	
50073 Rural Health Sci & Tech. Ctr	3,768	2,613	61	
50075 Campus Streets & Parking Lots	518	0	0	
Total Capital Outlay by Project	\$4,742	\$2,911	\$64	

OSU - TECHNICAL INSTITUTE OF OKC (15)

MISSION

The mission of Oklahoma State University-Oklahoma City is to provide collegiate level career and transfer educational programs and supportive services which will prepare individuals to live and work in an increasingly technological and global community.

THE BOARD

The Oklahoma State University Technical Branch - Oklahoma City is governed by the Board of Regents of the Agricultural and Mechanical Colleges. This Board is created by Section 31a, Article 6, of the Oklahoma Constitution. It consists of nine (9) members, one is the President of the State Board of Agriculture, the remaining eight members are appointed by the Governor, by and with the advice of the Senate.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70 Sec. 3103

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
290	Educational & General Opns	22,151	21,537	24,797
430	Agency Relationship Fund	2,953	3,090	5,239
490	American Recov. & Reinv. Act	0	888	772
Total Expenditures by Fund		<u><u>\$25,104</u></u>	<u><u>\$25,515</u></u>	<u><u>\$30,808</u></u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	19,471	20,364	0	
Professional Services	158	138	0	
Travel	174	134	0	
Lease-Purchase Expenditures	0	0	0	
Equipment	857	821	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	4,444	4,057	28,827	
Total Expenditures by Object	\$25,104	\$25,514	\$28,827	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
11 Instruction				
1 Instruction	22,151	22,424	25,569	
Total Instruction	22,151	22,424	25,569	
21 Sponsored Programs				
1 Sponsored Programs	2,953	3,090	5,239	
Total Sponsored Programs	2,953	3,090	5,239	
Total Expenditures by Activity	\$25,104	\$25,514	\$30,808	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
91 Capital Projects				
39504 General Campus Mtce/Rep/Remdle	447	330	305	
39663 Campus Deferred Maintenance	74	0	0	
39752 Engineering Technology Skills	48	1,062	4,670	
50079 Agriculture Resource Center	310	192	0	
50080 Physical Plant Building	18	0	0	
50081 Public Safety Training Fac	562	761	0	
50082 Purchase of Property	3	0	0	
Total Capital Outlay by Project	\$1,462	\$2,345	\$4,975	

OKLAHOMA CITY COMMUNITY COLLEGE (633)

MISSION

Oklahoma City Community College serves the people of central Oklahoma by providing educational opportunities, including certificates and associate degrees, business training, and continuing education programs. The College prepares community members to participate in an increasingly global society. With an emphasis on student success, the College is committed to making a significant and positive difference in the lives of individuals and in the community as a whole.

THE BOARD

The Board of Regents consists of seven members who serve seven-year, overlapping terms. The members are appointed by the Governor and confirmed by the Senate. Four members shall reside in the county in which the College is located.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 4423

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
290	Educational & General Opns	48,315	50,544	60,017
430	Agency Relationship Fund	2,954	3,410	3,786
490	American Recov. & Reinv. Act	0	1,632	1,735
Total Expenditures by Fund		<u>\$51,269</u>	<u>\$55,586</u>	<u>\$65,538</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
Salaries and Benefits	38,725	41,482	0	
Professional Services	1,362	1,183	0	
Travel	486	408	0	
Lease-Purchase Expenditures	698	615	0	
Equipment	3,092	4,445	0	
Payments To Local Govt Subdivisions	0	10	0	
Other Operating Expenses	6,906	7,444	60,750	
Total Expenditures by Object	<u>\$51,269</u>	<u>\$55,587</u>	<u>\$60,750</u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
11 Instruction				
1 Instruction	44,617	48,413	61,752	
2 Instruction - Information Tech	3,697	3,763	0	
Total Instruction	<u>48,314</u>	<u>52,176</u>	<u>61,752</u>	
21 Sponsored Programs				
1 Sponsored Programs	2,954	3,410	3,786	
Total Sponsored Programs	<u>2,954</u>	<u>3,410</u>	<u>3,786</u>	
Total Expenditures by Activity	<u>\$51,268</u>	<u>\$55,586</u>	<u>\$65,538</u>	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Estimated</u>	
91 Capital Projects				
39597 Health Professions Educ Center	1	0	10	
39598 Arts Education Center	1	0	21	
39662 Arts Festival Relocation	99	0	0	
39728 Campus Signage-- Wayfinder Pro	0	17	0	
39730 Engineering Lab	326	0	0	
39740 Main Building Remodel	110	0	0	
39755 Capitol Hill Center	397	0	0	
50169 Arts Education Center	2,252	74	43	

FY - 2012 EXECUTIVE BUDGET

\$000's

Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
50170	Health Professions Center	1,786	390	29
Total Capital Outlay by Project		<u>\$4,972</u>	<u>\$481</u>	<u>\$103</u>

OUTSTANDING DEBT

\$000's

	FY-2009	FY-2010	FY-2011
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Lease-purchase obligations	15,390	14,831	14,348
Revenue bond issues	15,855	14,930	13,975
Other debt	0	0	0
Total Outstanding Debt	<u>\$31,245</u>	<u>\$29,761</u>	<u>\$28,323</u>

OKLAHOMA PANHANDLE STATE UNIVERSITY (530)

MISSION

The mission of Oklahoma Panhandle State University is to provide higher education primarily for people of the Oklahoma panhandle and surrounding areas through academic programs, cultural enrichment, lifelong learning experiences, and public service activities. The educational experiences are designed to enrich the personal lives of students and to prepare them for roles in agriculture, business, education, government, and industry.

THE BOARD

Oklahoma Panhandle State University is governed by the Board of Regents of the Agricultural and Mechanical Colleges. This Board is created by Section 31a, Article 6, of the Oklahoma Constitution. It consists of nine (9) members, one is the President of the State Board of Agriculture, the remaining eight members are appointed by the Governor, by and with the advice of the Senate.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 3402.1

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
290	Educational & General Opns	10,935	10,446	11,759
430	Agency Relationship Fund	497	982	426
490	American Recov. & Reinv. Act	0	579	503
Total Expenditures by Fund		<u>\$11,432</u>	<u>\$12,007</u>	<u>\$12,688</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
Salaries and Benefits	7,759	8,100	0	
Professional Services	480	500	0	
Travel	288	204	0	
Lease-Purchase Expenditures	0	0	0	
Equipment	419	983	0	
Payments To Local Govt Subdivisions	97	95	0	
Other Operating Expenses	2,389	2,125	12,512	
Total Expenditures by Object	<u>\$11,432</u>	<u>\$12,007</u>	<u>\$12,512</u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
11 Instruction				
1 Instruction	10,935	11,025	12,262	
Total Instruction	10,935	11,025	12,262	
21 Sponsored Programs				
1 Sponsored Programs	497	982	426	
Total Sponsored Programs	497	982	426	
Total Expenditures by Activity	<u>\$11,432</u>	<u>\$12,007</u>	<u>\$12,688</u>	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Estimated</u>	
91 Capital Projects				
37049 Facilities Renovation	192	122	700	
37050 Instructional Materials & Equi	91	74	150	
39074 Vehicles	33	68	0	
39212 Equipment and Materials	57	18	50	
39455 Chillers Master Lease Debt Ser	54	64	0	
39480 Master Lease Steamline Debt Se	133	144	0	
39679 Holter Hall Pipe Replacement D	95	101	0	
39710 Science and Agriculture Buildi	974	8	0	
39808 Electrical Upgrades	0	314	0	

FY - 2012 EXECUTIVE BUDGET

\$000's

Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
39809	Student Union & Campus Upgrade	0	6	0
50136	Science & Agriculture Building	466	10	0
Total Capital Outlay by Project		<u><u>\$2,095</u></u>	<u><u>\$929</u></u>	<u><u>\$900</u></u>

\$000's

OUTSTANDING DEBT		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
	Lease-purchase obligations	11,444	10,947	12,378
	Revenue bond issues	0	0	0
	Other debt	5,226	5,134	5,027
	Total Outstanding Debt	<u><u>\$16,670</u></u>	<u><u>\$16,081</u></u>	<u><u>\$17,405</u></u>

OKLAHOMA STATE UNIVERSITY (10)

MISSION

At the present time, Oklahoma State University, with its various campuses and telecommunication facilities, provides instruction, research, and extension for the state of Oklahoma. Service to the people of Oklahoma, the nation, and the world will be enhanced through Distance Education, especially through the use of the World Wide Web, Internet, Satellite broadcasts, compressed video over OneNet, and videotape. The land-grant mission at OSU remains the focus of the commitment to serve. Changes in technology make it possible to extend the mission to the entire globe.

THE BOARD

Oklahoma State University is governed by the Board of Regents of the Agricultural and Mechanical Colleges. This Board is created by Section 31a, Article 6, of the Oklahoma Constitution. It consists of nine (9) members, one is the President of the State Board of Agriculture, the remaining eight members are appointed by the Governor, by and with the advice of the Senate.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 3401

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:	FY- 2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
290 Educational & General Opns	227,965	223,976	285,169	
430 Agency Relationship Fund	37,721	43,870	47,500	
490 American Recov. & Reinv. Act	0	9,937	20,861	
Total Expenditures by Fund	<u>\$265,686</u>	<u>\$277,783</u>	<u>\$353,530</u>	

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	217,188	223,351	0	
Professional Services	7,732	9,567	0	
Travel	3,975	3,932	0	
Lease-Purchase Expenditures	0	0	0	
Equipment	11,550	12,850	0	
Payments To Local Govt Subdivisions	0	200	0	
Other Operating Expenses	25,239	27,883	328,981	
Total Expenditures by Object	\$265,684	\$277,783	\$328,981	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
11 Instruction				
1 Instruction	227,965	233,832	293,736	
Total Instruction	227,965	233,832	293,736	
21 Sponsored Programs				
1 Sponsored Programs	37,721	43,952	59,794	
Total Sponsored Programs	37,721	43,952	59,794	
Total Expenditures by Activity	\$265,686	\$277,784	\$353,530	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
91 Capital Projects				
19052 Laser Research Center	77	0	0	
37088 IBA Arena Expansion	1,627	2,374	4,000	
39219 Gen Campus Maintenance	0	4	0	
39282 Gen Campus Maintenance	72	55	58	
39356 Research and Teaching Lab	602	323	76	
39370 Repairs & Maintenance	0	4	196	
39378 OKM General Repair & Maint.	104	0	0	
39483 Deferred Maintenance	62	56	0	
39486 Acad. Building Repair/Roof OKM	92	0	0	

FY - 2012 EXECUTIVE BUDGET

\$000's

Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
39488	Gen. Campus Maintenance OKM	14	0	200
39489	Gen. Campus Maintenance OKC	205	7	0
39504	General Campus Repairs/Remodel	0	0	200
39533	Street Maint & Repairs	474	777	230
39548	Instructional Equipment	251	92	0
39562	Lake Carl Blackwell	509	54	0
39563	Gen. Univ. Roofs/Exterior	26	0	0
39575	Parking Lot Maintenance/Repair	203	203	0
39582	Gen. Univ Bldgs Rep & Maint	760	2,612	3,594
39583	ADA	67	230	663
39584	Life Safety Code	456	205	300
39585	Asbestos Abatement	433	407	1,695
39586	Deferred Maintenance	464	33	200
39591	Remote Overflow Parking	7,562	1,333	0
39609	OSU Transit Facility	281	775	85
39612	Women's Softball Improvement	142	0	0
39619	Gen Univ Bldgs-Maint, Repair &	198	38	360
39628	Physical Plant Chiller	2,707	0	0
39630	Uninterruptible Power Source	9	0	0
39637	Old Central	4,534	2,257	679
39640	Seretean Center Modifications	160	13	0
39667	Life Science-East Renovations	372	0	0
39669	Bartlett Hall Renovation	99	2	0
39677	Whitehurst Hall Renovations	49	0	0
39682	Master lease real prop Hester	196	436	0
39683	Roof and Exterior Repair	129	0	0
39684	Camp Redlands Improvements	7	0	0
39690	CVHS-comparative Research Labs	716	0	0
39694	PIO Building Maintenance Repa	0	20	0
39696	Master Lease 2007C, FF&E, Musi	642	256	0
39701	Architectural Bldg Exp/Upgrade	322	4,261	73
39703	Master Lease 2006C-Telecom Equ	5	0	0
39717	Sensor Testing - Stillwater	2,467	450	118
39718	Sensor Testing - Ponca City	79	0	0
39723	Water Treatment Plant Upgrades	1,266	490	0
39733	IBM Mainframe	1,001	93	6
39734	Upgrade of CS Gold Software	60	0	0
39735	Purchase of Telephone Equip	423	0	0
39736	Passenger Vans	257	3	0
39749	Water Plant Mstr Lse 2008	1,726	2,400	0
39756	High Def TV Signal Format ML	2,478	349	153
39757	Telephone Equipment ML	293	509	16
39760	Institute of Teaching and Lear	215	253	79
39761	Compressed Natural Gas Station	0	51	147
39773	Campus Streets and Repairs	156	0	0
39778	Repair & Remodel Facilities	0	200	0
39784	MLP Student Union Renovation	0	8,607	7,000
39785	MLP Cowboy Mall	0	1,500	0
39786	MLP Campuswide Water Irrigatio	0	568	0
39791	Housing 2009A Gen Obl Bonds	0	321	148,000
39792	Housing Bond Anticip Note 2009	0	307	0
39801	Master Lease 2009B Vans Trucks	0	174	0

FY - 2012 EXECUTIVE BUDGET

\$000's

Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
39837	Res Hall Dining Food Serv Impr	0	0	26
39838	Res Hall Elevator Repair/Upgrd	0	0	300
40051	Advanced Technology Center Res	3,989	5,540	4,000
50070	Science and Tech Res. Cntr.	22,312	24,040	19,000
50071	South Murray Hall	9,987	6,844	3,379
50072	New Classroom Building	7,841	1,260	901
Total Capital Outlay by Project		<u>\$79,178</u>	<u>\$70,786</u>	<u>\$195,734</u>

OUTSTANDING DEBT

\$000's

	FY-2009	FY-2010	FY-2011
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Lease-purchase obligations	166,324	179,830	248,846
Revenue bond issues	303,510	296,710	183,545
Other debt	140,378	73,717	199,898
Total Outstanding Debt	<u>\$610,212</u>	<u>\$550,257</u>	<u>\$632,289</u>

REDLANDS COMMUNITY COLLEGE (241)

MISSION

The Mission of Redlands Community College is to prepare students for lifelong learning in a high technology, fast-paced environment. By investing in a technology infrastructure, professional development of employees, and a flexible curriculum, Redlands Community College will prepare students for the 21st Century.

THE BOARD

The Board of Regents consists of seven members who serve seven-year, overlapping terms. The members are appointed by the Governor and confirmed by the Senate. Four members shall reside in the county in which the College is located.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 4423

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

Type of Fund:	\$000's		
	FY- 2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
290 Educational & General Opns	9,413	9,682	10,868
430 Agency Relationship Fund	3,193	3,934	2,352
490 American Recov. & Reinv. Act	0	441	383
Total Expenditures by Fund	<u><u>\$12,606</u></u>	<u><u>\$14,057</u></u>	<u><u>\$13,603</u></u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	9,010	8,813	0	
Professional Services	403	317	0	
Travel	138	180	0	
Lease-Purchase Expenditures	152	123	0	
Equipment	682	650	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	2,222	3,973	12,928	
Total Expenditures by Object	\$12,607	\$14,056	\$12,928	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
11 Instruction				
1 Instruction	9,413	10,122	11,251	
Total Instruction	9,413	10,122	11,251	
21 Sponsored Programs				
1 Sponsored Programs	3,193	3,934	2,352	
Total Sponsored Programs	3,193	3,934	2,352	
Total Expenditures by Activity	\$12,606	\$14,056	\$13,603	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
91 Capital Projects				
36030 Building Repair & Maintenance	422	267	365	
36124 Instructional Equipment Upgrad	35	109	0	
37014 Motor Pool/Purchase & Upgrade	108	107	76	
39375 Master Lease-Tech. Upgrades	0	0	0	
39379 Technology Infrastructure	11	20	0	
39545 Equine Facilities	294	823	707	
39719 Real Property Master Lease	1,208	1	0	
39738 Master Lease 2008A	0	57	112	
39779 Equipment Master Lease	0	321	113	

FY - 2012 EXECUTIVE BUDGET

\$000's

Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
39781	Royse Ranch Real Property Mast	0	596	0
39819	Master Lease 2010A Equipment	0	0	375
39832	Royse Ranch Refinancing Master	0	0	715
39833	Royse Ranch IT Project Master	0	0	178
39834	Royse Ranch Construction Maste	0	0	407
50172	Science Technology Building	154	0	0
50173	Nursing & Allied Hlth Renovat	491	33	0
50175	Darlington Production Facility	17	0	0
Total Capital Outlay by Project		<u>\$2,740</u>	<u>\$2,334</u>	<u>\$3,048</u>

OUTSTANDING DEBT

\$000's

	FY-2009	FY-2010	FY-2011
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Lease-purchase obligations	7,974	8,443	17,077
Revenue bond issues	545	465	385
Other debt	815	749	390
Total Outstanding Debt	<u>\$9,334</u>	<u>\$9,657</u>	<u>\$17,852</u>

ROGERS STATE UNIVERSITY (461)

MISSION

Rogers State University is a regional university, located in northeastern Oklahoma, governed by the University of Oklahoma Board of Regents within a state system coordinated by the Oklahoma State Regents for Higher Education. The mission of RSU is to prepare its students to achieve professional and personal goals in dynamic local and global communities. Rogers State University provides traditional and innovative learning opportunities and is committed to excellence in teaching and student service.

THE BOARD

Rogers State University is governed by the Board of Regents of the University of Oklahoma. This Board is created by Section 8, Article XIII, of the Oklahoma Constitution. It consists of seven (7) members, appointed by the Governor, by and with the advice and consent of the Senate.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 4669

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
290	Educational & General Opns	25,911	25,607	29,825
430	Agency Relationship Fund	3,197	4,012	7,000
490	American Recov. & Reinv. Act	0	1,129	981
Total Expenditures by Fund		<u>\$29,108</u>	<u>\$30,748</u>	<u>\$37,806</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	20,565	21,307	0	
Professional Services	701	638	0	
Travel	510	554	0	
Lease-Purchase Expenditures	0	6	0	
Equipment	1,948	2,780	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	5,384	5,463	33,661	
Total Expenditures by Object	\$29,108	\$30,748	\$33,661	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
11 Instruction				
1 Instruction	25,911	26,736	30,806	
Total Instruction	25,911	26,736	30,806	
21 Sponsored Programs				
1 Sponsored Programs	3,197	4,012	7,000	
Total Sponsored Programs	3,197	4,012	7,000	
Total Expenditures by Activity	\$29,108	\$30,748	\$37,806	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
91 Capital Projects				
39115 Computers	21	1	0	
39165 Furniture/Fixtures	36	27	130	
39167 Furniture/Fixtures II	66	6	0	
39687 Student Facility Revenue Bonds	5,552	1,066	0	
39812 MasterLease 2010 Equipment/Bus	0	198	0	
39819 Master Lease 2010A Equipment	0	0	3,500	
39829 Classroom/Baird Hall	0	0	325	
39836 Master Lease Const Studnt Hous	0	0	10,500	
50102 Bartlesville Campus	156	219	0	

FY - 2012 EXECUTIVE BUDGET

\$000's

Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
50103	Classroom Building	2,310	1,743	0
50104	Infrastructure Improvements	1	0	0
50106	Thunderbird/Post Hall, Phse I	37	0	0
50107	Pyror Campus	577	0	0
50108	Renovations & Repairs	11	0	0
Total Capital Outlay by Project		<u>\$8,767</u>	<u>\$3,260</u>	<u>\$14,455</u>

OUTSTANDING DEBT

\$000's

	FY-2009	FY-2010	FY-2011
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Lease-purchase obligations	20,214	19,300	18,879
Revenue bond issues	17,781	17,360	16,925
Other debt	0	0	0
Total Outstanding Debt	<u>\$37,995</u>	<u>\$36,660</u>	<u>\$35,804</u>

ROSE STATE COLLEGE (531)

MISSION

The Rose State College Center for Regional Studies is established to preserve the unique history of the communities of Eastern Oklahoma County, including Tinker Air Force Base, and to promote the understanding of the importance of the region to the State of Oklahoma, the southwestern region of states, and the United States.

THE BOARD

The Board of Regents consists of seven members who serve seven-year, overlapping terms. The members are appointed by the Governor and confirmed by the Senate. Four members shall reside in the county in which the College is located.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 4423

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		Actual	Actual	Budgeted
290	Educational & General Opns	32,567	30,854	33,986
430	Agency Relationship Fund	1,902	1,722	2,582
490	American Recov. & Reinv. Act	0	1,692	1,471
Total Expenditures by Fund		\$34,469	\$34,268	\$38,039

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	28,666	29,275	0	
Professional Services	471	558	0	
Travel	284	223	0	
Lease-Purchase Expenditures	0	0	0	
Equipment	1,538	1,312	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	3,510	2,899	36,634	
Total Expenditures by Object	\$34,469	\$34,267	\$36,634	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
11 Instruction				
1 Instruction	32,567	32,545	35,457	
Total Instruction	32,567	32,545	35,457	
21 Sponsored Programs				
1 Sponsored Programs	1,902	1,722	2,582	
Total Sponsored Programs	1,902	1,722	2,582	
Total Expenditures by Activity	\$34,469	\$34,267	\$38,039	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
91 Capitol Projects				
39084 Instructional Equipment	689	423	0	
39366 Replacement of Adm.Appl/Hard	0	0	660	
39788 Provost Bus Master Lease 2009A	0	474	0	
50177 Health Sciences Center	5,631	3,343	395	
Total Capital Outlay by Project	\$6,320	\$4,240	\$1,055	

OUTSTANDING DEBT	\$000's		
	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Lease-purchase obligations	12,128	11,562	11,298
Revenue bond issues	5,950	5,100	4,250
Other debt	0	0	0
Total Outstanding Debt	<u><u>\$18,078</u></u>	<u><u>\$16,662</u></u>	<u><u>\$15,548</u></u>

SEMINOLE STATE COLLEGE (623)

MISSION

Seminole State College is maintained as a two-year public college authorized by the Oklahoma State Regents for Higher Education to offer courses, provide programs, and confer associate degrees. Seminole State has the primary responsibility of providing post-secondary educational programs to residents of Hughes, Lincoln, Okfuskee, Seminole, and Pottawatomie counties in east central Oklahoma. The College exists to enhance the capabilities of individuals to achieve their goals for personal development by providing quality learning experiences and services that respond to diverse individual and community needs in a changing global society. Seminole State College prepares students to continue their education beyond the two-year level, trains students for careers and other educational opportunities, and makes available resources and services designed to benefit students and the community at large.

THE BOARD

The Board of Regents consists of seven members who serve seven-year, overlapping terms. The members are appointed by the Governor and confirmed by the Senate. Four members shall reside in the county in which the College is located.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 4423

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		Actual	Actual	Budgeted
290	Educational & General Opns	9,526	9,615	10,672
430	Agency Relationship Fund	0	0	29
490	American Recov. & Reinv. Act	0	483	420
Total Expenditures by Fund		\$9,526	\$10,098	\$11,121

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	7,743	7,743	0	
Professional Services	176	173	0	
Travel	142	127	0	
Lease-Purchase Expenditures	0	0	0	
Equipment	103	371	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	1,363	1,685	10,946	
Total Expenditures by Object	\$9,527	\$10,099	\$10,946	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
11 Instruction				
1 Instruction	9,500	10,071	11,092	
Total Instruction	9,500	10,071	11,092	
21 Sponsored Research				
1 Sponsored Programs	26	27	29	
Total Sponsored Research	26	27	29	
Total Expenditures by Activity	\$9,526	\$10,098	\$11,121	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
91 Capital Projects				
34013 ADA Compliance II	0	0	25	
36035 Interior Renovations	279	198	100	
37038 Equipment	197	199	425	
39032 Campus Landscape	0	0	25	
39111 Roof Repair & Replacement	0	0	100	
50181 Student Success/Placement/Retn	1,994	792	0	
50183 Purchase Building	1	0	0	
50184 Parking and Renovation	0	0	25	

FY - 2012 EXECUTIVE BUDGET

Total Capital Outlay by Project	<u>\$2,471</u>	<u>\$1,189</u>	<u>\$700</u>
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OUTSTANDING DEBT	\$000's		
	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Lease-purchase obligations	9,455	8,554	8,121
Revenue bond issues	12,885	12,742	12,583
Other debt	158	470	306
Total Outstanding Debt	<u><u>\$22,498</u></u>	<u><u>\$21,766</u></u>	<u><u>\$21,010</u></u>

SOUTHEASTERN OKLAHOMA STATE UNIVERSITY (660)

MISSION

The purpose of the University is to expose its students to the patterns of thought that civilization has produced through the centuries. This includes examining in detail the way people look at the world and why they look at the world the way they do, focusing on its past, its present, and its future, as well as its laws, its institutions, and its people. In doing so, the University must insure that its students are not bound by what soon will be the past. Students should encounter teachers, courses, and an intellectual atmosphere which presents viable options to the way humankind views the world.

THE BOARD

Southeastern Oklahoma State University is governed by the Board of Regents for Oklahoma Colleges. The Board of Regents for Oklahoma Colleges consists of nine (9) members appointed by the Governor, by and with the advice of the Senate. Not more than two members of the Board practice the same profession, vocation or occupation.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 3514

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		Actual	Actual	Budgeted
290	Educational & General Opns	35,732	34,003	36,403
430	Agency Relationship Fund	4,458	5,517	6,343
490	American Recov. & Reinv. Act	0	1,538	1,337
Total Expenditures by Fund		\$40,190	\$41,058	\$44,083

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	27,559	28,318	0	
Professional Services	961	423	0	
Travel	598	534	0	
Lease-Purchase Expenditures	335	335	0	
Equipment	1,106	1,293	0	
Payments To Local Govt Subdivisions	2	1	0	
Other Operating Expenses	9,629	10,153	43,207	
Total Expenditures by Object	\$40,190	\$41,057	\$43,207	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
11 Instruction				
1 Instruction	35,732	35,541	37,739	
Total Instruction	35,732	35,541	37,739	
21 Sponsored Programs				
1 Sponsored Programs	4,458	5,517	6,343	
Total Sponsored Programs	4,458	5,517	6,343	
Total Expenditures by Activity	\$40,190	\$41,058	\$44,082	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
91 Capital Budgets FY-93				
39655 General Repair & Renov (600)	493	0	0	
39656 General Repair & Renov (650)	247	243	0	
39693 Theatre Renovation	334	310	0	
50111 Gen. Classrooms Cmp Sci, Mth,	1,031	3,624	0	
50114 Theatre Building	853	0	0	
50117 Chemistry Lab/Distance Lrng	255	355	0	
Total Capital Outlay by Project	\$3,213	\$4,532	\$0	

OUTSTANDING DEBT	\$000's		
	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Lease-purchase obligations	29,081	26,891	25,196
Revenue bond issues	6,915	6,675	6,430
Other debt	9,843	9,742	9,524
Total Outstanding Debt	<u><u>\$45,839</u></u>	<u><u>\$43,308</u></u>	<u><u>\$41,150</u></u>

SOUTHWESTERN OKLAHOMA STATE UNIVERSITY (665)

MISSION

The mission of Southwestern Oklahoma State University is to provide educational opportunities in higher education that meet the needs of the state and region; contribute to the educational, economic, and cultural environment; and support scholarly activity. Major areas of study on the Weatherford campus, associate degree programs on the Sayre campus, the general education curriculum, and participation in student activities/organizations provide opportunities for students to obtain skills, knowledge, and cultural appreciation that lead to productive lives and effective citizenship.

THE BOARD

Southwestern Oklahoma State University is governed by the Board of Regents for Oklahoma Colleges. The Board of Regents for Oklahoma Colleges consists of nine (9) members appointed by the Governor, by and with the advice of the Senate. Not more than two members of the Board practice the same profession, vocation or occupation.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 3516

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
290	Educational & General Opns	40,835	39,913	43,152
430	Agency Relationship Fund	6,645	6,932	8,525
490	American Recov. & Reinv. Act	0	1,850	1,608
Total Expenditures by Fund		<u><u>\$47,480</u></u>	<u><u>\$48,695</u></u>	<u><u>\$53,285</u></u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	34,699	35,965	0	
Professional Services	685	692	0	
Travel	704	600	0	
Lease-Purchase Expenditures	32	56	0	
Equipment	1,660	1,537	0	
Payments To Local Govt Subdivisions	46	29	0	
Other Operating Expenses	9,654	9,818	51,679	
Total Expenditures by Object	\$47,480	\$48,697	\$51,679	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
11 Instruction				
1 Instruction	40,835	41,763	44,760	
Total Instruction	40,835	41,763	44,760	
21 Sponsored Programs				
1 Sponsored Programs	6,645	6,932	8,525	
Total Sponsored Programs	6,645	6,932	8,525	
Total Expenditures by Activity	\$47,480	\$48,695	\$53,285	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
91 Capital Projects				
38069 Chemistry/Physics/Pharm. Ren.	0	36	0	
39404 Equipment	168	124	138	
39671 Nash Econo Dev Authority Proj	198	0	0	
39700 Swimming Pool Repairs.	92	0	0	
39741 Music Office Renovations	27	16	0	
39742 Fine Arts Audio System	83	0	0	
39743 CPP Roof Project	218	0	0	
39744 Fine Arts Roof Project	91	0	0	
39771 Big Pasture Adventure Program	0	88	0	

FY - 2012 EXECUTIVE BUDGET

\$000's

Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
39777	Nursing Department Facility	0	394	0
39795	Streets & Parking	0	18	0
39796	Athletic Complex	0	80	0
39816	Student Housing Renovations	0	151	0
50119	Old Science Building/Theater	1,973	1,057	0
50120	Equipment/ADA Comp Elevators	117	280	0
50121	Energy Management Improvements	63	0	0
50122	Sayre Campus	0	46	0
50123	Crowder University Park	51	67	0
50124	Art Building Renovation	80	107	0
50125	Industrial Tech. Building	119	2,478	0
Total Capital Outlay by Project		<u>\$3,280</u>	<u>\$4,942</u>	<u>\$138</u>

OUTSTANDING DEBT

\$000's

	FY-2009	FY-2010	FY-2011
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Lease-purchase obligations	14,010	13,417	12,845
Revenue bond issues	6,485	6,160	5,825
Other debt	0	0	598
Total Outstanding Debt	<u>\$20,495</u>	<u>\$19,577</u>	<u>\$19,268</u>

TULSA COMMUNITY COLLEGE (750)

MISSION

Tulsa Community College believes in the growth and worth of the person as an individual and as a member of society. The College believes that education is a lifelong process that contributes to an enriched human experience as well as to the development of career skills. Tulsa Community College is dedicated to providing excellence in its programs and is committed to providing quality education that responds to the needs of the community and to the individual's needs, interests, and abilities.

The mission of Tulsa Community College as defined by the Oklahoma State Regents for Higher Education is to:

1. Provide general education for all students.
2. Provide education in several basic fields of university-parallel study for those students who plan to transfer to a senior institution and complete a bachelor's degree.
3. Provide one- and two-year programs of technical and occupational education to prepare individuals to enter the labor market.
4. Provide programs of remedial and developmental education for those whose previous education may not have prepared them for college.
5. Provide both formal and informal programs of study especially designed for adults and out-of-school youth in order to serve the community generally with a continuing education opportunity.
6. Carry out programs of institutional research designed to improve the institution's efficiency and effectiveness of operation.
7. Participate in programs of economic development with comprehensive or regional universities toward the end that the needs of each institution's geographic service area are met.

THE BOARD

The Board of Regents consists of seven members, appointed by the Governor and confirmed by the Senate. Four members shall be residents of Tulsa County. Appointments shall be to numbered position and serve on staggered terms.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 4413

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
290	Educational & General Opns	102,440	100,240	106,001
430	Agency Relationship Fund	2,192	3,273	4,144
490	American Recov. & Reinv. Act	0	2,861	2,487
Total Expenditures by Fund		<u>\$104,632</u>	<u>\$106,374</u>	<u>\$112,632</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
	Salaries and Benefits	73,651	76,399	0
	Professional Services	4,158	3,768	0
	Travel	575	493	0
	Lease-Purchase Expenditures	976	0	0
	Equipment	3,057	4,542	0
	Payments To Local Govt Subdivisions	0	0	0
	Other Operating Expenses	22,214	21,171	109,629
Total Expenditures by Object		<u>\$104,631</u>	<u>\$106,373</u>	<u>\$109,629</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
11	Instruction			
1	Instruction	102,440	103,101	108,487
	Total Instruction	<u>102,440</u>	<u>103,101</u>	<u>108,487</u>
21	Sponsored Programs			
1	Sponsored Programs	2,192	3,273	4,144
	Total Sponsored Programs	<u>2,192</u>	<u>3,273</u>	<u>4,144</u>
Total Expenditures by Activity		<u>\$104,632</u>	<u>\$106,374</u>	<u>\$112,631</u>

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
91	Capital Improvements			
35025	Site Maint Repair All Campuses	0	0	307
39614	NEC Land Acquisition	218	692	0
39673	West Campus Fire Alarms	130	0	0
39754	Allied Health Clinic	650	0	0
39772	Metro Campus Land Acquisition	684	0	0
39794	PBC Energy Efficiency	0	6,892	2,647
50185	Metro Campus Dist Learning Ctr	11,392	5,089	1,097
50186	West Campus Math & Sci Bldg	2,827	550	0
Total Capital Outlay by Project		<u>\$15,901</u>	<u>\$13,223</u>	<u>\$4,051</u>

OUTSTANDING DEBT		\$000's		
	FY-2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
Lease-purchase obligations	20,149	18,300	26,969	
Revenue bond issues	16,405	15,280	13,850	
Other debt	0	0	0	
Total Outstanding Debt	<u>\$36,554</u>	<u>\$33,580</u>	<u>\$40,819</u>	

UNIVERSITY OF CENTRAL OKLAHOMA (120)

MISSION

The University of Central Oklahoma exists to provide excellent undergraduate, graduate, and continuing education to enable students to achieve their intellectual, professional, personal and creative potential. The University of Central Oklahoma must also contribute to the intellectual, cultural, economic and social advancement of the communities and individuals it serves.

THE BOARD

The University of Central Oklahoma is governed by the Board of Regents for Oklahoma Colleges. The Board of Regents for Oklahoma Colleges consists of nine (9) members appointed by the Governor, by and with the advice of the Senate. Not more than two members of the Board practice the same profession, vocation or occupation.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 3501.1

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
290	Educational & General Opns	110,996	109,071	128,258
430	Agency Relationship Fund	2,565	2,823	3,419
490	American Recov. & Reinv. Act	0	4,287	3,726
Total Expenditures by Fund		<u>\$113,561</u>	<u>\$116,181</u>	<u>\$135,403</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	73,243	74,279	0	
Professional Services	2,391	2,163	0	
Travel	1,356	1,081	0	
Lease-Purchase Expenditures	99	0	0	
Equipment	5,860	6,179	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	30,612	32,480	127,541	
Total Expenditures by Object	\$113,561	\$116,182	\$127,541	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
11 Instruction				
1 Instruction	110,996	113,358	131,984	
Total Instruction	110,996	113,358	131,984	
21 Sponsored Programs				
1 Sponsored Programs	2,565	2,823	3,419	
Total Sponsored Programs	2,565	2,823	3,419	
Total Expenditures by Activity	\$113,561	\$116,181	\$135,403	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
91 Capital Projects				
34049 Revenue Bond Funds-Capital Imp	739	964	775	
37067 Minor Repairs & Renovation	3,906	976	1,400	
39094 Integrated Information System	3	0	0	
39114 Wellness Center Revenue Bonds	758	58	0	
39495 Wantland Stadium Phase II	33	14	0	
50084 Old North Renovation	116	587	2,770	
50085 New Classroom Building	3,018	6,602	400	
50086 Forensic Science Building	4,271	2,731	160	
Total Capital Outlay by Project	\$12,844	\$11,932	\$5,505	

OUTSTANDING DEBT	\$000's		
	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Lease-purchase obligations	26,535	25,386	24,599
Revenue bond issues	49,545	47,635	45,600
Other debt	8,049	7,362	6,919
Total Outstanding Debt	<u><u>\$84,129</u></u>	<u><u>\$80,383</u></u>	<u><u>\$77,118</u></u>

UNIVERSITY OF OKLA. HSC PROF. PRAC. PLAN (771)

MISSION

Our mission is to provide the citizens of Oklahoma the highest quality, comprehensive, patient sensitive, cost efficient medical care. The care we provide each patient is enhanced by our fundamental commitment to education and research.

OU Physicians is dedicated to being the premier medical care team in Oklahoma and the Southwest as evidenced by medical innovation, personal dedication, highest quality outcomes, patient satisfaction, and commitment to providing value to our patients.

STATUTORY REFERENCES

Program Name	Statutory Reference
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:	FY- 2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
444 Auxiliary Travel & Payroll Fund	85,778	95,506	103,715	
Total Expenditures by Fund	<u><u>\$85,778</u></u>	<u><u>\$95,506</u></u>	<u><u>\$103,715</u></u>	

EXPENDITURES BY OBJECT	\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Salaries and Benefits	85,778	95,506	103,715
Professional Services	0	0	0
Travel	0	0	0
Lease-Purchase Expenditures	0	0	0
Equipment	0	0	0
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	0	0	0
Total Expenditures by Object	<u><u>\$85,778</u></u>	<u><u>\$95,506</u></u>	<u><u>\$103,715</u></u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY	\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
51 Payroll Trust Fund			
1 Professional Practices Plan	85,778	95,506	103,715
Total Payroll Trust Fund	85,778	95,506	103,715
Total Expenditures by Activity	<u><u>\$85,778</u></u>	<u><u>\$95,506</u></u>	<u><u>\$103,715</u></u>

UNIVERSITY OF OKLA. HEALTH SCIENCES CTR (770)

MISSION

To enhance teaching, healing and discovering by providing valued information and communication solutions.

THE BOARD

The University of Oklahoma Health Sciences Center is governed by the Board of Regents of the University of Oklahoma. This Board is created by Section 8, Article XIII, of the Oklahoma Constitution. It consists of seven (7) members, appointed by the Governor, by and with the advice and consent of the Senate.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 3301

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
290	Educational & General Opns	153,623	141,860	174,282
430	Agency Relationship Fund	113,654	117,561	131,390
490	American Recov. & Reinv. Act	0	10,594	14,988
Total Expenditures by Fund		<u><u>\$267,277</u></u>	<u><u>\$270,015</u></u>	<u><u>\$320,660</u></u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	214,811	221,767	0	
Professional Services	23,493	23,989	0	
Travel	2,116	1,741	0	
Lease-Purchase Expenditures	0	0	0	
Equipment	7,492	3,549	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	19,361	18,970	302,145	
Total Expenditures by Object	\$267,273	\$270,016	\$302,145	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
11 Instruction				
1 Instruction	153,623	150,014	181,369	
Total Instruction	153,623	150,014	181,369	
21 Sponsored Programs				
1 Sponsored Programs	113,654	120,002	139,291	
Total Sponsored Programs	113,654	120,002	139,291	
Total Expenditures by Activity	\$267,277	\$270,016	\$320,660	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
91 Capital Expenditures				
39711 Diabetes Center	3,000	0	0	
39713 OK Comprehensive Cancer Center	23,005	4,857	4,850	
39714 Tulsa Medical Center	457	1,183	2,667	
39751 Cancer Institute Construction	7,091	53,389	18,232	
39820 General Revenue Bond 2010 A/B	0	8,861	23,515	
Total Capital Outlay by Project	\$33,553	\$68,290	\$49,264	

OUTSTANDING DEBT	\$000's		
	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Lease-purchase obligations	32,334	30,964	29,607
Revenue bond issues	16,371	83,592	106,483
Other debt	3,401	2,880	2,339
Total Outstanding Debt	<u><u>\$52,106</u></u>	<u><u>\$117,436</u></u>	<u><u>\$138,429</u></u>

UNIVERSITY OF OKLAHOMA (760)

MISSION

The mission of the University of Oklahoma is to provide the best possible educational experience for our students through excellence in teaching, research and creative activity, and service to the state and society.

THE BOARD

The University of Oklahoma is governed by the Board of Regents of the University of Oklahoma. This Board is created by Section 8, Article XIII, of the Oklahoma Constitution. It consists of seven (7) members, appointed by the Governor, by and with the advice and consent of the Senate.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 3301

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
290	Educational & General Opns	240,899	228,159	360,947
430	Agency Relationship Fund	101,182	84,018	140,596
490	American Recov. & Reinv. Act	0	12,923	14,533
Total Expenditures by Fund		<u><u>\$342,081</u></u>	<u><u>\$325,100</u></u>	<u><u>\$516,076</u></u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
Salaries and Benefits	272,803	266,279	0	
Professional Services	21,309	19,337	0	
Travel	8,074	7,356	0	
Lease-Purchase Expenditures	1	4	0	
Equipment	15,992	10,814	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	23,902	21,311	481,662	
Total Expenditures by Object	<u>\$342,081</u>	<u>\$325,101</u>	<u>\$481,662</u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
11 Instruction				
1 Instruction	240,899	239,127	370,480	
Total Instruction	240,899	239,127	370,480	
21 Sponsored Programs				
1 Sponsored Programs	101,182	85,974	145,596	
Total Sponsored Programs	101,182	85,974	145,596	
Total Expenditures by Activity	<u>\$342,081</u>	<u>\$325,101</u>	<u>\$516,076</u>	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Estimated</u>	
91 Capital Projects				
36005 Revenue Bonds Debt Service	2,055	2,055	1,379	
39186 ODFAs Revenue Bonds	5	0	679	
39206 Holmberg Hall	144	0	0	
39232 National Weather Center	0	5	0	
39355 Nielsen Hall Phase II	158	780	0	
39457 Student Housing/Resident Hall	18,899	925	0	
39501 Transportation Center	9	0	0	
39526 Emergency Repair/Renovation	2,106	2,821	3,291	
39529 People Soft Equipment	750	750	0	

FY - 2012 EXECUTIVE BUDGET

\$000's

Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
39540	Multi-Tenant Office Facility#1	13	0	0
39572	Chem/Boichem Inst Rsrch Facili	3,902	13,940	0
39594	Student Learning Center	440	21	0
39595	Devon Hall	14,485	6,244	0
39621	Stephenson Lab Projects	619	0	0
39646	OUHSC College Allied Health Bu	775	580	0
39647	OUHSC Asset Preservation Impro	0	0	750
39657	Nielson Hall, Phase 3	1,946	471	0
39658	Gaylord Hall, Phase 2	7,571	1,636	0
39659	Adams Dean's Suite Renovation	0	0	0
39660	Multi-Tenant Office #2	245	0	0
39661	CCE Modification of Commons	2,136	1,474	0
39672	Academic/Lab Equipment HSC	250	0	0
39695	Cross Center Renovation, Phase	3,384	98	0
39696	MTOF #3	9,789	1,339	0
39708	Gaylord Family OK M St Phase 3	2	0	0
39747	Collins Hall/Renovation	1,634	5,326	0
39759	Carson Hall Reroof	51	996	0
39763	Chilled Water #4	1,307	13,655	0
39764	Chilled Water #3	0	2,825	0
39765	Sooner Hotel	3,539	165	0
39766	Aquatics Facility Parking	1,073	0	0
39767	Johnson Controls System	3,764	8,573	0
39769	Cate Center 4 Renovation	4,083	750	0
39770	Grounds Development	1,457	4,543	0
39789	Tulsa Library	0	456	0
39790	Enterprise Tier 2 Data Ctr HSC	0	968	900
39813	Golf Course Roof - HVAC	0	311	0
39814	Murray Case Sells Swim Roof	0	349	0
39817	Physical Sciences Elevator Upg	0	194	200
39824	HSC Network/Telecom Upgrades	0	0	750
39825	HSC Academic/Admin Constructio	0	0	266
39826	Tulsa Academic/Admin Construct	0	0	700
39827	Tulsa Campus Parking Enhancem	0	0	137
39830	Goddard Roof Project	0	0	325
50052	Chemistry/Biochemistry	24,328	8,944	0
50053	Bizzell Library Fire Protect	608	23	0
50054	Classroom Ren. & Improvements	592	1,032	0
50055	University Resarch Campus	22	1,016	0
50057	Student Learning Center	2,764	287	0
50058	Gould Hall	6,905	4,425	0
50059	Hester Hall	142	169	0
50060	Science Hall	1,044	149	0
50061	Weather Center	131	192	0
50063	Rhyne Hall Improvements	17	0	0
50064	Infrastructure Upgrades/Eqpmt	264	0	0
Total Capital Outlay by Project		<u>\$123,408</u>	<u>\$88,487</u>	<u>\$9,377</u>

OUTSTANDING DEBT	\$000's		
	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Lease-purchase obligations	102,494	108,483	102,286
Revenue bond issues	430,885	484,265	590,902
Other debt	0	0	0
Total Outstanding Debt	<u><u>\$533,379</u></u>	<u><u>\$592,748</u></u>	<u><u>\$693,188</u></u>

UNIVERSITY OF OKLAHOMA LAW CENTER (761)

MISSION

The University of Oklahoma College of Law, as part of the Oklahoma Law Center, seeks to provide a dynamic intellectual community dedicated to teaching and learning, research and service in the pursuit of law and justice as its students prepare for leadership positions in Oklahoma, the nation, and the world.

THE BOARD

The University of Oklahoma Law Center is governed by the Board of Regents of the University of Oklahoma. This Board is created by Section 8, Article XIII, of the Oklahoma Constitution. It consists of seven (7) members, appointed by the Governor, by and with the advice and consent of the Senate.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 3301

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
290	Educational & General Opns	9,542	9,156	16,115
490	American Recov. & Reinv. Act	0	483	420
Total Expenditures by Fund		<u><u>\$9,542</u></u>	<u><u>\$9,639</u></u>	<u><u>\$16,535</u></u>

EXPENDITURES BY OBJECT	\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Salaries and Benefits	7,878	7,890	0
Professional Services	394	557	0
Travel	190	183	0
Lease-Purchase Expenditures	0	0	0
Equipment	350	404	0
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	730	606	15,199
Total Expenditures by Object	<u><u>\$9,542</u></u>	<u><u>\$9,640</u></u>	<u><u>\$15,199</u></u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY	\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
11 Instruction			
1 Instruction	9,542	9,639	16,535
Total Instruction	9,542	9,639	16,535
Total Expenditures by Activity	<u><u>\$9,542</u></u>	<u><u>\$9,639</u></u>	<u><u>\$16,535</u></u>

UNIVERSITY OF SCIENCE AND ARTS OF OKLA. (150)

MISSION

The University of Science and Arts of Oklahoma is a liberal arts, baccalaureate degree-granting institution within the Oklahoma State System of Higher Education. The primary mission of USAO is to provide programs of instruction that combine liberal and specialized learning through a required and extensive core of general education consisting of course work designed to present information showing relationships between the academic disciplines and different fields of knowledge. General education courses extend throughout the four-year degree program and are intended to complement and enrich a student's major preparation. Ultimately, education at USAO aims to prepare graduates for effective living in a world of change and to function as productive members of society, actively contributing to the general good as well as their own advancement.

THE BOARD

The Board of Regents for the University of Science and Arts of Oklahoma consists of seven (7) members, appointed by the Governor, by and with the advice of Senate. Members serve seven-year terms.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 3601

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
290	Educational & General Opns	11,581	10,626	11,127
430	Agency Relationship Fund	232	247	276
490	American Recov. & Reinv. Act	0	569	495
Total Expenditures by Fund		<u>\$11,813</u>	<u>\$11,442</u>	<u>\$11,898</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	9,189	9,106	0	
Professional Services	127	201	0	
Travel	104	70	0	
Lease-Purchase Expenditures	327	338	0	
Equipment	231	154	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	1,836	1,574	11,628	
Total Expenditures by Object	\$11,814	\$11,443	\$11,628	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
11 Instruction				
1 Instruction	11,581	11,195	11,622	
Total Instruction	11,581	11,195	11,622	
21 Sponsored Programs				
1 Sponsored Programs	232	247	276	
Total Sponsored Programs	232	247	276	
Total Expenditures by Activity	\$11,813	\$11,442	\$11,898	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
91 Capital Funds				
38058 Revenue Bonds	739	964	1,000	
50138 Jane Brooks Schl for the Deaf	278	68	0	
50139 Infrastructure, Roofing, Pkg	136	242	0	
50140 Performing Arts & Acad Lecture	205	96	0	
50141 Art Annex Renovation	564	106	0	
50142 Lab, Classroom & Library Eqpm	61	34	0	
Total Capital Outlay by Project	\$1,983	\$1,510	\$1,000	

OUTSTANDING DEBT	\$000's		
	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Lease-purchase obligations	8,381	7,541	7,032
Revenue bond issues	14,750	14,104	13,422
Other debt	0	0	0
Total Outstanding Debt	<u><u>\$23,131</u></u>	<u><u>\$21,645</u></u>	<u><u>\$20,454</u></u>

WESTERN OKLAHOMA STATE COLLEGE (41)

MISSION

Western Oklahoma State College is committed to providing exemplary educational opportunities to meet the needs of both the individual and the community. In accordance with our mission, Western's educational programs and services are designed to help people achieve their individual potential, enrich their lives, and become responsible and productive members of society.

THE BOARD

The Board of Regents consists of seven members who serve seven-year, overlapping terms. The members are appointed by the Governor and confirmed by the Senate. No more than four members shall be residents of any one county involved.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	O.S. Title 70, Sec. 4417

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

Type of Fund:	\$000's		
	FY- 2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
290 Educational & General Opns	8,861	8,946	12,492
430 Agency Relationship Fund	1,159	1,008	2,178
490 American Recov. & Reinv. Act	0	438	380
Total Expenditures by Fund	<u><u>\$10,020</u></u>	<u><u>\$10,392</u></u>	<u><u>\$15,050</u></u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	7,404	7,911	0	
Professional Services	131	152	0	
Travel	208	180	0	
Lease-Purchase Expenditures	0	0	0	
Equipment	640	116	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	1,637	2,033	13,587	
Total Expenditures by Object	\$10,020	\$10,392	\$13,587	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
11 Instruction				
1 Instruction	8,861	9,384	12,872	
Total Instruction	8,861	9,384	12,872	
21 Sponsored Programs				
1 Sponsored Programs	1,159	1,008	2,178	
Total Sponsored Programs	1,159	1,008	2,178	
Total Expenditures by Activity	\$10,020	\$10,392	\$15,050	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
91 Capital Projects				
39226 Exterior Roof	45	77	35	
39303 Land Improvements/Infras	109	110	83	
39304 Information Tech. Equipment	87	84	244	
39305 Classroom Prog. Ren. & Equip	195	21	71	
39687 Vehicles	15	36	30	
39690 Higher Ed Center Sec 13 Offset	0	439	0	
39762 Student Center	190	440	0	
50000 Master Lease Purchase Debt Ret	41	41	42	
50187 Cooperative Higher Educ Center	3,491	822	0	

FY - 2012 EXECUTIVE BUDGET

\$000's

Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
50189	Information Technology Eqmmt	0	25	0
Total Capital Outlay by Project		<u>\$4,173</u>	<u>\$2,095</u>	<u>\$505</u>

OUTSTANDING DEBT

\$000's

	FY-2009	FY-2010	FY-2011
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Lease-purchase obligations	5,040	4,551	4,355
Revenue bond issues	1,255	1,150	1,040
Other debt	0	0	0
Total Outstanding Debt	<u>\$6,295</u>	<u>\$5,701</u>	<u>\$5,395</u>

CORPORATION COMMISSION (185)

MISSION

Administer the legislative and constitutional mandates assigned to the Corporation Commission to balance the rights and needs of our customers through regulation, access to information, and education.

THE COMMISSION

The Corporation Commission was created by the Oklahoma Constitution and is composed of three Commissioners elected statewide for six-year staggered terms. The Commission has the power and authority of a court of record and its decisions can only be overturned by the State Supreme Court.

DUTIES/RESPONSIBILITIES

Early emphasis was on regulation of railroad routes and rates. Through changes by the Legislature, and the change in services considered essential to the public welfare, the Commission presently regulates public utilities, oil and gas exploration, drilling, production and waste disposal, motor carrier transport, transportation, storage, and quality and dispensing of petroleum products. The Commission also monitors a number of federal programs for compliance in Oklahoma.

STATUTORY REFERENCES

Program Name	Statutory Reference
Administration Division	Commissioners-Oklahoma Constitution: Article 9, Section 15.
Consumer Services Division	17 O.S., 138-104 (B), 17 O.S. 152, 17 O.S. 160.1, 17 O.S. 180.11, 17 O.S. 190.2, 52 O.S. 139 (D.1) and 52 O.S. 552
Petroleum Storage Tank Division	Title 17 O.S. Sections 301-365 and Sections 401-410. Title 52 O.S. Sections 47 & 139.
Oil & Gas Division	Title 52, Section 1 through Section 320.1; Title 17, Sections 51 through 57, Sections 167 and 168, Section 180.10, and Section 518, Section 270; Title 27A, Sections 1-1-101 through 1-1-206, Section 1-3-101, and Sections 4-1-101 through 4-1-106; Title 68, Section 1001. There are other scattered statutory references pertaining to the Commission's jurisdiction regarding oil and gas exploration and production and pipeline transportation activities.
Office of Administrative Proceedings	Title 17 and 52
Public Utility Division	Article IX, Oklahoma Constitution, Section 15 et.seq. and Title 17, Section 1 et. seq. of the Oklahoma statutes.
Office of General Counsel	Oklahoma Constitution Article 9, Oklahoma Statutes Titles 17,29,47,52 and 68
Transportation Division - General	47 O.S. Section 161A through Section 180m, Section 230.1 et seq., Section 230.21 et seq., and Section 230.34 et seq.
Transportation Division/Railroad	Oklahoma Constitution Article IX, Section 18. 17 O.S. Sections 61-116.9, 66 O.S. Section 1 et.seq.
Transportation Division/Pipeline Safety Department	52 O.S. Sections 5 and 47
Information Technology Division	The Information Technology Division was established as a separate division within the Corporation Commission statutorily in fiscal year 1980 to provide automation support services. It is the mission of the Information Technology Division to ensure that all other divisions of the Commission can effectively and efficiently meet and exceed their statutory requirements through providing new automated application systems and the latest technological advancements related to automated tools.

FY - 2012 EXECUTIVE BUDGET

Transportation/Enforcement Title 47 O.S Section 161A through 180m, Section 230.1 et seq., Section 230.34 et seq., Section 1120, and Title 68 O.S. Section 607
 Transportation Division/IFTA/IRP IFTA--Title 68 O.S. Section 607, IRP--Title 47 O.S. Section 1120

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Administration Division

Goal: Provide support and assistance to agency programs in performing their assigned duties and responsibilities.

* Number of personnel documents processed for personnel actions, allocations, and recruitment.

Personnel Actions	9,792	8,971	6,000	6,000
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* Number of purchasing documents processed.

Purchasing Documents	1,242	775	780	780
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Program: Consumer Services Division

Goal: Collect monies owed to unknown or unlocated mineral owners as a result of oil and gas pooling orders.

* Number of unknown/unlocated mineral owners

Unknown Mineral Owners	27,967	39,239	40,000	40,500
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Goal: Respond to as many of the incoming inquiries as possible.

* Number of public utility complaints investigated and inquiries responded to.

Public Utility Responses	28,412	29804	31000	32000
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* Number of oil and gas complaints and inquiries responded to

Oil & Gas Responses	6,964	6450	7000	7100
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Goal: Investigate service quality and rule compliance of regulated utilities.

* Number of outside plant tests of local telecommunications companies and payphone investigations conducted.

Plant Tests/Payphone Invest.	4,834	304	350	350
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* Number of regulated pay telephone companies inspected for compliance with service and signage requirements.

Service Reliability Tests	1,264	22	25	25
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Consumer Services Division

Goal: Provide consumer education and public outreach to train the public to use the OCC database and to inform them of what the consumer services division does and how it can benefit them.

- * Number of consumers attending consumer education and public outreach activities.

Consumer Education	2,924	3,196	3,200	3,300
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- * Pooling bonuses and production revenue collected from oil and gas operators for the account of Mineral Owners with unknown addresses.

MOEA Collections	\$12,988,011	\$14,447,309	\$14,000,000	\$14,500,000
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Program: Information Technology Division

Goal: Implement new technologically advanced database applications for the commission that increase the effectiveness of performing mandated functions.

- * Number of maintenance requests and new application development

Application Development	5,749	5800	5850	5900
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Goal: Implement imaging system that integrates with the new client/server applications, enhances the ability to locate original documents, reduces space requirements associated with paper files and eliminates duplicate files.

- * Number of documents imaged

Imaging System	373,190	375000	378000	380000
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Goal: Educate, equip and enable the commission's office and field staff to utilize new advanced tools in combination with implemented projects as a standard for performing their regulatory functions.

- * Number of equipment items and training resources offered

Workstation/Laptop Operation	270	270	270	280
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Goal: Ensure that networked servers are available to the staff, regulated industry, state agencies, federal agencies, and the public during working hours and that the web applications are available 24 hours a day, 7 days a week.

- * Percentage of time servers are available

Network Uptime	99%	99%	99%	99%
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Program: Office of Administrative Proceedings

Goal: Conduct fair, open and efficient hearings in areas regulated by the Commission which include oil and gas, fuel, public utility and transportation.

- * Number of cases heard

Hearings conducted	35,582	26981	28000	29000
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Goal: Make impartial and timely recommendations to Commissioners in accordance with case law, statutes, and rules based upon testimony and evidence presented in hearings.

- * Number of reports and orders issued within stated time limits

Reports Issued Timely	12,712	8115	10000	11000
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Goal: Process and maintain accurate and official court filings and provide convenient public access to hearings and filing information.

- * Number of cases filed without inaccuracies

Cases Successfully Filed	30,967	24758	26000	27000
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Goal: Serve and assist the public in an efficient, professional, and courteous manner.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Office of Administrative Proceedings

Goal: Serve and assist the public in an efficient, professional, and courteous manner.

* Number of appeals

Appeals	89	83	85	85
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Program: Office of General Counsel

Goal: Provide advocacy and advisory legal services to the Commission; represent the Commission and its employees in matters before the state and federal courts and administrative agencies including prosecution of enforcement actions before the Commission.

* Number of legal cases filed

Counsel/Representation	951	615	888	888
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Goal: Maximize fine collection efforts by representing divisions in collecting delinquent fines resulting in increased regulatory compliance.

* Dollar amount recovered

Fine Collections	160,402	213723	197428	197428
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Goal: Coordinate and assist agency personnel with the rulemaking process, ensuring compliance with the Code of Administrative Rules and timely submission to the Legislature and Governor.

* Number of agency rulemakings coordinated and reviewed.

Review Agency Rules	12	8	11	11
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Goal: Provide quality training to employees increasing their knowledge and skill level and, in turn, increasing their value to the agency and the divisions they represent and counsel.

* Number of training days attended

Classes/Seminars	86	316	166	166
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Program: Oil & Gas Division

Goal: Protect and preserve the environment

* Number of complaint responses

Complaint Response	1,740	1716	1750	1750
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* Number of wells plugged

Well Pluggings	1,629	1582	1600	1600
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* Number of site inspections

Active Well sites	73,661	48,704	55,000	60,000
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Goal: Comply with and enforce applicable rules and policies of the Commission and State statutes

* Number of enforcement cases

Enforcement Cases	148	111	130	140
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* Citations issued

Citations	19	12	15	15
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Goal: Conserve the State's natural resources and maximize production

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Oil & Gas Division				
Goal: Conserve the State's natural resources and maximize production				
* Number of production reports received and processed				
Production Information	9,363	6951	6950	6950
* Number of well tests reviewed				
Reviewing of Well Tests	4,213	2149	2500	2750
Goal: Protect and balance the correlative rights of all interested parties				
* Number of completion reports reviewed				
Completion Reports	5,892	3219	3750	4000
* Number of drilling permits reviewed				
Drilling Permits	4,561	2942	3500	3750
Program: Petroleum Storage Tank Division				
Goal: Perform annual tank and pump inspections.				
* Number of tank inspections completed				
Tank Inspections	4,174	5,490	5,000	5,000
* Number of pump inspections				
Pump Inspections	85,118	97,326	90,000	90,000
Goal: Close cases annually.				
* Number of cases closed				
Cases Closed	172	182	130	130
Goal: Pay initial claims within 60 days and supplemental claims within 20 days. (Statutory guidelines for payment are 90 days and 30 days, respectively).				
* Initial claims turnaround time in days				
Initial Claims	8.62	8.43	9.0	9.0
* Supplemental claims turnaround time in days				
Supplemental Claims	11.55	10.36	30	30
Program: Public Utility Division				
Goal: Process case filings within mandated timeframes associated with each case type.				
* Number of new cases filed				
New Cases Filed	211	211	200	200
* Number of cases completed				
Cases Completed	187	187	190	190
Goal: Certify new public utility service providers within mandated timeframes to promote competition.				
* Number of utilities certified				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Public Utility Division

Goal: Certify new public utility service providers within mandated timeframes to promote competition.

Certified Providers	10	10	20	18
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Program: Transportation Division - General

Goal: Regulate the intrastate motor carrier industry to achieve compliance with federal and state requirements.

* Number of educational contacts performed

Applications Processed	4395	3989	4000	4000
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* Number of insurance filings processed related to intrastate authority

Insurance Filing Processed	10450	10856	11000	11000
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Program: Transportation Division/IFTA/IRP

Goal: To serve our clients by timely and accurately processing applications for registration and ensuring proper audits are performed.

* Number of IRP accounts audited

IRP Accounts	239			
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* Number of trailer units registered under the IRP by Oklahoma

Trailer Units	225413	180200	190000	200000
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Goal: To serve our clients by timely and accurately processing applications for fuel licenses and ensuring proper audits are performed.

* Number of IFTA accounts audited

IFTA Accounts Audited	136	208	115	115
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* Number of fuel licenses issued

Fuel Licenses	3,299	224	220	220
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Program: Transportation Division/Pipeline Safety Department

Goal: Conduct 850 man-days per year of inspections.

* Number of man-days of inspections

Man-Days of Inspections	1,201	1172	1250	1300
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Goal: Inspect 85% of the operators each year.

* Percentage of operators inspected

Operator Inspections	70.5%	86.9%	85%	85%
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Goal: Inspect 33% of the operator's facilities each year.

* Percentage of operators' facilities inspected

Facility Inspections	65%	44.5%	33%	33%
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Program: Transportation Division/Railroad

Goal: Address 100% of railroad related complaints/queries in the order submitted with special emphasis on safety.

* Number of complaints addressed

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Transportation Division/Railroad

Goal: Address 100% of railroad related complaints/queries in the order submitted with special emphasis on safety.

Complaints/Queries	250	250	250	250
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Program: Transportation/Enforcement

Goal: Maximize compliance with motor carrier and commercial motor vehicle laws and rules.

* Number of warnings written at the roadside

Warnings	3,186	3502	3500	3500
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* Number of citations written at the roadside

Citations	23542	19100	20000	20000
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X General Revenue	12,781	10,971	10,134
202 Corporation Commission Revolving	7,779	7,895	10,185
205 Underground Storage Tank Indemnity	3,314	4,004	3,461
210 Underground Storage Tank Revolving	1,336	383	881
215 Well Plugging Fund	2,033	1,291	1,450
220 Public Utility Regulation Revolving	5,422	5,148	5,467
230 Oil & Gas Division Fund	1,870	1,317	1,243
245 Trucking One-Stop Shop Fund	5,129	5,361	4,551
400 Federal Funds	1,216	1,097	830
405 Undergrd Storage Tank Grant Pr	0	0	600
425 Leaking Storage Tank Trust Fund	490	354	500
490 Surplus Property Fund	0	674	1,648
56X Corp Commission Gas Seep Fund	1	0	0
57X Special Cash Fund	21	0	0
Total Expenditures by Fund	\$41,392	\$38,495	\$40,950

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
Salaries and Benefits	32,025	30,777	31,824	
Professional Services	2,463	2,887	3,182	
Travel	271	148	309	
Lease-Purchase Expenditures	0	0	0	
Equipment	1,303	526	842	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	5,327	4,157	4,794	
Total Expenditures by Object	<u>\$41,389</u>	<u>\$38,495</u>	<u>\$40,951</u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
10 Administration				
1 Administration	3,057	3,082	3,309	
88101 Administration Data Processing	30	0	18	
Total Administration	<u>3,087</u>	<u>3,082</u>	<u>3,327</u>	
11 Consumer Services				
1 Consumer Services	1,014	1,040	1,188	
88111 Consumer Services DP	19	0	9	
Total Consumer Services	<u>1,033</u>	<u>1,040</u>	<u>1,197</u>	
15 Petroleum Storage Tank Div				
1 Administration	424	405	507	
2 Claims Processing	845	815	923	
3 Regulatory	2,944	3,457	4,406	
88151 Petroleum Storage Admin DP	78	28	30	
88152 Petroleum Storage Claims DP	505	10	26	
88153 Petroleum Storage Regul DP	253	76	82	
Total Petroleum Storage Tank Div	<u>5,049</u>	<u>4,791</u>	<u>5,974</u>	
20 Oil & Gas Conservation Div				
1 Oil & Gas	7,947	7,538	7,538	
2 Well Plugging	2,033	1,291	1,450	
5 Grants & Cooperative Agreement	156	155	232	
6 Mobile Equipment Grant	0	0	201	
88201 Oil and Gas Data Processing	188	315	571	
Total Oil & Gas Conservation Div	<u>10,324</u>	<u>9,299</u>	<u>9,992</u>	
21 Underground Injection Control				
1 Underground Injection Control	496	448	548	
Total Underground Injection Control	<u>496</u>	<u>448</u>	<u>548</u>	
30 Administrative Proceedings				

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
30	Administrative Proceedings			
1	Administrative Proceedings	861	696	798
2	OAP-Tulsa	397	425	439
3	Oil & Gas	673	604	538
4	Public Utility	572	560	537
88301	Admin Proceedings Okc DP	34	2	25
	Total Administrative Proceedings	<u>2,537</u>	<u>2,287</u>	<u>2,337</u>
40	Public Utilities			
1	Public Utilities	2,609	2,523	2,699
2	State Electr. Reg. Ass't Grant	0	48	209
3	Energy Emer. Prepared. Grant	0	10	108
88401	Public Utilities Data Process	26	42	35
	Total Public Utilities	<u>2,635</u>	<u>2,623</u>	<u>3,051</u>
50	General Counsel			
1	General Counsel	1,051	832	803
2	Office of General Counsel UST	165	173	180
3	Oil & Gas	432	431	447
4	Public Utility	481	416	435
88501	General Counsel Data Process	37	12	13
	Total General Counsel	<u>2,166</u>	<u>1,864</u>	<u>1,878</u>
60	Transportation			
1	Transportation	1,339	1,205	1,239
2	Railroad Track Inspection	212	223	235
4	Pipeline Safety Department	1,093	1,052	1,149
6	Vehicle License & Registration	4,393	4,136	4,369
7	Transportation - IFTA/IRP	2,594	2,387	2,539
88601	Transportation Data Processing	1,603	1,577	551
88604	Transp Pipe Line Safety DP	21	4	4
	Total Transportation	<u>11,255</u>	<u>10,584</u>	<u>10,086</u>
88	Data Processing			
1	Data Processing	2,805	2,474	2,562
	Total Data Processing	<u>2,805</u>	<u>2,474</u>	<u>2,562</u>
Total Expenditures by Activity		<u>\$41,387</u>	<u>\$38,492</u>	<u>\$40,952</u>

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES

Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
10 Administration	34.2	33.1	35.0
11 Consumer Services	17.0	17.0	19.0
15 Petroleum Storage Tank Div	55.4	51.6	54.4
20 Oil & Gas Conservation Div	116.2	105.5	93.9
21 Underground Injection Control	6.4	5.9	6.0
30 Administrative Proceedings	34.5	30.6	29.7
40 Public Utilities	33.1	31.4	35.0
50 General Counsel	26.3	21.9	22.0
60 Transportation	131.1	120.5	120.8
88 Data Processing	25.9	23.8	26.0
Total FTE	480.1	441.3	441.8
Number of Vehicles	153	138	138

CAPITAL OUTLAY and SPECIAL PROJECTS

\$000's

Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated
90 Capital Projects for Commissio			
4 LUST Remediation	535	773	1,681
91 Emergency 911 Reimbursements			
1 Emergency 911 Reimbursements	630	0	125
Total Capital Outlay by Project	\$1,165	\$773	\$1,806

ENERGY RESOURCES BOARD (359)

MISSION

The Oklahoma Energy Resources Board holds as its mission a twofold purpose: environmental restoration of abandoned well sites and education about the oil and natural gas industry. Through the primary action of environmental restoration and education, the Board hopes to: encourage the wise and efficient use of energy, promote environmentally sound production methods and technologies, and support research and educational activities concerning oil and natural gas exploration and production.

THE BOARD

The Board of Energy Resources was created by Title 52, OS 288.1 et. seq. of the Oklahoma Statutes, to be effective October 1, 1993. The Board is comprised of 21 members who are actively engaged in oil and natural gas exploration, six of which are appointed by the Governor, six of which are appointed by the President Pro Tempore of the Senate and six of which are appointed by the Speaker of the House of Representatives. The initial term of office for each director shall be as follows: Six shall serve for a term of three (3) years, six shall serve for a term of two (2) years and six shall serve for a term of one (1) year. Thereafter the term of the board members shall be for three years. For the initial appointments, each appointing authority shall make two appointments for each of the staggered terms. The producer members may, by majority vote, appoint a maximum of three representatives with at least one from each of the following: (1) royalty owner associations (2) crude oil purchasing companies.

DUTIES/RESPONSIBILITIES

The Board shall have the following duties and responsibilities:

1. To administer and enforce the provisions of the Oklahoma Energy Education and Marketing Act;
2. To establish an office for the Board within the State of Oklahoma

STATUTORY REFERENCES

Program Name	Statutory Reference
Public Education and Environmental Restoration	Title 52, sec 288.1, et seq. of the Oklahoma Statutes

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY- 2011</u> <u>Budgeted</u>	<u>FY-2012</u> <u>Estimated</u>
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Goal: Environmental Restoration

- * The number of orphaned well sites cleaned annually

Environmental Cleanup	916	750	750	750
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Goal: Student Education

- * Student education in the form of the number of students reached

Student Education	144,880	143,190	144,000	145,000
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Goal: Public Education

- * Increase the number of positive media stories

Public Education	381	500	400	415
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Public Education and Environmental Restoration

Goal: Increase the number of well site remediations.

* Environmental Cleanup - The number of orphaned well sites cleaned annually.

Environmental Restoration	916	750	750	750
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Goal: Increase the number of students reached by OERB sponsored curricula, website, and programs

* Student education in form of the number of students reached

Student Education	144,880	143,190	144,000	145,000
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Goal: Increase positive public awareness through media coverage.

* Number of positive media stories

Public Education	282	500	400	415
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
200 Energy Resources Revolving	20,656	11,390	13,629
Total Expenditures by Fund	\$20,656	\$11,390	\$13,629

EXPENDITURES BY OBJECT

\$000's

<u>Object of Expenditure</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits	0	0	0
Professional Services	15,435	9,724	11,538
Travel	0	0	0
Lease-Purchase Expenditures	0	0	0
Equipment	1	2	2
Payments To Local Govt Subdivisions	108	127	91
Other Operating Expenses	5,112	1,538	1,998
Total Expenditures by Object	\$20,656	\$11,391	\$13,629

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10	General Operations			
1	Administration	5,680	2,244	2,629
2	Public Education	6,537	4,586	5,500
3	Environmental Cleanup	8,439	4,561	5,500
	Total General Operations	<u>20,656</u>	<u>11,391</u>	<u>13,629</u>
Total Expenditures by Activity		<u>\$20,656</u>	<u>\$11,391</u>	<u>\$13,629</u>

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted

This agency has no employees or vehicles; administrative functions are contracted.

INTERSTATE OIL COMPACT COMMISSION (307)

MISSION

The mission of the Interstate Oil Compact Commission is to conserve oil and gas by formulating long-term, uniform conservation measures through a joint state-federal relationship.

DUTIES/RESPONSIBILITIES

The Governor is the official representative of the State of Oklahoma on "The Interstate Oil and Gas Compact Commission", as provided for in the compact. The objectives of the Interstate Oil Compact Commission include the conservation of oil and gas and: (1) establishment of a joint state and federal fact-finding agency to consist of one representative of each compacting state, and one representative of the United States as Congress or the President shall direct; (2) voluntary regulation of production by each compacting state; and (3) formulation by the joint agency of uniform conservation measures and tax laws. The agency makes periodic findings, subject to the approval by the President, of the demand for petroleum to be produced within the United States, for withdrawals from storage, and for petroleum and products thereof to be imported.

STATUTORY REFERENCES

Program Name	Statutory Reference
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:	FY- 2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
230 Interstate Oil Compact Fund	999	689	1,160	
Total Expenditures by Fund	\$999	\$689	\$1,160	

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
Salaries and Benefits	246	343	368	
Professional Services	94	21	652	
Travel	37	39	30	
Lease-Purchase Expenditures	0	0	3	
Equipment	3	0	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	618	286	107	
Total Expenditures by Object	<u>\$998</u>	<u>\$689</u>	<u>\$1,160</u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
1 General Operations				
1 General Operations	999	689	1,160	
Total General Operations	999	689	1,160	
Total Expenditures by Activity	<u>\$999</u>	<u>\$689</u>	<u>\$1,160</u>	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
1 General Operations	2.0	3.0	3.0	
Total FTE	<u>2.0</u>	<u>3.0</u>	<u>3.0</u>	
Number of Vehicles	0	0	0	

LIQUEFIED PETROLEUM GAS BOARD (445)

MISSION

The mission of the Liquefied Petroleum Gas Board is to protect the health and welfare of the citizens of the State of Oklahoma and to promulgate rules relating to safety compliance in storage, distribution, dispensing, transporting and utilization of Liquefied Petroleum Gas (LPG) in this state and in the manufacture, fabrication, assembly, sale, installation or use in this state of LPG systems, containers, apparatus or appliances. To adopt national safety codes of the National Fire Protection Association (NFPA 58 and 54) and Oklahoma rules. To enforce compliance through administrative penalties. To investigate propane accidents, fires and explosions.

THE BOARD

The Board is composed of seven members, one each from southeastern, northeastern, northwestern and southwestern quarters of the state, one from central Oklahoma, and two from the state at large. The 5 area members have at least 1 year L.P.G. retail experience. One at large has equipment experience and the other has to know of the regulatory problems of the industry. Members are appointed by the Governor and confirmed by the Senate. Members are eligible for reappointment for successive terms, and are removable for cause by the Governor. The term for all members is four years.

DUTIES/RESPONSIBILITIES

STATUTORY REFERENCES

Program Name	Statutory Reference
Administrative Penalty	Title 52, Sections 420.6

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:	FY- 2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
200 LIQUEFIED PETROLEUM GAS FUN	676	636	670	
Total Expenditures by Fund	<u><u>\$676</u></u>	<u><u>\$636</u></u>	<u><u>\$670</u></u>	

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
Salaries and Benefits	546	523	537	
Professional Services	11	18	17	
Travel	37	8	8	
Lease-Purchase Expenditures	0	0	0	
Equipment	11	2	5	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	72	86	102	
Total Expenditures by Object	<u><u>\$677</u></u>	<u><u>\$637</u></u>	<u><u>\$669</u></u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
1 Administration				
1 Office Activity	285	266	278	
88 Data Processing	6	0	0	
Total Administration	<u>291</u>	<u>266</u>	<u>278</u>	
2 Inspections				
1 Tank And Misc. Inspections	385	370	392	
Total Inspections	<u>385</u>	<u>370</u>	<u>392</u>	
Total Expenditures by Activity	<u><u>\$676</u></u>	<u><u>\$636</u></u>	<u><u>\$670</u></u>	

MARG. PROD. OIL & GAS WELLS, COMM. ON (446)

MISSION

The mission of the Commission on Marginally Producing Oil and Gas Wells is to serve the Governor, Legislators, oil and gas industry and public by defining, identifying, and evaluating the economic and operational factors of marginally producing oil and gas wells, and to assure that appropriate efforts are made to extend the life of these wells so energy can be economically provided to all citizens of the State of Oklahoma.

THE COMMISSION

The Commission on Marginally Producing Oil and Gas Wells was created by Title 52, Section 700 of the Oklahoma Statutes, to be effective October 1, 1992. The Commission is comprised of nine members, who are independent and major oil and gas operators and royalty owners. The members, each serving a three year term, are appointed by the Governor and confirmed by the Senate.

DUTIES/RESPONSIBILITIES

STATUTORY REFERENCES

Program Name	Statutory Reference
Outreach Training Program	Title 52 Section 700-707
Legislative Information Program	Title 52 Section 701

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
200	Marginally Prod Oil & Gas Revolv	654	629	725
Total Expenditures by Fund		<u><u>\$654</u></u>	<u><u>\$629</u></u>	<u><u>\$725</u></u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
	Salaries and Benefits	324	311	313
	Professional Services	72	71	71
	Travel	45	42	48
	Lease-Purchase Expenditures	0	0	0
	Equipment	16	12	12
	Payments To Local Govt Subdivisions	0	0	0
	Other Operating Expenses	196	193	281
Total Expenditures by Object		<u><u>\$653</u></u>	<u><u>\$629</u></u>	<u><u>\$725</u></u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
10	General Operations			
1	Administration	561	542	719
2	Workshops	12	11	0
3	Expositions	77	73	0
88	Data Processing	5	3	6
	Total General Operations	<u>655</u>	<u>629</u>	<u>725</u>
Total Expenditures by Activity		<u><u>\$655</u></u>	<u><u>\$629</u></u>	<u><u>\$725</u></u>

MINES, DEPARTMENT OF (125)

MISSION

The mission of the Oklahoma Department of Mines (ODM) is to protect the environment of the state, to protect the health and safety of the miners and to protect the life, health, and property of the citizens who are affected through enforcement of the state mining and reclamation laws.

THE COMMISSION

The Commission is composed of nine members, with at least one member having a background in engineering or geology; one member with a background in labor or worker's safety; one person with a background in agriculture or soil conservation; one person with a background in transportation; one person with a background in economic development or banking; one person with a background in public utilities; one person with a background in natural resources; and two persons at large. Members are appointed by the Governor, with the advice and consent of the Senate. Each term is seven years.

DUTIES/RESPONSIBILITIES

The Oklahoma Department of Mines is a Constitutional regulatory authority empowered to execute, enforce and implement provisions of state and federally mandated programs in the area of health, safety, mining and land reclamation practices associated with surface and sub-surface mining. Public Laws 95-87 and 95-164 require a state program to be developed to: (1) safeguard human health and safety; (2) issue mining permits for all mining operations and to inspect regularly all mining operations for reclamation; and (3) minimize environmental impact to land, air, and water quality through viable regulatory and enforcement programs. The state has assumed a leadership role by adopting the rules and regulations as developed by the Office of Surface Mining, Reclamation and Enforcement of the U.S. Department of Interior to achieve the intent of Congress and implement applicable federal laws in the state of Oklahoma. Additionally, the Department is charged with enforcing the mining laws of the state, including the inspection of mines for hazardous conditions directing special consideration towards working conditions, safe equipment operation, proper ventilation, and the elimination of other hazards affecting the life and health of miners. In the event of any type of mine disaster, inspectors assume full charge of rescue operations and the subsequent control of fires, including the sealing of mines when necessary. In cooperation with the Mine Safety and Health Administration, courses are conducted in first aid, mine rescue, mine safety and accident prevention. Reclamation legislation passed by the 1971 Oklahoma Legislature expanded the scope of covered operations to include all mining and added the health and safety inspections of sand, gravel, and quarrying operations to the responsibilities of the Department. Further legislation has charged the agency with additional regulatory duties associated with flyash and non-mining blasting.

STATUTORY REFERENCES

Program Name	Statutory Reference
Administration - General Operations	The duties of the Director are set forth in Title 45, Section 1b,c& d.
NonCoal Program - Operations	Title 45, Section 721, "The Mining Lands Reclamation Act", Title 45, Chapter 11, "Surface Safety Standards", and the Non Coal Rules and Regulations 'Section 460; Chapter 10, "Non-Coal Rules of Practice and Procedures" Section 460; Chapter 3, Underground Coal and Asphalt, rules and regulations, Section 460; Chapter 15.
Legal Division	Mining Lands Reclamation Act (Title 45 O. S. Sec. 721, et seq.); Coal Reclamation Act of 1978-1979 (Title 45 O.S. Sec. 742 et seq.), Oklahoma Blasting Explosives and Blasting Regulations Act (Title 63 O.S. Sec. 122 et seq.)
Coal Program	Title 45 O.S., Chapter 8 et al, OAC Title 460, and Public Law 95-87.
Blasting Program	63 O.S. 1995, Sec 123.1 et. seq. and OAC 460:25
Oklahoma Miner Training Institute	Title 45 Chapter 1 and OAC 460: Subchapter 7 and OAC 460:15-1-9& 15-1-10

NonCoal -Ash & Dust Disposal and Reclamation (Fly-Ash)

Title 45, Section 940, new rules promulgated in 2003 ; OAC 460:30

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: ODM will have effective Departmental (internal) and client (external) communications that will result in providing increased services.

- * The Department will provide a public information program about mining to various organizations- civic, elementary and middle school, and other local interest groups. The measurement is based upon the number of presentations given each fiscal year. Since this measure is service based, and not statutory, its implementation is solely based upon the availability of funding sources. In times of financial distress, it will be one of the programs impacted due to lack of funding.

Outreach Programs	6	2	5	3
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- * The agency will conduct 50 internal staff meetings per year. An agency staff meeting will consist of the administrator meetings, any division meetings held by administrators, or other meeting of 3 or more staff members. To be considered, it must be formally scheduled with a specific topic of discussion. Types of meetings include software implementation, benefits meetings, agency division meetings, legal planning sessions, inspection updates, statutory impact meetings and budget planning .

Staff Meetings	94	90	70	70
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- * This will measure the number of agency (internal) grievances, with the standard set at less than 10% of the number of agency FTEs. The agency follows the procedures as established in the Employee Handbook. Informal complaints are not considered grievances.

Agency Grievances	0	0	3	3
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- * The agency will measure the percentage of courtesy inspections for operators that are conducted within 10 working days of the request. A courtesy inspection is defined as direct assistance to the mining operator (client), at their request. This service will help keep the operator in compliance with the law, the submitted permit package, and the agency rules and regulations. The importance of this type of inspection is that NO violations will be written at this inspection. This will serve to prevent any permit violations. All the operator needs to do for a courtesy inspection , is ask for one verbally or in writing. The Department inspection reports will be modified in order to designate "courtesy inspections". This will be added to the agency database for adequate tracking results.

This inspection is an addition to the regularly scheduled monthly inspections. Should funding or staffing deficiencies impede the delivery of this service, this performance measure could not be met.

Courtesy Inspections	100%	100%	100%	100%
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- * The percentage of citizen complaints that are responded to within 10 working days of receipt is measured. The agency will contact the individual who submitted the complaint in accordance with the agency rules and regulations. Within 30 days, a required first contact is generally verbal - phone conversation. However, many of the complaints are anonymous. Any complaint filed will result in an on-site inspection to examine the complaint. Full documentation is added to the file.

Citizen Complaints	100%	100%	100%	100%
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Goal: ODM has dedicated itself to a comprehensive training program.

- * This measurement will track the number of training hours completed by mining industry employees. To ensure a safer industry through training and education, the agency will be tracking the hours trained by the Oklahoma Miner Training Institute. We want to help maintain an industry that does not incur any enforcement actions. As the cognizant agency, the Dept. of Mines has the responsibility to continue the training to the mining industry.

Industry Training	42104	32752	35000	35000
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STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: ODM has dedicated itself to a comprehensive training program.

- * This measure will track the percentage of employees being cross-trained within the agency per year. In order to make the agency fully functional during any given moment, cross training needs to occur. As in any smaller staffed agency, many employees " wear multiple hats" when it comes to job functions at the agency. This also coincides with the agency's plan to prepare for the impending retirement of key personnel over the next several years.

Cross Trained Employees	30%	30%	30%	30%
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- * This will measure the percentage of agency employees attending professional meetings/conferences/training classes/workshops. The administrative office tracks all types of "continuing education" courses to ensure that all employees have the opportunity to improve their skills and learn new methodologies or techniques in their area of expertise. This also provides opportunities for networking and sharing experiences and knowledge across other agencies and states. In a constantly changing work environment, this is an effective way to continue to advance the agency's knowledge base. In our continuing education criteria, we are also including mining recertification and safety training.

Employee Training	90%	90%	90%	90%
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- * This will measure the number of training hours completed by agency employees each year. This may allow us to gauge the average number of hours per employee each year, in our training area. The emphasis will be for employees to receive quality training opportunities that benefit the employees and the agency.

Training Hours	748
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Goal: ODM is committed to the appropriate utilization of technology in the workplace.

- * This measure is to track the number of website hits per fiscal year. The intent of this measurement is to set a level of interest in obtaining information from the agency website. Information to be maintained on the site will include agency forms, mining information, and the ability to lodge complaints.

Website Hits	31847	63148	60000	70000
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- * This measure is for the percentage of electronic production reports received each year by the agency. The agency website will be the source of entry for the required monthly production reports for the mining operators. Again, one of the factors will be the willingness of the mining industry to embrace this measurement. This will definitely speed up the reporting and calculating process for the agency production fee calculations. One limitation may be the availability of technology for our clients. Many of the mineral operators are "Mom and Pop" entities, and may not have the computer capability available.

Electronic Production Report	0	0	50%	65%
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- * The measure is for the percentage of electronic permit applications received per year. More consultants and operators (clients) are requesting this new type of permitting technology. The agency anticipates, when the program is fully operational, that it will result in a faster issuance and response from the agency. Factors of importance in implementing this are the willingness of acceptance by the industry, the security of the submitted documents, and the integrity of the electronic permit package.

Electronic Permits	0	0	0	3
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- * This measure is to track the percentage of electronic revisions/field amendments received by the agency each year. The description is also the same at KPM # 1 (above) - electronic permit applications.

Electronic Revisions	0	0	0	10
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Goal: ODM will continue to seek full funding for agency programs.

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: ODM will continue to seek full funding for agency programs.

- * This measurement will track the percentage of federal dollars spent in comparison to the amount of federal funding dollars allocated or granted to the agency. The allocated federal dollar usage is dependent upon the available dollars for grant matching funds. Anticipated plans are to maximize the funding resources and remain in compliance with the grant agreements. Failure to reach 100% allocation usage is directly related to the available matching funds. Lack of adequate funding results in the return of federal dollars back to the federal grantors.

Federal Funding	100%	100%	100%	100%
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Blasting Program

Goal: To protect the public through the enforcement of the blasting statutes and regulations.

- * The percentage of sellers that are checked for compliance with applicable laws and regulations.

Seller compliance	35%	30%	40%	40%
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- * The percentage of blasting sites investigated. Permitted sites should be checked for compliance with the approved blasting plan.

Blasting site inspections	95%	78%	75%	75%
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- * The percentage of blasting complaints that are investigated within 21 days.

Blasting complaints	100%	100%	100%	100%
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- * The percentage of blasting permits processed within 21 days.

Blasting Permits	100%	100%	100%	100%
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Program: Coal Program

Goal: To ensure that permittees follow the required permit and the regulations in order to achieve reclamation of mined land.

- * A comparison between the number of permitted acres and the number of acres fully reclaimed and receiving a Phase III bond release. One measure of how well mined lands are being protected is an accounting of how many permitted acres have been fully reclaimed.

Phase III releases	1050/22000	311/23000	500/23000	500/21000
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- * The percentage of citizen complaints investigated within 7 days of receipt of the complaint. In order to protect the landowners and adjacent landowners from adverse effects from mining, these must be investigated in a timely manner.

Citizen complaints	100%	100%	100%	100%
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- * The percentage of permits where required inspection frequency was met. Frequency is based upon the stage of mining and reclamation.

Permit Frequency	100%	100%	100%	100%
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Goal: To assure that permit applicants and permittees receive timely service in accordance with Dept. standards.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Coal Program

Goal: To assure that permit applicants and permittees receive timely service in accordance with Dept. standards.

- * The percentage of courtesy inspections for operators conducted within 10 working days of request. The courtesy inspection is at the request of the operator, and will not result in a violation, if any findings are discovered that would otherwise result in a violation. This is to assist the operator and prevent costly violations to the permit.

Courtesy Inspections	100%	100%	100%	100%
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- * The number of electronic revisions/field amendments received each year.

Electronic Revisions/Amends.	0	0	3	3
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- * The number of electronic permit applications received per year. This largely due to the submittals and acceptance of the permittees who wish to pursue this avenue of permit submittal and review.

Electronic Permits	0	0	3	3
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- * Revisions are to be processed , either issued or denied, within 120 days of submission.

Revisions Processed	80%	40%	50%	50%
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- * Permits are to be processed , either issued or denied, within 180 days of submission.

Permits Processed	0%	50%	40%	40%
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- * Permit and revision reviews are to be performed within 19 days of submission.

Permit/Revision Reviews	65%	89%	90%	90%
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Goal: Improve communication between the public and the Dept. of Mines

- * The agency will conduct public outreach programs as requested.

Public Outreach Programs	6	2	3	3
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- * the number of hits to the ODM Website per year.

Website Hits	31847	63148	60000	70000
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Goal: Improve internal agency communications between coal program staff members.

- * The coal program will conduct 35 staff meetings per year.

Staff meetings	47	45	50	50
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Goal: Achieve reclamation of bond forfeited sites, in accordance with Title V standards, with available funds.

- * The number of reclamation projects designed and awarded to contractors annually. When projects are selected for reclamation, a technical plan is designed and awarded to independent contractors in accordance with the Construction and Properties guidelines. Inspectors monitor contractor's progress, and document the complete process.

Reclamation Projects	1	1	2	2
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Program: Legal Division

Goal: Maintain workflow of the assessment process to ensure that the violation enforcement action is validated

- * The agency will hold the assessment conferences within 60 days of the conference request or the abatement date of the violation.

Assessment Conferences	100%	100%	100%	100%
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Program: NonCoal -Ash & Dust Disposal and Reclamation (Fly-Ash)

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: NonCoal -Ash & Dust Disposal and Reclamation (Fly-Ash)				
Goal: To implement the flyash disposal, reclamation and reutilization program as set forth in the statutes.				
* The number of permitted acres.				
Acres permitted	1357	1146	1150	1150
* The number of disposal permits issued.				
Disposal permits	9	9	8	8
* The number of abandoned mine acres reclaimed through flyash disposal.				
Reclaimed acres	20	47	75	75
* The average number of inspections per year. This monitors permittee compliance with the laws and regulations. Each inspection is for environmental compliance (EN) and health and safety compliance (H&S).				
Non-compliance items	103	110	100	100
* The percentage of citizen complaints that are investigated within 7 days. This is for the protection of landowners. The investigations must be timely.				
Citizen complaints	100%	100%	100%	100%
* The percentage of disposal permits where inspection frequency is met.				
Inspection frequency	100%	100%	100%	100%

Program: NonCoal Program - Operations

Goal: To enforce the regulations as set forth in Title 45 for the minerals industry.

* Blasting inspections are required 6 times per year. The agency is tracking the percentage of blasting permits that are in compliance. This is an unfunded program of the Minerals Division.				
Blasting Inspections	80%	85%	75%	75%
* Each mining operation must be inspected 12 times per year. This measures the quantity of inspections conducted annually. House Bill 1281 amended inspection frequency to 4-6 times per year dependent upon working status of each mine.				
Health and Safety Inspection	7640	7548	3700	3800
* The percentage of processed revisions that resulted in issuance. Senate Bill 1101 changed the detail required for revisions and process time-reducing issuance.				
Revisions processed	79%	120%	80%	80%
* This is measured by the percentage of permits issued that are submitted. The permit review begins after an application is ruled complete. Senate Bill 1101 changed the level and amount of detail work required in the original permit application.				
Permit Application Process	100%	100%	80%	80%
* The percentage of bond releases that follow the statutory compliance standards. A release inspection must be conducted within 30 days, and a final Departmental decision given in 90 days.				
Bond Releases	100%	100%	90%	90%

Program: Oklahoma Miner Training Institute

Goal: Train miners as set forth in the statutes

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Oklahoma Miner Training Institute

Goal: Train miners as set forth in the statutes

* Training in accordance with 30 CFR				
Training New Miner	453			
* Training (implemented in October 2000) for small operator and contractor training.				
Part 46 training	1545			
* Certification is qualification training and testing in surface safety for blasters, state certified supervisors and electrical training. This also includes the annual first aid recertifications.				
Certification	2985			
* Regional attendance. Approximately 10 states send attendees				
MSHA Conference	190			
* Training in accordance with 30 CFR				
Training Annual Refresher	1520			

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X General Revenue	993	866	811
200 Department. of Mines Revolving	800	851	864
205 Miner Training Institute Revolving	34	123	97
400 Federal Funds	1,049	1,110	1,181
405 Fed Funds - U.S. Dept. of Labor	104	109	108
Total Expenditures by Fund	\$2,980	\$3,059	\$3,061

EXPENDITURES BY OBJECT

\$000's

<u>Object of Expenditure</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits	2,261	2,263	2,256
Professional Services	210	368	301
Travel	44	57	61
Lease-Purchase Expenditures	0	0	0
Equipment	85	91	60
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	379	279	383
Total Expenditures by Object	\$2,979	\$3,058	\$3,061

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1 Administration				
1 Administration	554	542	647	
88 Data Processing	39	26	33	
Total Administration	<u>593</u>	<u>568</u>	<u>680</u>	
2 Coal Programs				
1 Coal Programs	1,250	1,344	1,333	
Total Coal Programs	<u>1,250</u>	<u>1,344</u>	<u>1,333</u>	
3 Noncoal Programs				
1 Noncoal Programs	847	745	721	
3 Coal Combustion Byproduct Prog	95	73	47	
Total Noncoal Programs	<u>942</u>	<u>818</u>	<u>768</u>	
10 Oklahoma Miner Training				
10 Oklahoma Miner Train Institute	194	329	280	
Total Oklahoma Miner Training	<u>194</u>	<u>329</u>	<u>280</u>	
Total Expenditures by Activity	<u><u>\$2,979</u></u>	<u><u>\$3,059</u></u>	<u><u>\$3,061</u></u>	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1 Administration	7.0	7.0	8.0
2 Coal Programs	15.7	16.1	16.1
3 Noncoal Programs	11.4	10.9	10.9
Total FTE	<u>34.1</u>	<u>34.0</u>	<u>35.0</u>
Number of Vehicles	25	24	24

ENVIRONMENTAL QUALITY, DEPARTMENT OF (292)

MISSION

The mission of the Oklahoma Department of Environmental Quality is to enhance the quality of life in Oklahoma and protect the health of its citizens by protecting, preserving and restoring the water, land and air of the state, thus fostering a clean, attractive, healthy, prosperous and sustainable environment.

THE BOARD

The Environmental Quality Board is composed of thirteen members who are knowledgeable of the environment and natural resources and the preservation of these resources. Members are appointed by the Governor with the advice and consent of the Senate. The Board has statutory responsibility to:

- * appoint and fix the compensation of the Executive Director;
- * be the rule-making body for the Department;
- * review and approve the Budget Request of the Department;
- * assist the Department in conducting periodic reviews related to goals and objectives; and
- * provide a public forum for receiving input and disseminating information to the public.

DUTIES/RESPONSIBILITIES

DEPARTMENTAL ORGANIZATION:

The Department is organized according to operational needs identified by the statutory responsibilities outlined in its empowering legislation. The organization consists of Administrative Services and five technical divisions, each of which is responsible for the management of a specific environmental program area.

ADMINISTRATIVE SERVICES:

Administrative Services of the Department of Environmental Quality (DEQ) includes the Office of the Executive Director, Legal Services, and operates the fiscal, human resources, information management, training, building management, and central records programs of the Department.

Office of the Executive Director:

The Office of the Executive Director includes the Executive Director, Deputy Executive Director, the Director of Policy and Planning, and the General Counsel. The Office is responsible for management decisions affecting the Department as a whole, final Departmental policy, final authority for the issuance of permits and orders, rules development and litigation that affect the Department. Additionally, the Office serves as the initial point of contact for the Governor, state and federal legislators, and state and federal agency administrators. Media relations and direct media contacts are also managed through the Office of the Executive Director.

Legal Services:

Legal staff advise and counsel DEQ employees, board members, and council members on legal matters related to operation of the agency. Legal staff members are assigned to particular programs or divisions. They advise program staff on laws, regulations, court opinions, and other legal matters that affect their programs. Legal staff develop enforcement actions.

AIR QUALITY DIVISION:

The Air Quality Division implements the requirements of the state and federal Clean Air Acts. This includes compliance, enforcement, emission inventory, quality assurance, monitoring, analysis, permitting and local programs.

CUSTOMER SERVICES DIVISION:

The Customer Services Division is responsible for providing services both inside and outside the agency. The Customer Assistance Program houses the offices of citizen, local government and business assistance. This program serves as a single point of contact for access to agency information and services. In addition, the Customer Assistance Program houses the agency's air quality small business assistance activities. The organic and inorganic chemistry laboratories provide analytical support to the various programs within DEQ, to other state agencies, and to the public water supply systems of the state.

The Quality Assurance Unit serves both the laboratory and agency as a whole; and the Laboratory Certification Unit operates a certification program for in-state and out-of-state environmental laboratories. The Risk Communication and Risk Assessment Units coordinate the SARA Title III program, provide community outreach in environmental problem areas and peer review risk assessment decisions for the agency.

ENVIRONMENTAL COMPLAINTS AND LOCAL SERVICES DIVISION:

The Environmental Complaints and Local Services Division is responsible for receiving and resolving environmental complaints from citizens of Oklahoma. Complaints are received in the 35 field offices and by the twenty-four hour per day, seven days per week environmental complaints hotline.

Another responsibility of this Division is response to environmental emergencies. The Division responds to and evaluates spills of hazardous materials, the effects of natural disasters, and other environmental emergencies.

Other responsibilities of the Division include response to citizen requests for private well inspections, percolation and soil profile tests and inspections of individual sewage disposal systems. Additionally, field staff routinely perform multi-media inspections of facilities across the state. These facilities include water supplies, water pollution control facilities, solid waste landfills, industrial waste facilities, and facilities with air quality permits.

WATER QUALITY DIVISION:

The Water Quality Division operates programs for public water supplies, source water protection, sludge disposal, and municipal and industrial water pollution control. These programs include rulemaking, tracking, compliance, enforcement, water quality planning, training and certification, and complaint resolution activities. The Division provides licensing and regulation of municipal water and wastewater operators, landfill operators, septic tank installers, and septic tank cleaners. It reviews and processes all plans and specifications and applications for permits for water and wastewater facilities and utilizes its enforcement program to achieve compliance with rule and statute.

LAND PROTECTION DIVISION:

The Land Protection Division provides solid waste and hazardous waste planning, management, enforcement, facilities design, and groundwater protection services. The Division is also responsible for regulatory activities for the use of atomic emergency and other sources of ionizing and non-ionizing radiation.

The Division carries out activities as required by the Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended by the Superfund Amendments and Reauthorization Act of 1986, popularly known as the Superfund Program. This national program directs the cleanup of contaminated sites when public health or the environment is threatened by improperly handled or abandoned hazardous substances.

Oklahoma has been authorized to carry out a state hazardous waste program pursuant to the federal Resources Conservation and Recovery Act (RCRA) since January, 1985. Under RCRA, the Division oversees the generation,

transportation, treatment, storage, disposal, and recycling of hazardous waste throughout the state.

The Division is also responsible for regulating non-hazardous and other industrial waste under the authority of the Oklahoma Solid Waste Management Act.

STATUTORY REFERENCES	
Program Name	Statutory Reference
Administrative Services	Title 27A, Section 1 et seq
Customer Services Division	27A O. S. Supp. 2007 Sections 2-3-101 & 102 27A O. S. Supp. 2007 Section 2-5-115 27A O. S. Supp. 2007 Section 4-2-101 27A O. S. Supp. 2007 Section 2-4-201 27A O. S. Supp. 2007 Section 2-4-301
Environmental Complaints & Local Services	Title 27A 2-5 Clean Air Act 2-6 Water Quality 2-7 Hazardous Waste Management 2-10 Solid Waste Management 4-1 Emergency Response
Air Quality	Oklahoma Clean Air Act O.S. Title 27A 2-5-101 through 2-5-118 Federal Clean Air Act U.S.C. 7401-7671q
Water Quality	27A O.S. Section 2-6-101 et seq
Land Protection	27A O.S. Section(s): 1-3-101 2-3-101 2-3-105, et seq. 2-3-501 through 2-3-506 2-6-701 2-7-101 et seq. 2-9-101 et seq. 2-10-101 et seq. 2-11-101 et seq. 2-11-301 et seq. 2-11-401 et seq. 2-15-101 et seq. 2201 -2207 17 O.S. Sections: 354 A(5) and C(2)

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES				
Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>

Goal: Solve problems through effective processes and customer services approaches.

* Savings by Oklahoma major air sources (in dollars; based on Oklahoma operating the Title V program as compared to a Federal Title V program). (AQ PM2)

FEES SAVED TITLE V PROGRAM	\$2,390,634	\$1,977,854	\$2,085,317	\$2,138,617
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Goal: Provide standardized, effective, timely and enforceable permitting processes

* Average percent of permits issued within established goals. (AQ PM4) (LP PM7) (WQ PM3) (WQ PM4) (WQ PM5) (WQ PM6) (WQ PM7)

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Goal: Provide standardized, effective, timely and enforceable permitting processes				
PERMITS ISSUED WITHIN GOALS	98.9%	97.9%	96%	97%
Goal: Provide services to citizens, local governments and businesses on issues within the Department's mission				
* Provide new and expanding businesses with permitting information and assistance, with a target of 100% as requested. (CS PM6)				
PERMIT INFO AND ASSISTANCE	100%	100%	100%	100%
* Number of acres of land for which remediation was completed at the most contaminated sites in the state, including orphan sites. (LP PM16)				
LAND REMEDIATION	361	103	225	115
Goal: Solve problems through a responsive, equitable and timely environmental complaints process and emergency response system				
* Cubic yards of illegally dumped solid waste eliminated from the environment. (EC PM16)				
SOLID WASTE ELIMINATED	53,879 cu. yds.	21,312 cu. yds.	25,000 cu. yds.	25,000 cu. yds.
* Percent of complaints resolved within 90 days. (EC PM13)				
COMPLAINTS RESOLVED	100%	100%	100%	100%
* Gallons of sewage eliminated from the environment. (EC PM15)				
SEWAGE ELIMINATED	24,000,000	26,000,000	20,000,000	20,000,000
Goal: Provide consistent inspection, monitoring and enforcement within the bounds of the Department's statutory jurisdiction.				
* Percent of public water supply facilities in compliance with health-based drinking water requirements. (WQ PM20)				
PROTECT OKLA'S PUBLIC WATER	85%	86%	85%	87%
* Percentage of laboratory samples processed within appropriate turn around times. (CS PM19)				
LABORATORY SAMPLES PROCESSED	97%	99%	95%	95%
* Average percentage of population living in attainment areas. (AQ PM14) (AQ PM15)				
POPULATION IN ATTAIN AREAS	100%	100%	71%	71%

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Administrative Services

Goal: Solve problems through effective processes and customer services approaches.

- * Number of Environmental Quality Board Meetings held, with a target of 3.

SUPPORT THE EQB & 8 COUNCILS	3	3	4	4
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ENVIRONMENTAL QUALITY,
DEPARTMENT OF

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ENVIRONMENT

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Administrative Services

Goal: Solve problems through effective processes and customer services approaches.

* Percent of citizen concerns and comments documented and resolved or referred within 7 days of each meeting, with a target of 100%.				
SUPPORT THE EQB & 8 COUNCILS	100%	100%	100%	100%
* Percent of data processing concerns addressed in 3 hours and corrected in 3 days with a target of 95%.				
SUPPORT INFO. TECHNOLOGY	95%	95%	95%	95%
* Percent of work year without an interruption of service of data processing networks with a target of 98%.				
SUPPORT INFO. TECHNOLOGY	99%	99%	99%	100%
* Percent of work year without an interruption of service of telecommunication services.				
SUPPORT FISCAL & PERSONNEL	99%	99%	99%	100%
* Percent of initial response to requests for record searches, record copying and record reviews within one day of receipt of request.				
SUPPORT FISCAL & PERSONNEL	100%	100%	100%	100%
* Percent of growth rate for procurement and operational costs for building operations and maintenance.				
SUPPORT FISCAL & PERSONNEL	3%	2%	4%	5%
* Percent of purchase orders processed in compliance with applicable laws and rules within 3 working days of approval and receipt of all necessary information, with a target of 100%.				
SUPPORT FISCAL & PERSONNEL	98%	98%	100%	100%
* Percent of Time and Effort reporting received within 1 week after the completion of the reporting period with a target of 100%.				
SUPPORT FISCAL & PERSONNEL	100%	100%	100%	100%
* Percent of personnel actions completed within 5 working days of approval and receipt of all necessary information, with a target of 100%.				
SUPPORT FISCAL & PERSONNEL	99%	99%	100%	100%
* Percent of enrollment forms entered correctly into the Benefits Administration System, with a target of 100%.				
SUPPORT FISCAL & PERSONNEL	98%	99%	100%	100%
* Percent of vacancies filled within 60 days of the job announcement, with a target of 100%.				
SUPPORT FISCAL & PERSONNEL	71%	80%	90%	100%

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Administrative Services

Goal: Solve problems through effective processes and customer services approaches.

- * Percent of all budget work programs, budget requests and budget revisions submitted within timelines or in accordance with agreed upon variances, with a target of 100%.

SUPPORT FISCAL & PERSONNEL	100%	100%	100%	100%
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- * Percent of fee revenues collected within 3 months of invoicing, with a target of 100%

SUPPORT FISCAL & PERSONNEL	97%	98%	98%	100%
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- * Percent of payrolls paid within appropriate timelines and in full compliance with applicable laws and rules, with a target of 100%.

SUPPORT FISCAL & PERSONNEL	100%	100%	100%	100%
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- * Percent of claims paid within 5 working days of receipt of complete documentation, with a target of 100%.

SUPPORT FISCAL & PERSONNEL	99%	98%	98%	100%
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- * Percent of completed responses to fiscal staff of the House of Representatives, the Senate and the Office of State Finance within 3 working days of receipt, with a target of 100%.

SUPPORT FISCAL & PERSONNEL	100%	100%	100%	100%
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Program: Air Quality

Goal: Solve problems through effective processes and customer services approaches.

- * Savings by Oklahoma major sources (in dollars; based on Oklahoma operating the Title V program as compared to a Federal Title V program), with a target of \$2,085,317.

SUPPORT THE EQB & 8 COUNCILS	\$2,390,634	\$1,977,854	\$2,085,317	\$2,138,617
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- * Number of Air Quality Council meetings held, with an estimate of 4.

SUPPORT THE EQB & 8 COUNCILS	4	3	4	4
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Goal: Provide standardized, effective, timely and enforceable permitting processes.

- * Number of Non Title V air permits issued, with an estimate of 500.

TIMELY & APPROPRIATE PERMITS	769	851	500	750
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- * Number of Prevention of Significant Deterioration modeling analyses (these are conducted prior to issuing permits), with an estimate of 12.

TIMELY & APPROPRIATE PERMITS	14	16	12	15
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- * Number of Title V air permits issued, with an estimate of 35.

TIMELY & APPROPRIATE PERMITS	33	41	35	45
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- * Number of Title V Renewal air permits issued, with an estimate of 70.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Air Quality				
Goal: Provide standardized, effective, timely and enforceable permitting processes.				
TIMELY & APPROPRIATE PERMITS	56	90	70	80
* Percent of Title V air permits passing federal review, with a target of 100%.				
TIMELY & APPROPRIATE PERMITS	100%	100%	100%	100%
* Percent of Non Title V air permits issued within 90-day goal, with a target of 87%.				
TIMELY & APPROPRIATE PERMITS	96%	91%	87%	94%
Goal: Provide services to citizens, local governments and businesses on issues within the Department's mission.				
* Dollar amount returned and reinvested in local communities, with an estimate of \$100,000.				
TARGETED OUTREACH	\$76,937	\$138,141	\$100,000	\$150,000
* Number of Air Quality Division outreach contacts, with a target of 8,000.				
TARGETED OUTREACH	6,934	12,275	8,000	10,000
Goal: Solve problems through a responsive, equitable and timely environmental complaints process and emergency response system.				
* Percent of complaints resolved within 90 days, with a target of 100%.				
ENVIRONMENTAL COMPLAINTS	92%	94%	100%	100%
Goal: Provide consistent inspection, monitoring and enforcement within the bounds of the Department's statutory jurisdiction.				
* Number of Lead Based Paint Certifications issued within 10-day timeframe, with an estimate of 300.				
CERTIFICATION PROGRAMS	298	327	300	350
* Percent of enforcement actions resulting in LBP contractor returning to substantial compliance with program requirements, with an estimate of 100%.				
CERTIFICATION PROGRAMS	100%	100%	100%	100%
* Reductions in tons of emissions from enforcement actions, with an estimate of 1,500 tons.				
PROTECT OK'S AIR QUALITY	617	6,743	1,500	3,000
* Number of air enforcement actions taken, with an estimate of 90.				
PROTECT OK'S AIR QUALITY	76	80	90	90
* Percent of monitors demonstrating compliance, with a target of 76.9%.				
PROTECT OK'S AIR QUALITY	100%	100%	76.9%	85%
* Number of air quality samples analyzed, with an estimate of 400,500.				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Air Quality				
Goal: Provide consistent inspection, monitoring and enforcement within the bounds of the Department's statutory jurisdiction.				
PROTECT OK'S AIR QUALITY	384,206	417,509	400,500	420,500
* Percent of days when ozone was within the 8 hour NAAQS, with a target of 91%.				
PROTECT OK'S AIR QUALITY	95.62%	98.63%	91%	92%
* Percent of population living in PM2.5 attainment areas, with an estimate of 100%.				
PROTECT OK'S AIR QUALITY	100%	100%	100%	100%
* Percent of population living in 8-hour ozone attainment area, with an estimate of 42%.				
PROTECT OK'S AIR QUALITY	100%	100%	42%	42%
* Percent of facilities found to be in significant non-compliance, with an estimate of 10%.				
PROTECT OK'S AIR QUALITY	6.8%	1.6%	10%	10%
* Number of air compliance inspections conducted, with a target of 500.				
PROTECT OK'S AIR QUALITY	514	523	500	550
Program: Customer Services Division				
Goal: Solve problems through effective processes and customer services approaches.				
* Number of Small Business Compliance Advisory Panel meetings held, with a target of 1.				
SUPPORT THE EQB & 8 COUNCILS	0	0	1	1
* Number of Laboratory Services Advisory Council meetings held, with a target of 2.				
SUPPORT THE EQB & 8 COUNCILS	1	2	2	2
Goal: Provide services to citizens, businesses and local governments on issues within the Department's mission.				
* Facilitate public meetings on permits or developing issues of concern to citizens of the state, with a target of 100% of requests from permitting divisions.				
TARGETED OUTREACH	2/100%	5/100%	5/100%	5/100%
* Number of new industry sectors receiving targeted outreach using new federal requirements such as NESHAPs and other agency priority-setting mechanisms such as the complaint program, with an estimate of 1 sector.				
TARGETED OUTREACH	0	0	1	1
* Track changes in waste management in Oklahoma using the TRI data document in annual summary report.				
TARGETED OUTREACH	0	0	1	1
* Provide new and expanding businesses with permitting information and assistance, with a target of 100% as requested.				
TARGETED OUTREACH	100%	100%	100%	100%

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY- 2011</u> <u>Budgeted</u>	<u>FY-2012</u> <u>Estimated</u>
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Program: Customer Services Division

Goal: Provide services to citizens, businesses and local governments on issues within the Department's mission.

* Number of facilities brought into resolution by addressing the needs of each community, with a goal of 20.				
TARGETED OUTREACH	18	13	20	20
* Provide access to laboratory data through the DEQ website for fish programs with a target to pilot implementation in FY2010.				
TARGETED OUTREACH	Dev of Appl	Pilot programs	Complete proj	Update&maintain
* Maintain capabilities of the agency web page to include access to a searchable Laboratory Accreditation database.				
TARGETED OUTREACH	Active pilot	Comp develop	Main.online app	Main.online app
* Provide assistance to industry for mandatory on-line filing of Tier 2 forms with a target of 100% of requests.				
TARGETED OUTREACH	100%	100%	100%	100%

Goal: Provide consistent inspection, monitoring and enforcement within the bounds of the Department's statutory jurisdiction.

* Respond to laboratory requests or referrals with a target of 100% as requested.				
PROVIDE QUALITY INFORMATION	100%	100%	100%	100%
* Percentage of laboratory samples processed within appropriate turn around time targets with a goal of 95%.				
PROVIDE QUALITY INFORMATION	97%	99%	95%	95%
* Number of laboratories that participate in DEQ Laboratory Accreditation Program, with a target of 145 laboratories.				
CERTIFICATION PROGRAMS	156	146	145	145
* Develop, implement and improve an interactive laboratory accreditation program with access through the Internet with a target of project completion in FY2011.				
CERTIFICATION PROGRAMS	Active pilot	Continue dev	Complete dev	Main online app
* Maintains "up-to-date" laboratory capabilities through the development and maintenance of the laboratory Quality System, as documented in monthly Quality Assurance reports which capture and implement key elements of a NELAP Accreditation which includes number of new instruments purchased or replaced as well as new methods implemented.				
PROVIDE QUALITY INFORMATION	5/3	0/3	0/1	1/2
* Implement new laboratory technologies and methodologies as requested or required by program specific analytical targets and budgetary appropriations, with a target of 100%.				
PROVIDE QUALITY INFORMATION	100%	100%	100%	100%
* Provide sample collection kits to both public and private requests with a target of 100% as requested.				
PROVIDE QUALITY INFORMATION	100%	100%	100%	100%

Goal: Solve problems through a responsive, equitable and timely environmental complaints process and emergency response system.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Customer Services Division

Goal: Solve problems through a responsive, equitable and timely environmental complaints process and emergency response system.

- * Assist in field investigation and sample analysis for complaints with a goal of 100% as requested.

COMPLAINTS RESOLUTION	100%	100%	100%	100%
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- * Assist in response to environment emergencies by coordinating DEQ's response with DEQ Divisions and other agencies, assisting in field investigations and sample analysis with a goal of 100% as requested.

EMERGENCY RESPONSE	100%	100%	100%	100%
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Program: Environmental Complaints & Local Services

Goal: Provide standardized, effective, timely and enforceable permitting processes.

- * Percent of on-site wastewater authorizations processed within 2 days of receiving a complete request with a target of 100%.

TIMELY & APPROPRIATE PERMITS	98%	94%	100%	98%
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- * Percent of customers provided with completed forms regarding a soil test and/or an on-site system inspection within 3 days of service, with a target of 95%.

TIMELY & APPROPRIATE PERMITS	96%	93%	95%	95%
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- * Percent of customers contacted within 2 days of requesting a soil test and/or an on-site system inspection, with a target of 95%.

TIMELY & APPROPRIATE PERMITS	97%	94%	95%	95%
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- * Percent of on-site wastewater authorizations issued, or requester contacted regarding deficiencies, within 2 days of receipt at local office with a target of 100%.

TIMELY & APPROPRIATE PERMITS	97%	96%	100%	97%
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- * Percent of storm water NOIs reviewed and forwarded to WQD for signature within 7 days of receiving complete Notice of Intent (NOI), with a target of 100%.

TIMELY & APPROPRIATE PERMITS	65%	66%	90%	95%
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- * Percent of Notice of Termination (NOT) confirmations completed within 30 days of receipt, with a target of 100%.

TIMELY & APPROPRIATE PERMITS	89%	94%	90%	95%
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Goal: Provide services to citizens, business and local governments on issues within the Department's mission.

- * Number of targeted facilities brought into resolution by addressing the needs of each community, with a goal of 20.

TARGETED OUTREACH	17	13	20	20
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- * Number of non-regulatory technical assistance activities provided to owners/operators of Total Retention Lagoon Facilities, with a target of 100 activities.

TARGETED OUTREACH	86	92	100	100
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Environmental Complaints & Local Services

Goal: Provide services to citizens, business and local governments on issues within the Department's mission.

* Number of technical assistance activities provided to small communities related to their water, wastewater and solid waste systems, with an estimate of 200 activities.				
TARGETED OUTREACH	299	172	200	200
* Number of technical assistance activities provided to citizens related to onsite sewage and private water supplies with an estimate of 300 activities.				
TARGETED OUTREACH	428	380	300	300
* Percent of the remaining PWS Groundwater Systems achieving substantial implementation of a wellhead protection plan with a target of 20%.				
TARGETED OUTREACH	27%	20%	20%	20%
* Dollar amount returned or reinvested in local communities.				
TARGETED OUTREACH	\$4,500	\$4,132	\$4,000	\$5,000

Goal: Solve problems through a responsive, equitable and timely environmental complaints process and emergency response system.

* Percent of complaints resolved within 90 days with a target of 100%.				
ENVIRONMENTAL COMPLAINTS	100%	100%	100%	100%
* Percent of complainants contacted within 2 days, with a target of 85%				
ENVIRONMENTAL COMPLAINTS	83%	80%	85%	85%
* Gallons of sewage eliminated from the environment, with an estimate of 20,000,000 gallons				
ENVIRONMENTAL COMPLAINTS	24 Million	26 Million	20 Million	20 Million
* Cubic yards of illegally dumped solid waste eliminated from the environment, with an estimate of 25,000 cubic yards.				
ENVIRONMENTAL COMPLAINTS	53,879 cu. yds.	21,312 cu. yds.	25,000 cu. yds.	25,000 cu. yds.

Goal: Provide consistent inspection, monitoring and enforcement within the bounds of the Department's statutory jurisdiction.

* Percent of facilities that are documented in compliance or are returned to compliance by ECLS efforts alone (through inspection and educational activities) without need for legal or engineering assistance, with an estimate of 97%.				
ECLS INSPECT. & ENFORCEMENT	97%	96%	97%	97%
* Percentage of certified installer systems and certified profiler soil tests, spot-checked by the Agency with a target of 10%.				
CERTIFICATION PROGRAMS	11%	10%	10%	10%
* Percentage of on-site wastewater systems installed, inspected and approved by certified installers, with an estimate of 80%.				
CERTIFICATION PROGRAMS	85%	84%	80%	80%

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Environmental Complaints & Local Services

Goal: Provide consistent inspection, monitoring and enforcement within the bounds of the Department's statutory jurisdiction.

- * Percentage of on-site systems designed by certified soil profilers utilizing soil profile descriptions, with an estimate of 15%.

CERTIFICATION PROGRAMS	24%	30%	20%	20%
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Program: Land Protection

Goal: Solve problems through effective processes and customer services approaches.

- * Number of Solid Waste Management Advisory Council meetings held, with a target of 2.

SUPPORT THE EQB & 8 COUNCILS	1	3	2	2
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- * Number of Hazardous Waste Management Advisory Council meetings held, with a target of 2.

SUPPORT THE EQB & 8 COUNCILS	3	3	2	2
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- * Number of Radiation Management Advisory Council meetings held, with a target of 2.

SUPPORT THE EQB & 8 COUNCILS	2	1	2	2
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Goal: Provide standardized, effective, timely and enforceable permitting processes.

- * Percent of permits or license actions completed within internal timelines, with a target of 100%.

TIMELY & APPROPRIATE PERMITS	100%	100%	100%	100%
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- * Number of corrective action universe cleanup plans approved, with an estimate of 8. This includes related documents such as RFI Reports, Corrective Measures Studies, Interim/Final Measures Implementation, etc.

TIMELY & APPROPRIATE PERMITS	8	8	8	8
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- * Number of hazardous waste, solid waste, or UIC permits issued, and associated reports processed, with an estimate of 180.

TIMELY & APPROPRIATE PERMITS	596	630	180	180
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- * Number of new or amended radiation license actions, with an estimate of 400.

TIMELY & APPROPRIATE PERMITS	443	373	400	400
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Goal: Provide services to citizens, business and local governments on issues within the Department's mission.

- * Number of Brownfields Certificates issued, with an estimate of 2.

LAND RESTORATION	2	1	2	2
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- * Number of acres of land for which remediation was completed at the most contaminated sites in the state, including orphan sites, with an estimate of 225 acres.

TARGETED OUTREACH	361	103	225	115
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- * Number of sites cleaned up using Brownfield Revolving Loan Funds, with an estimate of 2.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Land Protection				
Goal: Provide services to citizens, business and local governments on issues within the Department's mission.				
LAND RESTORATION	N/A	N/A	2	2
* Number of Phase I/II Brownfields Targeted Site Assessments, with an estimate of 8.				
LAND RESTORATION	5	6	8	8
* Number of local governments assisted with trash dump clean up and improved recycling programs, with an estimate of 20.				
COMM BASED ENVIRON PROJ	79	1	20	20
* Number of radon test kits provided to homes, with an estimate of 50. (Note: DEQ provides the kits solely upon request from homeowners.)				
RADON PROGRAM	32	29	50	50
* Number of customer assistance events (e.g. site assistance visits, training/presentations, exhibits, recycling programs, waste audits, etc.), with an estimate of 15.				
POLLUTION PREVENTION	7	7	15	15
* Number of environmental education events (schools/students, communities, Conservation Districts), with an estimate of 15.				
POLLUTION PREVENTION	28	45	15	15
* Number of households from which mercury was collected for recycling, with an estimate of 12.				
TARGETED OUTREACH	N/A	N/A	12	12
* Number of National Guard Armories remediated and available for reuse, with an estimate of 8.				
LAND RESTORATION	9	6	8	5
* Number of abandoned tires remediated from illegal dumps across the state, with an estimate of 50,000.				
RECYCLING	44,002	20,060	50,000	50,000
* Number of waste tires diverted from illegal dumping by collecting from community-wide collection events, with an estimate of 67,000.				
RECYCLING	68,420	63,133	67,000	60,000
* Number of computer collection events held, with an estimate of 5.				
RECYCLING	1	2	5	2
* Number of Superfund Preliminary Assessments and Site Inspections completed, with an estimate of 6.				
LAND RESTORATION	10	2	6	6
* Number of contaminated sites completed under the VCP, with an estimate of 5.				
LAND RESTORATION	19	16	5	5
* Number of government entities (city, county, state, federal, tribal, and schools [including higher education]) assisted with restoration of damaged lands through the use of suitable portions of the solid waste stream, with an estimate of 24.				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Land Protection				
Goal: Provide services to citizens, business and local governments on issues within the Department's mission.				
LAND RESTORATION	N/A	N/A	24	24
Goal: Solve problems through a responsive, equitable and timely environmental complaints process and emergency response system.				
* Percent of complaints resolved within 90 days, with a target of 100%.				
ENVIRONMENTAL COMPLAINTS	100%	100%	100%	100%
Goal: Provide consistent inspection, monitoring and enforcement within the bounds of the Department's statutory jurisdiction.				
* Number of hazardous waste CEIs performed at Oklahoma generators, transporters, and TSD facilities, with an estimate of 150.				
PROTECT OKLA'S LAND	279	219	150	150
* Number of CMEs and OAM inspections at RCRA TSDs, with an estimate of 4.				
PROTECT OKLA'S LAND	4	4	4	4
* Number of individuals taking industrial radiography exams, with an estimate of 150. (Note: DEQ provides the exams solely at the request of the regulated community.)				
CERTIFICATION PROGRAMS	325	265	150	150
* Number of non-hazardous industrial waste certifications reviewed, with an estimate of 500.				
CERTIFICATION PROGRAMS	634	585	500	500
* Number of LPD enforcement actions (NOVs/Orders) taken, with an estimate of 40.				
PROTECT OKLA'S LAND	74	92	40	40
* Number of inspections of waste tire processors, tire dealers, and motor license agents, with an estimate of 150.				
PROTECT OKLA'S LAND	191	199	150	150
* Number of underground injection site inspections, with an estimate of 13. This PM is based on the expected universe in FY 2011. If the number of injection wells changes, the number of inspections will be altered accordingly.				
PROTECT OKLA'S LAND	13	13	13	13
* Number of solid waste inspections conducted, with an estimate of 350.				
PROTECT OKLA'S LAND	375	355	350	350
* Number of radiation inspections, with an estimate of 140.				
PROTECT OKLA'S LAND	141	124	140	140
* Amount of fines collected (in dollars), with an estimate of \$60,000.				
PROTECT OKLA'S LAND	\$40,166	\$270,874	\$60,000	\$60,000

Program: Water Quality

Goal: Solve problems through effective processes and customer services approaches.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Water Quality

Goal: Provide services to citizens, local governments and businesses on issues within the Department's mission.

* Dollar amount returned and reinvested in local communities, with an estimate of \$400,000.				
TARGETED OUTREACH	\$405,490	\$575,033	\$400,000	\$400,000
* Number of low interest loans for drinking water facilities to be awarded within each fiscal year, with an estimate of 10.				
TARGETED OUTREACH	11	31	10	10
* Number of targeted facilities brought into resolution by addressing the needs of each community, with a goal of 20.				
TARGETED OUTREACH	At least 20	At least 20	20	20
* Low interest loans provided to public water supply systems for system modifications that will enhance the capabilities of those systems to comply with Safe Water Drinking Act requirements, with an estimate of \$85,500,000 in loans for FY 2011.				
TARGETED OUTREACH	\$83,105,000	\$174,919,601	\$85,500,000	\$85,500,000

Goal: Solve problems through a responsive, equitable and timely environmental complaints process and emergency response system.

* Percent of complaints resolved within 90 days, with a target of 100%.				
ENVIRONMENTAL COMPLAINTS	85%	98%	100%	100%

Goal: Provide consistent inspection, monitoring and enforcement within the bounds of the Department's statutory jurisdiction.

* Percent accuracy rate for ICIS and Safe Drinking Water Information System (SDWIS) data, with a target of 99%.				
PROTECT OKLA'S PUBLIC WATER	99.99%	99.982%	99%	99%
* Percent of the population served by public water supply systems that are in compliance with health-based standards of the Safe Drinking Water Act, with an estimate of 75%.				
TARGETED OUTREACH	87%	81%	75%	75%
* Percent of public water supply facilities in compliance with health-based drinking water requirements, with an estimate of 85%.				
PROTECT OKLA'S WATERS	85%	86%	85%	87%
* Number of Water & Wastewater Certification on-line exams administered with an estimate of 1,600 per year.				
CERTIFICATION PROGRAMS	1,743	2,055	1,600	1,700
* Number of technical assistance site visits or inspections to help wastewater facilities maintain compliance, with an estimate of 400.				
PROTECT OKLA'S WATERS	1,271	1,324	400	400
* Number of technical assistance site visits or inspections for storm water facilities to maintain compliance, with an estimate of 80.				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Water Quality				
Goal: Provide consistent inspection, monitoring and enforcement within the bounds of the Department's statutory jurisdiction.				
PROTECT OKLA'S WATERS	219	211	80	80
* Percent of wastewater facilities in compliance or under formal schedule to obtain compliance while implementing the new federally mandated regulations, with an estimate of 96%.				
PROTECT OKLA'S WATERS	96%	96%	96%	96%
* Number of TMDLs completed in accordance with EPA and Court Decision Timelines, with a target of 125.				
PROTECT OKLA'S WATERS	77	45	125	125
* Number of public water supply technical assistance site visits or inspections to aid in compliance, with an estimate of 2,500.				
PROTECT OKLA'S PUBLIC WATER	3,091	2,700	2,500	2,500
* Percent of Compliance Evaluation Inspections or Compliance Sampling Inspections completed on EPA major wastewater facilities each year, with a target of 100%.				
PROTECT OKLA'S WATERS	100%	100%	100%	100%

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
19X General Revenue	9,350	8,817	8,127	
200 DEQ Revolving Fund	30,030	30,157	36,164	
210 Environmental Education Revolving	18	20	15	
220 Hazardous Waste Fund	45	21	64	
225 Certification Fund	598	710	730	
400 Federal Funds	12,933	12,614	19,963	
490 American Recov. & Reinv. Act	0	818	451	
Total Expenditures by Fund	\$52,974	\$53,157	\$65,514	

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
Salaries and Benefits	40,273	41,672	43,838	
Professional Services	3,772	3,823	10,576	
Travel	1,519	1,156	1,265	
Lease-Purchase Expenditures	108	0	0	
Equipment	1,484	1,404	1,255	
Payments To Local Govt Subdivisions	1,080	786	3,200	
Other Operating Expenses	4,738	4,319	5,073	
Total Expenditures by Object	<u>\$52,974</u>	<u>\$53,160</u>	<u>\$65,207</u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
11	Administrative Services Div			
1	Admin Services Div Operational			
	8,628	0	0	
10690	0	557	563	
11370	0	131	193	
11930	80	3,888	3,882	
11940	0	60	85	
12620	52	1,355	1,417	
14890	20	562	639	
81930	9	900	1,048	
84900	54	1,097	1,427	
84950	4	0	0	
85210	0	96	492	
	<u>8,847</u>	<u>8,646</u>	<u>9,746</u>	
	Total Administrative Services Div			
21	Customer Service Division			
1	Customer Services Div Operatio			
	5,378	0	0	
10070	13	474	279	
10130	62	122	77	
10220	1	198	192	
10300	(28)	499	350	
10420	(1)	168	315	
10730	0	66	108	
10760	50	1,789	2,042	
10770	45	705	1,244	
10820	13	254	301	
10840	1	363	428	
10850	0	286	345	
11950	0	47	0	
13670	4	89	251	
14880	228	16	0	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
21	Customer Service Division		
14910	2	596	0
80130	6	0	12
80420	0	4	3
80760	3	105	77
80820	0	0	3
80850	0	0	3
84880	8	0	0
	5,785	5,781	6,030
	Total Customer Service Division		
30	Env. Complaints & Local Svcs.		
1	7,802	0	0
10040	0	19	0
10043	0	295	644
10130	10	1,435	1,237
10133	11	2,324	2,077
10213	0	2	39
10223	0	110	96
10823	0	150	150
10850	0	157	258
10853	22	463	239
11420	2	143	8
11423	14	978	1,175
11433	0	4	8
11950	0	39	260
12190	5	519	459
12193	14	483	539
12340	0	38	15
12343	0	11	15
12420	0	113	163
12423	1	194	187
13643	0	193	150
14570	0	38	63
14573	0	53	42
14630	0	0	198
14910	0	16	0
14913	0	18	0
15060	0	21	101
80130	0	72	89
80133	0	41	16
81420	0	0	16
82193	0	0	2
	7,881	7,929	8,246
	Total Env. Complaints & Local Svcs.		
50	Air Quality Division		
1	9,413	0	0
10210	0	2,811	4,069
10211	0	26	19
10213	0	329	491
10214	0	25	24
10220	0	4,465	5,286
10221	0	70	45
10223	0	629	755

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
50	Air Quality Division		
10224	0	59	55
11850	0	190	179
14040	0	28	32
14740	0	458	195
14743	0	163	45
15350	0	365	418
15353	0	30	71
80210	0	187	165
80211	0	0	0
80214	0	0	1
80220	0	19	17
80221	0	0	1
80223	0	2	0
80224	0	0	2
81850	0	3	0
84740	0	82	5
85350	0	1	1
	9,413	9,942	11,876
55	Water Quality Division		
1	10,991	1,601	0
10040	8	1,299	2,223
10043	0	135	31
10070	2	164	296
10110	19	240	478
10113	0	8	8
10130	5	95	54
10760	3	1,282	1,215
10780	1	104	40
11090	6	447	527
12390	2	414	955
12400	1	147	363
12410	10	1,103	2,774
12420	5	686	2,489
12880	35	0	0
13640	17	3,264	2,847
13643	2	51	45
14370	11	0	0
14390	(11)	0	336
14910	0	159	38
15380	9	0	0
15400	0	0	0
80040	0	0	4
80070	0	34	32
80110	0	22	35
80130	0	0	46
80760	25	57	57
81090	0	69	32
82390	0	0	8
82400	0	0	5
82410	0	0	9
82420	20	105	270

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
55	Water Quality Division		
83640	0	5	85
	11,161	11,491	15,302
	Division		
61	Land Protection Division		
1	9,333	1,214	4,606
10140	20	83	114
10210	0	46	52
10270	0	13	61
10310	1	0	0
10500	0	0	0
10700	2	0	0
10790	0	5	5
10800	12	104	134
10810	0	44	83
10820	15	1,636	1,391
10850	128	3,072	3,241
10853	2	115	115
10870	3	274	255
11050	13	0	0
11100	3	132	130
11330	0	0	0
11860	0	1	2
12470	8	0	0
12590	8	721	792
12770	0	0	0
13240	1	0	0
13590	0	14	0
14060	2	0	0
14440	0	3	0
14660	0	5	5
14920	2	0	0
14930	286	1,192	2,551
15030	2	8	18
15080	22	0	0
15220	0	31	0
17000	22	501	579
80140	0	38	44
80850	0	116	127
82590	0	0	9
	9,885	9,368	14,314
	Division		
Total Expenditures by Activity	\$52,972	\$53,157	\$65,514

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES

Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
11 Administrative Services Div	67.3	76.9	76.9
21 Customer Service Division	61.3	66.1	66.1
30 Env. Complaints & Local Svcs.	99.6	95.0	95.0
50 Air Quality Division	114.1	113.2	113.2
55 Water Quality Division	124.5	130.2	130.2
61 Land Protection Division	81.9	89.2	89.2
Total FTE	548.7	570.6	570.6
Number of Vehicles	32	32	31

CAPITAL OUTLAY and SPECIAL PROJECTS

\$000's

Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	Actual	Actual	Estimated
90	Air Quality			
1	Air Qtly Div Capital	0	0	670
91	Land Protection Capital			
1	Land Protect Div Capital	2,898	10,847	7,869
94660	Tar Creek-City of Commerce Rem	0	12	0
95470	EPA ç Tar Creek Oklahoma Plan	13,534	2,140	0
94	Building Fund			
1	Building Acquisition Capital	0	0	0
94000	Building Acquisition	0	1,201	0
95	Administration			
1	Administrative Services Capita	493	612	3,039
91950	Administrative Penalties	271	0	0
92620	Building Capital Maintenance	195	1	0
97	Env. Complaints Local Svc. Cap			
1	Env. Complaints Local Svc. Cap	0	134	0
Total Capital Outlay by Project		\$17,391	\$14,947	\$11,578

WATER RESOURCES BOARD (835)

MISSION

To enhance the quality of life for Oklahomans by managing, protecting and improving the state's water resources to ensure clean, safe, and reliable water supplies, a strong economy, and a healthy environment.

THE BOARD

The Water Resources Board, established in 1957, consists of nine (9) members appointed by the Governor for a term of seven years with the advice and consent of the State Senate. One member is appointed to represent each of the Congressional Districts with the remaining members appointed at large. Each member is a qualified elector of the State and at least one member is "well versed in each of the following major types of water use: recreational, industrial, irrigation, municipal, rural residential, agricultural and soil conservation work;" with no more than two representing any one of the major water types.

DUTIES/RESPONSIBILITIES

Administrative Services Division

Administration is comprised of the Executive Director, Assistant Director, Executive Secretary, the Finance and Procurement Office, Information Services, Human Resources and office of the General Counsel. The purpose of this unit is to provide administrative and programmatic oversight to all agency programs; they answer directly to the governing Board, the Governor and the Legislature.

Financial Assistance Division

In answer to the growing need for infrastructure improvements in Oklahoma, the Board's Financial Assistance Division administers a successful, long-standing loan and emergency grant program to fund the construction or rehabilitation of community water and wastewater projects. This program, as well as most of the agency's other financial assistance ventures, is backed by the Statewide Water Development Revolving Fund, capitalized by the State Legislature in 1979. The Revolving Fund is the key reason why the Board's loan programs can offer such extremely competitive interest rates and convenient payback terms. The Division also directs two separate loan programs that provide federal Clean Water Act and Drinking Water Act funds for community wastewater and water treatment/distribution projects, respectively. A fifth funding strategy, the Rural Economic Action Plan (REAP) grant program, is specifically geared to the water/sewer project needs of Oklahoma's small towns. Applicants eligible for water/wastewater project financial assistance vary according to the specific program's purpose and requirements, but include towns and other municipalities with proper legal authority, various districts established under Title 82 of Oklahoma Statutes (rural water, master/water conservancy, rural sewage and irrigation districts), counties, public works authorities and/or school districts. Special programs are available for small and/or impoverished communities. Applications for agency financial assistance programs are evaluated individually by agency staff. Those meeting specific program requirements are recommended by staff for approval at monthly meetings of the nine-member Water Board.

Planning & Management Division

The Planning and Management Division administers permitting programs for use of the state's surface waters and groundwaters and cooperates with various agencies and organizations in technical studies to determine the amount of water available in Oklahoma's stream and groundwater basins. To ensure the fair apportionment and future conservation of Oklahoma's abundant water resources, the OWRB directs separate, though closely related, programs that provide critical information on existing surface and groundwater supplies. This multi-faceted monitoring network also provides real-time data to enhance and complement Oklahoma's existing flood forecasting and warning capabilities; guides operation of state lakes and reservoirs; contributes vital information to the state's drought monitoring and response efforts; and facilitates agreement in interstate stream compacts. To help ensure that future water supplies are available and used wisely, Planning and Management participates in various planning activities, including maintenance of the Oklahoma Comprehensive Water Plan and promotion of its associated recommendations.

Water Quality Division

The Water Quality Division develops and maintains Oklahoma's Water Quality Standards and routinely collects physical, chemical and biological data to support the document. The Division directs Oklahoma's Beneficial Use Monitoring Program (BUMP) to document beneficial use impairments, identify impairment sources (if possible), detect water quality

trends, provide needed information for the Water Quality Standards and facilitate the prioritization of pollution control activities.

As part of its three-tiered Clean Lakes Program, the Division conducts water quality assessments to determine the relative health of state lakes and the problems impairing them, coordinates an extremely successful volunteer water quality monitoring program, and implements diagnostic and feasibility studies which seek to restore the recreational benefits of public lakes throughout the state. Water Quality also participates with municipal governments and federal agencies to assess and control various water quality problems impacting Oklahoma waters.

Secretary of Environment

The primary duties assigned to the Secretary of the Environment are found in Oklahoma Statutes at 27A O.S. 1-2-101. Essentially, the Secretary of the Environment has the following four responsibilities:

1. Any duties and powers assigned by the Governor,
2. Recipient and administrator of Federal Clean Water Act funds,
3. Coordinate pollution control activities to avoid duplication of effort, and
4. Act on behalf of the public as trustee for natural resources.

STATUTORY REFERENCES

Program Name	Statutory Reference
Administrative Services	Title 82 O.S.
Water Quality	Title 27A O.S. Supplement 1996 Sections 1-3-101 Title 82 O.S. Sections 1085.2 and 1085.30 Title 82 O.S. Sections 1085.2 and 1086.1
Financial Assistance	Title VI of the Federal Clean Water Act and O.S. Title 82, 1085.51 - 1085.65 (Wastewater Facility Construction Revolving Loan Account); Section 1452, Title XIV, Part B of the Federal State Drinking Water Amendments of 1996 and O.S. Title 82, Section 1085.71-1085:84A; O.S. Title 82, Section 1085.31-1085.49 (Water Storage and Control Facilities)
Planning and Management	82 O.S. Sections 105.25-105.27, 110.1-110.12; and 1085.2 82 O.S. Sections 1085.2 and 1601 et seq. 82 O.S. Sections 1085.2, 1401, 1421 and 1431 82 O.S. Sections 1085.2, 1086.1 and 1086.2 82 O.S. Sections 105.1 et seq., 1020.4-1020.6 and 1085.2 82 O.S. Sections 105.1 et.seq; and 1085.2 (Stream Water); Also 82 O.S. Sections 1020.1 et. seq and 1085.2 (Groundwater) 82 O.S. Sections 1020.16 and 1085.2
Secretary of Environment	Article II of Title 27A at 27A; 1-2-101 (O.S. Supp. 1999).

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY- 2011</u> <u>Budgeted</u>	<u>FY-2012</u> <u>Estimated</u>
Goal: Develop, implement and promote sound water policies, programs and plans to protect lives, property and Oklahoma's water resources.				
Goal: Build partnerships and increase public awareness to encourage responsible stewardship of Oklahoma's water resources.				
Goal: Improve service to the public by maximizing agency efficiency and innovation, and through promotion of a healthy, safe, productive and inclusive working environment.				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY- 2011</u> <u>Budgeted</u>	<u>FY-2012</u> <u>Estimated</u>
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Program: Financial Assistance

Goal: Develop, implement and promote sound water policies, programs and plans to protect lives, property and Oklahoma's water resources.

- * Meet the emergency water and wastewater need, as available, of eligible municipalities and districts by awarding at least 60% of the Emergency Grant funds available. (Note: This percentage is based on funding levels generated through the interest earnings produced on the OWRB State Loan Program bond reserve fund.)

% loans awarded	114	62	75	75
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- * Provide loan assistance to at least 90% of entities seeking loan assistance from OWRB in the Clean Water and Drinking Water State Revolving Fund and the Financial Assistance Program.

% loans closed/loans rec	100	100	90	90
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- * Funds requested from REAP Grants per qualified applicants vs. funds expended for REAP Grants from revenues generated through State revenues from the gross production tax.

REAP funds requested	13M/2.2M	10.4M/1.35M	unknown/1.7M	unknown
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- * Percent REAP Grants awarded to qualified applicants per qualified REAP Grant applications received. Note this percentage is based on funding levels generated through State revenues from the gross production tax.

REAP	19	14	15	14
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- * Percent eligible construction projects approved to assist borrowers achieve effluent discharge permit compliance out of the total number of loan applicants under enforcement orders by the Oklahoma Department of Environmental Quality.

% eligible const proj appr	100	100	100	100
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- * Approve funding for at least 60% of CWSRF projects to improve and protect water quality on State-designated impaired waters, high quality water, sensitive water supply, very high groundwater vulnerability, or recreational/ecological significance in order to implement best management practices to control polluted municipal and nonpoint source runoff.

% CWSRF loans approved	77	58	60	60
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Program: Planning and Management

Goal: Develop, implement and promote sound water policies, programs and plans to protect lives, property and Oklahoma's water resources.

- * Complete 100% of recommendations for groundwater maximum annual yield allocation for two groundwater basins to the Board by FY2015 to ensure equitable use of groundwater and reliable water supplies with recommendations for the Garber-Wellington groundwater basin by 06/31/12.

Groundwater Allocation	NA	NA	0	50
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- * Complete 100% of an updated groundwater flow model of the Rush Springs aquifer by 06/30/13, to ensure fair appropriation and reliable water supplies to meet current and long-term domestic, economic and environmental demand.

Hydrologic Investigations	NA	NA	0	50
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- * Complete 100% of the hydrologic basin yield investigations and analysis and recommend water allocation policy limits for the Canadian River and Washita River stream systems by 2015 to ensure fair appropriation and reliable water supplies to meet current and long-term domestic, economic and environmental demand.

Hydrologic Investigations	NA	NA	20	40
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Planning and Management

Goal: Develop, implement and promote sound water policies, programs and plans to protect lives, property and Oklahoma's water resources.

- * Complete 100% of hydrologic investigation and reports of the Garber-Wellington aquifer to the U.S. Bureau of Reclamation by 12/31/12 to ensure fair appropriation and reliable water supplies to meet current and long-term domestic, economic and environmental demand.

Hydrologic Investigations	NA	25	50	100
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- * Perform activities to enhance the quality and innovation of the dam safety program by Increasing the number of high hazard dams with emergency action plans from 89% to 94% by the end of FY2015.

Improve Dam Safety Program	NA	NA	91	92
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- * Increase the number of communities participating in FEMA's higher standards-Community Rating System (CRS) by 20% by FY2015 to strengthen local floodplain management regulation, provide greater protection of life and property, and reduce costs to homeowners for flood insurance.

Community Rating System	NA	NA	25	50
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- * Reduce the number of non accredited floodplain administrators by 50% by FY2015 to ensure effective implementation of local floodplain management ordinances, increase protection of life and property from destructive flood hazards, and reduce costs to homeowners for flood insurance.

Floodplain Admin Accred	NA	NA	10	20
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- * Increase annual well inspection and enforcement activities to a level of 3% of well reports submitted annually, by 2015, to ensure proper well construction to protect the quality of vital groundwater aquifers and provide safe drinking water supplies.

Water Well Inspections	NA	NA	60	70
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- * Complete 75% of data compilation and field data collection for the Rush Springs aquifer hydrologic investigation by 12/31/12, to ensure fair appropriation and reliable water supplies to meet current and long-term domestic, economic and environmental demand.

Hydrologic Investigations	NA	NA	0	75
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- * Reduce known unauthorized water use by 95 percent to protect water quality and quantity for permitted users.

Water Rights Implementation	NA	NA	75	80
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- * By FY 2015, increase by 10 percent the submittal of annual water use reports statewide which are used to establish the hydrologic yield of Oklahoma's major aquifers and stream water available for economic, domestic, and environmental use; to fairly allocate and ensure reliable future supplies; and to protect individual permit holders from interference from other water users.

Water Rights Implementation	NA	NA	2	4
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Goal: Build partnerships and increase public awareness of the value of responsible stewardship of Oklahoma's water resources.

- * Increase the number of training opportunities to educate dam owners and inspectors on various components of the dam safety program from one a year to three each year by FY2015.

Dam Safety	NA	NA	1	2
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- * Conduct a comprehensive review of water use reports filed on 5 percent of all water rights on file in order to verify and quality control the amount of reported water use and educate water right holders on how to fill out the reports.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Planning and Management

Goal: Build partnerships and increase public awareness of the value of responsible stewardship of Oklahoma's water resources.

Water Rights	NA	NA	5	5
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Program: Water Quality

Goal: Plan, develop, implement and promote sound water policies and programs to protect lives, property and Oklahoma's water resources.

* Conduct at least 3 ongoing Smart Lake Management Practices every year.

Smart Lake Practices	4	5	6	3
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* Increase the number of site visits to waterbodies made annually as part of the BUMP by 15% by 2016, as budget allows. Number of waterbodies monitored on an annual basis.

Site visits	1832	1350	1630	1875
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* Review and update rules for implementation of Oklahoma's Water Quality Standards at least once every three years. Number of technical support documents researched and prepared for water quality standard revisions.

Rules	10	10	0	10
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* Review and update Oklahoma's Water Quality Standards and Anti-degradation policy at least once every 3 years. Number of technical support documents researched and prepared for water quality standard revisions.

Water Quality Standards	13	13	1	8
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Goal: Build Partnerships and increase public awareness of the value of responsible stewardship of Oklahoma's water resources.

* Provide the monitoring data collected over the last 10 yrs. and reports generated from that data on the web in an easily-readable format that can be quickly accessed by the public.

% data provided	50	50	0	55
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* Increase the number of stream gages and cooperators in the USGS/OWRB Cooperative Stream Gage Program (Cooperative Program) and partners for other water quality related projects by 10% by 2015. Number of stream gages and cooperators added on an annual basis.

# gages and coops added	13	14	15	17
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* Utilize priority list for High Hazard dams, to identify 20 communities and conduct outreach visits to discuss water quality issues/concerns, dam safety by 2016. Number of community outreach visits conducted each year to educate and implement water quality-water quantity provision of the Oklahoma Comprehensive Water Plan.

Visits	0	0	6	14
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Goal: Further develop internal infrastructure (personnel development, recruiting tools, equipment, training, succession planning, etc.) to promote a healthy, safe, productive, innovative, and inclusive working environment in order to better serve the public.

* Develop fleet management strategy for replacement of 100% of vehicles by 2016, budget permitting.

Vehicles replaced	10	10	0	2
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
19X	General Revenue	4,719	2,601	3,949
210	Drillers & Installers Fund	0	0	50
225	REAP Water Project Fund	143	17	73
230	Water Development Revolving	0	27,814	0
240	Water Resources Revolving	575	337	683
245	Well Drillers & Pump Installers Fund	13	46	20
250	Comm Water Infrastr Dev Revolv	1,557	1,727	1,314
400	Federal Admin & Project Fund	1,777	1,380	1,921
410	Federal Water Quality Management	8,269	7,932	12,677
415	Environmental Damage Remediat	11	5	3,502
420	USGS Cooperative Program	656	685	487
443	Interagency Reimbursement Fund	1,424	1,098	1,849
444	Drinking Water Trmt Loan Fund	483	422	1,026
445	Wastewater Facility Construction	892	1,076	1,164
472	WRF - Construction Revolving Loan	128	28	767
490	American Recov. & Reinv. Act	0	3,453	810
Total Expenditures by Fund		<u><u>\$20,647</u></u>	<u><u>\$48,621</u></u>	<u><u>\$30,292</u></u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
	Salaries and Benefits	7,146	7,032	8,105
	Professional Services	2,137	2,591	2,129
	Travel	251	183	364
	Lease-Purchase Expenditures	0	0	0
	Equipment	308	90	323
	Payments To Local Govt Subdivisions	0	378	133
	Other Operating Expenses	10,805	38,348	19,237
Total Expenditures by Object		<u><u>\$20,647</u></u>	<u><u>\$48,622</u></u>	<u><u>\$30,291</u></u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
1	Administrative Services			
1001	Administrative Services	388	2,841	368
1006	Office of General Counsel	375	477	552

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1	Administrative Services		
1021	Executive Administration	1,488	1,545
1088	Information Services	561	625
	Total Administrative Services	2,812	3,090
2	Water Quality Programs		
2001	Admin. & Other	245	280
2020	Standards	195	254
2030	Clean Lakes	409	540
2040	Water Quality Monitoring Prog	2,031	1,476
2050	USGS Cooperative Agreements	805	615
	Total Water Quality Programs	3,685	3,165
4	Financial Assistance Programs		
4030	Clean Water SRF	1,023	2,347
4040	FAP Program	304	301
4050	Drinking Water SRF	483	1,296
	Total Financial Assistance Programs	1,810	3,944
7	Planning and Management		
3080	Interstate Compacts	14	15
3090	Floodplain Management	232	406
3120	Dam Safety	296	443
3130	OK Comprehensive Water Plan	1,368	1,049
5010	Water Rights Permitting	468	698
5030	Well Drillers	243	212
5040	Technical Studies	704	270
7001	Administration	556	403
	Total Planning and Management	3,881	3,496
15	Office of Sec. of Environment		
15001	Administration & Other	541	665
15009	Pass Through Prog	7,919	15,934
	Total Office of Sec. of Environment	8,460	16,599
Total Expenditures by Activity		\$20,648	\$30,294

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1	Administrative Services	22.6	24.5
2	Water Quality Programs	35.0	24.8
4	Financial Assistance Programs	20.6	22.8
7	Planning and Management	21.0	26.4
15	Office of Sec. of Environment	4.0	4.0
Total FTE		103.2	102.5
Number of Vehicles		26	27

CAPITAL OUTLAY and SPECIAL PROJECTS

\$000's

Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
94	Loans to Governmental Entities			
4030	Loans To Governmental Entities	24,158	42,813	113,000
4050	Drinking Water SRF	43,796	52,791	104,000
99	Grants to Gov't Entities			
4040	Emer Grants To Gov't Entities	443	2,825	4,407
4910	REAP ASCOG	377	145	175
4911	REAP COEDD	99	462	186
4912	REAP EOEDD	337	139	175
4913	REAP Grand Gateway EDA	419	249	244
4914	REAP Kiamichi Develop Assoc	80	348	175
4915	REAP NODA	173	248	175
4916	REAP OEDA	340	209	175
4917	REAP SODA	352	339	175
4918	REAP SWODA	310	92	175
4919	REAP Assoc of Central OK Govts	100	89	88
4920	REAP Indian Nations Coun Govts	0	90	88
Total Capital Outlay by Project		<u><u>\$70,984</u></u>	<u><u>\$100,839</u></u>	<u><u>\$223,238</u></u>

OUTSTANDING DEBT

\$000's

	FY-2009	FY-2010	FY-2011
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Lease-purchase obligations	0	0	0
Revenue bond issues	497,005	462,460	554,080
Other debt	33,422	33,072	227,625
Total Outstanding Debt	<u><u>\$530,427</u></u>	<u><u>\$495,532</u></u>	<u><u>\$781,705</u></u>

WILDLIFE CONSERVATION COMMISSION (320)

MISSION

Managing Oklahoma's wildlife resources and habitat to provide scientific, educational, aesthetic, economic and recreational benefits for present and future generations of hunters, anglers and others who appreciate wildlife.

THE COMMISSION

The Department of Wildlife Conservation is under the jurisdiction of the Oklahoma Wildlife Conservation Commission, which is composed of eight members, representing each of the eight districts, appointed by the Governor, with the consent of the Senate and each to serve an eight year term.

DUTIES/RESPONSIBILITIES

In October 1999, the Wildlife Commission reorganized the Department. They combined Human Resources, Fiscal Services, Property, and Radio Communications under the division of Administration.

ADMINISTRATION DIVISION is responsible for the following sections:

ACCOUNTING/LICENSE section provides fiscal services for the Department within policies and state statutes. This includes selling and distributing more than 90 types of licenses through 900 license dealers to sportsmen of Oklahoma and providing computer services to streamline the Department's operations.

ADMINISTRATION/HUMAN RESOURCES provides strategic and operational management of activities to enhance employee performance. Specific responsibilities include hiring, recruitment, and selection procedures, including equal employment and affirmation action issues. Job classification and compensation, benefits, training, policy development and investigations all fall within the scope of Human Resources.

ADMINISTRATION/PROPERTY is responsible for the building and grounds, property and mailroom. It is responsible for the incoming and outgoing mail and office supplies, building and grounds upkeep and maintenance for the Central Office and maintaining the department pool vehicle fleet. Vehicles are ordered and issued through this area and auctions are conducted for the disposal of department vehicles and salvage inventory.

STATUTORY REFERENCES

Program Name	Statutory Reference
Administration/Data Processing	None
Administration/Accounting	None
Administration/License	Title 29:4-103, 4-104, 4-106, 4-107, 4-110, 4-112, 4-112A, 4-113, 4-114, 4-121, 4-122, 4-123, 4-129, 4-130, 4-131, 4-133, 4-135.
Wildlife/Research & Surveys	Title 29:3-103
Wildlife/Public Lands	Title 29:3-103
Wildlife/Private Lands	Title 29:3-312
Fisheries/Management	Title 29:3-103, 3-105, 4-101, 4-102, 4-103, 4-110, 4-115, 4-117, 4-120, 4-128, 4-129, 5-401, 5-403, 5-412, 6-101, 6-201, 6-204, 6-301, 6-301a, 6-302, 6-303, 6-304, 6-305, 6-401, 6-502, 6-504, 6-601.
Fisheries/Production	Title 29:3-103, 3-105, 6-504, 7-305, 7-302
Fisheries/Research	There is no specific statutory reference to fisheries research in Title 29, however, ODWC uses applied fisheries research methodologies to respond to management problems involving harvest regulations, species interactions, population dynamics and human dimensions analysis.
Law Enforcement/Patrol	Title 29 O.S. Section 3-201

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Law Enforcement/Special Investigations	Title 29 O.S. Section 3-201
Law Enforcement/Education	Title 29 O.S. Section 3-201
Law Enforcement/Training	Title 29. O.S. Section 3-201 and Title 70 O.S. Section 3311
I & E/Information	There is no specific statutory reference for the Information Program. This program would generally fall under Title 29, Section 3-101.
I & E/Education	Hunter education is mandated by Title 29, Section 4-112A.
I & E/Regional Outreach	There are no specific statutory references for this program. It would generally fall under Title 29, Section 3-101.
Administration/Property Management	None
Administration/Radio Communications	None
Administration/Human Resources	O.S. Title 29, O.S. Title 74
Natural Resources/Wildlife Diversity Conservation	Title 27 O.S. Section 1-3-101; Title 29 O.S. Sections 7-701, 5-412 and 3-310
Natural Resources/Wildlife Diversity Public Outreach	Title 29 O.S. Section 3-310, Section 3-311, Section 5-412; Title 47 O.S. Section 1136-29

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
200	Wildlife Conservation Fund	39,288	40,099	44,844
205	Wildlife Diversity Fund	397	236	373
Total Expenditures by Fund		<u><u>\$39,685</u></u>	<u><u>\$40,335</u></u>	<u><u>\$45,217</u></u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
Salaries and Benefits	24,100	25,275	26,414	
Professional Services	757	958	1,516	
Travel	386	400	383	
Lease-Purchase Expenditures	0	0	0	
Equipment	2,757	2,772	3,481	
Payments To Local Govt Subdivisions	1,156	285	1,479	
Other Operating Expenses	10,531	10,648	11,946	
Total Expenditures by Object	<u>\$39,687</u>	<u>\$40,338</u>	<u>\$45,219</u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
5 Administration				
1 Administration	6,179	5,934	4,015	
2 Admin/Refunds & Transfers	136	138	2,665	
88 Administration Data Processing	20	54	109	
Total Administration	<u>6,335</u>	<u>6,126</u>	<u>6,789</u>	
11 Wildlife				
1 Wildlife	10,703	11,367	12,476	
88 Wildlife Data Processing	13	10	86	
Total Wildlife	<u>10,716</u>	<u>11,377</u>	<u>12,562</u>	
21 Fisheries Division				
1 Fisheries Division	10,075	9,779	12,103	
88 Fisheries Div Data Processing	52	77	62	
Total Fisheries Division	<u>10,127</u>	<u>9,856</u>	<u>12,165</u>	
31 Law Enforcement				
1 Law Enforcement	10,219	10,586	11,056	
88 Law Enforcement Data Process	0	2	6	
Total Law Enforcement	<u>10,219</u>	<u>10,588</u>	<u>11,062</u>	
51 Information & Education				
1 Information & Education	2,285	2,374	2,639	
88 Info And Educ Data Process	4	16	0	
Total Information & Education	<u>2,289</u>	<u>2,390</u>	<u>2,639</u>	
Total Expenditures by Activity	<u>\$39,686</u>	<u>\$40,337</u>	<u>\$45,217</u>	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES

Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
5 Administration	29.0	30.0	29.0
11 Wildlife	89.0	89.0	94.0
21 Fisheries Division	84.0	82.0	82.0
31 Law Enforcement	118.0	118.0	118.0
51 Information & Education	20.0	20.0	20.0
Total FTE	340.0	339.0	343.0
Number of Vehicles	0	0	0

CAPITAL OUTLAY and SPECIAL PROJECTS

\$000's

Expenditures by Project: # Project name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Estimated</u>
91 Capital Improvements			
1 Capital Expenditures	3,055	5,818	7,293
Total Capital Outlay by Project	3,055	5,818	7,293

AUDITOR AND INSPECTOR (300)

MISSION

Our mission is to independently serve the citizens of Oklahoma by promoting accountability and fiscal integrity in state and local government. We best accomplish this by valuing professionalism in our staff and the completion of our audit work in accordance with established professional standards.

DUTIES/RESPONSIBILITIES

The Oklahoma State Auditor and Inspector is elected by the citizens of Oklahoma in accordance with Article VI, Section 1 of the Oklahoma Constitution. The State Auditor and Inspector will continue to be the leader in enhancing public accountability and trust in state government. We envision the State Auditor and Inspector being a leader and key player in the State's use of technology to become more efficient and effective in the delivery of services. We also envision the State Auditor and Inspector being a leader and key player in performance accountability in Oklahoma.

The objectives of the State Auditor and Inspector's Office are to provide comprehensive audits of the collections, receipts, obligations, expenditures and use of public funds; to identify deficiencies in financial and compliance practices and their causes; to offer constructive recommendations to assure full compliance with both the intent and the requirements of state and federal statutes; and to prescribe forms and accounting procedures for county government where required by statute.

Through the audit function, the Office of the State Auditor and Inspector performs independent, objective and comprehensive evaluations of the practices and operations of state, county and local governments. This information provides government officials and citizens of Oklahoma insight into the operations of agencies, boards, commissions and governmental offices dealing with public funds.

It is the responsibility of each governmental entity to carry out legislative programs and to properly use public monies. The responsibility of the State Auditor and Inspector's Office is to audit the income and expenditures of public funds and to report those findings to the Governor, Legislature, the governmental entity and to the public. Resolving issues noted in the audit reports are the responsibility of the governing board, the Attorney General or the District Attorney.

Another important function of the Office of the State Auditor and Inspector is to monitor new legislation impacting the office and county governments. At the end of each legislative session, these bills are reviewed and as a result, modifications to office operations and audit programs are implemented as needed. These modifications may include changes in scope or coverage of particular audits, special reporting or in office procedures.

STATUTORY REFERENCES

Program Name	Statutory Reference
Local Government Services / County Audit Services	Oklahoma Constitution, Article X, Section 9c; 74 O.S. § 212, et. seq.;
Local Government Services / Management Services	Oklahoma Constitution Article X, Section 9c; Title 74, Section 212 et. seq, Oklahoma Statutes, 2001;
Special Services / Minerals Management Audits	Section 205 of the Federal Oil and Gas Royalty Management Act of 1982 as amended by the Federal Oil and Gas Simplification and Fairness Act of 1996.
State Agency Services / Financial Audit Services	Oklahoma Statutes Title 74, section 212
State Agency Services / Performance Audit Services	Oklahoma Statute Title 74, section 213.2
Information Technology Audits	21 O.S. § 1953
Special Services / Quality Assurance and Audit Review	Title 74 O.S. § 212A, § 219A
Local Government Services / Investigative Audit Services	Oklahoma Statutes Title 74, Section 212 and Section 227.8

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Administrative Services Oklahoma Constitution, Article I, Section 1
 Information Services / Network 74 O.S. § 212
 Administrative Services
 Special Services / Horse Racing Audit Title 3A, section 204 A.9
 Services

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Provide high quality audit services to all clients.

- * Compare the number of audits released in each fiscal year to previous fiscal years.

# of Audits Produced	458	319	350	350
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- * Compare the number of experienced auditors (with at least 2 years of experience) that are conducting audits.

# of Experienced Auditors	67	44	50	55
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Administrative Services

Goal: Remain the #1 Auditing firm in the State of Oklahoma

- * Measure the turnover rate for auditors in the agency.

Retain Qualified Employees	17.5%	18.3%	15%	12%
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- * Ensure we continue to recruit qualified, capable, employees to perform the audit function. We measure this in the percentage of employees hired that receive satisfactory marks on their 6 month probationary evaluation.

Recruit Qualified Employees	86%	100%	90%	90%
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Program: Information Services / Network Administrative Services

Goal: Providing Internet, e-mail and networking services to each of the five network locations with limited interruption in service.

- * Percent of help desk requests resolved or escalated within 24 hours of original request.

Help Desk Requests	98%	90%	100%	100%
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- * Uptime to stated availability of the network.

Availability of Network	98%		95%	95%
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Program: Information Technology Audits

Goal: Provide Information Technology Audit Services to the State of Oklahoma in a timely and professional manner.

- * IS Audit Work completed within the budgeted hours for that project.

Timeliness	95%		95%	95%
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- * Percent of formal requests for assistance acknowledged and scheduled within 3 days of receipt of request.

Technical Assistance	100%	90%	100%	100%
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Information Technology Audits

Goal: Provide Information Technology Audit Services to the State of Oklahoma in a timely and professional manner.

- * Audits performed in accordance with ISACA guidelines, CobiT, Yellow and Gray Book (OMB), GASB, and GAGAS when and where appropriate.

Professional Standards	100%	100%	100%	100%
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Program: Local Government Services / County Audit Services

Goal: To design and implement new programs to help become more pro-active in stopping fraud and abuse, and to continue to provide local government the maximum level of quality audit services our resources can sustain.

- * Number of audit programs in development.

Programs in development	2	2	2	2
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- * Number of programs that were successfully implemented

Programs Implemented	2	1	2	1
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Goal: To deliver quality audit and inspection products and services that are useful to management, and to provide independent, timely, efficient, and high-quality audits.

- * Number of Audit Reports issued.

Audit Reports Issued	364	228	300	300
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Program: Local Government Services / Investigative Audit Services

Goal: Assist citizens and governmental entities with compliance with state laws, accounting practices, and management practices and provide mediation services without conducting an audit.

- * Number of Investigative Audit reports issued.

Reports issued	11	11	15	15
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- * Number of inquiries resolved through mediation.

Mediation	24		20	20
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Program: Local Government Services / Management Services

Goal: To offer quality management advisory and consulting services to county officers and management of public entities.

- * Number of inquiries made by county officials that were resolved in a timely manner.

Number of Inquiries	5200		4000	4000
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- * The number of workshops and conferences wherein Management Services personnel prepared materials and made presentations or assisted another presentation.

Number of Presentations	25		20	20
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- * Number of Bulletins issued.

Number of Bulletins	5	5	5	5
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Program: Special Services / Horse Racing Audit Services

Goal: Insure the integrity of the pari-mutual wagering system, the payment of winning wagers to the public, and the proper distribution of commissions, including those due the State of Oklahoma.

- * Number of Race Meeting monitoring reports issued.

Reports Issued	22	10	15	15
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Special Services / Horse Racing Audit Services				
Goal: Insure the integrity of the pari-mutual wagering system, the payment of winning wagers to the public, and the proper distribution of commissions, including those due the State of Oklahoma.				
* Dollars deposited into the State of Oklahoma coffers per CY.				
State Deposits	\$753,699.27	\$2,737,907.79	\$2,000,000.00	\$2,000,000.00
* Total wagers made.				
Total Wagers	\$169,066,770.25	\$91,393,312.35	\$100,000,000.00	\$100,000,000.00
Goal: Insure the integrity of the gaming system, the payment of State educational taxes to the public, and the proper distribution of commissions to horseman purse accounts				
* Dollars deposited inot the State of Oklahoma coffers.				
State Deposits		\$13,852,504.85	\$14,000,000.00	\$14,000,000.00
Program: Special Services / Minerals Management Audits				
Goal: Insure royalties from producing oil and gas properties on Federal Lands in the State of Oklahoma are correctly reported and paid to the Department of Interior.				
* Dollars of underpaid royalties and interest collected.				
Underpaid Royalties	\$185,000.00	\$400,000.00	\$200,000.00	\$225,000.00
* Number of audit reports and compliance reports issued.				
Reports Issued	27	27	27	27
Program: Special Services / Quality Assurance and Audit Review				
Goal: Ensure SA&I audits are performed in accordance with applicable professional auditing standards.				
* Receive positive results for the National State Auditor's Association Peer Review and Federal Quality Control Reviews; and ensure that the audits performed by SAI meet all federally mandated audit requirements.				
Peer Review	Yes	Yes	Yes	Yes
Goal: Determine if external audits are performed in accordance with applicable professional auditing standards.				
* Number of externally prepared audit reports filed with/and reviewed by State Auditor and Inspector's Office.				
Audit Reports Reviewed	1738	1779	1800	1800
* Number of external audits submitted and reviewed by our office that had something wrong with them.				
Audits Not in Compliance	54%	59%	45%	45%
Program: State Agency Services / Financial Audit Services				
Goal: Ensure timely completion of quality audits and ensure accountability of state government to its leaders, citizens, and the federal government.				
* Percentage of audit coverage of Federal Expenditures expended by the State of Oklahoma.				
Sufficient Federal Coverage	86%	85% est	85%	95%
* Acceptance of Single audit report through Federal Quality Control Reviews (QCR) from our Cognizant Agency DHHS.				
Acceptance of Single Audit	Yes	Yes	Yes	Yes
* CAFR and Single Audit completed by federal deadlines.				

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
16	Administrative			
1	Administration	805	550	597
2	Support Services	656	659	689
	Total Administrative	<u>1,461</u>	<u>1,209</u>	<u>1,286</u>
26	Local Government Services			
201	Management Services	244	149	97
202	County Audit Services	3,321	3,260	3,856
203	Investigative Services	618	535	658
	Total Local Government Services	<u>4,183</u>	<u>3,944</u>	<u>4,611</u>
36	State Agency Services			
301	Financial Audit Services	2,062	2,155	3,331
302	Performance Audit Services	272	320	512
303	IT Support and Audits	856	760	1,028
304	Group Insurance Audit Services	126	127	154
	Total State Agency Services	<u>3,316</u>	<u>3,362</u>	<u>5,025</u>
46	Special Services			
401	Quality Control & Audit Review	399	290	426
402	Minerals Management Audit	454	340	538
403	Horse Racing Audit Services	334	390	742
404	C.P.E.	160	92	154
405	Board Of Equalization Support	73	73	75
406	Pension Commission Support	113	116	120
	Total Special Services	<u>1,533</u>	<u>1,301</u>	<u>2,055</u>
76	Ancillary Services			
701	Commission On County Governmt	400	344	330
	Total Ancillary Services	<u>400</u>	<u>344</u>	<u>330</u>
Total Expenditures by Activity		<u>\$10,893</u>	<u>\$10,160</u>	<u>\$13,307</u>

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
16	Administrative	9.5	11.0	10.0
26	Local Government Services	51.9	52.5	55.0
36	State Agency Services	39.5	41.5	43.0
46	Special Services	17.0	15.0	15.0
Total FTE		<u>117.9</u>	<u>120.0</u>	<u>123.0</u>
Number of Vehicles		0	35	35

BANKING DEPARTMENT (65)

MISSION

As authorized by statute, the State Banking Department (the Department) operates as an administrative regulatory agency under the executive branch of government of the State of Oklahoma. The Department is responsible for supervising commercial banks, credit unions, savings and loan associations, and trust companies. It also regulates and licenses money order companies, money transmitter companies, and trust funds maintained by for-profit cemeteries. The Department helps ensure the safety of depositors' funds through appointed advisory boards that are charged with promulgating rules to govern the financial industry in the State.

The Department performs statutorily prescribed examinations of all financial institutions under its supervision through the allocation of its human and technological resources. It also convenes public hearings on matters of new charter applications, and it processes various administrative applications from regulated financial institutions that are seeking new or additional corporate powers and activities, and/or changes in their certificates of incorporation.

The primary focus of the Department is effective and efficient supervision and the preservation of the dual banking system and the protection of financial institution depositors and shareholders.

THE BOARD

The Banking Board consists of seven (7) members, including the Commissioner, who are appointed by the Governor with the consent of the Senate. The Commissioner serves as the chairman and only votes in case of a tie. Other than the Commissioner, five members of the Board are active officers of state banks or trust companies, and one may be an officer or director of a national bank. One member shall be a citizen of Oklahoma who is not and has not been an officer or stockholder in any banking institution. The term of each Banking Board member, other than the Commissioner, is six (6) years. The Credit Union Board consists of five (5) members appointed by the Governor. The Commissioner is a member presiding as Chairman of the State Credit Union Board. One of the other four members is a member of a credit union organized under the laws of this state, and each of the other three members is an officer in charge of operations or a director of a credit union organized under the laws of this state; however, one of those three may be from a federal credit union. The term of each appointed Credit Union Board member is four (4) years.

DUTIES/RESPONSIBILITIES

The public's confidence in the safety and soundness of the State's chartered financial institutions is of the utmost importance. It is enforced in a manner which encourages a competitive financial industry to meet each community's credit and financial service needs, thereby fostering diversity and stability in financial institutions' products and services.

STATUTORY REFERENCES

Program Name	Statutory Reference
Examinations	Article XIV of the Oklahoma Constitution; Title 6 Section 101 et seq; Title 6 Section 2001 et seq; Title 6 Section 2101 et seq; and Title 18, Section 381.1 et seq. of the Oklahoma State Statutes.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Through efficient & effective supervision, maintain a safe & sound financial industry that meets its supervisory responsibilities & its commitment to the communities within which it operates.

Through the exam process, the Dept assesses the overall condition & risk profile of financial institutions & identifies violations of law & regulation, as well as potential financial & economic problems. The exam process assists in preventing the development or continuation of unsafe practices & effects timely resolution of identified problems or weaknesses in all areas of operation.

The Dept is utilizing an improved oversight & monitoring program that will assess high risk & high profile institutions that are engaging in particular types of activities that can be categorized & monitored to identify emerging issues of concern. This will enhance the exam process & the development of Dept policies.

This goal is monitored by Tony Reel & will report to the Commissioner on a semi-annual basis.

- * Ensure that 100% of the supervised institutions are at least adequately capitalized or capable of being recapitalized. Percentage of supervised institutions that are adequately capitalized.

100% supervision	100%	100%	100%	100%
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- * Ensure that 100% of the Department's supervised banking institutions rate the value of the examination process as satisfactory or better. Percentage of institutions rating the exam process as satisfactory or better.

100% supervision	100%	100%	100%	100%
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- * Ensure that 100% of examination reports reviewed comply with the Department's examination policies and procedures. Number of reviewed exams required to meet performance measure.

100% report review	120	118	118	118
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- * Ensure that 100% of the financial institutions supervised operate in a safe and sound manner or that the Department has taken appropriate supervisory action. Percentage of supervised institutions operating safely and soundly or with supervisory action in place.

100% supervision	100%	100%	100%	100%
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- * Conduct at least 90% of safety and soundness exams for all financial institutions within the required timeframes. Number of exams for supervised institutions required to meet the measure.

Safety and soundness	108	106	106	106
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Goal: Utilize in the most complete and efficient way the talents, knowledge, and experience of the Department to keep regulatory operations at the minimum level consistent with effective supervision.

This goal will be monitored by Tony Reel and will be reported to the Commissioner on a semi-annual basis.

- * Ensure that at least 100% of the examiner staff receive at least 16 hours of continuing education training each year. Number of examiner staff receiving 16 hours of training or more.

100% examiner staff	26	26	28	29
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- * By FY-2009, improve at least 10% on man-hours spent on exams of supervised institutions. Percentage of man-hours saved each year.

Improve man-hours	5%	7%	10%	10%
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STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Provide exceptional service to all customers served, including the financial institutions and licensees regulated by the Department and members of the public that deal with those institutions, to make our supervisory effort more responsive.

This goal will be monitored by Charles R. Griffith and will be reported to the Commissioner on a semi-annual basis.

- * Ensure that 100% of the Department's examination reports are acceptable to the federal regulatory agencies.

100% examination reports	100%	100%	100%	100%
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- * Ensure that 100% of all customer's complaints involving financial institutions and licensees have been responded to promptly and that customers are notified of the financial institution's or licensee's response.

Customer complaints	100%	100%	100%	100%
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- * Ensure that 100% of exam reports are mailed to the supervised financial institutions no later than 45 days after completion of the review process.

100% exam reports	100%	100%	100%	100%
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Examinations

Goal: Perform examinations in a timely manner

- * This measure will identify the number of institutions for which an exam was due and was not performed. Plans are to reduce to zero the number of unperformed exams.

Number of exams required	0	0	0	0
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Goal: To prevent the occurrence of failed institutions by identifying and assisting problem institutions

- * This measure identifies the number of failed institutions. Goal is to prevent institution failures

Number of failed institution	0	1	0	0
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- * This measure identifies institutions that were the target of corrective action by the Department. The plan is to reduce the number of institutions requiring corrective action.

Problem institutions	16	24	34	30
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Goal: Continuance of safe and sound financial practices

- * This measure identifies those depositors who will suffer a loss without the protection of deposit insurance.

Depositors loss	0	0	0	0
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
200	Banking Department Revolving	5,004	5,057	6,440
205	Cemetery Merchandise Act Revolving	66	67	0
Total Expenditures by Fund		<u>\$5,070</u>	<u>\$5,124</u>	<u>\$6,440</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Salaries and Benefits		4,189	4,395	5,344
Professional Services		29	42	110
Travel		368	407	501
Lease-Purchase Expenditures		0	0	0
Equipment		200	15	140
Payments To Local Govt Subdivisions		0	0	0
Other Operating Expenses		284	264	344
Total Expenditures by Object		<u>\$5,070</u>	<u>\$5,123</u>	<u>\$6,439</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
10	Administration			
1	Administration	1,189	1,245	1,563
	Total Administration	<u>1,189</u>	<u>1,245</u>	<u>1,563</u>
20	Examinations			
2	Banks	3,437	3,439	4,327
3	Credit Unions	229	241	288
4	Cemeteries	87	67	0
5	Money Orders	39	41	96
88000	Data Processing	89	91	167
	Total Examinations	<u>3,881</u>	<u>3,879</u>	<u>4,878</u>
Total Expenditures by Activity		<u>\$5,070</u>	<u>\$5,124</u>	<u>\$6,441</u>

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
10	Administration	9.0	10.0	10.0
20	Examinations	29.9	30.9	33.0
Total FTE		<u>38.9</u>	<u>40.9</u>	<u>43.0</u>
Number of Vehicles		2	2	2

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
95	Building			
1	Building	998	15	0
Total Capital Outlay by Project		<u>998</u>	<u>15</u>	<u>0</u>

COMPSOURCE OKLAHOMA (390)

MISSION

Our mission: Partnering with all Oklahoma employers as the source for their workers' compensation needs.

THE BOARD

CompSource Oklahoma is governed by a Board of Managers. The Board of Managers of CompSource Oklahoma is comprised of nine (9) members. Four of the members serve ex officio. They are: the Director of State Finance or a designee; the Lieutenant Governor or a designee; the State Auditor and Inspector or a designee; and the Director of Central Purchasing of Public Affairs. The Governor appoints one member; the Speaker of the House of Representatives appoints two members; and the President Pro Tempore of the Senate appoints two members. The Board of Managers of CompSource Oklahoma has full power and authority to fix and determine the rates to be charged by CompSource Oklahoma for Workers Compensation Insurance.

DUTIES/RESPONSIBILITIES

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations (Workers Compensation Insurance)	Title 85, Section 131 et seq., of Oklahoma Statutes

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY- 2011</u> <u>Budgeted</u>	<u>FY-2012</u> <u>Estimated</u>
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Goal: Provide workers' compensation insurance to state agencies and businesses operating in Oklahoma at the best possible price with best possible service.

- * Maintain budgets that are reasonable in relation to premium income.
Growth in budgets \$31,971

Goal: To lead the industry in maintaining high client satisfaction through quick and efficient customer service to policyholders. CSO values customer comments. Our objective is to protect Oklahoma workers and employers by providing quality, low-cost workers' compensation services in a manner that is sensitive, responsive and effective. CSO utilizes policyholder surveys to provide us with feedback on our services. A service representative will contact the customer as soon as possible within 48 hours, if any problems are noted.

- * Maintain adequate number of service consultants. Service consultants visit policyholders to improve relations between the policyholder and insurer. CSO will maintain between five and eight service consultants to maintain our high rate of customer satisfaction.

Service Consultants 8

Goal: Maintain moderate claim count per adjuster to continue high claimant satisfaction.

- * CSO requires all of our adjusters to be licensed. Additionally, our goal is to maintain the average number of claims per adjuster at 130 or less.

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Maintain moderate claim count per adjuster to continue high claimant satisfaction.

Claim count per adjuster 90

Goal: Maintain a loss ratio of 105% or less.

* Calculation provided by CSO actuary on a quarterly basis.

Loss Ratio of 105% or less. 97.5%

Goal: Maintain an implied rate of return on investments of 7% or greater.

* Our outside investment consultant group reports growth at year-end.

Return on investments 7.4%

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Operations (Workers Compensation Insurance)

Goal: Provide workers' compensation insurance to state agencies and businesses operating in Oklahoma at the best possible price with best possible service.

Goal: To lead the industry in maintaining high client satisfaction through quick and efficient customer service to policyholders. CompSource Oklahoma values customer comments. Our objective is to protect Oklahoma workers and employers by providing quality, low-cost workers' compensation services in a manner that is sensitive, responsive and effective. CompSource Oklahoma utilizes policyholder surveys to provide us with feedback on our services. A service representative will contact the customer as soon as possible within 48 hours, if any problems are noted.

Goal: Maintain moderate claim count per adjuster to continue high claimant satisfaction.

Goal: Maintain loss ratio of 105% or less.

Goal: Maintain an implied rate of return on investments of 7% or greater.

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
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Total Expenditures by Fund

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
Salaries and Benefits				
Professional Services				
Travel				
Lease-Purchase Expenditures				
Equipment				
Payments To Local Govt Subdivisions				
Other Operating Expenses				
Total Expenditures by Object				

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
Total				
Total Expenditures by Activity				

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Estimated</u>	
90 Capital Outlay Projects				
23 WORCS Conversion Project	143	201		0
24 PC, Server, & Software Replace	289	0		0
25 Business Recovery Expansion	54	0		0
27 E-Mail Archive & E-Discovery	43	13		0
28 Telecommunications Upgrade and	132	62		0
29 WORCS ConvProj II - Conversion	1,146	2,895		0
30 IT Software and Infrastructure	0	201		0
31 Tulsa Computer Room Expansion	0	19		0
Total Capital Outlay by Project	<u>1,807</u>	<u>3,391</u>		<u>\$0</u>

OUTSTANDING DEBT	\$000's		
	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Lease-purchase obligations	0	0	0
Revenue bond issues	0	0	0
Other debt	136,814	111,197	124,332
Total Outstanding Debt	<u><u>\$136,814</u></u>	<u><u>\$111,197</u></u>	<u><u>\$124,332</u></u>

FINANCE, OFFICE OF STATE (90)

MISSION

Lead, Support and Serve

DUTIES/RESPONSIBILITIES

STATUTORY REFERENCES

Program Name	Statutory Reference
Administration	Title 62, Section 34.3 of the Oklahoma Statutes
Communications	Title 62, Section 41.3 of the Oklahoma Statutes

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

Type of Fund:	\$000's		
	FY- 2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
19X General Revenue	17,994	25,121	25,088
200 OSF Revolving Fund	569	592	1,157
210 Centrex Revolving Fund	11,042	9,847	14,508
215 ICS Revolving Fund	3,796	6,819	5,019
490 American Recov. & Reinv. Act	0	427	0
Total Expenditures by Fund	<u>\$33,401</u>	<u>\$42,806</u>	<u>\$45,772</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	13,210	14,306	16,770	
Professional Services	7,834	13,529	7,718	
Travel	551	289	711	
Lease-Purchase Expenditures	1,028	868	593	
Equipment	3,099	6,848	9,336	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	7,679	6,967	10,644	
Total Expenditures by Object	\$33,401	\$42,807	\$45,772	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10 OSF Operations				
1 Administration	427	468	619	
2 Budget Division	822	884	1,390	
3 DCAR Accounting and Reporting	797	910	851	
4 DCAR: Financial Reporting	544	559	518	
5 DCAR: Transaction Processing	1,057	1,039	1,092	
6 DCAR: Agency Finance	272	309	502	
8 Bond Repayment	586	2,935	2,937	
13 Human Resources	290	333	409	
21 Intergovernmental Memberships	127	136	147	
25 Tribal-State Gaming Compact	524	506	762	
60 ISD: CORE Accounting	9,983	16,231	9,611	
61 ISD: CORE Lease Payments	1,028	1,223	4,513	
82 ISD: Systems Planning Group	1,491	1,552	1,954	
83 ISD: Data Center	3,767	4,133	4,185	
85 ISD: Program Development	659	757	993	
86 Security	0	571	796	
3001 ISD: Centrex	2,409	3,402	5,468	
3002 ISD: Infrastructure	2,944	2,760	4,141	
3082 ISD: JOIN Project	87	71	0	
3084 Networks	0	785	1,907	
3086 Portal	0	300	378	
3088 ISD: Computer Support	5,586	2,940	2,598	
Total OSF Operations	<u>33,400</u>	<u>42,804</u>	<u>45,771</u>	
Total Expenditures by Activity	\$33,400	\$42,804	\$45,771	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES

<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
10 OSF Operations	162.6	172.6	0.0
Total FTE	162.6	172.6	0.0
Number of Vehicles	0	0	0

CAPITAL OUTLAY and SPECIAL PROJECTS

\$000's

<u>Expenditures by Project: # Project name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Estimated</u>
90 OSEEGIB Law Suit			
3 Pmts to Circuit Engineering D	12,776	10,949	11,000
93 VOBO Reimbursement			
1 VOBO Reimbursement	0	0	22,000
96 Data Center Project			
1 Data Center Project	5,613	27,015	8,920
Total Capital Outlay by Project	\$18,389	\$37,964	\$41,920

OUTSTANDING DEBT

\$000's

	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Lease-purchase obligations	1,301	547	0
Revenue bond issues	0	42,425	41,275
Other debt	0	0	0
Total Outstanding Debt	\$1,301	\$42,972	\$41,275

FIREFIGHTERS PENSION & RETIREMENT SYS (315)

MISSION

Provide member services and retirement benefits to participating active and retired firefighters of Oklahoma.

THE BOARD

The Oklahoma Firefighters Pension and Retirement Board consists of thirteen members. Five are members of the Board of Trustees of the Oklahoma Firefighters Association; one member is the President of the Professional Firefighters of Oklahoma or his designee; one member is the President of the Oklahoma State Retired Firefighters Association or his designee; one member is appointed by the Speaker of the House of Representatives; one member is appointed by the President Pro Tempore of the Senate; two members are appointed by the President of the Oklahoma Municipal League; one member is the State Insurance Commissioner or his designee; and one member is the Director of State Finance or his designee. Members serve terms of two to five years.

DUTIES/RESPONSIBILITIES

The Board administers a pension system to serve its membership which is comprised of all municipal firefighters both full-time and volunteer. The Board is charged with the responsibility of approval of retirement benefits, refund of contributions.

STATUTORY REFERENCES

Program Name	Statutory Reference
Fire Fighters Pension	49-100 Through 49-143.1

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:	FY- 2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
200 Firefighters Pension & Retirement	5,741	5,921	11,692	
Total Expenditures by Fund	<u><u>\$5,741</u></u>	<u><u>\$5,921</u></u>	<u><u>\$11,692</u></u>	

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
Salaries and Benefits	763	795	980	
Professional Services	4,719	4,876	9,988	
Travel	84	63	148	
Lease-Purchase Expenditures	0	0	0	
Equipment	22	7	143	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	154	181	432	
Total Expenditures by Object	<u><u>\$5,742</u></u>	<u><u>\$5,922</u></u>	<u><u>\$11,691</u></u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
1 General Administration				
1 General Administration	5,741	5,921	11,492	
Total General Administration	5,741	5,921	11,492	
88 Data Processing				
1 Data Processing	0	0	200	
Total Data Processing	0	0	200	
Total Expenditures by Activity	<u><u>\$5,741</u></u>	<u><u>\$5,921</u></u>	<u><u>\$11,692</u></u>	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name	FY-2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
1 General Administration	10.0	10.0	14.0	
Total FTE	<u><u>10.0</u></u>	<u><u>10.0</u></u>	<u><u>14.0</u></u>	
Number of Vehicles	0	0	0	

INSURANCE COMMISSIONER (385)

MISSION

The mission of the Oklahoma Insurance Department is to protect and enhance the financial security of Oklahoma and Oklahomans.

DUTIES/RESPONSIBILITIES

The elective office of State Insurance Commissioner is created by the Constitution. The specific duties entrusted to the Oklahoma Insurance Department by the Insurance Code include the following: license and regulate domestic and foreign insurance companies; approve and regulate excess and surplus lines insurance companies; license and regulate insurance agents, brokers, adjusters and third party administrators; collect premium taxes, fees, and retaliatory charges; review and approve or disapprove life, accident, health, property and casualty policy forms; review and present to the State Board for Property and Casualty Rates insurance rates for approval or disapproval; assist consumers with their insurance problems and questions; license and regulate automobile service clubs, prepaid funeral trusts and bail bondsmen; license and regulate real estate appraisers; financial and market conduct examination of insurance companies; investigation of claims and complaints against insurance companies, agents or bail bondsmen; approval of mergers and acquisitions of Oklahoma insurance companies, holding company registration statements, register discount medical plan organizations, professional employer organizations and monitor both guaranty associations; and educate consumers.

The Insurance Commissioner sits on a number of boards and commissions, including the Oklahoma State Education and Employees Group Health Insurance Board, the Oklahoma Firefighters Pension and Retirement Board, the Police Pension and Retirement Board, the Oklahoma Public Employees Retirement System Board, the Oklahoma Real Estate Appraiser Board, the Oklahoma Motor Vehicle Assigned Risk Plan, the State Board for Property and Casualty Rates, and the Link Deposit Review Board. (Statutory References: Article VI, Section 22-24, Oklahoma Constitution; Title 11, Section 372-373, Section 49-120, 5-0203, Title 14A, Section 40-101 et seq.; Title 36, Section 101 et seq.; Title 47, Section 7-501 through 7-505; and Title 59, Section 1301 et seq. and Section 858-700 of the Oklahoma Statutes.)

STATUTORY REFERENCES

Program Name	Statutory Reference
Administration	Article VI, Section 22-24, Oklahoma Constitution, Title 11, Section 372-373, Section 49-120, 50-203 and 50-205, Title 14A, Section 40-1-1 et seq.; Title 36, Section 101 et seq.; Title 47, Section 7-501 through 7-505 and Title 59, Section 1301, Section 858-700 et seq. of the Oklahoma Statutes.
Regulatory/Enforcement	Article VI, Section 22-24, Oklahoma Constitution. Title 11, 372-373, Section 49-120, 50-203 and 50-205. Title 14A, Section 40-1-1 et seq; Title 36, Sections 101 et seq.; Title 47, Section 7-501 through 7-505 and Title 59, Section 1301, Section 858-700 et seq., Title 40, Section 600.1 et seq. of the Oklahoma Statutes.
Medicare Grant Programs (SHIP, SMP & MIPPA)	SHIP - Section 4360 OBRA 1990, P.L. 101-508: SMP - Omnibus Consolidated Appropriations Act of 1990, P. L. 105-277: MIPPA - Section 119, P.L. 110-275

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES				
Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>

Goal: Increase and continue to improve customer service.

* Number of annual market analyses performed.				
Perform market analysis	25	25	25	25
* Increase the percentage of renewal applications for license processed and issued within 2 days.				
Renewal license process time	95%	97%	95%	95%
* Increase the percentage of rate, rule, loss cost and form filings initially reviewed within 60 days.				
Initial review of filings	93%	91%	85%	85%
* Increase the percentage of self certification filings initially reviewed within 60 days.				
Self certification filings	95%	93%	85%	85%
* Increase the percentage of continuing education reciprocity submissions reviewed within 30 days				
NAIC CE reciprocity reviews	99%	99%	90%	90%
* Increase the percentage of 30 day deemer filings reviewed within 30 days.				
Review 30 day deemer filings	93%	91%	85%	85%
* Increase the percentage of 60 day deemer filings reviewed within 60 days.				
Review 60 day deemer filings	99%	95%	85%	85%
* Number of legal files opened annually				
Number of legal files opened	1912	1760	1750	1750
* Percentage of bail bonds licenses issued and renewed within 15 days of receipt of completed application.				
Bail bonds license issued	100%	100%	100%	100%
* Percentage of bail bondmen activity reports reviewed within 30 days of receipt.				
Bail bond activity reports	100%	100%	100%	100%
* Increase the percentage of calls answered.				
Call capture rate	93%	94%	96%	96%
* Number of cases opened for investigation by the Anti-Fraud Unit.				
Fraud cases opened	346	316	310	310
* Increase the number of cases referred for prosecution, administrative action or to another agency.				
Case referrals	87	70	85	85
* Decrease the call abandonment rate.				
Call abandonment rate	8%	6%	15%	15%
* Decrease the rate of reopened RFA files.				
Reopened RFA files	2%	1%	10%	10%
* Increase the percentage of initial license applications processed and issued within 2 days.				
Initial license process time	94%	97%	95%	95%
* Percentage of initial or renewal application credentials processed within 3 business days.				

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Goal: Increase and continue to improve customer service.				
REAB credentials processed	100%	100%	100%	100%
* Percentage of temporary practice permits processed and issued within 2 business days.				
REAB temp permits	100%	100%	100%	100%
* Percentage of triennial statutory examinations completed by by deadline.				
Perform statutory exams	100%	100%	100%	100%
* Percentage of complaints processed to final disposition within 12 months of initial notice.				
REAB complaints processed	96%	98%	95%	95%
Goal: Recruit and retain a quality and diverse workforce.				
* Agency wide use of incentive plan and recruitment and retention of diverse workforce.				
Incentive plan			100%	100%
* Provide access for employees to attend training courses to develop skills and increase efficiency				
Training for employees	95%	100%	100%	100%
Goal: Increase and continue to improve communications.				
* Increase the number of trained new volunteers in the SHIP and SMP programs				
Trained new volunteers	129	110	60	60
* Number of adult and senior Oklahomans reached in the SHIP and SMP outreach programs.				
Oklahomans reached	2,022,465	2,255,532	250,000	250,000
* Increase the number of continuing education programs developed and facilitated.				
Develop CE programs	14	8	6	6
* Increase the number of attendees at approved CLEET training sessions.				
CLEET training sessions	142	548	90	90
* Increase the number of education stories and events held per year.				
Education stories and events	92	103	90	90
* Increase the total number of training sessions facilitated for new volunteers in the SHIP and SMP program.				
Training sessions held	25	18	10	10
Goal: Increase the efficiency of internal administrative processes.				
* All revenue deposited by the next banking day after receipt.				
Revenue deposited daily	100%	100%	100%	100%
* All revenue transferred to the general revenue and pension funds in advance of regulation deadline.				
Revenue transfer to funds	100%	100%	100%	100%
* Completed audit and budget reports filed in advance of regulation deadline.				
Audit and budget reports	100%	100%	100%	100%
* All annual premium tax returns audited to the satisfaction of an independent auditor.				

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Goal: Increase the efficiency of internal administrative processes.				
Premium tax return audits	100%	100%	100%	100%
* Decrease the number of business days to process a purchase order issued to a vendor.				
PO processing time	9	8	8	8
* Increase the amount of time the agency's computer network is up and running.				
Computer network uptime	99%	99%	98%	98%
* Increase the percentage of IT Help Line calls responded to and completed within a 24 hour period.				
Helpline response time	100%	100%	100%	100%

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Administration

Goal: Train and develop skills for improved efficiency (employee base).

- * Train and develop skills for improved employee efficiency

Employee Training	95%	100%	100%	100%
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Goal: Agency wide use of incentive plan and recruitment and retention of diverse workforce.

- * Agency wide use of incentive plan and recruitment and retention of diverse workforce

Retain Diverse Workforce			100%	100%
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Goal: Conduct education stories and/or events.

- * Conduct a minimum of 90 education stories and/or events per year.

Conduct education & events	92	103	90	90
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Goal: Increase average number of visits per month to the agency's website by 5%

- * Increase the average number of visits per month to the agency's website by 5%

Increase website visits	51,593	68,223	52,000	52,000
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Goal: Average number of business days to process a purchase order issued to a vendor.

- * Average number of business days to process a purchase order issued to a vendor.

Days to process a PO	9	8	8	8
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Goal: Completed audit and budget reports, filed in advance of regulation deadline.

- * Completed audit and budget reports filed in advance of regulation deadline.

Completed audit budget rept	100%	100%	100%	100%
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Goal: All revenue transferred to GR/Pension funds, in advance of regulation deadline.

- * All revenue transferred to General Revenue and pension funds in advance of regulation deadline.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Administration				
Goal: All revenue transferred to GR/Pension funds, in advance of regulation deadline.				
Revenue transfer to funds	100%	100%	100%	100%
Goal: All revenue deposited by the next banking day after receipt.				
* All revenue deposited by the next banking day after receipt.				
Revenue deposits daily.	100%	100%	100%	100%
Goal: Increase percentage of users that reach destination on website within 3 clicks				
* Increase the percentage of users that reach their destination on the agency's website within 3 clicks.				
Website destination -3 clicks	86%	88%	87%	87%
Program: Medicare Grant Programs (SHIP, SMP & MIPPA)				
Goal: Total number of training sessions facilitated for new volunteers				
* Total number of training sessions facilitated for new volunteers				
Training sessions held	25	18	10	10
Goal: Total Number of trained new volunteers				
* Total number of trained new volunteers				
Trained new volunteers	129	110	60	60
Goal: Number of adult and senior Oklahomans reached				
* Number of adult and senior Oklahomans reached.				
Number of Oklahomans reached	2,022,465	2,255,532	250,000	250,000
Goal: Number of SHIP and SMP placards ordered and distributed statewide annually				
* Number of SHIP and SMP placards ordered and distributed statewide annually				
Number of placards dist.	83,237	25,000	45,000	45,000
Program: Regulatory/Enforcement				
Goal: Perform triennial statutory examinations.				
* Perform triennial statutory examinations.				
Perform statutory exams.	100%	100%	100%	100%
Goal: Perform annual market analyses.				
* Perform annual market analyses.				
Perform market analyses.	25	25	25	25
Goal: All annual premium tax returns audited to the satisfaction of an independent auditor				
* All annual premium tax returns audited to the satisfaction of an independent auditor.				
Premium tax returns.	100%	100%	100%	100%
Goal: Bail Bondsmen license issued and/or renewed.				
* Bail Bondsmen licenses issued and/or renewed within 15 days of receipt of completed application				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Regulatory/Enforcement				
Goal: Bail Bondsmen license issued and/or renewed.				
Bail Bonds license issued.	100%	100%	100%	100%
Goal: Bail Bondsmen review of activity reports.				
* Bail Bondsmen activity reports reviewed within 30 days of receipt				
Bail Bond activity reports.	100%	100%	100%	100%
Goal: Call capture rate.				
* Improve call capture rate.				
Call capture rate.	93%	94%	96%	96%
Goal: Rate of reopened RFA's files.				
* Decrease the rate of reopened RFA's files.				
Decrease reopened RFA's file	2%	1%	10%	10%
Goal: Initial electronic license application processing and issuance.				
* Initial electronic license application processing and issuance within 2 days.				
New licensure processing.	94%	97%	95%	95%
Goal: Renewal electronic license application processing and issuance.				
* Renewal electronic license application processing and issuance within 2 days.				
Renewal license processing	95%	97%	95%	95%
Goal: Call abandonment rate.				
* Improve call abandonment rate.				
Call abandonment rate.	8%	6%	15%	15%
Goal: Initial review of rate, rule, loss cost and form filings.				
* Initial review of rate, rule, loss cost and form filings within 60 days.				
Initial review of filings	93%	91%	85%	85%
Goal: Initial review of self certification form filings.				
* Initial review of self certification form filings within 15 days.				
Self certification filings.	93%	93%	85%	85%
Goal: Develop and facilitate continuing education programs.				
* Develop and facilitate continuing education (CE) programs.				
Develop CE programs.	14	8	6	6
Goal: Review NAIC continuing education reciprocity submissions.				
* Review NAIC continuing education reciprocity submissions within 30 days.				
NAIC CE Reciprocity Reviews	99%	99%	90%	90%

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Regulatory/Enforcement				
Goal: Review of 30 day deemer filings.				
* Review of 30 days deemer filings within 30 days.				
Review 30 day deemer filings	93%	91%	85%	85%
Goal: Review of 60 day deemer filings.				
* Review of 60 day deemer filings within 60 days.				
Review 60 day deemer filing.	99%	95%	85%	85%
Goal: Increase the number of attendees to approved CLEET training sessions.				
* Increase the number of attendees to approved CLEET training sessions (OID).				
CLEET training sessions.	142	548	90	90
Goal: Number of cases opened for investigation by the Anti Fraud Division.				
* Number of cases opened for investigation by the Anti Fraud Division.				
Fraud cases opened.	346	316	310	310
Goal: Increase in referred cases for prosecution/administration action/other agency.				
* Increase in referred cases for prosecution/administration action/other agency (Legal Division or DA).				
Case referrals.	87	70	85	85
Goal: Computer network uptime.				
* Computer network uptime.				
Computer network uptime.	99%	99%	98%	98%
Goal: Respond and complete all HelpLine calls within a 24 -hour period.				
* Respond and complete all Help Line calls with a 24-hour period				
Respond to Help Line calls	100%	100%	100%	100%
Goal: Number of Legal files opened.				
* Number of Legal files opened (annually).				
Number of Legal files opened	1912	1760	1750	1750
Goal: Increase number of public education activities by the Legal Staff.				
* Increase the number of public education activities by the legal staff				
Public education facilitated	14	21	10	10
Goal: Initial and renewal credentials for real estate appraisers fully processed within 3 business days.				
* Initial and renewal credentials for real estate appraisers fully processed within 3 business days.				
Credentials processed.	100%	100%	100%	100%
Goal: Temporary practice permits for real estate appraisers processed and issued within 2 business days.				
* Temporary practice permits for real estate appraisers processed and issued within 2 business days.				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Regulatory/Enforcement

Goal: Temporary practice permits for real estate appraisers processed and issued within 2 business days.

Temp practice permits.	100%	100%	100%	100%
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Goal: Complaints processed to final disposition within 12 months of initial notice.

* Complaints processed to final disposition within 12 months of initial notice.

Complaints processed.	96%	98%	95%	95%
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X General Revenue	2,513	2,103	2,013
200 Insurance Commissioner Revolving	5,044	8,023	10,323
205 Private Grant Fund	149	41	0
215 GKFF Grant Fund	0	95	0
220 Bail Bondsmen Revolving Fund	656	2	0
225 Real Estate Appraisers Fund	448	479	539
230 Insurance Dept Anti - Fraud	909	7	0
235 Captive Ins. Regul & Supervisi	0	0	0
410 Federal Grant Fund	1,045	925	1,654
Total Expenditures by Fund	\$10,764	\$11,675	\$14,529

EXPENDITURES BY OBJECT

\$000's

<u>Object of Expenditure</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits	8,225	8,801	10,516
Professional Services	777	939	1,464
Travel	177	178	299
Lease-Purchase Expenditures	0	0	0
Equipment	234	352	629
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	1,350	1,406	1,623
Total Expenditures by Object	\$10,763	\$11,676	\$14,531

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1 Administration				
1 Administration	2,151	2,377	2,930	
2 TSET Grant Prog Agency Match	26	76	6	
88 Data Processing	0	0	0	
Total Administration	<u>2,177</u>	<u>2,453</u>	<u>2,936</u>	
10 Regulatory				
1 General Insurance	4,811	6,781	8,148	
2 Bailbond	656	2	0	
3 Real Estate Appraisal	448	479	551	
4 Senior Medicare Patrol Ag Mtch	75	73	76	
5 Insurance Fraud	909	7	0	
6 Private Grant Fund	149	41	0	
88 Data Processing	494	677	879	
Total Regulatory	<u>7,542</u>	<u>8,060</u>	<u>9,654</u>	
20 Medicare Grants Programs				
1 State Health Insurance Assist	823	724	1,349	
2 Senior Medicare Patrol	222	201	305	
Total Medicare Grants Programs	<u>1,045</u>	<u>925</u>	<u>1,654</u>	
30 Other Grant Programs				
1 Insure Oklahoma	0	131	160	
2 Insure Tulsa	0	95	0	
3 TSET Grant Program	0	12	125	
Total Other Grant Programs	<u>0</u>	<u>238</u>	<u>285</u>	
Total Expenditures by Activity	<u>\$10,764</u>	<u>\$11,676</u>	<u>\$14,529</u>	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1 Administration	23.0	24.0	25.0
10 Regulatory	101.0	95.0	103.0
20 Medicare Grants Programs	7.0	6.0	6.0
30 Other Grant Programs	0.0	2.0	7.0
Total FTE	131.0	127.0	141.0
Number of Vehicles	9	10	10

LAW ENFORCEMENT RETIRMENT (416)

MISSION

The OLERS mission is to administer retirement/survivor retirement and medical benefits for members of the law enforcement profession of the state of Oklahoma and their families under title 47 of the Oklahoma Statutes. This program provides retirement income after active employment and in the event of disability or death.

In carrying out its mission, the OLERS will pay benefits to the proper people, in the correct amount, in a timely manner, and safeguard our clients' trust funds. The OLERS will treat every person who comes into contact with the agency with courtesy and concern and respond to all inquiries promptly, accurately and clearly.

In connection with administering benefits, the OLERS must also manage and safeguard the System's assets.

THE BOARD

The Oklahoma Law Enforcement Retirement Board is composed of the Assistant Commissioner of Public Safety; the Director of State Finance, or his designee; three members appointed by the Governor (one is to be a retired member of the system); two members of the Highway Patrol Division; one member of the Communications Division; one member of the Oklahoma State Bureau of Narcotics and Dangerous Drugs Control; one member of the Oklahoma State Bureau of Investigations; one member of the Alcoholic Beverage Laws Enforcement Commission, elected by and from the membership of the System. In addition, one member is appointed by the Speaker of the House of Representatives and one member is appointed by the President Pro Tempore of the State Senate. All elected representatives serve three-year terms while appointed members serve four-year terms.

DUTIES/RESPONSIBILITIES

The Board is responsible for providing retirement benefits to all retirees. It collects contributions from members, the state and all dedicated revenue sources.

The Board hears all disability cases and formulates rules and regulations.

STATUTORY REFERENCES

Program Name	Statutory Reference
OLERS	Title 47 O.S. Section 2-300 - 2-313

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Goal: Outstanding client service

- * Continue to develop quarterly brochures that target one particular subject and can be included in payroll and other mail outs. Our goal is to provide interesting and salient informational.

Informational Brochures 2

- * Increase both the percentage and total number of retirees who are participating in the option to have their checks direct deposited.

Direct Deposit Participation 87

Goal: Safeguard our client's trust funds through prudent stewardship

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Safeguard our client's trust funds through prudent stewardship

- * Continue to prepare an annual projected cash flow for each fiscal year and preparation of monthly comparison of actual verses projected amounts with explanations of differences. This tool is also used by the Board to approve cash transfers from investment managers to OLEERS to meet our obligations.

Cash Flow Projections 12

- * The consulting actuary will perform as annual valuation of OLEERS to assist us in monitoring the solvency of the trust funds and to determine how well the System is funded.

Actuarial Valuation 1

- * OLEERS engages an independent accounting firm to audit the financial statements of the System. Our auditors present their report to the Board along with their recommendations for improving our accounting practices and procedures. We aim to assist them, by having all records ready when they arrive for the field work, and by having financial statements and supporting schedules prepared. We pride ourselves in having few, if any, material adjustments or reclassifications to the statements.

External Audit 1

- * OLEERS publishes its annual report and distributes it to the Governor, the appropriate state agencies and to the members of the System in accordance with a statutory deadline.

Annual Report 1

- * This review, done once every five years, is designed to contemplate broad asset class, sub-asset class, new asset class and strategy allocations, funded status of the plan and other special objectives and constraints.

Review of Asset Allocation 0

- * It is important that the Board of Trustees meets annually with each investment manager and the custodial bank to discuss our assets under management, past performance, market outlook, any changes within the entity which may affect our assets, etc. These meetings are both educational for the Board, as well as identifying if there may be reasons a manager should be replaced.

Meeting w/Investment Mgrs. 11

Goal: Build and maintain a professional, productive, innovative and diverse workforce

- * On an annual basis, individual performance appraisal plans will be linked with the achievement of agency goals and objectives. All employees are held accountable for the achievement of our goals.

Link Performance Plans 5

- * Annually, and on an ongoing basis. A high level of awareness in all employees of the connection between their jobs and the agency's mission is required.

Communicate Mission/Plan 1

Goal: Use technology and automation to foster fundamental changes that improve the way we do business

- * Through a contract with the approved vendor we will scan most documents that require retention and are more than two years old. We will organize our document filing system so that we can later implement a process of scanning documents before filing with relevant indexes. The sole approved vendor has the scanning software required for that next step.

Imaging System 0

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: OLERS

Goal: Use Technology and Automation to Foster Fundamental Changes that Improve the way we do Business

Maintain Web Page 90%

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
200 Law Enforce Retirement Revolving	2,944	2,643	5,018
Total Expenditures by Fund	\$2,944	\$2,643	\$5,018

EXPENDITURES BY OBJECT

\$000's

<u>Object of Expenditure</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits	499	471	754
Professional Services	2,269	2,059	4,015
Travel	19	12	35
Lease-Purchase Expenditures	0	0	0
Equipment	43	10	63
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	114	90	150
Total Expenditures by Object	\$2,944	\$2,642	\$5,017

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

\$000's

<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
10 General Administration			
1 General Administration	2,927	2,568	4,842
Total General Administration	2,927	2,568	4,842
88 Data Processing			
1 Data Processing	17	75	176
Total Data Processing	17	75	176
Total Expenditures by Activity	\$2,944	\$2,643	\$5,018

POLICE PENSION & RETIREMENT SYSTEM (557)

MISSION

To provide secure retirement benefits for members and their beneficiaries.

THE BOARD

The Oklahoma Police Pension and Retirement Board is a thirteen-member Board. Seven board members are elected by members of the system (six are active police officers, one from each state board district and the seventh elected member is a retired member of the system). Four board members are appointed by the following appointing authorities: one member each is appointed by the Governor, the Speaker of the House of Representatives, the President Pro Tempore of the State Senate, and the President of the Oklahoma Municipal League. The State Insurance Commissioner or his designee and the Director of State Finance or his designee are the remaining two board members. The members serve four-year terms with the Governors appointments being coterminous with the Governor's term of office.

DUTIES/RESPONSIBILITIES

The System provides retirement benefits to all retirees. The System collects contributions from municipal police officers, municipalities, and the insurance premium tax.

The Board invests funds of the system and acts as the trier of fact.

STATUTORY REFERENCES

Program Name	Statutory Reference
Member Services	Retirement system

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES				
<u>Goals/Measures</u>	<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY- 2011</u> <u>Budgeted</u>	<u>FY-2012</u> <u>Estimated</u>

Goal: PROVIDE ADEQUATE COMMUNICATION/EDUCATION TO OUR MEMBERSHIP.

- * The agency has been publishing an annual booklet containing statutes and administrative rules impacting the System that is mailed to all members. A review/analysis of alternative means of notifying members of these changes needs to be conducted in light of the increased cost for publication of the booklet and the increase in postage rates.

STATUTE/ADMIN. RULE CHANGES	0%	0%	50%	100%
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- * The Agency's website is an important communication tool. A process for on-going review/analysis of information available to members/member municipalities on the website needs to be an ongoing process.

WEBSITE REVIEW/ANALYSIS	30%	40%	70%	100%
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Goal: PURCHASE USER-FRIENDLY AUTOMATED PENSION SYSTEM SOFTWARE.

- * In FY 2011 members can access their account online. Once the new pension system is installed and accepted, the plan is for members to be able to access their accounts online.

Access to Accounts On-Line	100%	100%	100%	100%
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- * In FY 2011, user-friendly pension system software is installed. Testing and paralleling with current system is completed.

System Installed & Accepted	100%	100%	100%	100%
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Goal: PROVIDE LEGISLATIVE SUPPORT

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: PROVIDE LEGISLATIVE SUPPORT

- * Provide the Legislature with information on proposed legislation pertaining to the Police Pension System as appropriate. We plan to continue to be proactive in providing the Legislature with information on proposed legislation that is essential to the Plan retaining its qualification with the IRS.

Legislative Support	100%	100%	100%	100%
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Goal: CONTINUITY OF WORKFLOW WHEN STAFFING CHANGES OCCUR

- * Prepare procedures manuals related to performance of job duties for all staff positions.

Procedures Manuals	25%	40%	75%	100%
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Member Services

Goal: Provide adequate communication/education to our membership.

Goal: Have adequate staff to accomplish our mission.

Goal: Have an on-site user-friendly automated pension system.

Goal: Provide Legislative support.

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

<u>Type of Fund:</u>	<u>\$000's</u>		
	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
200 Police Pension & Retirement Fund	2,733	2,461	3,047
Total Expenditures by Fund	<u><u>\$2,733</u></u>	<u><u>\$2,461</u></u>	<u><u>\$3,047</u></u>

EXPENDITURES BY OBJECT	\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
Salaries and Benefits	985	923	896
Professional Services	1,506	1,310	1,458
Travel	58	41	60
Lease-Purchase Expenditures	0	0	0
Equipment	8	17	28
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	176	169	605
Total Expenditures by Object	\$2,733	\$2,460	\$3,047

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY	\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
10 General Operations			
1 General Operations	2,346	2,122	2,578
Total General Operations	2,346	2,122	2,578
88 Data Processing			
1 Data Processing	387	339	469
Total Data Processing	387	339	469
Total Expenditures by Activity	\$2,733	\$2,461	\$3,047

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
10 General Operations	12.0	11.0	11.0
Total FTE	12.0	11.0	11.0
Number of Vehicles	0	0	0

PUBLIC EMPLOYEES RETIREMENT SYSTEM (515)

MISSION

The mission of the Oklahoma Public Employees Retirement System, Board and staff is to provide and promote accountable and financially sound retirement programs for Oklahoma's public servants.

THE BOARD

The Board of Trustees consists of thirteen appointed members, some by position and some by association. Those serving through position are a member of the Corporation Commission as selected by the Commission, the Administrator of the Office of Personnel Management, the State Insurance Commissioner, the Director of State Finance, and a member of the Tax Commission selected by the Tax Commission. The Administrator of the Office of Personnel Management, the State Insurance Commissioner and the Director of State Finance may also send designees. Of the remaining members, three are appointed by the Governor, one is appointed by the Supreme Court, two are appointed by the Speaker of the House of Representatives and two are appointed by the President Pro Tempore of the Senate.

The restrictions on these appointments state that one member appointed by the Governor is an active member of OPERS, one member appointed by the Speaker of the House of Representatives is also an active member of OPERS and one member appointed by the President Pro Tempore of the Senate is a retired member of OPERS. The appointed members serve four year terms, but the Governor's appointments are coterminous with his term of office. Qualifications for two of the Governor's appointees, one of the Speaker's appointees and one of the President Pro Temp's appointees include having experience in investment management, pension management, public fund management, the banking profession, and a license to practice law or a license to be an accountant. A balance of these qualifications is considered in the appointments process.

DUTIES/RESPONSIBILITIES

The Public Employees Retirement System is made up of members from state and local governments, hazardous duty members and elected officials. The Public Employees Retirement System is governed by a thirteen-member Board of Trustees that is responsible for policies and rules of the system. The Board appoints the Executive Director who is responsible for the management and administration of the system. The Board retains qualified investment counselors to assist and provide for the judicious investment of funds.

The Board also administers the Uniform Retirement System for Justices and Judges, Oklahoma State Employees Deferred Compensation Plan, and the Oklahoma State Employees Deferred Savings Incentive Plan.

The Oklahoma state Employees Deferred Compensation Plan enables employees of the State to participate in voluntary tax-sheltered income deferral plans authorized by the United States Internal Revenue Code, Section 457. This plan permits employees to obtain the advantages inherent in such plans relative to the income tax treatment of the contributions and disbursements made pursuant to such tax-sheltered voluntary income deferral plans.

The Oklahoma State Employees Deferred Savings Incentive Plan was established effective January 1, 1998 as a money purchase pension plan pursuant to the Internal Revenue Code Section 401(a). Any qualified participant who is an active participant in the Oklahoma state Employees Deferred Compensation Plan is eligible for a monthly contribution of \$25 from the member's employer. Qualified participants may also make rollover contributions to this plan.

STATUTORY REFERENCES

Program Name	Statutory Reference
Administration of Retirement Systems	Title 74, Sections 901-943, Sections 1701-1705 and Title 20, Sections 1101-1112.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES				
<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>

Goal: Provide prompt and comprehensive customer service.

Goal: Manage the assets of the Plans in a fiscally responsible manner.

Goal: Inform and educate all members to help them identify and meet their retirement goals.

Goal: Maintain a competent and well-trained workforce.

Goal: Achieve and maintain well-funded status to assist the State in paying retirement benefit obligations, and secure changes in plan design that make the plan easier to understand or more equitable without an undue increase in the retirement system's liabilities.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES				
<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>

Program: Administration of Retirement Systems

Goal: To provide retirement information and to disburse retirement benefits

* Number of Oklahoma Public Employees active participants served				
Active Participants-OPERS	45,004	43,171	41,413	39,726
* Number of Oklahoma Public Employees retired participants served				
Retired Participants-OPERS	26,949	28,011	29,115	30,262
* Number of Elected Judges active participants served				
Active Participants-Judges	274	275	276	277
* Number of Elected Judges retired participants served				
Retired Participants-Judges	204	210	216	223
* Number of Elected Judges death benefits processed to completion				
Death Benefits-Judges	5	8	4	4
* Number of participants in the Savings Incentive Plan				
Participants-SIP	34,828	35,198	35,572	35,950
* Number of Oklahoma Public Employees withdrawals processed				
Withdrawals-OPERS	2,916	2,603	2,603	2,603
* Number of Elected Judges withdrawals processed				
Withdrawals-Judges	1	2	2	2
* Number of Oklahoma Public Employees death benefits processed to completion				
Death Benefits-OPERS	944	893	842	797
* Number of participants in the Deferred Comp Plan				
Participants-Deferred Comp	34,818	35,591	36,381	37,189

**PUBLIC EMPLOYEES RETIREMENT
SYSTEM**

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FINANCE AND REVENUE

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
200	OPERS Revolving Fund	5,412	5,673	7,867
205	State Employees Def Savings Inc Plan	533	389	604
Total Expenditures by Fund		<u>\$5,945</u>	<u>\$6,062</u>	<u>\$8,471</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
	Salaries and Benefits	3,559	3,884	4,904
	Professional Services	1,045	1,039	1,647
	Travel	32	28	65
	Lease-Purchase Expenditures	0	0	0
	Equipment	30	76	207
	Payments To Local Govt Subdivisions	0	0	0
	Other Operating Expenses	1,279	1,034	1,648
Total Expenditures by Object		<u>\$5,945</u>	<u>\$6,061</u>	<u>\$8,471</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
10	General Operations			
1	General Operations	2,829	2,932	3,876
2	Retirement	859	871	1,200
3	Deferred Compensation	533	389	604
4	Communications	850	886	1,443
5	Call Center	116	119	246
6	Sooner Save	307	335	347
	Total General Operations	<u>5,494</u>	<u>5,532</u>	<u>7,716</u>
88	Data Processing			
1	Data Processing	452	529	755
	Total Data Processing	<u>452</u>	<u>529</u>	<u>755</u>
Total Expenditures by Activity		<u>\$5,946</u>	<u>\$6,061</u>	<u>\$8,471</u>

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES

Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
10 General Operations	59.0	62.0	61.0
88 Data Processing	8.0	5.0	6.0
Total FTE	67.0	67.0	67.0
Number of Vehicles	1	1	1

SCHOOL LAND COMMISSION (410)

MISSION

To balance maximum earnings for present beneficiaries while also protecting and growing the assets for future beneficiaries.

THE COMMISSION

Five ex officio members, the Governor, Lieutenant Governor, State Auditor and Inspector, Superintendent of Public Instruction, and the President of the Board of Agriculture constitute the Commissioners of the Land Office.

DUTIES/RESPONSIBILITIES

The Commissioners are charged with the sale, rental, disposal, and management of School Trust lands and assets, and of the funds and proceeds derived there from, under rules and regulations prescribed by the Legislature. The principle functions of the agency consist of the following:

1. Leasing lands for agricultural and commercial purposes.
2. Leasing lands for oil, gas and other minerals.
3. Investing permanent funds as authorized by law.
4. Sale of lands as prescribed by law.
5. Collecting rents, fees, loan payments of principal and interest and other monies due the agency.
6. Performing legal activities necessary to protect the interest of the Trusts.
7. Auditing royalty payments from mineral leases.
8. Improving, protecting and preserving lands owned by the Trusts.
9. Distributing the revenues of the various trusts to the institutions to which the funds belong.

STATUTORY REFERENCES

Program Name	Statutory Reference
Administration	Constitutional Agency Article 6 section 32 Article XI Title 64 section 1 et seq.
Real Estate	Title 64 section 1 et seq. Oklahoma Constitution Enabling Act
Finance	Constitutional Agency Article 6 Section 32 Article XI Title 64 Section 1 et seq
Minerals	Constitutional Agency Article 6 section 32 Article XI Title 64 section 1 et seq.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: The Commissioners of the Land Office will continue to pursue external communication that is professional, informative, practical, and open.

- * Develop and distribute at least two communications per year, including a request for input, and monitor the results.

Number of communications	2	2	2	2
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- * Website usage by the public as measured by number of visits (000).

Monitor website visits	144	131	170	250
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Goal: The Commissioners of the Land Office will continue to maximize earnings for beneficiaries through prudent management of trust assets while protecting assets for future beneficiaries.

- * Increase distributions plus asset values at a rate greater than the rate of inflation.

Value of Assets (\$000)	1,395,111	1,606,568	1,634,000	1,662,000
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- * Annual distributions to educational beneficiaries (\$000)

High distrib w/o high risk	82,655	114,374	107,000	107,000
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Goal: The Commissioners of the Land Office will continue to use evolving technology that provides a high standard of service and efficiency.

- * CLO employees are trained in appropriate software applications, as measured by percentage trained. The percentages are for training of new and existing employees.

Percent of employees trained	95%	95%	95%	95%
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- * Number of applications and permits that may be filed electronically, as measured by the percentage that can be completed.

% of applications/pmt online	70%	85%	90%	90%
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- * Ownership records online and available to the public, as measured by the percentage of records that are available.

Ownership records online	60%	80%	90%	100%
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Goal: The Commissioners of the Land Office will continue to use a human resources system that develops well-trained supervisors and employees along with a policy on promotions, incentives, and awards that results in increased productivity and morale.

- * During FY 11-16, we will continue the implementation of PMP, as measured by the number of training sessions and updates to supervisors.

PMP Implementations	1	1	1	1
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- * Each division will have quarterly open forums to share information among the division, as measured by the number of forums conducted.

Conduct Forums	24	24	24	24
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- * We will annually review and implement changes by applicable statutes, merit rules and policy. This is measured by the number of communications issued.

Communcation to employees	12	10	10	10
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Administration				
Goal: Maximize Asset Value and Distributions without excessive risk.				
*				
Total Market Value of Assets	1,795,333	2,044,844	1,991,673	2,029,678
*				
Distribution/Beneficiaries	82,654	114,374	107,000	107,000
Program: Finance				
Goal: Maximize Earnings and Protect Assets				
* Annual Distributions to educational beneficiaries (\$000's)				
Maintain High Distributions	82,655	114,374	107,000	107,000
* Asset Values - Market Value of Trust Fund Investment Portfolio				
Value of Assets (000's)	1,395,111	1,606,568	1,634,000	1,662,000
Program: Minerals				
Goal: Maximize the acreage available to lease through management of mineral assets.				
* Listed as actual number of net acres, not by 000's				
Number of acres	41,659	41,659	41,659	41,659
Goal: Maximize the number of leases each year.				
* Number of leases listed as actual numbers, not 000's				
# of Leases/Tracts on sale	498/608	603/710	400/500	450/550
Goal: Effectively review royalty payments for accuracy and timeliness.				
* Value of royalty & interest collected.				
Royalty & Interest Collected	609	356	300	700
Goal: Maximize gross revenues to program				
*				
Gross Oil & Gas Revenues	73,700	72,593	45,000	50,000
Goal: Have six oil & gas sales per year & Other Agency Minerals sales (as needed)				
* Number of sales listed as actual number, not 000's				
Number of sales conducted	6	6	6	6
Program: Real Estate				
Goal: Revenue from Lease, Sale & Management of Real Estate				
*				
Revenues	13,100	13,486	14,500	15,000

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
200	Comm of the Land Office Revolving	229	562	744
51X	Comm of the Land Office Fund	4,789	4,434	6,365
Total Expenditures by Fund		<u>\$5,018</u>	<u>\$4,996</u>	<u>\$7,109</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
	Salaries and Benefits	3,897	3,944	4,870
	Professional Services	344	417	1,198
	Travel	111	63	142
	Lease-Purchase Expenditures	0	0	0
	Equipment	70	45	177
	Payments To Local Govt Subdivisions	0	0	0
	Other Operating Expenses	594	526	722
Total Expenditures by Object		<u>\$5,016</u>	<u>\$4,995</u>	<u>\$7,109</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
10	Administration			
1	Administration	624	738	1,127
9	Legal	430	495	862
88	Data Processing	307	291	874
	Total Administration	<u>1,361</u>	<u>1,524</u>	<u>2,863</u>
20	Real Estate			
1	Real Estate	1,526	1,390	1,887
	Total Real Estate	<u>1,526</u>	<u>1,390</u>	<u>1,887</u>
30	Financial			
1	Accounting	593	601	771
2	Investments	348	364	470
	Total Financial	<u>941</u>	<u>965</u>	<u>1,241</u>
40	Minerals			
1	Minerals Management	678	657	669
2	Revenue Compliance	509	459	449
	Total Minerals	<u>1,187</u>	<u>1,116</u>	<u>1,118</u>

Total Expenditures by Activity	<u>\$5,015</u>	<u>\$4,995</u>	<u>\$7,109</u>
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FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES

<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
10 Administration	12.8	12.9	19.0
20 Real Estate	16.1	17.0	18.0
30 Financial	11.0	11.0	13.0
40 Minerals	16.1	13.1	14.0
Total FTE	<u>56.0</u>	<u>54.0</u>	<u>64.0</u>
Number of Vehicles	4	4	5

CAPITAL OUTLAY and SPECIAL PROJECTS

\$000's

<u>Expenditures by Project: # Project name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Estimated</u>
90 Capital Outlay Tech Systems			
1 Capital Outlay Tech Systems	0	0	389
Total Capital Outlay by Project	<u>\$0</u>	<u>\$0</u>	<u>\$389</u>

TAX COMMISSION (695)

MISSION

To serve the people of Oklahoma by promoting tax compliance through quality service and fair administration.

THE COMMISSION

The Tax Commission consists of three persons appointed by the Governor of the State of Oklahoma by and with the consent of the State Senate of the State of Oklahoma. No more than two members of the Tax Commission shall be, or shall have been, within the previous six months prior to appointment, members of the same political party. The Commission members serve six-year overlapping terms. The three member Commission is a full-time policy making and judicial body ultimately responsible for the administration of the tax laws of the State. The Commission employs an Administrator who is responsible for the administration of the day to day operations of the agency.

DUTIES/RESPONSIBILITIES

The Tax Commission as an agency administers the collection and distribution of approximately 75 different taxes, licenses and fees. It is the Tax Commission's statutory duty to apportion such revenues to the various state funds and to allocate directly to local units of government certain state-collected levies earmarked to counties, school districts and municipalities. Under contract with individual municipalities, the Tax Commission is responsible for the administration, collection and distribution of local sales tax levied by the cities and towns of Oklahoma. The organizational make-up of the agency includes three separate departments. These departments include Taxpayer Services, Revenue Administration, and Support Services. Taxpayer Services includes Taxpayer Assistance, Communications, Central Processing and Account Maintenance. Revenue Administration includes Tax Policy, Compliance, Motor Vehicle, and Ad Valorem. Support Services include Human Resources, Information Technology, Legal, and Management Services.

STATUTORY REFERENCES

Program Name	Statutory Reference
Headquarters/Administration	Title 68, Sections 102 through 104, 203, 207, 208
Taxpayer Services	Title 68, Sections 256, 257, 304, 1364
Ad Valorem	Title 68, Sections 2875, 2858, 2866, 2824
Central Processing	Title 68, sections 218, 221, 227, 2375
Tax Policy	Title 68, Sections 102.3, 117, 118
Support Services	Title 68, Sections 104, 261, 227, 229, 211, all apportionment statutes
Legal	Title 68, Section 105, 207, 209, 223, 225, 232,258
Motor Vehicle	Title 47, Sections 1103 through 1151; Title 63, Sections 4001 through 4110; Title 68, Sections 601 through 615, and 2101 through 2105.
Compliance	Title 68, Sections 206, 206.1, 213, 214, 215, 218, 231, 232, 234, 251, 255, 260, 262

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY- 2011</u> <u>Budgeted</u>	<u>FY-2012</u> <u>Estimated</u>
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Program: Ad Valorem

Goal: Provide county officers with effective ad valorem assistance and education.

* This measure indicates the number of days spent in counties auditing for compliance with ad valorem taxation, real and personal property equalization.

Co Equal & Comp - Days	769	463	463	463
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* This measure represents the number of days in support of state mandated hardware and software computer assisted mass appraisal of property.

Co Technical Assistance-Days	462	308	308	308
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* This measure totals the number of participants trained on updates of the state (CAMA) system.

County Training Contracts	167	150	150	150
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* This measure totals the number of participants in training related to data maintenance on the state (CAMA) system.

Co Software/Maint. Training	502	143	150	150
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* This measure represents the days spent on mapping upgrades and maintenance.

Co Mapping Assistance - Days	35	39	39	39
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Goal: Institute an audit program of centrally assessed companies.

* This measure represents the number of public service accounts valued.

Public Svs Valuations-Accts	247	252	250	255
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* This measure represents the number of public service audits each fiscal year.

Public Services Audits	316	334	325	330
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Goal: To accurately and efficiently manage ad valorem exemption programs.

* This measure indicates the number of five year ad valorem exemption applications reviewed and audited during the fiscal year.

Manuf. Exempt Applications	550	525	550	550
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Ad Valorem

Goal: To accurately and efficiently manage ad valorem exemption programs.

- * This measure indicates the number of on-site physical inspections and asset verifications for manufacturing exemption applications each fiscal year.

Manuf. Exempt Inspections	131	99	120	130
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- * This measure totals the number of days working on tax incentives with local taxing jurisdictions and the Department of Commerce on tax abatement programs.

Asst on Tax Incentives-Days	20	23	24	26
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Program: Central Processing

Goal: Decrease average document processing time by 50%.

- * This measure computes the average number of days to process a non-suspended individual income tax refund during the non-peak season.

Avg for IT Refund Proc.-Days	6	3	5	6
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- * This measure computes the average number of days to process an individual income tax pay return during the non-peak season.

Avg for IT Return Proc.-Days	18	9	10	9
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- * This measure computes the average number of days to process a scanned business tax return.

Avg Days for BT Ret Proc-Sca	14	1.5	1.5	1.5
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- * This measure computes the average number of days it takes to process an unscanned business tax return.

Avg Days for BT Ret Proc-Uns	10.2	9	7	5
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- * This measure computes the average number of days to process a non-suspended individual income tax refund during the peak season.

Avg Days for Peak Ref Proc	22	13	15	15
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- * This measure computes the average number of days to process an individual income tax pay return during the peak season.

Avg Days for Peak Retn Proc	26	14	20	18
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- * The average number of processing days based on mailroom date vs. check date. Suspended refers to a refund that has errors that would cause OTC employees to contact taxpayer.

Avg Days for Non-Peak Susp	32	38	32	28
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- * The average number of processing days based on mailroom date vs. check issue date.

Avg Days for Peak Suspended	49	53	49	47
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Goal: Efficiently process revenues for maximum interest earning for the state.

- *

Avg Total Dep Time (NP)-Hrs	8	8	8	8
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Central Processing				
Goal: Efficiently process revenues for maximum interest earning for the state.				
Check & Cash Items Processed	1,734,837	1,475,795	1,328,000	1,200,000
*				
Tax Documents Proc - Manual	2,284,814	2,335,208	2,200,000	2,100,000
*				
Individual IT Ret Processed	1,735,162	1,691,793	1,705,000	1,715,000
*				
Refunds Issued - Income Tax	1,253,680	1,202,995	1,205,000	1,210,000

Program: Compliance

Goal: Identify instances of non-compliance and provide means to educate taxpayers to increase voluntary compliance.

* This measure represents the number of sales tax field audits completed during the fiscal year.				
Sales Tax Field Audits	334	353	300	300
* This measure represents the number of withholding field audits completed during the fiscal year.				
Withholding Tax Field Audits	399	362	400	400
* This measure represents the number of estate audits completed during the fiscal year.				
Estate Audits Completed	10,176	8,684	4,050	1,010
* This measure represents the number of IRS underpayment assessments completed during the fiscal year.				
IRS Underpayment Assessments	11,262	43,431	19,400	19,400
* This measure represents the number of alcohol & tobacco office audits completed during the fiscal year. (The 2005 law change impacted the number of office audits.)				
Alcohol & Tobacco Off Audits	9,504	7,189	8,000	8,000
* This measure represents the number of franchise field audits completed during the fiscal year.				
Franchise Field Audits	44	47	45	45
* This measure represents the number of alcohol & tobacco field audits completed during the fiscal year.				
Alcohol & Tob. Field Audits	23	78	50	60
* This measure represents the number of corporate income tax office audits completed during the fiscal year.				
Corp. Inc. Tax Office Audits	4,113	5,132	4,000	4,000

Goal: Increase efficiency and timelines of property releases.

* This measure represents the number of estate tax releases completed during the fiscal year.				
Estate Tax Releases	10,100	9,761	10,000	0

Goal: Provide taxpayers more accurate and timely billing information.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Compliance

Goal: Provide taxpayers more accurate and timely billing information.

*				
Pay Plans Established	1,745	1,500	1,800	1,800
*				
Avg Pay Plans Monitored	8,286	8,750	9,000	9,000
*				
Collection Agency Referrals	73,865	100,055	90,000	90,000
*				
Number of Billing Ltrs Sent	297,826	308,482	325,000	325,000

Goal: Educate taxpayers on requirements necessary to remain in compliance.

*				
New Business Visits	14,658	14,867	15,000	15,000
*				
Administrative Hearings	1,216	870	1,000	1,000
*				
Field Service Visits	9,321	0	10,000	12,000
*				
Sales Tax Permits Cancelled	465	245	500	500

Goal: Decrease the number of non-compliant Oklahoma business and income taxpayers while holding average cost of collection activities stable.

*				
Avg Cost Coll v. Retn/Coll	9.94%	8.42%	8%	8%

Program: Headquarters/Administration

Goal: To provide an effective and efficient resolution avenue for taxpayer legal issues.

*	This measure reflects the percentage of cases resolved prior to a formal hearing.			
Cases Resolv. Prior Hearing	68.8%	72%	50%	60%
*	Legislation related to tax exemption for electric cars resulted in increased cases docketed during FY-2010.			
Admin. Cases Docketed	222	832	400	300
*	This measure represents the percentage of cases resolved after formal hearings have been conducted.			
Cases Resolv. After Hearing	31.2%	28%	50%	40%

Program: Legal

Goal: Handle protests and all litigation in a timely and professional manner.

* This measure represents new workload cases during the fiscal year.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Legal

Goal: Handle protests and all litigation in a timely and professional manner.

Protests/Cases Opened	177	759	350	175
* This measures the number of bankruptcy cases for which notice of filing was received by the agency.				
Bankruptcy Cases Processed	12,369	14,695	13,000	11,000
* This measure indicates the number of district court cases involving real property where the Tax Commission was named as a party.				
Quiet Title Cases Processed	2,044	2,155	2,200	2,000
* This measure represents the completion of cases relating to the assessment of additional tax during the fiscal year.				
Protests/Cases Closed	191	213	600	150
* This measure represents the number of cases, which were previously referred for collection, and closed during the fiscal year.				
Collection Files Closed	101	106	200	250
* This measure represents the number of collection files worked during the fiscal year.				
Collection Files Processed	70	232	300	300

Program: Motor Vehicle

Goal: Maintain and enhance efficient and effective motor vehicle transaction program.

* This measure quantifies the number of special plate registrations, including personalized, during the fiscal year.				
Special Plate Registrations	107,439	96,989	100,000	100,500
* This measure represents the number of boat and motor titles issued during the fiscal year.				
Boat and Motor Titles	61,171	61,193	61,500	62,000
* This measure represents the number of vehicle titles issued during the fiscal year.				
Vehicle Title Transactions	1,331,169	1,301,305	1,305,000	1,305,000
* This measure represents total registrations processed for all vehicles and other registrations during the fiscal year.				
Total Registrations Process	2,910,710	2,877,797	2,900,000	2,905,000
* This measure indicates the number of additional motor vehicle tax assessments at registration or after audit.				
Additional & Delinquent Fees	89,013	88,343	91,000	91,500

Program: Support Services

Goal: To provide the agency with the systems and resources necessary to operate efficiently and to accomplish its mission.

* This measure quantifies the average response time from keystroke to action by the system statewide.				
Avg Computer Response-Second	5	5	5	5
* This measure indicates the percentage of time the computer system is available for employees and agents.				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Support Services

Goal: To provide the agency with the systems and resources necessary to operate efficiently and to accomplish its mission.

Computer Up-Time Percentage	99.9%	99.9%	99.9%	99.9%
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* This measure reports the number of electronic payments received during the fiscal year.

Voluntary EFT Payments Made	930,186	1,157,023	1,200,000	1,205,000
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Goal: To accurately and timely provide the distribution of revenues to state and local units of government.

* This measure represents the average number of days to apportion taxes received to entities monthly.

Avg Apportion. Process-Days	4	4	4	4
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* This measure records the number of business return records received electronically each fiscal year.

Trans. Processed Electronic	544,405	542,178	540,000	540,000
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Program: Tax Policy

Goal: Provide more timely and accurate information to OSF, Senate and House staffs, and the Legislature.

*

Avg Time Ltr Rulings in Days	20	20	20	20
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*

Avg Impacts During Sess-Days	21	21	21	21
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*

Avg Impacts Outside Sess-Day	7	7	7	7
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*

Total Impacts During Session	850	850	850	850
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*

Total Letter Rulings	225	225	225	225
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Program: Taxpayer Services

Goal: Enhance our ability to answer taxpayer inquiries.

* This measure indicates the percentage during the year of taxpayer calls which were busy on the initial contact.

Busy or Repeat Calls - %	16.1%	17.1%	17%	16%
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*

Number of Call Received	1,002,518	836,896	850,000	850,000
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Goal: Increase tax compliance by increasing communication and educating taxpayers and staff.

* This measure indicates the number of participants in the Commission's training sessions for new and existing businesses throughout the State of Oklahoma.

Taxpayer Seminar Participant	2,497	3,385	3,400	3,400
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Taxpayer Services				
Goal: Service taxpayer needs in a fair, consistent and timely manner by either resolving problems internally or coordinating with other Divisions.				
* The measure indicates the average time of permit processing from the date of receipt of application to the printing of the permit.				
Avg Permit Proc Time - Days	27.8	24.1	22	22
Goal: Be the "first point of contact" for all taxpayer account related contacts and provide quality customer service.				
* This measure indicates the number of multi-state entities that volunteer to collect and pay sales and use tax on sales which occur across state lines.				
NewStreamlinedSales/UseAccounts	235	303	300	350
* This measure represents the number of use tax permits issued during the fiscal year.				
Use Tax Permits Issued	1,647	1,388	1,500	1,500
* This measure quantifies the number of face-to-face contacts the Problem Resolution Unit has with taxpayers needing assistance with various tax problems at the Oklahoma City location. The Clean Slate program resulted in increased contacts during FY-2009.				
Walk-in Cust Cont-HQ-ProbRes	10,928	6,658	8,000	10,000
* This measure indicates the number of new withholding accounts registered during the fiscal year.				
New Withholding Account Regi	9,781	12,162	11,000	11,000
* This measure indicates the number of new sales tax permits issued during the fiscal year.				
New Sales Tax Permits Issued	9,938	9,826	11,000	11,500
* This measure indicates the number of face-to-face contacts with taxpayers in field offices. The Ardmore and Lawton offices closed October 31, 2009, resulting in lower numbers for FY-2010.				
Walk-in Customers-Field Off.	33,869	14,692	12,000	12,000
* This measure quantifies the number of face-to-face contacts with taxpayers regarding registrations at the Oklahoma City location.				
Walk-in Cust Contacts-HQ-Reg	12,724	12,536	14,500	15,000
* This measure indicates the number of sales tax permits renewed for continuing businesses. A peak in permits occurs every third year as indicated below.				
Renewed Sales Tax Permits	9,076	8,151	9,500	9,500
Goal: Promote increased agency efficiency and taxpayer services through the use of technology and paperless filing techniques.				
* This measure represents the number of tax forms downloaded from the agency's web site during the fiscal year.				
Tx Forms Downloaded-Website	8,788,238	8,073,150	9,000,000	9,250,000
* This measure represents the number of tax forms downloaded from the agency's web site during the fiscal year.				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Taxpayer Services

Goal: Promote increased agency efficiency and taxpayer services through the use of technology and paperless filing techniques.

No. of OTC Website Visitors 2,777,760 2,997,065 3,100,000 3,200,000

* This measure indicates the number of income tax filers who use the internet to file their return electronically. A legislative change requiring semi-weekly filing of withholding tax payments for some taxpayers resulted in the increase in FY-2010.

Internet Filing Participants 309,949 335,148 361,960 390,900

* This measure represents the number of electronic returns filed using a scripted telephone system.

Telefile Electronic Filers 4,660 6,757 7,500 8,500

* This measure represents the number of taxpayers who choose to have their refunds direct deposited into their bank account instead of receiving a paper check.

Direct Dep Refund Participant 584,532 580,352 585,000 588,500

* This measure reflects the number of electronic returns filed by paid preparers.

Electr Filing Participants 735,168 749,067 764,050 779,330

* This measure indicates the number of business tax returns filed through the internet web site during the fiscal year.

Business Tax Website Filers 814,028 1,001,206 1,100,000 1,100,000

* This measure represents the number of income tax returns filed using 2-D barcoding technology.

Inc Tax 2-D Barcode Usage 228,183 248,662 250,000 250,000

* This measure represents the percentage of business tax payers using e-commerce technology versus traditional methods.

% of Business E-Filing 46.4% 53.7% 60% 65%

* This measure represents the percentage of income tax filers using e-commerce technology vs. traditional methods.

% of E-Commerce Usage 57.81% 61.39% 62% 65%

Goal: To provide better business account services relating to refunds, compliance and problem resolution.

* This measure computes the average number of days to process a non-complex business tax refund.

Non-Complex BT Refunds 56 49.9 48 46

* This measure computes the number of business tax delinquent accounts as a percent of the total number of business tax accounts.

% Chg in Avg No. Delinq BT 11.4% 14.32% 14% 13%

* This measure computes the number of delinquent individual income tax accounts as a percentage of the total number of accounts.

% Chg in Avg No of Delinq In 14.19% 15.07% 14% 12%

FY - 2012 EXECUTIVE BUDGET

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		Actual	Actual	Budgeted
19X	General Revenue	48,791	43,800	46,831
200	Tax Commission Revolving Fund	23,108	23,373	21,213
210	OTC & OSF Joint Computer Enhanc	0	0	15,000
215	Tax Commission Reimbursement	31,139	18,258	16,840
220	Abandoned Mineral Interest Revolv	10	7	40
230	Waste Tire Recycling Indemnity	5,080	5,144	5,400
250	Film Enhancement Rebate Program	0	481	6,000
285	Ad Valorem Reimburement Fund	29,422	41,257	19,106
Total Expenditures by Fund		\$137,550	\$132,320	\$130,430

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		Actual	Actual	Budgeted
	Salaries and Benefits	54,054	51,560	51,686
	Professional Services	25,971	14,889	25,762
	Travel	759	310	764
	Lease-Purchase Expenditures	0	0	0
	Equipment	2,403	821	7,497
	Payments To Local Govt Subdivisions	29,525	41,257	19,246
	Other Operating Expenses	24,842	23,485	25,476
Total Expenditures by Object		\$137,554	\$132,322	\$130,431

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009	FY-2010	FY-2011
		Actual	Actual	Budgeted
1	Headquarters/Administration			
1	Headquarters	1,772	1,802	1,840
2	Administration	1,132	991	979
	Total	2,904	2,793	2,819
	Headquarters/Administratio			
	n			
2	Taxpayer Services			
1	Tax Payer Assistance	5,920	5,442	5,222
3	Communications	1,478	932	934
4	Account Maintenance	4,762	4,369	4,250
	Total Taxpayer Services	12,160	10,743	10,406
3	Ad Valorem Programs			
1	Ad Valorem	2,839	2,068	1,846
2	County Ad Valorem Program	408	1,562	1,571
3	County Reimbursements	29,425	41,257	19,106
	Total Ad Valorem Programs	32,672	44,887	22,523

TAX COMMISSION

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FINANCE AND REVENUE

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
5	Central Processing		
2	Central Processing	5,906	4,567
4	Imaging	643	569
88	Data Processing	626	1,387
	Total Central Processing	<u>7,175</u>	<u>6,523</u>
6	Tax Policy		
1	Tax Policy	1,367	1,327
	Total Tax Policy	<u>1,367</u>	<u>1,327</u>
8	Management Services		
1	Management Services	4,774	3,531
2	Human Resources	971	784
88	IT-Information Technology	12,584	27,510
	Total Management Services	<u>18,329</u>	<u>31,825</u>
11	Legal Services		
1	Legal Services	3,745	2,835
	Total Legal Services	<u>3,745</u>	<u>2,835</u>
12	Compliance Program		
1	Compliance Program	40,754	31,548
2	Waste Tire Program	5,080	5,400
	Total Compliance Program	<u>45,834</u>	<u>36,948</u>
13	Motor Vehicle		
1	Motor Vehicle	13,368	9,224
	Total Motor Vehicle	<u>13,368</u>	<u>9,224</u>
14	Audit Services		
1	Audits	0	0
	Total Audit Services	<u>0</u>	<u>0</u>
15	Film Rebate Program		
1	Film Rebate Program	0	6,000
	Total Film Rebate Program	<u>0</u>	<u>6,000</u>
Total Expenditures by Activity		<u>\$137,554</u>	<u>\$132,320</u>
			<u>\$130,430</u>

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1	Headquarters/Administration	27.3	26.0
2	Taxpayer Services	190.9	165.0
3	Ad Valorem Programs	34.2	34.0
5	Central Processing	60.5	56.0
6	Tax Policy	14.1	14.0
8	Management Services	157.6	149.0
11	Legal Services	32.2	27.0
12	Compliance Program	280.5	272.0
13	Motor Vehicle	99.4	85.0
Total FTE		<u>896.7</u>	<u>828.0</u>
Number of Vehicles		9	9

TEACHERS' RETIREMENT SYSTEM (715)

MISSION

It is the mission of the Oklahoma Teachers Retirement System to provide outstanding customer services to all of our active and retired Clients.

THE BOARD

The Board of Trustees is comprised of thirteen members. The State Superintendent of Public Instruction, The Director of State Finance, and the Director of Vocational and Technical Education all serve as ex officio members. The Governor appoints six members and the Speaker of the House of Representatives and the President Pro Tempore of the Senate appoint two each. Four of the Governors appointees meet general requirements such as experience in pension systems, finance, investment management or banking or having a license to practice law or a license of accountancy. Two of the Governor's appointees are divided so that one member is from an institution of Higher Education and one is a representative from the System's support personnel membership within the state. The appointees of the President Pro Tempore of the Senate and the Speaker of the House of Representatives are divided such that each appoints an active classroom teacher and a retired classroom teacher. All members serve four-year terms.

DUTIES/RESPONSIBILITIES

General administrative responsibility for the proper operation of the System and effective implementation of laws are vested in the Board of Trustees. The Board is charged with the responsibility to collect all contributions from members.

STATUTORY REFERENCES

Program Name	Statutory Reference
Administration of the Teachers' Retirement System of Ok	Title 70, Section 17-101 et. seq.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Goal: Improve and Enhance Member Services

- * Improved training for Phone Center personnel to reduce response time on incoming inquiries from members and general public. This will be measured by the average time required to answer caller's questions. (Time in minutes that caller must stay on phone).
 Improve phone response time 2.5
- * Improve response time in preparation of retirement estimates for active members within four years of retirement. This will be measured by the average time between receipt of request for an estimate and mailing.
 Proc Req for Rtrmt Estimates 12 days
- * Encourage active and retired members to obtain pension information via toll-free telephone to shorten response time in delivery of information concerning retirement account. This will be measured by the number of incoming telephone inquiries from members and general public.
 Communication with members 90,742
- * Statistical information from random surveys will be processed each year to determine customer satisfaction with agency services. The surveys will include responses from office visitors, applicants for retirement, telephone call center responses, and recipients of agency newsletter, terminating members and remitting agencies. The surveys will be consolidated to obtain a percentage of overall customer satisfaction.

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Goal: Improve and Enhance Member Services				
Survey member satisfaction	90%			
Goal: Achieve Adequate Funding				
* Increase the System's ratio of assets to actuarial liabilities by 2% per year from 2009 to 2014 and be 100% funded by 2035. This will be measured by the increase in the funded ratio as shown on the annual actuarial valuation.				
Increase Funded Status	50.9%			
Goal: An Efficient, Knowledgeable Professional Team				
* Employee evaluation on PMPs will be measured according to the percentage of employees receiving a "meets standards" or higher rating.				
Employee PMP rating	100%			
* Staff training program based on needs assessment, which will be reviewed annually. This will be measured by the percentage of employees completing the prescribed training program.				
Staff development program	100%			
Goal: Enhance IT Services				
* Statistical information from surveys of internal users of IT system. This will be measured by the rating of agency personnel that use the IT system.				
Survey of user satisfaction	95%			
* Upgrade optical imaging hardware to enable more rapid access of member data. This will be measured by the average time required in seconds to access required data at workers desktop.				
Improve Info Tech Services	5 sec.			

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Administration of the Teachers' Retirement System of Ok				
Goal: Provide excellent communication and education to our Clients				
* Statistical information from random surveys will be processed each year to determine customer satisfaction with agency services. The surveys will include responses from office visitors, applicants for retirement, telephone call center responses, recipients of agency newsletter, terminating members, and remitting agencies. The surveys will be consolidated to obtain a percentage of overall customer satisfaction.				
Survey member services	90%	90%	90%	90%
* Encourage active and retired members to obtain pension information via toll free telephone to shorten response time in delivery of information concerning retirement account. This will be measured by the number of incoming telephone inquiries from members and general public.				
Communication with members	157,395	155,463	162,970	165,151
* Number of member enrollment applications processed				
Enrollment applications	7,888	7,107	7,135	7,164
TEACHERS' RETIREMENT SYSTEM		- 352 -		FINANCE AND REVENUE

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Administration of the Teachers' Retirement System of Ok

Goal: Provide excellent communication and education to our Clients

* Number of member tax sheltered annuity 403 (b) withdrawals processed.				
Tax sheltered withdrawals	2,483	2,666	2,607	2,799
* Number of member group insurance contracts processed.				
Member insurance contracts	27,108	25,771	27,379	26,029
* Number of member tax sheltered annuity 403 (b) contracts processed.				
TSA contracts	5,076	4,800	5,127	4,848
* Improve response time in preparation of retirement estimates for active clients within 48 hours of receipt of request. This will be measured by the time between receipt of request and completion of request.				
Process request for retireme	48 Hours	48 Hours	48 Hours	48 Hours
* Improved training for telephone center personnel to reduce response time on incoming inquiries from members and general public. This will be measured by the average time required to answer caller's questions. (Time in minutes that caller must stay on telephone.				
Improve telephone response	5 seconds	5.0	5 seconds	5 seconds
* Number of member withdrawal applications processed.				
Member withdrawal Requests	3,295	2,953	3,248	3,573
* Number of member death benefit applications processed				
Death benefit applications	1,289	1,476	1,373	1,577
* Number of member retirement contracts processed.				
Member retirement contracts	2,536	2,975	3,124	3,280
* Number of member disability contracts processed.				
Member disability contracts	85	84	86	88

Goal: Protect and promote the financial interests of our Clients

* Increase the System's ratio of assets to actuarial liabilities by 2.0% per year from 2010 to 2014 and be 100.0% funded by 2035. This will be measured by the increase in the funded ratio as shown on the annual actuarial valuation.				
Increase funded status	49.8%	50.5% Estimate	52.5%	54.5%

Goal: Consistently improve performance

* Encourage staff to improve performance by developing ways to reward staff such employee of the quarter.				
Rewards System	0	0	4	4
*				

Goal: Pursue pro-active solutions and innovatin

* Provide internal clients reports and enhancements to current system to assist them in performing duties. This will be measured by percent of requests completed within one year.				
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Administration of the Teachers' Retirement System of Ok

Goal: Pursue pro-active solutions and innovatin

Improve IT services	0	0	100%	100%
* Implement new Client Accounting System for the System by January 01, 2012. This will be measured by dollar amount expended for project.				
Implement new CAS	0	\$89,000	\$350,000	\$650,000

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
200 Teachers Retirement Revolving	31,989	36,150	55,296	
340 Teachers Retirement Dedicated	263,753	223,507	231,189	
Total Expenditures by Fund	\$295,742	\$259,657	\$286,485	

EXPENDITURES BY OBJECT

		\$000's		
<u>Object of Expenditure</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
Salaries and Benefits	3,680	3,526	3,782	
Professional Services	27,331	31,643	50,052	
Travel	105	107	201	
Lease-Purchase Expenditures	0	0	0	
Equipment	63	100	191	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	264,564	224,282	232,261	
Total Expenditures by Object	\$295,743	\$259,658	\$286,487	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

		\$000's		
<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
1 General Administration				
1 General Administration	1,888	1,649	50,358	
2 Finance Division	27,801	32,108	872	
3 Client Services Division	1,227	1,634	1,827	
4 Board Support	59	58	84	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
Total General Administration	30,975	35,449	53,141
2 Dedicated Revenue			
1 Dedicated Revenue	263,753	223,507	231,189
Total Dedicated Revenue	263,753	223,507	231,189
88 Information Technology Div.			
1 Information Technology	1,013	700	2,155
Total Information Technology Div.	1,013	700	2,155
Total Expenditures by Activity	\$295,741	\$259,656	\$286,485

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1 General Administration	41.0	41.0	41.0
88 Information Technology Div.	5.0	3.0	3.0
Total FTE	46.0	44.0	44.0
Number of Vehicles	0	0	0

TREASURER (740)

MISSION

The mission of the State Treasurer's Office is to serve the people of Oklahoma and state agencies by providing sound financial services, reuniting citizens with their unclaimed property, and promoting economic development opportunities in a fiscally responsible and efficient manner while adhering to the highest professional and ethical standards.

DUTIES/RESPONSIBILITIES

The office of the State Treasurer is charged with the following responsibilities:

1. Receiving and depositing all state funds.
2. Investing temporary surplus funds.
3. Investing specific funds for other state agencies where authorized.
4. Requiring banks to furnish collateral sufficient to secure deposits of state and other public funds.
5. Paying of interest on the bonded debt and the redeeming of the debt at maturity.
6. Maintaining a safekeeping operation for securities owned by various state agencies, and those pledged as collateral to other state agencies.
7. Processing, distributing and clearing State warrants, efts, and wire transfers.
8. Administering contracts with financial institutions for banking, credit card processing, securities lending and custodial services.
9. Administering Business, Agricultural and Rural Housing Linked Deposit Programs.
10. Administering the Unclaimed Property Program.
11. Staffing for Tobacco Settlement Endowment Trust Fund (TSET) Board of Investors.
12. Staffing for EDGE Fund Board of Investors.
13. Reporting on investment activity and performance. Preparation of annual financial statements for the agency and the TSET and EDGE funds.

A normal banking operation is performed for the processing of receipts and disbursements of trust and ancillary activity funds for state departments and institutions. A special account is maintained for each collecting agency for the clearing of checks and for refunds prior to transfer to Treasury Funds. All of the official accounts of the agencies of the State are maintained by this office, including the preparation of financial statements and reports.

The State Treasurer or his designee serves on the following boards or commissions.

- State Equalization Board,
- Oklahoma Linked Deposit Review Board,
- State Pension Commission,
- Board of Trustees of the Oklahoma College Savings Plan,
- Oklahoma Capitol Improvement Authority,
- Tobacco Settlement Endowment Trust Fund Board of Investors,
- Economic Development Generating Excellence (EDGE) Board of Investors, and
- The Treasurer is also an ex-officio, non-voting member of the Oklahoma Industrial Finance Authority.

STATUTORY REFERENCES

Program Name	Statutory Reference
Linked Deposit Programs 4005004	Ag - Title 2, Section 5-81 and 5-89 Business - Title 62, Section 88.1 and 88.9 Rural Housing - Title 62, Section 91.1 and 91.9
Banking Operations 4002004	Oklahoma Statutes Title 62, Section 71 and Title 74 Sections 361 and 365
EFT 4002005	Oklahoma Statutes Title 62, Section 71
Public Deposit Collateral 4005001	Oklahoma Statutes Title 62, Sections 72.1 et seq. and Sections 516.1 et seq

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Certificates of Deposit 4005001	Title 62, Section 89.2
Investments - OK Invest 4005003	Title 62 Section 89.2 - Relates to investment of state funds. Other statutory references would apply to individual state agencies and bond issues.
Credit Card 4002004	Title 62, Section 71.C
Warrant Printing 4008801	Title 62, Section 41.21 I
Unclaimed Property Program 6000001	Title 60, Sections 651 et seq.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Investment of Public Funds - maximizing earnings, collateralizing public funds and timely and accurate financial reporting and performance reporting

* Provide estimates of investment earnings. Goal is accuracy of estimates within + or - 10 % of the final projection.

Earnings	107.30%	85.74%	100%	100%
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Goal: Providing Financial Services - To process and report each customer transaction every day as efficiently and effectively as possible. Processing and reporting shall be done in as paperless a method as is possible without exposure to undue risk.

* Percent of total payments made electronically.

% Electronic Disbursements	48.9%	59.2%	60%	65%
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Goal: Focused Program/Service Goal - to develop new and /or enhance existing programs that enable the citizens of Oklahoma to have greater access to state financial services for educational investment, low interest loans, and other quality-of-life financial opportunities.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Banking Operations 4002004

Goal: Generate greater interest earnings through efficient deposit practices.

* Additional interest dollars earned via morning deposit of Tax Commission tax receipts, allowing OST to generate one additional day of interest on those deposits

Early Deposit Int Earned	\$307,480	\$185,294	\$214,252	\$217,170
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Goal: Outcome is efficiency, more secure payment method, overall lower costs to state agencies and OST.

The State Treasurer's office will continue to decrease the number of paper checks issued, which minimizes costs and inefficiencies associated with the delivery of non-electronic payments. This will be accomplished by allowing State Agencies the convenience of converting their paper checks to electronic items by utilizing EFT/ACH Processing, Lockbox Processing, Remote Deposit Capture and Back Office Conversion.

* Percent of warrants processed should be a declining number corresponding to the growth in the EFT benchmark.

Warrants Processed	51%	40.8%	40%	35%
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Program: Certificates of Deposit 4005001

Goal: The process to streamline the issuance of CD's has been essentially completed. This includes less paper output and the movement of funds electronically on settlement date.

* Total dollars issued via CD's

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Certificates of Deposit 4005001

Goal: The process to streamline the issuance of CD's has been essentially completed. This includes less paper output and the movement of funds electronically on settlement date.

Total Dollars	\$1,343,463,000	\$1,119,167,000	\$1,300,000,000	\$1,300,000,000
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* Banks Served

Banks Served	220	220	220	220
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Program: Credit Card 4002004

Goal: State Agencies - Increase the number of state agencies using the OST master contract.

* Number of state agencies using the master contract.

Participating State Agencies	68	70	72	74
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Goal: Volume - The goal is to provide a master contract that combines the volumes of all state agencies and colleges to obtain better discount fees.

The outcome is savings to state agencies and colleges which should result in lower costs and fees to the public. OST awarded a new contract for FY-2006 that substantially lowered discount fees; a separate savings tracking displays the amount the new contract saves as compared to the previous contract

There is the possibility that the two comprehensive universities will use the new contract; volumes would double if one joined, triple if both were to join.

* Volume in dollars

Credit Card Dollar Volume	\$140,606,201	\$152,240,603	\$153,000,000	\$154,000,000
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Goal: Savings on discount fees through use of master contract.

* Outcome is savings to state agencies and colleges which should result in lower costs and fees to the public. OST awarded a new contract for FY-2006 with substantially lower discount fees; \$320,000 of the increased savings in FY-2006 is a result of this negotiation under the Meacham administration.

There is the possibility that Oklahoma's two comprehensive universities will utilize the new contract; volumes would double if one joined, triple if both joined.

Savings on discount fees	\$821,073	\$882,995	\$887,400	\$893,200
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Program: EFT 4002005

Goal: Goal is to increase EFT's as a percent of total disbursements.

Outcome is efficiency, more secure payment method, overall lower costs to state agencies and OST.

* Credit ACH volumes as a percentage of total state payments.

% Electronic Payments	48.9%	59.2%	60%	65%
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Goal: The goal is to increase "debit volumes". There has been substantial growth in this area since mandatory minimums were decreased for commercial tax payers filing electronically.

* Additional interest earnings due to more efficient and expedited deposits. There is an additional 2-day float for electronic debits.

Interest Earnings	\$1,254,545	\$1,151,631	\$1,156,438	\$1,156,438
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: EFT 4002005

Goal: The goal is to increase "debit volumes". There has been substantial growth in this area since mandatory minimums were decreased for commercial tax payers filing electronically.

- * Debit Volumes (#) Transactions

ACH Debit Volumes	1,618,134	1,920,789	1,921,000	1,921,000
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Program: Investments - OK Invest 4005003

Goal: Invest public funds in a manner which will safeguard the funds, maintain sufficient liquidity in the investments to meet the State's obligation, and earn the best possible return for the taxpayers.

- * Measure the performance of investments compared to the benchmark adopted by the Cash Management Investment Oversight Commission. The benchmark is the Merrill Lynch 1 Year Treasury Note Index. The goal is to reach 95% of this benchmark.

Benchmark (target)	3.08%	0.99%		
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- * Actual earnings on General Revenue funds versus estimated earnings as reported to the Board of Equalization

Provide accurate projected estimates of investment earnings to the Board of Equalization. Goal is accuracy of estimate within + or - 10% of the final projection.

Safely Maximize Earnings	\$157,195,055	\$120,042,975	\$125,000,000	
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- * This is the return on the securities State Treasurer's portfolio. The goal is 95% of the benchmark (PM #2).

Return on Sec. Portfolio	5.78%	3.71%		
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Goal: Provide a process of competitive bidding on investments, when practicable.

Goal: Establish oversight and financial safeguards.

Program: Linked Deposit Programs 4005004

Goal: To serve the citizens of the State of Oklahoma by providing low-interest rate loans to eligible participants.

Program: Public Deposit Collateral 4005001

Goal: Measure quantity of pledges and releases processed.

- * Number of pledges processed.

Collateral	901	556	600	600
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Goal: Ensure legal adequacy of collateral.

Program: Unclaimed Property Program 6000001

Goal: To reunite as many missing rightful owners as possible with their unclaimed property.

- * Number of claims paid during the fiscal year.

Number of claims paid	16,544	14,978	15,500	16,000
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- * Amount of claims paid during the fiscal year.

Amount of claims paid (\$)	\$16,831,267	\$19,333,417	\$18,000,000	\$18,500,000
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Goal: To serve owners / claimants by paying unclaimed property claims in a timely manner.

- * Average number of days to process a claim. The goal is to not exceed 90 days. The Fast Track claims processing procedure was implemented in April 2007 to expedite the claim process and reduce the number of day to process a claim.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Unclaimed Property Program 6000001

Goal: To serve owners / claimants by paying unclaimed property claims in a timely manner.

Average days to process	60	60	55	50
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* Percent of total claims initiated via UP website - this process provides a more timely and accurate method of returning property.

% claims initiated on-line	57%	50%	50%	55%
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Goal: Ensure proper safekeeping and accounting for assets.

* Value of property collected in Dollars.

Due to public awareness efforts and holder training, collections should continue to grow in the coming years.

Value of property collected	\$39,987,717	\$53,931,165	\$42,000,000	\$43,000,000
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X General Revenue	3,120	2,494	3,803
200 Treasurer's Revolving Fund	153	138	290
215 Sec Lending & Cust Fee Rev Fun	445	297	475
260 Unclaimed Property Fund	1,614	1,509	2,167
265 Unclaimed Prop Clearinghouse Fund	1,096	1,017	2,800
57X Special Cash Fund	100	2,100	100
Total Expenditures by Fund	\$6,528	\$7,555	\$9,635

EXPENDITURES BY OBJECT

\$000's

<u>Object of Expenditure</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits	3,838	3,135	4,391
Professional Services	1,377	1,293	3,461
Travel	40	22	73
Lease-Purchase Expenditures	0	0	0
Equipment	125	43	255
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	1,148	3,062	1,457
Total Expenditures by Object	\$6,528	\$7,555	\$9,637

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
30	State Land Reimbursements			
1	State Land Reimbursement	100	2,100	100
	Total State Land Reimbursements	100	2,100	100
40	General Operations			
2001	Banking Fees	617	512	715
2002	Link Deposit	0	0	0
2004	Banking Operations	429	313	522
2005	Treasury Services	150	112	201
4001	General Administration	793	629	994
4002	Internal Audit	1	1	4
4003	Public Information Office	83	64	107
5001	Securities Operations	281	205	397
5002	Accounting	394	297	461
5003	Investment Office	107	86	139
5004	Link Deposit	26	18	22
8801	Data Processing	836	692	1,008
	Total General Operations	3,717	2,929	4,570
60	Unclaimed Property			
1	Unclaimed Property	1,464	1,397	1,827
2	Unclaimed Property Audit	1,096	1,017	2,800
88	Unclaimed Property Data Proc	149	112	340
	Total Unclaimed Property	2,709	2,526	4,967
Total Expenditures by Activity		\$6,526	\$7,555	\$9,637

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
20	Banking Services	8.6	9.1	10.3
40	General Operations	12.0	11.7	10.4
50	Comptroller/Investment Service	12.3	11.4	11.8
60	Unclaimed Property	19.4	18.9	19.0
88	Data Processing	9.3	8.4	6.9
Total FTE		61.6	59.5	58.4
Number of Vehicles		0	0	0

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
92	Technology Improvements			
2	Automatic Clearing Hse Softwre	0	0	0
4	Operating Improvements	825	1,389	1,546
7	College Savings Plan Project	39	17	206
93	Statewide Circuit Engineering			
1	Statewide Circuit Engineering	2,970	2,450	4,400
2	EDGE Project	380	437	677
3	Agriculture Acquisition Trust	1,820	725	0
99	Bonds and Coupons			
1	Bonds And Coupons	97,408	197,945	119,810
Total Capital Outlay by Project		<u>\$103,442</u>	<u>\$202,963</u>	<u>\$126,639</u>

OUTSTANDING DEBT		\$000's		
		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Lease-purchase obligations		0	0	0
Revenue bond issues		0	0	0
Other debt		203,755	188,030	171,555
Total Outstanding Debt		<u>\$203,755</u>	<u>\$188,030</u>	<u>\$171,555</u>

BD. OF EXAM. FOR LONG TERM CARE ADMIN. (509)

MISSION

To assure quality care for residents of Oklahoma nursing homes by licensing competent and well qualified nursing home administrators, and by maintaining continued competency of those administrators by approving and/or providing high quality continuing education programs.

THE BOARD

The Board consists of fifteen members, ten of whom shall be representative of the professions and institutions concerned with the care of the elderly, three members of the general public and two statutory members. All members except for the two statutory members are appointed by the Governor and must be confirmed by the Senate.

DUTIES/RESPONSIBILITIES

Principal duties are licensing of nursing home administrators and approval of continuing education programs.

STATUTORY REFERENCES

Program Name	Statutory Reference
Licensing of Nursing Home Administrators	Title 63, Section 330.51 et. seq. of the Oklahoma Statutes

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:	FY- 2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
200 Board of Nursing Homes Revolving	352	326	302	
Total Expenditures by Fund	<u><u>\$352</u></u>	<u><u>\$326</u></u>	<u><u>\$302</u></u>	

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
Salaries and Benefits	228	194	147	
Professional Services	43	70	84	
Travel	7	14	23	
Lease-Purchase Expenditures	0	0	0	
Equipment	25	4	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	49	44	48	
Total Expenditures by Object	<u><u>\$352</u></u>	<u><u>\$326</u></u>	<u><u>\$302</u></u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
1 Administration				
1 Administration	347	326	302	
Total Administration	347	326	302	
88 Data Processing				
1 Data Processing	5	0	0	
Total Data Processing	5	0	0	
Total Expenditures by Activity	<u><u>\$352</u></u>	<u><u>\$326</u></u>	<u><u>\$302</u></u>	

CONSTRUCTION INDUSTRIES BOARD (170)

MISSION

To protect life and property by licensing and inspection of the related trades for the health, safety and welfare of the public.

THE BOARD

The Board is composed of seven(7) members appointed by the Governor with the advice and consent of the Senate: Two members represent the plumbing trade, a contractor & a journeyman; Two members represent the electrical trade, a contractor & a journeyman; Two members represent the mechanical trade, a contractor & a journeyman; One member represents cities and towns. Terms are four years and are staggered

DUTIES/RESPONSIBILITIES

Provides regulatory authority over the Plumbing, Electrical and Mechanical Trades and Building and Construction Inspectors through licensing, registration, inspection and investigations. Responsible for code adoption, testing requirements, CEU Approvals, and licensing renewal as well as licensing compliance.

STATUTORY REFERENCES

Program Name	Statutory Reference
Administration	Statutory authority is from §1000.1 - 1000.9
Electrical	59 O.S. Sections 1680-1697
Mechanical	59 O.S. Sections 1850.1-1850.16
Plumbing	59 O.S. Sections 1001-1023.1
Inspector	59 O.S. Section 1031-1045
Home Inspectors	59 O.S. §858-621 et seq. (Home Inspection Licensing Act)

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY- 2011</u> <u>Budgeted</u>	<u>FY-2012</u> <u>Estimated</u>
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Program: Administration

Goal: Increase accessibility and visibility of the agency to both the license holders and the citizens

- * Development of new web-based licensing software. This will enable licensees to apply and renew directly on-line with a credit card. Projections are that within the next four years 80-90% will apply on-line.

Public Access to Information	0%	0%	20%	40%
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- * CIB Administrator presentations to Trade Associations and other Industry Bodies.

Trade-Speaking Engagements	7 events	7 events	9 events	9 events
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- * The new Administrator will attend and/or address two meetings annually of civic or professional organizations not representing the licensed trades to promote and enhance public awareness and use of the licensed trades.

Nontrade Speaking Engagement	3 events	3 events	5 events	5 events
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Goal: Effectively and efficiently discharge requirements of the licensed trade programs with emphasis

- * Increase collection of adjudicated fines 5% from the baseline annually.

Fine Collection	78%	80%	82%	84%
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Program: Electrical

Goal: Increase accessibility and visibility of the agency to both the license holders and the citizens

- * Field staff members will visit at least four different wholesale construction supply facilities per month within their individual assigned regional areas.

Supply Facility Visitations	75%	75%	75%	100%
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- * Field staff members will make themselves available to attend and/or speak at meetings of organizations representing their licensed trade at least one time per year.

Speaking Engagements	5-7 meetings	5-7 meetings	7-10 meetings	7-10 meetings
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- * Field staff members will visit and meet with municipal staff in municipalities having a code enforcement department in their assigned region, at least one time every two months.

Municipality Communication	75%	75%	75%	100%
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Program: Mechanical

Goal: Increase accessibility and visibility of the agency to both the license holders and citizens

- * Field staff members will visit and meet with municipal staff in municipalities having a code enforcement department in their assigned region, at least one time every two months.

Municipality Communication	75%	75%	75%	100%
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Mechanical

Goal: Increase accessibility and visibility of the agency to both the license holders and citizens

*%Supply Facility Visitations	75%	75%	75%	100%
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
205 Plumbing Licensing Rev Fund	489	560	666
215 Oklahoma Inspectors Rev Fund	5	0	0
217 Home Inspectors Rev Fund	4	74	67
245 Electrical Revolving Fund	787	889	1,199
275 Oklahoma Mech Licensing Rev Fd	1,098	1,218	1,399
490 American Recov. & Reinv. Act	0	19	0
Total Expenditures by Fund	\$2,383	\$2,760	\$3,331

EXPENDITURES BY OBJECT	\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Salaries and Benefits	1,728	1,862	2,238
Professional Services	181	272	241
Travel	315	363	398
Lease-Purchase Expenditures	0	0	0
Equipment	2	31	95
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	157	233	359
Total Expenditures by Object	<u>\$2,383</u>	<u>\$2,761</u>	<u>\$3,331</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY	\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
2 General Operations			
5005 Plumbing	471	550	666
10005 General Operations	62	0	0
15005 Building Inspectors	5	0	0
17005 Home Inspectors	4	63	67
45005 Electrical	757	871	1,199
75005 Mechanical	1,060	1,192	1,399
85005 Uniform Building Code Comm.	0	19	0
88005 General Operations Data Proces	24	65	0
Total General Operations	<u>2,383</u>	<u>2,760</u>	<u>3,331</u>
Total Expenditures by Activity	<u>\$2,383</u>	<u>\$2,760</u>	<u>\$3,331</u>

HEALTH CARE AUTHORITY (807)

MISSION

The purpose of the OHCA is to purchase State and federally funded health care in the most efficient and comprehensive manner possible, and to study and recommend strategies for optimizing the accessibility and quality of health care.

THE BOARD

The Oklahoma Health Care Authority is governed by a seven-member Board. Three members are appointed by the Governor, two by the Senate President Pro Tempore, and two by the Speaker of the House of Representatives. Appointing authorities are required to select members who have experience in medical care, health care services, health insurance and/or managed health care, and other health related areas as well as a health care consumer who has no interest in health related matters. Board members are selected from each of Oklahoma's six Congressional districts and one member is selected at-large.

DUTIES/RESPONSIBILITIES

The Oklahoma Health Care Authority is the state agency responsible for all Medicaid compensable services to five groups of categorically related recipients: those related to Temporary Assistance to Needy Families, the Aged, the Blind, the Disabled, and the Institutionalized. The medical care delivered to these individuals is prescribed by the Medicaid Act. These individuals now comprise approximately 879,033 individuals statewide.

The Medicaid Act requires that certain medical services be delivered to recipients by hospitals and physicians. Other services are optional, such as pharmacy, but this service is mandated by the state under 56 Okla. Stat. Section 199. These services are delivered through several delivery systems. One delivery system is the traditional fee-for-service system. The other is the SoonerCare program which is the partially capitated managed care program required under 56 Okla. Stat. Section 1010.1(B).

With each of these programs, the agency is responsible for setting compensation levels, services contained in each delivery system, contracts to deliver the services, and actuarial determinations regarding compensation.

Additionally, while the Oklahoma Department of Human Services conducts eligibility determinations for OHCA, OHCA is required to monitor this activity and make changes to the eligibility systems because of the managed care delivery systems it has mandated.

Finally, the OHCA does have a federal and state mandated role in Medicaid fraud. The agency actively works with the State Attorney's General Fraud Unit to prosecute fraudulent providers.

STATUTORY REFERENCES

Program Name	Statutory Reference
SoonerCare	Title XIX and Title XXI of the Social Security Act. O.S. Title 63, Section 5004; et. seq.
Insure Oklahoma	2003 SB 610-law passed allowing OHCA to apply for a waiver for a premium assistance program 2004 SB 1546-small bus w/25 or fewer empl, 19-64 yrs @ or below 185%FPL w/either ESI or IP 2004 SQ 713/HB 2660-Funding thru tobacco sales tax 2006 HB 2842-college kids & businesses w/50 or fewer employees 2007 HB 1225-250 or fewer empl up to 250%FPL 2007 SB 424-All Kids Act-children in families w/incomes between 185%-300%FPL 2008 SB 1404-non-profit w/up to 500 empl 2008 HB 2713-include foster parents

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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- Goal: To provide and improve healthcare access to the underserved and vulnerable populations of Oklahoma.**
- Goal: To protect and improve client health and satisfaction, as well as ensure quality, with programs, services, and care.**
- Goal: To promote beneficiaries' personal responsibilities for their health services utilization, behaviors, and outcomes.**
- Goal: To ensure that programs and services respond to the needs of the clients by providing necessary medical benefits to our clients.**
- Goal: To purchase the best value health care for clients by providing for appropriate reimbursement and training to our medical providers in order to ensure access to medical services for our clients. (Provider Reimbursement / Training)**
- Goal: To foster excellence in the design and administration of the Medicaid program.**

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Insure Oklahoma

Goal: To provide and improve health care access to the underserved and vulnerable populations of Oklahoma.

- * Unduplicated number of member enrolled in the Insure Oklahoma plans.

This measure reports the unduplicated number of members enrolled in Insure Oklahoma (IO) during the year, both Employer Sponsored Insurance (ESI) and the Individual Plan (IP). The number reflects each individual who had access to health care insurance through IO at some point during the year. It should be noted that at no time during the year were there more that allowed by the program cap of 35,000. The point-in-time enrollment at June 30, 2010 was 31,860.

The IO program experienced 49 percent growth during SFY2010 much as it did SFY2009 (50 percent). The program is anticipated to reach the 35,000 member limit during SFY2011.

The program enrollment includes 199 college students

The estimated enrollment for SFY2011 was calculated by determining the number of people enrolled at June 30, 2010 divided by unduplicated enrollment for theyear. This percentage was then applied to 35,000 as the point-in-time enrollment expected for June 30, 2011.

Unduplicated Number Served	30,918	46,166	59,150	59,150
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- * This measure will report the number of small businesses participating in the Insure Oklahoma program. The SFY2010 number represents participating business as a point-in-time, June 30, 2010.

The number of business opting into Insure Oklahoma (IO) has increased 22 percent during SFY2010. It is anticipated that the number of enrollees in the IO plans will reach the cap of 35,000 during SFY2010. The estimated number of businesses participating in SFY2011 represents a conservative 10 percent increase.

Number Businesses enrolled	4,508	5,496	6,000	6,000
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Insure Oklahoma

Goal: To provide and improve health care access to the underserved and vulnerable populations of Oklahoma.

- * This measure will report the number of health care plans qualified for participation in the Insure Oklahoma program. The 476 plans enrolled at June 30, 2010 represent 20 carriers.

IO Plans	222	476	500	500
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Goal: To promote beneficiaries' personal responsibilities for their health services utilization, behaviors and outcomes.

- * % of Members reaching out of pocket cap - efficiency

This measure will report the % of Insure Oklahoma enrollees who reach the out-of-pocket expense capped limit. This measure is utilized to track trends in members' use of out-of-pocket funds. No benchmark is set for this measure.

OOP Cap %	.3%	1.3%	3%	5%
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- * Number of members reaching out-of-pocket cap limit - output

This measure will report the number of enrollees who reach the out-of-pocket expense capped limit. This measure is utilized to track trends in members' use of out-of-pocket funds. No benchmark is set for this measure.

The large increase in the number of members reaching the out-of-pocket limit reflects the increase enrollment in the plans. The percent of members reaching the out-of-pocket limit remains less than 1 percent (.7 percent).

OOP Cap number	82	327	550	660
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Program: SoonerCare

Goal: To provide and improve healthcare access to the underserved and vulnerable populations of Oklahoma.

- * % of Oklahomans Enrolled in an OHCA Health Plan - Outcome

This measure reports the percentage of Oklahomans enrolled at some point during the period in one of the OHCA's health plans and therefore, had access to medical services. Actual percentages are reported for SFY2009 through 2010, estimates are provided for SFY2011 and following.

It should be noted that Health Care Reform is anticipated to significantly increase enrollment in SoonerCare beginning in 2014.

% of Oklahomans Enrolled	22.5%	23.78%	24.16%	24.53%
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- * State Cost of Service - Input

This measure reports the resources invested by the state to provide direct services to qualifying individuals in SoonerCare. Actual dollars are reported for SFY2009 - 2010, budgeted dollars are reported for SFY2011 and estimates are reported for SFY2012 - 2013. Estimates are calculated including funding impact for SFY2012 budget requests submitted for consideration. Estimated state costs are expected to increase significantly in SFY2012 due to the end of ARRA related stimulus funds.

Health Care Reform is anticipated to increase costs beginning in 2014 due to increased enrollment in SoonerCare.

SFY2009 numbers have been restated from a cash to accrual basis to be comparable to SFY2010 numbers.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To provide and improve healthcare access to the underserved and vulnerable populations of Oklahoma.

State Cost of Service	1,164,799,380	996,788,585	1,486,490,274	1,888,221,946
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* Total Cost of Service - Input

This measure reflects both the federal and state dollars expended to provide medical services to qualifying individuals in SoonerCare.

Actual dollars are reported for SFY2009 - 2010, budgeted dollars are reported for SFY2011 and estimates are reported for SFY2012 - 2013. Estimates are calculated including funding impact for SFY2012 budget requests submitted for consideration.

It should be noted that increased enrollment due to Health Care Reform is anticipated to increase costs in SoonerCare beginning in 2014.

SFY2009 numbers have been restated from a cash to accrual basis to be comparable to SFY2010 numbers.

Total Cost of Service	3,658,646,801	4,185,393,580	4,224,183,784	4,585,336,135
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* State Cost of Administration - Input

This measure reports the state's investment for OHCA's administration of SoonerCare. These dollars do not include administration expenditures funded directly through the OKDHS or the OJA who also receive administration funds from Medicaid.

Actual dollars are reported for SFY2009 - 2010, budgeted dollars are reported for SFY2011 and estimates are reported for SFY2012 - 2013. Estimates are calculated including funding impact for SFY2012 budget requests submitted for consideration.

Administrative costs are anticipated to increase in SFY2011 due to increases costs associated with new programs such as Online Enrollment.

It should be noted that increased enrollment due to Health Care Reform is anticipated to increase costs in SoonerCare beginning in 2014.

SFY2009 numbers have been restated from a cash to accrual basis to be comparable to SFY2010 numbers.

State Cost of Administration	34,523,371	49,646,832	76,153,583	80,243,383
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To provide and improve healthcare access to the underserved and vulnerable populations of Oklahoma.

- * Total State Cost - Input

This measure reports the total amount of resources expended by the state for the operation of Oklahoma's SoonerCare program and is comprised of both direct services costs and administration costs.

Actual dollars are reported for SFY2009 - 2010, budgeted dollars are reported for SFY2011 and estimates are reported for SFY2012 - 2013. Estimates are calculated including funding impact for SFY2012 budget requests submitted for consideration.

The reduction in state cost in SFY2010 can be attributed to budget cuts implemented during the year.

It should be noted that increased enrollment due to Health Care Reform is anticipated to increase costs in SoonerCare beginning in 2014.

SFY2009 numbers have been restated from a cash to accrual basis to be comparable to SFY2010 numbers.

Total State Cost	1,199,322,751	1,046,435,417	1,562,643,857	1,968,465,329
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- * Total Cost - Input

The total cost reported includes federal and state dollars invested to operate Oklahoma's SoonerCare program and contains both costs for administration and costs for direct services provision.

Actual dollars are reported for SFY2009 - 2010, budgeted dollars are reported for SFY2011 and estimates are reported for SFY2012 - 2013. Estimates are calculated including funding impact for SFY2012 budget requests submitted for consideration.

It should be noted that increased enrollment due to Health Care Reform is anticipated to increase costs in SoonerCare beginning in 2014.

SFY2009 numbers have been restated from a cash to accrual basis to be comparable to SFY2010 numbers.

Total Cost	3,958,249,253	4,299,628,751	4,376,490,950	4,745,822,900
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- * Per Enrolled Member-Total State Cost - Efficiency

This measure compares the number enrolled in SoonerCare as compared to the total state investment. This includes both program costs and OHCA administration state expenditures.

Actual dollars are reported for SFY2009 - 2010, budgeted dollars are reported for SFY2011 and estimates are reported for SFY2012 - 2013. Estimates are calculated including funding impact for SFY2012 budget requests submitted for consideration.

The reduction in state cost can be attributed to budget cuts implemented during SFY2010 and a continued enhanced FMAP.

It should be noted that Health Care Reform is anticipated to increase state costs in SoonerCare beginning in 2014.

SFY2009 numbers have been restated from a cash to accrual basis to be comparable to SFY2010 numbers.

P/Member Total State Cost	1506	1242	1722	2116
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY- 2011</u> <u>Budgeted</u>	<u>FY-2012</u> <u>Estimated</u>
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Program: SoonerCare

Goal: To provide and improve healthcare access to the underserved and vulnerable populations of Oklahoma.

* Unduplicated Total Enrollment - Output

This measure reports the number of Oklahomans enrolled at some point during the period in SoonerCare and therefore, had access to medical services.

SoonerCare serves as the primary source of medical coverage for many Oklahomans and supports the partially capitated Choice program, the fee-for-service Traditional program and SoonerPlan for family planning services. Qualifying working adults have access to their employers medical insurance plan through the Insure Oklahoma Premium Assistance plan. The Insure Oklahoma Individual Plan aimed to insure self-employed and unemployed individuals in a limited benefit plan.

SFY2009 and 2010 are actual enrollment numbers. Estimates are based on the projected growth rate provided by the budget division.

It should be noted that Health Care Reform is anticipated to significantly increase enrollment in SoonerCare in 2014.

Unduplicated Total Enroll	825,138	885,238	942,686	965,378
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* % of Enrollment Change - Outcome

The measure reports the percent of change in total enrollment in SoonerCare and Insure Oklahoma from year to year and estimates for future years' enrollment.

Percent change in growth from SFY2009 to SFY2010 more than doubled, going from 3.5 percent to 7.3 percent. This increase can be attributed to the continued growth in both SoonerCare and Insure Oklahoma enrollment due to the struggling economy. Growth in the SoonerCare plan is expected to be slightly over 6 percent before falling to around 2 percent as the economy improves.

It should be noted that Health Care Reform is anticipated to significantly increase enrollment in SoonerCare beginning in 2014.

% of Enrollment Change	3.5%	7.3%	6.5%	2.4%
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* Total Cost of Administration - Input

This measure includes the resources used to administer OHCA's programs and is comprised of both federal and state dollars. These dollars do not include administration expenditures funded directly through the OKDHS or the OJA who also receive administration funds from Medicaid.

Actual dollars are reported for SFY2009 - 2010, budgeted dollars are reported for SFY2011 and estimates are reported for SFY2012 - 2013. Estimates are calculated including funding impact for SFY2012 budget requests submitted for consideration.

It should be noted that increased enrollment due to Health Care Reform is anticipated to increase costs in SoonerCare beginning in 2014.

SFY2009 numbers have been restated from a cash to accrual basis to be comparable to SFY2010 numbers.

Total Cost of Administration	95,912,202	114,235,171	152,307,166	160,486,765
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To provide and improve healthcare access to the underserved and vulnerable populations of Oklahoma.

- * Medical Inflation Per Year - Comparative

This reports the Consumer Price Index medical cost inflation experienced by the nation. This data is presented to provide context information. Annual medical inflation as a percentage is available up to 2009.

Medical Inflation Per Year	3.5%	NA	NA	NA
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- * Per Enrolled Member-Total Cost - Efficiency

This measure compares the number enrolled in SoonerCare compared to the federal and state investment in the program. This is comprised of both program and OHCA administration expenditures.

Actual dollars are reported for SFY2009 - 2010, budgeted dollars are reported for SFY2011 and estimates are reported for SFY2012 - 2013. Estimates are calculated including funding impact for SFY2012 budget requests submitted for consideration.

Costs are estimated to decrease in SFY2011 due to a full year of budget cuts that were initially implemented in SFY2010 and increased enrollment in SoonerCare programs.

It should be noted that increased enrollment due to Health Care Reform is anticipated to increase costs in SoonerCare beginning in 2014.

SFY2009 numbers have been restated from a cash to accrual basis to be comparable to SFY2010 numbers.

Total \$ P/ Benef Enrolled	4970	5105	4822	5101
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- * Other Region 6 States Federal Medical Assistance Percentage - Comparative

For comparison, the average FMAP rate for the four other states in our region is reported. The states included are Arkansas, Louisiana, New Mexico and Texas. Projected information is not available beyond SFY2012.

Note: FMAP averages have been restated to reflect the impact of the ARRA act and its provisions that increase Medicaid grants to states by increasing their FMAP percentage.

The averages are based on the actual FMAP rates for SFY2009 - 2010 as published by the Department of Health and Human Services. The average rates presented for SFY2011 - 2012 are based on estimates by the Federal Fiscal Information Service (FFIS). FFIS is a joint service of the National Governors' Association and the National Conference of State Legislatures whose purpose is to track and report the fiscal impact of federal budget and policy decisions on state budgets and programs. These estimates may change.

Region Six FMAP	76.80%	78.48%	73.58%	64.85%
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To provide and improve healthcare access to the underserved and vulnerable populations of Oklahoma.

- * Per SoonerCare Member Served-Total State Program Costs - Efficiency

This measure reports the program cost per member served during the fiscal year. This does not include administration costs.

Actual dollars are reported for SFY2009 - 2010, budgeted dollars are reported for SFY2011 and estimates are reported for SFY2012 - 2013. Estimates are calculated including funding impact for SFY2012 budget requests submitted for consideration.

It should be noted that this number is calculated based on members for which a claim was paid during the fiscal year. This differs from the number enrolled due to the fact that not all members choose to access medical services through their SoonerCare benefits.

The data used for this calculation is based on program costs reported to the Centers for Medicare and Medicaid (CMS) via the CMS-64 report for the state fiscal year. This differs from the financial statements in that it does not include drug rebate or other similar collections. The purpose for using this number as opposed to financial statement data is in order to compare to the National Medicaid costs per member as reported by CMS based on all states' CMS-64 reporting. However, National numbers were based on information obtained from the Centers for Medicare and Medicaid through fiscal year 2004. Subsequent numbers had been estimated. Because national information is difficult to obtain on an annual basis, this measure is no longer being reported.

Program \$ P/Served-Oklahoma	4,648	4,937	4,654	4,928
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- * Per National Member Served-Total Program Costs - Efficiency

This measure compared the number of members with a paid medical services claim during the period to the federal and state program costs paid. National numbers were based on information obtained from the Centers for Medicare and Medicaid through fiscal year 2004. Subsequent numbers had been estimated. Because national information is difficult to obtain on an annual basis, this measure is no longer being reported.

Program \$ P/Served-National	N/A	N/A	N/A	N/A
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- * Oklahoma's Federal Medical Assistance Percentage (FMAP) - Comparative

This measure reports the federal percentage rate used to match Oklahoma's spending for medical expenses paid through the SoonerCare program. The FMAP rates change from year to year dependent on a formula based on per capita income of the state.

Note: FMAP averages have been restated to reflect the impact of the ARRA act and its provisions that increase Medicaid grants to states by increasing their FMAP percentage.

Projected information beyond SFY 2012 is not available.

Oklahoma's FMAP	75.16%	76.51%	71.90%	63.88%
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Goal: To protect and improve beneficiary health and satisfaction, as well as ensure quality, with programs, services, and care.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To protect and improve beneficiary health and satisfaction, as well as ensure quality, with programs, services, and care.

- * Ratio of Filed Appeals to Total Members - Outcome*

This measure reports a comparison of filed appeals to the total unduplicated count of members enrolled during the year. The ratio has remained consistently low throughout the reported years.

Actual data is reported for SFY2009 and 2010. SFY2011 and following represent estimates.

*This is also reported under the agency's fourth goal regarding the status of benefits meeting the needs of our members.

Ratio Appeals/Members	< 1/4 of 1%	<1/4 of 1%	< 1/4 of 1%	< 1/4 of 1%
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- * Number of Member Appeals Filed - Output*

As a measure of the satisfaction of SoonerCare enrollees with the services accessed through SoonerCare, the agency measures the number of member complaints that elevate to the appeal level. This avenue allows members to have their cases reviewed for legal, regulatory and discriminatory issues.

The number of appeals varies from year to year based on relevant issues of the time. In 2010 the number of individuals enrolled in Insure Oklahoma IP increased significantly impacting the number of appeals related to this population. Enrollment in Insure Oklahoma's IP Plan has increased 60.8 percent.

For purposes of this measure, the agency has changed the benchmark of less than 75 complaints to less than 220 complaints that rise to the appeals level, to take into consideration the Insure Oklahoma population. The agency expects the numbers to continue to increase in future years due to changes: OHCA processing appeals related to the Advantage program starting in SFY2011, adding Online Enrollment, and anticipated growth of the SoonerCare population. However, this number is not considered a goal to achieve. Rather, it is used as a yardstick for trend analysis and an indicator of issues to be addressed at the appropriate level, whether agency, state or federal.

Although the number of appeals have increased significantly, the percent of OHCA decisions overturned has remained low, 6% (see PM #3 in this goal section).

*This is also reported under the agency's fourth goal regarding the status of benefits meeting the needs of our members.

# of Member Appls Filed	56	158	<220	<300
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- * Percent of OHCA Decisions Overturned - Output*

This measure indicates members' issues that have been through the appeals process where the agency's initial conclusions have been adjudicated and upon review, have been overturned.

Actual data is reported for SFY2009 and 2010. The following indicate the goal set.

*This is also reported under the agency's fourth goal regarding the status of benefits meeting the needs of our members.

% of Decisions Overturned	7%	6%	<10%	<10%
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To protect and improve beneficiary health and satisfaction, as well as ensure quality, with programs, services, and care.

- * SoonerCare Choice Scores on Quality Measures: Quality Assessment - Outcome

The OHCA is reviewed annually regarding quality in four domains. This measure reports the agency's results regarding the Quality Assurance program. Domain 1 focuses on the Quality Assurance (QA) program, the policy-making bodies in place to administer the program, its projects, and the data system maintained to ensure accurate, timely, and complete data collection.

The review is performed on a calendar basis and the most recent results are reported for SFY2009. Future years indicate the agency's determination to remain diligent in its efforts to maintain the quality of Oklahoma's health care program for low income individuals.

The agency was in full compliance based on interviews with Quality Assurance management and reviews of multiple sources of documentation. Some of the documents reviewed were: the OHCA rules, OHCA Service Efforts and Accomplishments Report, OHCA annual reports, performance and quality reports, performance improvement project reports, the OHCA member handbook, provider contracts, and audit and tracking tools.

Choice Quality Score 1	1.0	1.0	1.0	1.0
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- * SoonerCare Choice Scores on Quality Measures: Quality Assessment - Outcome

The OHCA is reviewed annually regarding quality in four domains. This measure reports the agency's results regarding the Quality Assurance program. Domain 2 confirms that the agency articulates members' rights, promotes the exercise of those rights, and ensures that OHCA staff and affiliated providers are familiar with enrollee rights.

The review is performed on a calendar basis and the most recent results are reported on SFY2009. Future years indicate the agency's determination to remain diligent in its efforts to maintain the quality of Oklahoma's health care program for low income individuals.

The agency was in full compliance based on interviews with Quality Assurance management and reviews of multiple sources of documentation. Some of the documents reviewed were: the OHCA rules, OHCA Service Efforts and Accomplishments Report, OHCA annual reports, performance and quality reports, performance improvement project reports, the OHCA member handbook, provider contracts, and audit and tracking tools.

Choice Quality Score 2	1.0	1.0	1.0	1.0
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- * SoonerCare Choice Scores on Quality Measures: Quality Assessment - Outcome

The OHCA is reviewed annually regarding quality in four domains. This measure reports the agency's results regarding the Quality Assurance program. Domain 3 covers several aspects of health care service delivery, including availability, accessibility, continuity and coordination of care, service authorization, practice guidelines and new technology, provider qualifications and selection, member health records, and communication of clinical information.

The review is performed on a calendar basis and the most recent results are reported on SFY2009. Future years indicate the agency's determination to remain diligent in its efforts to maintain the quality of Oklahoma's health care program for low income individuals.

The agency was in full compliance based off of interviews with Quality Assurance management and reviews of multiple sources of documentation. Some of the documents reviewed were: the OHCA rules, OHCA Service Efforts and Accomplishments Report, OHCA annual reports, performance and quality reports, performance improvement project reports, the OHCA member handbook, provider contracts, and audit and tracking tools.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To protect and improve beneficiary health and satisfaction, as well as ensure quality, with programs, services, and care.

Choice Quality Score 3	1.0	1.0	1.0	1.0
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* SoonerCare Choice Scores on Quality Measures: Quality Assessment - Outcome

The OHCA is reviewed annually regarding the quality in four domains. This measure reports the agency's results regarding the Quality Assurance program. Domain 4 reviews how the OHCA oversees and is accountable for any functions or responsibilities delegated to other entities.

The review is performed on a calendar basis and the most recent results are reported on SFY2009. Future years indicate the agency's determination to remain diligent in its efforts to maintain the quality of Oklahoma's health care program for low income individuals.

The agency was in full compliance based on interviews with Quality Assurance management and reviews of multiple sources of documentation. Some of the documents reviewed were: the OHCA rules, OHCA Service Efforts and Accomplishments Report, OHCA annual reports, performance and quality reports, performance improvement project reports, the OHCA member handbook, provider contracts, and audit and tracking tools.

Choice Quality Score 4	1.0	1.0	1.0	1.0
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* Number of Surveys Conducted through S&C Contract - Output

This measure reports the number of surveys conducted by the State Department of Health as required by the Survey and Certification contract. Surveys under this contract are not limited to but do include all Medicaid facilities. SFY2009 and 2010 is actual data as reported by OSDH.

Future numbers are not available.

# of LTC Facilities Surveyed	685	755	N/A	N/A
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* Number of Involuntary Contract Terminations - Output

This measure reports the number of contractors with whom contractual relationships were ended due to noncompliance or rendering services that are not provided in an appropriate and / or necessary manner for the well-being of our members.

In specific circumstances, a contracted provider may have two types of contracts that serve members is distinct or specialized sub-populations. In these cases, we count the contracts separately. All other individual providers are counted once. During SFY2010, there was one provider with two contracts counted separately.

It should be noted that 10 of the 47 provider contracts terminated were with facilities such as clinics or nursing homes. These facilities may utilize more than one of our contracted providers. Providers affiliated with these facilities, whose contracts were also cancelled, are also included in the number.

SoonerCare provider contracts are terminated if: (1) they are identified through program integrity efforts as not meeting quality standards, medical necessity or contractual requirements, (2) their license is suspended or revoked, or (3) they appear on a federal or state exclusion list such as OIG Medicare Exclusion Database (MED).

# of Involuntary Contr Term	36	47	<50	<50
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To protect and improve beneficiary health and satisfaction, as well as ensure quality, with programs, services, and care.

- * Total Cost of Quality Review - Input

This measure reports the cost of conducting the annual quality review. The cost of this contract is included here for your information. The agency has obtained the services of APS, the agency's Quality Improvement Organization (QIO), as the contractor for the quality review.

Cost of Quality Review	\$263,981	\$270,256	\$276,724	\$319,826
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- * Total Cost of Survey and Certification (S&C) Contract - Input

This measure reports the agency's participation in ensuring safe, quality long term care for Medicaid members and other Oklahomans accessing these services.

The OHCA is responsible for the disbursement of funds for the Survey and Certification contract as mandated by the Centers for Medicare and Medicaid. The Oklahoma State Department of Health has been designated by the State as the contractor for this purpose and is responsible for quality inspections of most long term care facilities operating in the state.

Cost of Survey and Cert	\$6,356,117	\$6,561,425	\$7,011,000	Not Available
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- * Quality Review Cost per Capitated (SoonerCare) Member - Efficiency

This measure reports the cost of the quality review per unduplicated SoonerCare Choice member. The decrease in the cost per member in SFY2011 is due to the increase in the expected number of members for the year.

Quality Rev/Cost per Member	\$.47	\$.47	\$.43	\$.48
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- * S&C Cost per Survey - Efficiency

This measure reports the contract cost per survey performed by the Oklahoma State Department of Health under the Survey and Certification contract. OSDH determines how many surveys will be conducted yearly, therefore future years cost per survey are unavailable.

S&C Cost per LTC Facility	\$9,279	\$8,691	Not Available	Not Available
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- * Percent of Quality of Care Fee Collected - Output

This measure reports the percent of Quality of Care fees assessed that were collected during the fiscal period. These funds allow the program to provide such things as enhanced reimbursement rates to facilities, additional surveyors for quality reviews through the Oklahoma State Department of Health and ombudsmen to advocate for long term care residents.

% of QOC Fee Collected	100%	100%	100%	100%
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Goal: To ensure that programs and services respond to the needs of the members by providing necessary medical benefits to our members.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To ensure that programs and services respond to the needs of the members by providing necessary medical benefits to our members.

- * Children's Health Care Use-PCP (age 12-24 months) - Outcome

This measure reports the percent of enrolled children ages 12 to 24 months who visited their PCP.

The results are based on HEDIS measures calculated by the Quality Assurance Unit of the OHCA and compared to the national Medicaid average reported as a benchmark. The national Medicaid averages are calculated by the National Committee for Quality Assurance or NCQA (www.ncqa.org). They are designed to ensure that consumers have the information they need to reliably compare performance of health insurance plans. CMS collaborated with NCQA to establish Medicaid and Medicare - specific measures. The results measure the SoonerCare Choice program, Oklahoma's medical home model of health care delivery. For 2009, SoonerCare came in at 96.2 percent. This was slightly higher than the 2008 national Medicaid benchmark of 95.0 percent.

***NOTE: The National and Commercial percentages are based on HEDIS data reported on June 2009 for calendar year 2008 (1/1/2008-12/31/2008). The OHCA percentages are based on data collected for calendar year 2009 (1/1/2009-12/31/2009).

Health Care Use/12-24 mths	96.2	95.0	NA	NA
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- * Children's Health Care Use-PCP (age 25 months to 6 years) - Outcome

This measure reports the percent of enrolled children ages 25 months to 6 years who visited their PCP.

The results are based on HEDIS measures calculated by the Quality Assurance Unit of the OHCA and compared to the national Medicaid average reported as a benchmark. The national Medicaid average is calculated by the National Committee for Quality Assurance or NCQA (www.ncqa.org). They are designed to ensure that consumers have the information they need to reliably compare performance of health insurance plans. CMS collaborated with NCQA to establish Medicaid and Medicare - specific measures. The results measure the SoonerCare Choice program, Oklahoma's medical home model of health care delivery.

***NOTE: The National and Commercial percentages are based on HEDIS data reported on June 2009 for calendar year 2008 (1/1/2008-12/31/2008). The OHCA percentages are based on data collected for calendar year 2009 (1/1/2009-12/31/2009).

Health Care Use/25mth-6yrs	86.9	87.2	NA	NA
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- * Children's Health Care Use-PCP (age 7-11 years) - Outcome

This measure reports the percent of enrolled children ages 7 to 11 years who visited their PCP.

The results are based on HEDIS measures calculated by the Quality Assurance Unit of the OHCA and compared to the national Medicaid average reported as a benchmark. The national Medicaid average is calculated by the National Committee for Quality Assurance or NCQA (www.ncqa.org). They are designed to ensure that consumers have the information they need to reliably compare performance of health insurance plans. CMS collaborated with NCQA to establish Medicaid and Medicare - specific measures. The results measure the SoonerCare Choice program, Oklahoma's medical home model of health care delivery.

***NOTE: The National and Commercial percentages are based on HEDIS data reported on June 2009 for calendar year 2008 (1/1/2008-12/31/2008). The OHCA percentages are based on data collected for calendar year 2009 (1/1/2009-12/31/2009).

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To ensure that programs and services respond to the needs of the members by providing necessary medical benefits to our members.

Health Care Use/7-11 years	87.6	87.8	NA	NA
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* Adult Health Care Use (Preventive/Ambulatory Care)-20 to 44 years old - Outcome*

One measure of the scope of medical services offered to adults is access to primary care for prevention and early intervention of illness. This measure reports HEDIS data on adults aged 20 to 44 years that have accessed preventive / ambulatory care during the period.

The results are based on HEDIS measures calculated by the Quality Assurance Unit of the OHCA and compared to the national Medicaid average reported as a benchmark. The national Medicaid average is calculated by the National Committee for Quality Assurance or NCQA (www.ncqa.org). They are designed to ensure that consumers have the information they need to reliably compare performance of health insurance plans. CMS collaborated with NCQA to establish Medicaid and Medicare - specific measures. The results measure the SoonerCare Choice program, Oklahoma's medical home model of health care delivery. For 2009, SoonerCare came in at 83.3 percent. This was slightly above the national Medicaid benchmark of 79.8 percent.

***NOTE: The National and Commercial percentages are based on HEDIS data reported on June 2009 for calendar year 2008 (1/1/2008-12/31/2008). The OHCA percentages are based on data collected for calendar year 2009 (1/1/2009-12/31/2009).

*This is also reported under the agency's third goal as a measure of adults responsibly managing their health care by accessing these services.

Health Care Use/20-44 yrs	83.3	79.8	NA	NA
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* Adult Health Care Use (Preventive/Ambulatory Care)-45 to 64 years old - Outcome*

One measure of the scope of medical services offered to adults is access to primary care for prevention and early intervention of illness. This measure reports HEDIS data on adults aged 45 to 64 years that have accessed preventive / ambulatory care during the period.

The SFY2009 results are based on HEDIS measures calculated by the Quality Assurance Unit of the OHCA and compared to the national Medicaid average reported as a benchmark. The national Medicaid average is calculated by the National Committee for Quality Assurance or NCQA (www.ncqa.org). They are designed to ensure that consumers have the information they need to reliably compare performance of health insurance plans. CMS collaborated with NCQA to establish Medicaid and Medicare - specific measures. The results measure the SoonerCare Choice program, Oklahoma's medical home model of health care delivery. For 2009, SoonerCare came in at 89.7 percent. This was slightly above the national Medicaid benchmark of 85.5 percent.

***NOTE: The National and Commercial percentages are based on HEDIS data reported on June 2009 for calendar year 2008 (1/1/2008-12/31/2008). The OHCA percentages are based on data collected for calendar year 2009 (1/1/2009-12/31/2009).

*This is also reported under the agency's third goal as a measure of adults responsibly managing their health care by accessing these services.

Health Care Use/45-65 yrs	89.7	85.5	NA	NA
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To ensure that programs and services respond to the needs of the members by providing necessary medical benefits to our members.

- * Ratio of Filed Appeals to Total Members - Outcome*

This measure reports a comparison of member filed appeals to the total unduplicated count of members enrolled during the year. The ratio has remained consistently low throughout the reported years.

Actual data is reported for SFY2009 and 2010. SFY2011 and following represent estimates.

*This is also reported under the agency's second goal regarding the quality and member satisfaction.

Ratio/Appeals to Members	<1/4 of 1%	<1/4 of 1%	<1/4 of 1%	<1/4 of 1%
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- * Number of Member Appeals Filed - Output*

As a measure of the satisfaction of SoonerCare enrollees with the services accessed through SoonerCare, the agency measures the number of member complaints that elevate to the appeal level. This avenue allows members to have their cases reviewed for legal, regulatory and discriminatory issues.

The number of appeals varies from year to year based on relevant issues of the time. In 2010 the number of individuals enrolled in Insure Oklahoma IP increased significantly impacting the number of appeals related to this population. Enrollment in Insure Oklahoma's IP Plan has increased 60.8 percent.

For purposes of this measure, the agency has changed the benchmark of less than 75 complaints to less than 220 complaints that rise to the appeals level, to take into consideration the Insure Oklahoma population. The agency expects the numbers to continue to increase in future years due to changes: OHCA processing appeals related to the Advantage program starting in SFY2011, adding Online Enrollment, and anticipated growth of the SoonerCare population. However, this number is not considered a goal to achieve. Rather, it is used as a yardstick for trend analysis and an indicator of issues to be addressed at the appropriate level, whether agency, state or federal.

Although the number of appeals have increased significantly, the percentage of OHCA decisions overturned has remained low at 6 percent.

*This is also reported under the agency's second goal regarding quality and member satisfaction.

# of Benefit Appeals	56	158	<220	<300
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- * Percent of OHCA Decisions Overturned - Output*

This measure indicates members' issues that have been through the appeals process where the agency's initial conclusion has been adjudicated and upon review, has been overturned. As mentioned, this measure allows for the evaluation of issues to be addressed.

*This is also reported under the agency's second goal as an indication of the agency's interpretation and application of policies and procedures regarding members' general satisfaction with the quality of services.

% Benefits Appeals Overturn	7%	6%	<10%	<10%
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To ensure that programs and services respond to the needs of the members by providing necessary medical benefits to our members.

- * Number of Level of Care / Long Term Care Service Entry Reviews - Output

This measure reports the number of level of care reviews processed by the OHCA in SFY2009 and SFY2010.

To ensure appropriate placement for members entering a nursing home for possible developmental disability or mental retardation (MR) and/or mental illness (MI), the federal government requires that a Pre-Admission Screening and Resident Review (PASRR) be administered. The OHCA Level of Care Unit is responsible for monitoring the administering of Level I PASRR screenings to all private pay and SoonerCare members with possible MR/MI needs.

OHCA tracks the number of PASRR screens by method of payment for the screen. Screens are classified either as private pay or Medicaid pay. The number of Medicaid screens has increased as the number of private pay screens has declined. This change can be attributed, in part, to general economic conditions resulting in more people being qualified for SoonerCare. Overall, the total number of PASRR reviews processed every year is continuing to increase slightly.

# of Level of Care Reviews	8,428	16,156	NA	NA
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- * Immunization-Percent Compliance with Healthy People 2010 - Outcome*

This measure reports the immunization rate for Oklahoma children ages 19 to 35 months and includes those enrolled in Oklahoma's SoonerCare health care program. The rate reported here refers to vaccination series 4:3:1:3:3:1 which includes 4 doses of the DTP vaccine, 3 or more doses of the polio vaccine, 1 dose of MCV (measles containing vaccines), 3 or more doses of Hib (haemophilus influenza type B), 3 or more doses of Hepatitis B vaccines and 1 dose of the varicella vaccine. These rates are reported by the Center for Disease Control (CDC) by calendar year. The following years report the national goal of 90 percent as set by the Healthy People 2010 campaign.

NOTE: Beginning in SFY2010, OHCA began reporting the 4:3:1:3:3:1 series after reporting the 4:3:1:3:3 series for several years. The change in the measure was due to CDC changing the series that is used to measure compliance with the Healthy People 2010 Campaign from the 4:3:1:3:3 series to the 4:3:1:3:3:1 series. Currently, the Healthy People 2010 Campaign is being revised and will transition into the Healthy People 2020 campaign sometime during 2010. As of the date of this report, Healthy People 2020 standards have not been released.

The SFY2009 rate has been restated to reflect the new series 4:3:1:3:3:1 measure.

* This measure is also reported under the agency's third goal as a measure of members taking responsibility for their health care.

% Compliance/Immunizations	71.7%	70.2%	90%	90%
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To ensure that programs and services respond to the needs of the members by providing necessary medical benefits to our members.

- * State Cost of Service - Input

This measure reports the resources invested by the state to provide direct services to qualifying individuals in SoonerCare. Actual dollars are reported for SFY2009 - 2010, budgeted dollars are reported for SFY 2011 and estimates are reported for SFY2012 - 2013. Estimates are calculated including funding impact for SFY2012 budget requests submitted for consideration.

Estimated state costs are expected to increase significantly in SFY2012 due to the end of ARRA related stimulus funds.

State cost of service decreased in SFY2010 due to budget cuts and ARRA enhanced FMAP.

It should be noted that Health Care Reform is anticipated to increase costs beginning in 2014 due to increased enrollment in SoonerCare.

In the SFY2011 budget request the numbers for SFY2009 were restated from the accrual basis to the cash basis. These cash basis numbers were reported in the budget request. However, for consistency, the numbers in the SFY2012 budget request have been calculated according to the accrual basis of accounting.

Numbers for SFY2009 were calculated on the accrual basis and are presented below along with the cash basis numbers.

SFY2009 Actual

Cash Basis \$1,375,159,000

Accrual Basis \$1,164,799,380

State Cost of Service	1,164,799,380	996,788,858	1,486,490,274	1,888,221,946
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- * Total Cost of Service - Input

This measure reflects both the federal and state dollars expended to provide direct services to qualifying individuals in SoonerCare.

Actual dollars are reported for SFY2009 - 2010, budgeted dollars are reported for SFY 2011 and estimates are reported for SFY2012 - 2013. Estimates are calculated including funding impact for SFY2012 budget requests submitted for consideration.

In the SFY2011 budget request the numbers for SFY2009 were restated from the accrual basis to the cash basis. These cash basis numbers were reported in the budget request. However, for consistency, the numbers in the SFY2012 budget request have been calculated according to the accrual basis of accounting.

Numbers for SFY2009 were calculated on the accrual basis and are presented below along with the cash basis numbers.

SFY2009 Actual

Cash Basis \$3,928,980,000

Accrual Basis \$3,862,337,051

Total Cost of Service	3,862,337,051	4,185,393,580	4,224,183,784	4,585,336,135
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To ensure that programs and services respond to the needs of the members by providing necessary medical benefits to our members.

- * Per SoonerCare Member Served-Total State Program Costs - Efficiency

This measure reports the program cost per member served during the fiscal year. This does not include administration costs.

Actual dollars are reported for SFY2009 - 2010, budgeted dollars are reported for SFY 2011 and estimates are reported for SFY2012 - 2013. Estimates are calculated including funding impact for SFY2012 budget requests submitted for consideration.

It should be noted that this number is calculated based on members for which a claim was paid during the fiscal year. This differs from the number enrolled due to the fact that not all members choose to access medical services through their SoonerCare benefits.

The data used for this calculation is based on program costs reported to the Centers for Medicare and Medicaid (CMS) via the CMS-64 report for the state fiscal year. This differs from the financial statements in that it does not include drug rebate or other similar collections.

Per Member/State Cost of Svc	4,686	4,937	4,654	4,928
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- * Per National Member Served-Total Program Costs - Efficiency

This measure compared the number of members with a paid medical services claim during the period to the federal and state program costs paid. National numbers were based on information obtained from the Centers for Medicare and Medicaid through fiscal year 2004. Subsequent numbers had been estimated. Because national information is difficult to obtain on an annual basis, this measure is no longer being reported.

Natl Program Cost P/Benef	NA	NA	NA	NA
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Goal: To purchase the best value health care for members by providing for appropriate reimbursement and exploring all available valid options for program financing in order to ensure access to medical services for our clients.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To purchase the best value health care for members by providing for appropriate reimbursement and exploring all available valid options for program financing in order to ensure access to medical services for our clients.

* Cost of Managed Care - Input

This measure reports the total cost of services paid for Managed Care. These services are provided through the SoonerCare Choice medical home plan.

Prior to January 1, 2009 OHCA paid each SoonerCare Choice PCP a fixed monthly capitated payment for case management and a limited set of primary care services. Half-way through SFY2009, beginning in January 2009, the Patient Centered Medical Home model of health care delivery was implemented in SoonerCare Choice.

According to the Patient Centered Primary Care Collaborative (PCPCC) the key to implementing the Patient Centered Medical Home (PCMH) model is aligning incentives through an enhanced reimbursement system that is structured to recognize the cost of performing the functions of a medical home. Ideally, compensation to the practice takes the shape of the following:

A monthly care coordination payment (bundled care coordination fee) for the physician work that falls outside of a face-to-face visit and for the health information technologies needed to achieve better outcomes.

A visit-based fee-for-service component that maintains an incentive for the physician to see the patient in an office-visit when appropriate.

A performance-based component that recognizes achievement of quality and efficiency goals.

Actual amounts are shown for SFY2009 and 2010, budgeted costs are provided for SFY2011 and estimates are projected for the years following.

The decrease in actual costs in SFY2010 reflect a full year of costs associated with the implementation of the Patient Centered Medical Home model of health care delivery. This change resulted in costs reported in this category to decrease. On the other hand, this change resulted in costs reported in the Physician and Other Providers category to increase.

Cost of Managed Care	62,648,734	27,785,057	31,086,445	31,538,651
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* Cost of Hospital Services - Input

This measure reports the cost incurred during the period for hospital services.

SFY2009 and 2010 is actual data. Budgeted costs are reported for SFY2011 and estimates are recorded for the remaining years.

Cost of Hospital Services	862,201,042	927,614,585	945,599,886	1,122,711,410
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* Cost of Behavioral Health - Input

This measure reports the cost of behavioral health services during the fiscal year.

SFY2009 and 2010 is actual data. Budgeted costs are reported for SFY2011 and estimates are recorded for the remaining years.

Cost of Behavioral Health	250,108,828	283,735,431	291,173,372	323,152,041
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To purchase the best value health care for members by providing for appropriate reimbursement and exploring all available valid options for program financing in order to ensure access to medical services for our clients.

* Cost of Physicians & Other Providers - Input

This measure reports the cost of services delivered by physicians or other providers during the fiscal year. Other providers include providers in service areas such as dental, home health care, and laboratory.

SFY2009 and 2010 is actual data. Budgeted costs are reported for SFY2011 and estimates are recorded for the remaining years.

The increase in actual costs in SFY2010 reflect a full year of costs associated with the implementation of the Patient Centered Medical Home model of health care delivery. This change resulted in costs reported in this category to increase. On the other hand, this change resulted in costs reported in the Managed Care category to decrease.

The decrease in costs budgeted for SFY2011 are due to a 3.25% provider rated cut that was implemented in the last quarter of SFY2010.

The estimated increase in costs in SFY2012 is due to several factors. The estimate assumes that provider rate cuts will be eliminated. Also, a budget request has been submitted that would move the Family Planning program from a waiver program into the state plan. Budget neutrality calculations indicate that savings resulting from the change will more than offset costs related to the change.

Cost of Phys & Oth Providers	646,348,284	844,813,899	730,726,108	909,842,737
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* Cost of Nursing Facilities & ICF/MR - Input

This measure reports the amount paid during the fiscal year for nursing facilities and intermediate care / mental retardation facilities.

SFY2009 and 2010 is actual data. Budgeted costs are reported for SFY2011 and estimates are recorded for the remaining years.

Cost of NFs & ICF/MR	574,114,181	570,884,055	547,572,073	582,551,513
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* Cost of Prescription Drugs - Input

This measure reports the cost of providing prescription drugs to qualifying members.

SFY2009 and 2010 is actual data. Budgeted costs are reported for SFY2011 and estimates are recorded for the remaining years.

Cost of Prescription Drugs	354,345,573	385,009,486	379,170,698	409,113,146
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* Cost of Medicare Buy-In - Input

This measure reports the amount paid for members qualifying for both Medicare and Medicaid health care services. SoonerCare pays the deductibles and a portion of the co-insurance for SoonerCare services that are covered by Medicare. OHCA also pays the monthly Medicare Part B premium for SoonerCare members aged 65 or older and for certain blind or disabled persons. If an eligible person has other medical insurance, that insurance company must be billed before SoonerCare is billed.

SFY2009 and 2010 is actual data. Budgeted costs are reported for SFY2011 and estimates are recorded for the remaining years.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To purchase the best value health care for members by providing for appropriate reimbursement and exploring all available valid options for program financing in order to ensure access to medical services for our clients.

Cost of Medicare Buy-In	112,946,069	121,134,542	136,566,184	147,330,685
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* Cost of Miscellaneous Medical Payments - Input

This measure reports the amount of spending associated with miscellaneous medical payments not included in other categories of service.

SFY2009 and 2010 is actual data. Budgeted costs are reported for SFY2011 and estimates are recorded for the remaining years.

Misc Medical Payments	28,489,622	28,362,108	30,009,791	36,329,989
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* Provider Reimbursement of State Employed Providers as % of Medicare - Outcome

This measure reports the SoonerCare reimbursement as a comparison to Medicare rates. The 140 percent rate is paid for services provided by state employed physicians serving through the Colleges of Medicine at Oklahoma State University and Oklahoma University. The universities pay the state share of cost above the regular SoonerCare reimbursement rates.

Data reported for SFY2009 and 2010 are reported based on actual costs. The subsequent years reflect estimated rates.

St Emp Prov Cost % Medicare	140%	140%	140%	140%
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* Hospital Reimbursement as % of Costs - Outcome

This measure reports the percentage of hospitals' costs that were reimbursed.

Data reported for SFY2009 and 2010 are reported based on actual costs. The subsequent years reflect estimated rates.

Hospital Reimb % Cost	99.66%	101%	100%	100%
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* Nursing Facility Rates as % of Cost - Outcome

The measure for Nursing Facilities and Intermediate Care Facilities for people with Mental Retardation rates reflect the percentage of reported, audited daily costs covered by the reimbursement rate.

Actual data is shown for SFY2009 and may differ slightly from previously reported amounts due to additional information provided by facilities. Where appropriate, these figures have been adjusted. No data is yet available for SFY2010 and following years. The numbers reported for those periods reflect the agency's goal to reimburse facilities at 100 percent of cost.

NF Rates % of Cost	95.5	100%	100%	100%
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* ICF/MR Rates as % of Cost - Outcome

The measure for Intermediate Care Facilities for people with Mental Retardation rates reflects the percentage of reported, audited daily costs covered by the reimbursement rate.

Actual data is shown for SFY2009 and may differ slightly from previously reported amounts due to additional information provided by facilities. Where appropriate, these figures have been adjusted. No data is yet available for SFY2010 and following years. The numbers reported for those periods reflect the agency's goal to reimburse facilities at 100 percent of cost.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To purchase the best value health care for members by providing for appropriate reimbursement and exploring all available valid options for program financing in order to ensure access to medical services for our clients.

ICF/MR Rates % Cost	101.5%	100%	100%	100%
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* Cost of Transportation - Non Emergency - Input

This measure reports the costs of the SoonerRide program offering non-emergency transportation to destinations associated with health care reimbursable services such as doctors' appointments, and dialysis treatments.

SFY2009 and 2010 is actual data. Budgeted costs are reported for SFY2011 and estimates are recorded for the remaining years.

Cost of NET Transportation	24,822,866	26,171,149	27,470,618	28,758,644
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* Provider Reimbursement of Non-State Employed Providers as % of Medicare - Outcome

This measure reports the SoonerCare reimbursement as a comparison to Medicare rates. This rate is for reimbursement to providers other than those employed by the state through OU / OSU Colleges of Medicine. In the past, non-state employed providers have been reimbursed at 100 percent of Medicare rates. This was true for the first 3 quarters of SFY2010, as well. However, budget constraints prompted OHCA to implement a 3.25% provider rate decrease for the 4th quarter of the SFY. The net effect of the decrease was to bring the reimbursement as a percentage of Medicare rates for non-state employed providers down to 99.19%, for the year.

It should be noted that labs are reimbursed based upon a CMS mandated schedule.

Data reported for SFY2009 and 2010 are reported based on actual costs. The subsequent years reflect estimated rates and include OHCA's goal of returning physician provider rates to 100% of Medicare rates.

NS Prov Cost % of Medicare	100%	99.19%	100%	100%
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Goal: To foster excellence in the design and administration of the SoonerCare program.

* Percent of Time Administration Expenses Stay Within Budget - Outcome

This measure presents the percent of success the agency achieved in maintaining administrative expenses within budget. This indicates how successfully the agency has planned for the business of SoonerCare with the knowledge available.

Actual data is presented for SFY2009 and 2010 and subsequent years indicate the goal of maintaining 100 percent fulfillment of this performance goal.

Adm Exp within Budget	100%	100%	100%	100%
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* Financial Statement Completeness - Output

As an indication of the significance placed on this tool, the financial statement completeness measure reports the timely and accurate reporting of financial data.

Actual data is reported for SFY2009 and 2010 and subsequent years indicate the goal of maintaining 100 percent fulfillment of this performance goal.

Financial Stmt Completeness	100%	100%	100%	100%
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To foster excellence in the design and administration of the SoonerCare program.

- * Payment Accuracy Rate (PAM) - Outcome

This measure reports the rate at which claims are paid accurately as reported from the internal Payment Accuracy Measurement (PAM) review. PAM is an internal review based on a large sample of claims to validate the accurate processing of claims, appropriate documentation of services by our providers and the medical necessity of the services performed. The rate is determined on the dollar amounts of claims paid, rather than the number of claims, in accordance with the federal PERM program described below. The OHCA performs the internal PAM review on an annual basis.

OHCA has modeled our PAM program after the Federal PERM (Payment Error Rate Measurement) program. The most recent PERM review on OHCA claims was conducted on claims paid in federal fiscal year 2006 and resulted in a 2.51% error rate. The PERM review for federal fiscal year 2009 is completed. The state will be notified of the rate in October 2010.

At the federal level, the Title XIX (Medicaid) and Title XXI (CHIP) programs are reviewed separately. The agency usually reviews Title XIX and Title XXI separately but reports a blended rate. Due to resource constraints both populations were not sampled. In SFY2010, Internal PAM 2009 was completed on CHIP-Title XXI claims; and had a PAM rate of 98.89%.

Eligibility has always been included in the Federal PERM review. In SFY2011 online enrollment was Implemented therefore eligibility data is now stored at OHCA. Because of this, OHCA will add an eligibility section to the PAM review beginning in SFY2011.

Payment Accuracy Rate	99%	99%	>97%	>97%
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- * Payment Integrity Recoveries - Output

This measure reports the amount of recoupments identified in post payment and program integrity reviews. This indicates one of the activities the agency performs to ensure that claims are paid accurately. The agency will be focusing on cost avoidance as well, through pre-payment edits and reviews and provider training.

Actual recoveries identified are reported for SFY2009 and 2010. The payment integrity recoveries in SFY2010 increased significantly. This increase was due to three distinct hospital audits resulting in findings with large dollar amounts (\$11,177,320).

The agency expects future payment recoveries to return to amounts more in line with previous years' totals.

Payment Integrity Recoveries	\$3,988,042	\$17,614,428	\$4,500,000	\$4,500,000
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- * Third Party Liability Recoveries - Output

This measure reports the amount of resources collected from third party payers indicating that the agency is ensuring that appropriate payments are made as required by law when SoonerCare resources are claimed.

Actual amounts are reported for SFY2009 and 2010. TPL collections continue increasing, 91 percent from SFY2008 to SFY2009, and 66.7 percent from SFY2009 to SFY2010. The increase TPL collections are because of changes in legislation that have given the agency a bigger window of time in which to pursue reimbursement from third party payers and data matching legislation that strengthened requirements for insurance companies to communicate with OHCA. Also, in SFY2009 and SFY2010 OHCA outsourced all remaining third party recoveries collection.

TPL Recoveries	\$24,910,074	\$41,521,418	\$35,000,000	\$35,000,000
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To foster excellence in the design and administration of the SoonerCare program.

- * Drug Rebate Collections as a Percent of Pharmacy Expenditures - Output

This measure reports the collections made through the prescription rebate program compared to resources expended for pharmacy services. The increase in this percentage can be explained by the policy change effective 7-1-08 requiring Outpatient claims to have the actual National Drug Code (NDC) listed on a claims' line item for medication. This gives the information the OHCA needed to collect rebate dollars for these medications. The higher rate can be attributed, in part, to the agency receiving rebates for claims reflecting the new policy.

The agency has left projections at 21 percent, awaiting more data on rebate collection and pharmacy expenditures in the coming year.

Drug Rebate Coll Rate	26.8%	29%	21%	21%
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- * Total Claims Processed - Output

This measure reports the total number of claims submitted to the MMIS (Medicaid Management Information System) to indicate the workload processed during this administrative function.

Actual claims processed are reported for SFY2009 and 2010.

OHCA estimates an increase in claims processing and payment due to the implementation of the Single Payer System and expected increase in the SoonerCare population.

Total Claims Processed	36,706,138	39,838,306	>43,000,000	>43,000,000
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- * Total Claims Paid - Output

This measure reports the total number of claims submitted to the MMIS (Medicaid Management Information System) that were paid.

Actual claims paid are reported for SFY2009 and 2010.

OHCA estimates an increase in claims processing and payment due to the implementation of the Single Payer System and expected increase in the SoonerCare population.

Total Claims Paid	28,428,254	31,691,202	>34,000,000	>34,000,000
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- * Calls Answered from Providers and Clients - Output

This measure reports the number of provider and member calls answered. The measure indicates the workload associated with providing timely assistance for both audiences.

Actual data is reported for SFY2009 and 2010 and the following years indicate estimates of calls anticipated in the future.

Prov/Client Calls Answered	415,157	423,547	>440,000	>440,000
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To foster excellence in the design and administration of the SoonerCare program.

- * Group Training - Seminars/Workshops/Biannual - Output

This measure reports the number of training sessions offered through seminars, workshops and biannual training events. The increase in the number of trainings can be attributed to APS conducting more group (web) trainings during SFY2010.

Because the agency began collecting data by this methodology in the last couple years, no attempt has been made to project coming years' numbers.

Prov Ed-Group Training	149	185	N/A	N/A
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- * State Cost of Administration - Input

This measure reports the state's investment for OHCA's administration of SoonerCare. These dollars do not include administration expenditures funded directly through the OKDHS or the OJA who also receive administration funds from Medicaid.

Actual dollars are reported for SFY2009 - 2010, budgeted dollars are reported for SFY 2011 and estimates are reported for SFY2012 - 2013.

*It should be noted that the SFY2009 numbers have been restated from cash basis to accrual basis.

State Cost - Administration	\$34,762,393	\$49,646,832	\$76,153,583	\$80,243,383
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- * Total Cost of Administration - Input

This measure includes the resources used to administer OHCA's programs and is comprised of both federal and state dollars. These dollars do not include administration expenditures funded directly through the OKDHS or the OJA who also receive administration funds from Medicaid.

Actual dollars are reported for SFY2009 - 2010, budgeted dollars are reported for SFY 2011 and estimates are reported for SFY2012 - 2013.

*It should be noted that the SFY2009 numbers have been restated from cash basis to accrual basis.

Total Cost Admn	\$95,912,202	\$114,235,171	\$152,307,166	\$160,486,765
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- * Per Members Enrolled - State Cost of Administration - Input

This measure reports the cost of Oklahoma's investment in OHCA's administration compared to the members enrolled in the health care program.

Actual dollars are reported for SFY2009 - 2010, budgeted dollars are reported for SFY 2011 and estimates are reported for SFY2012 - 2013.

*It should be noted that the SFY2009 numbers have been restated from cash basis to accrual basis.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To foster excellence in the design and administration of the SoonerCare program.

Per Member - State Cost Admn	\$43.65	\$58.95	\$83.90	\$86.25
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* Per Member Enrolled - Total Cost of Administration - Input

This measure reports the total resources invested in OHCA's administration compared to the members enrolled in the health care program.

Actual dollars are reported for SFY2009 - 2010, budgeted dollars are reported for SFY 2011 and estimates are reported for SFY2012 - 2013.

*It should be noted that the SFY2009 numbers have been restated from cash basis to accrual basis.

Per Member - Total Cost Admn	\$120.43	\$135.63	\$167.80	\$172.50
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* Group Training - Attendees - Output

This measure reports the number of providers attending training sessions offered through seminars, workshops and biannual training events. The increase in the number of trainings can be attributed to APS conducting more group (web) trainings during SFY2010. Also during SFY2010, the Insure OK program was presented at the National Academy of State Health Policy (NASHP), this training had 1200 attendees for the two days. Because the trainings are providers' choice, no attempt has been made to project coming years' numbers.

Prov Ed-Group Trn Attendee	9,584	11,739	N/A	N/A
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* On-Site Training - Attendees - Output

This measure reports the number of provider visits conducted by the agency and its representatives to train providers. Because the agency began collecting data by this methodology in the last couple years, no attempt has been made to project coming years' numbers.

Prov Ed-On Site Training	4,172	4,043	N/A	N/A
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* Written Communications - Number of Providers Receiving - Output

This measure reports the number of providers who have received some form of written communications during the year. Providers may be contacted through written communication in a variety of ways such as Provider Letters, OHCA News Letters or Banners on remittance advice correspondence. Because of the multiple avenues written communication can take, providers may be counted more than once. Policy letters fluctuate depending on what provider types the letters are sent to, what changes have taken place during the year, and what new programs are added.

The OHCA policy department is going green! Providers will now have the option to receive their provider letters by either fax or email. This change will save the agency approximately \$500,000 in postage costs and \$150,000 in HP (the agency contractor) charges for mailing out letters.

The methodology for collecting and calculating training has changed and no projections are made for the future.

Prov Ed-Written Communic.	1,740,949	1,327,145	N/A	N/A
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Goal: To promote beneficiaries personal responsibility for their health services utilization, behaviors, and outcomes.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To promote beneficiaries personal responsibility for their health services utilization, behaviors, and outcomes.

- * Well Child Visits (1 or more)-First 15 months - Outcome

The measure reports the rate of well child visits for children less than sixteen months of age enrolled in Oklahoma's SoonerCare Choice health care program. These visits are part of the EPSDT (Early and Periodic Screening, Diagnosis and Treatment) program comprised of comprehensive and preventive health services for children.

Because the data is collected on a calendar basis, CY2009 data for Oklahoma is provided for SFY2009.*** The remaining years are the national Medicaid average as reported in HEDIS* data released in 2010*** and is used as comparative data.

*HEDIS, the Health Plan Employer Data and Information System, is a set of standardized performance measures originally developed to compare health insurance plans. CMS has worked with the National Committee for Quality Assurance (NCQA) to incorporate Medicaid - specific measures into HEDIS.

***NOTE: The National and Commercial percentages are based on HEDIS data reported on June 2009 for calendar year 2008 (1/1/2008-12/31/2008).

The OHCA percentages are based on data collected for calendar year 2009 (1/1/2009-12/31/2009).

Well-Child Visits/15 mths	97.4%	97.2%	NA	NA
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- * Well Child Visits-3 through 6 year olds - Outcome

The measure reports the rate of well child visits for children ages three to six years old enrolled in Oklahoma's SoonerCare Choice health care program. These visits are part of the EPSDT (Early and Periodic Screening, Diagnosis and Treatment) program comprised of comprehensive and preventive health services for children. Well Child Visits for 3 to 6 year olds increased by 4.9 percent in SFY2009, but is still short of the national mean of 69.7 percent.

Because the data is collected on a calendar basis, CY2009 data for Oklahoma is provided for SFY2009.*** The remaining years are the national Medicaid average as reported in HEDIS* data released in 2010*** and is used as comparative data.

*HEDIS, the Health Plan Employer Data and Information System, is a set of standardized performance measures originally developed to compare health insurance plans. CMS has worked with the National Committee for Quality Assurance (NCQA) to incorporate Medicaid - specific measures into HEDIS.

***NOTE: The National and Commercial percentages are based on HEDIS data reported on June 2009 for calendar year 2008 (1/1/2008-12/31/2008).

The OHCA percentages are based on data collected for calendar year 2009 (1/1/2009-12/31/2009).

Well-Child/3-6 yrs	64.9%	69.7%	NA	NA
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To promote beneficiaries personal responsibility for their health services utilization, behaviors, and outcomes.

- * Well Child Visits-Adolescents - Outcome

The measure reports the rate of well child visits for adolescents enrolled in Oklahoma's SoonerCare Choice health care program. These visits are part of the EPSDT (Early and Periodic Screening, Diagnosis and Treatment) program comprised of comprehensive and preventive health services for children.

Because the data is collected on a calendar basis, CY2009 data for Oklahoma is provided for SFY2009.*** The remaining years are the national Medicaid average as reported in HEDIS* data released in 2010*** and is used as comparative data.

*HEDIS, the Health Plan Employer Data and Information System, is a set of standardized performance measures originally developed to compare health insurance plans. CMS has worked with the National Committee for Quality Assurance (NCQA) to incorporate Medicaid - specific measures into HEDIS.

***NOTE: The National and Commercial percentages are based on HEDIS data reported on June 2009 for calendar year 2008 (1/1/2008-12/31/2008).

The OHCA percentages are based on data collected for calendar year 2009 (1/1/2009-12/31/2009).

Well-Child/Adolescent	40.1%	45.9%	NA	NA
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- * Immunization-Percent Compliance with Healthy People 2010 - Outcome*

This measure reports the immunization rate for Oklahoma children ages 19 to 35 months and includes those enrolled in Oklahoma's SoonerCare health care program. The rate reported here refers to 4 doses of the DTP vaccine, 3 or more doses of the polio vaccine, one dose of MCV (measles containing vaccines), 3 or more doses of Hib (haemophilus influenza type B), 3 or more doses of Hepatitis B vaccines, and 1 dose of Varicella. SFY2009 is actual data as reported by the Center for Disease Control (CDC). The following years report the national goal of 90 percent as set by the Healthy People 2010 campaign.

For 2010, OHCA began reporting the 4:3:1:3:3:1 after reporting the 4:3:1:3:3 for several years. The change in the measure was due to CDC changing the type of measurement that will now be used to measure compliance with Healthy People by 2010 Campaign. The new measure being used is the 4:3:1:3:3:1.

* This measure is also reported under the agency's fourth goal as a measure of the services available to members of the SoonerCare health care program.

% Compliance/Immunizations	71.7%	70.2%	NA	NA
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- * Adult Health Care Use (Preventive/Ambulatory Care)-20 to 44 years old - Outcome*

This measure reports HEDIS data on adults ages 20 to 44 years that have accessed preventive / ambulatory care during the period. Many adults do not seek care until a medical issue elevates to an emergency status. Adult health care use is reported as an indication that individuals are participating in their health care by seeking medical services responsibly.

Because the data is collected on a calendar basis, CY2009 data is provided for SFY2009.*** The following period reflect the HEDIS Medicaid national average to be used for comparative purposes.***

*This is also reported under the agency's fourth goal as a measure of the scope of services offered to qualifying adults.

***NOTE: The National and Commercial percentages are based on HEDIS data reported on June 2009 for calendar year 2008 (1/1/2008-12/31/2008).

The OHCA percentages are based on data collected for calendar year 2009 (1/1/2009-12/31/2009).

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To promote beneficiaries personal responsibility for their health services utilization, behaviors, and outcomes.

Ambulatory Care/20-44 yrs	83.3%	85.5%	NA	NA
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* Adult Health Care Use (Preventive/Ambulatory Care) 45 to 64 years old - Outcome*

This measure reports HEDIS data on adults ages 45 to 64 years that have accessed preventive / ambulatory care during the period. Many adults do not seek care until a medical issue elevates to an emergency status. Adult health care use is reported as an indication that individuals are participating in their health care by seeking medical services responsibly.

Because this data is collected on a calendar year basis, CY2009 data is provided for SFY2009.*** The following periods reflect the HEDIS Medicaid national average to be used for comparative purposes.***

*This is also reported under the agency's fourth goal as a measure of the scope of services offered to qualifying adults.

***NOTE: The National and Commercial percentages are based on HEDIS data reported on June 2009 for calendar year 2008 (1/1/2008-12/31/2008).

The OHCA percentages are based on data collected for calendar year 2009 (1/1/2009-12/31/2009).

Ambulatory Care/45-64 yrs	89.7%	85.5%	NA	NA
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* Emergency Room Visits per 1,000 TANF member months - Output

As reported last year, this measure is no longer be reported.

This measure reported the statistical data of emergency room visits of the TANF (Temporary Assistance for Needy Families) population as compared to TANF member months. It was anticipated that based on this data, the agency could evaluate emergency room utilization trends to ensure appropriate use of this service and identify ways to address over utilization when needed. However, after further analysis it has been determined the percent of members identified as high utilizers compared to the total population served is < 1 percent. As a result, this measure does not adequately depict ER utilization trends or assist OHCA with identifying over utilization.

ER Visits - TANF	NA	NA	NA	NA
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* Emergency Room Visits per 1,000 ABD member months - Output

As reported last year, this measure is no longer be reported.

This measure reported the statistical data of emergency room visits of the ABD (Aged, Blind and Disabled)) population as compared to ABD member months. It was anticipated that based on this data, the agency could evaluate emergency room utilization trends to ensure appropriate use of this service and identify ways to address over utilization when needed. However, after further analysis it has been determined the percent of members identified as high utilizers compared to the total population served is < 1 percent. As a result, this measure does not adequately depict ER utilization trends or assist OHCA with identifying over utilization.

ER Visits - ABD	NA	NA	NA	NA
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To promote beneficiaries personal responsibility for their health services utilization, behaviors, and outcomes.

- * Status of Beneficiaries referred based on ER Visits - Outcome

Members utilizing ER services four or more times in a quarter are referred to Member Services for education in the appropriate use of the emergency room. This measure indicates the number of unduplicated members referred for contact. When assistance is needed for complex medical needs, a referral is made to the Care Management staff for follow-up.

Actual data has been reported for SFY2009 and 2010. It should also be noted that the ER Utilization review project no longer contacts Waivered services members. This was decided because these members have complex medical needs that often require emergency services and have case managers who monitor their treatment and utilization.

Because of the continued evolvement of this project, no attempt has been made to estimate future years and no benchmarks have been set.

ER Referrals Contact Status	4,206	4,651	NA	NA
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- * ER Referral Intervention Rate - Output

This measure reports the percent of referrals for which Member Services attempted to make contact and was successful in reaching the member. It should be noted that an attempt is made to contact all referred members. No contact is recorded when attempts by phone and mail are unsuccessful.

Actual data has been reported for SFY2009 and 2010. To better reflect actual number of members referred, duplicates have been eliminated. Because of the continued evolvement of this project, no attempt has been made to estimate future years and no benchmarks have been set.

ER Referral Intervention %	88%	91%	NA	NA
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- * ER Referral Letter - Output

This measure reports the number of letters sent to unduplicated individuals referred through the ER utilization project. All members referred for intervention are sent a letter as an initial contact. If the letter is not returned, it is considered a contact.

Actual data is reported for SFY2009 and 2010. Because of the continued evolvement of this project, no attempt has been made to estimate future years and no benchmarks have been set.

ER Ref Status/Letter	4,454	4,993	NA	NA
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- * ER Referral Phone-Education and Additional Services - Output

This measure reflects the number of members submitted through the ER utilization project that were contacted by phone and received education information on appropriate use of ER services. When appropriate, these members may also be assisted with other issues such as primary care physician assignments and referrals to specialty providers.

Actual data has been reported for SFY2009 and 2010. Because of the continued evolvement of this project, no attempt has been made to estimate future years and no benchmarks have been set.

ER Ref Status/Add'l Svcs	1,187	1,386	NA	NA
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To promote beneficiaries personal responsibility for their health services utilization, behaviors, and outcomes.

- * ER Referral Phone-Referred for Care Management Services - Output

This measure reports the number of members submitted through the ER utilization project contacted by phone who were referred to Care Management for additional services due to the complexity or severity of medical issues involved.

Actual data has been reported for SFY2009 and SFY2010. Because of the continued evolvment of this project, no attempt has been made to estimate future years and no benchmarks have been set.

ER Ref Status/Care Mgmt Svcs	1	2	NA	NA
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- * Average number of Members in Lock-In Program - Output

This measure reports the average number of beneficiaries annually who have been identified as inappropriately utilizing pharmacy services and have been placed into the agency's Lock-In program for monitoring.

SoonerCare members in the lock-in review have increased steadily the last three years. Members are locked-in originally for two years, and then extended one year if warranted by a review. The increase may be attributable to the same members remaining in the program

Actual data is reported for SFY 2009 and 2010. Operation of the Lock-In Program was transferred to an external contractor during SFY2006. Additionally, Medicare Part D was initiated at the federal level significantly impacting SoonerCare's pharmacy benefits utilization. Because of these changes, no attempt has been made to estimate future enrollment into the Lock-In Program at this time.

Ave # of Mbr/Lock-In Progr	165	268	NA	NA
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- * Number of Women seeking prenatal care before delivery: first trimester - Output

This measure reports the number of women seeking health care services during the first trimester of pregnancy.

Actual data has been reported for SFY2009 and SFY2010. Because of the continued evolvment of this project, no attempt has been made to estimate future years and no benchmarks have been set.

The method of calculation for this measure was changed for SFY2010, and prior years were restated using the same method. The total number of births did not change, but the breakdown by trimester was changed from months to weeks.

Previously: 1st Trimester (first 3 months), 2nd Trimester (4-6 months), and 3rd Trimester (7-9 months)
Now: 1st Trimester (first 13 weeks), 2nd Trimester (14-26 weeks), and 3rd Trimester (27-40 weeks)

Medical Care/First Trimester	15,123	18,034	NA	NA
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: SoonerCare

Goal: To promote beneficiaries personal responsibility for their health services utilization, behaviors, and outcomes.

- * Number of Women seeking prenatal care before delivery: second trimester - Output

This measure reports the number of women first seeking health care services during the second trimester of pregnancy.

Actual data has been reported for SFY2009 and SFY2010. Because of the continued evolvement of this project, no attempt has been made to estimate future years and no benchmarks have been set.

The method of calculation for this measure was changed for SFY2010, and prior years were restated using the same method. The total number of births did not change, but the breakdown by trimester was changed from months to weeks.

Previously: 1st Trimester (first 3 months), 2nd Trimester (4-6 months), and 3rd Trimester (7-9 months)

Now: 1st Trimester (first 13 weeks), 2nd Trimester (14-26 weeks), and 3rd Trimester (27-40 weeks)

Medical Care/Sec Trimester	11,861	9,911	NA	NA
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- * Number of Women seeking prenatal care before delivery: third trimester - Output

This measure reports the number of women first seeking health care services during the third trimester of pregnancy.

Actual data has been reported for SFY2009 and SFY2010. Because of the continued evolvement of this project, no attempt has been made to estimate future years and no benchmarks have been set.

The method of calculation for this measure was changed for SFY2010, and prior years were restated using the same method. The total number of births did not change, but the breakdown by trimester was changed from months to weeks.

Previously: 1st Trimester (first 3 months), 2nd Trimester (4-6 months), and 3rd Trimester (7-9 months)

Now: 1st Trimester (first 13 weeks), 2nd Trimester (14-26 weeks), and 3rd Trimester (27-40 weeks)

Medical Care/Third Trimester	5,095	4,215	NA	NA
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- * Percent of Women seeking prenatal care before delivery - Outcome

This measure reports the percent of women delivering babies through SoonerCare who sought health care services before delivery.

Actual data has been reported for SFY2009 and SFY2010. Because of the continued evolvement of this project, no attempt has been made to estimate future years and no benchmarks have been set.

% Pregnant/Receiving Svcs	97%	96%	NA	NA
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- * % of Members with <4 ER Visits for a Full Year Following Intervention - Outcome

Results for this measure are unavailable at the time of budget reporting.

Members utilizing ER services four or more times in a quarter are referred to Member Services for either education in the appropriate use of the emergency room or referral to Care Management staff when assistance is needed for complex medical needs. This measure reports the percent of members with <4 ER visits for a full year following contact by Member Services.

% of Members with <4 ER visi	NA	NA	NA	NA
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FY - 2012 EXECUTIVE BUDGET

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
200	Health Care Authority Revolving	104,572	109,039	154,623
245	Hlth Emp & Economy Imp Act	32,158	54,545	65,732
340	CMIA Programs Disbursing Fund	3,949,512	4,318,726	4,345,307
Total Expenditures by Fund		<u>\$4,086,242</u>	<u>\$4,482,310</u>	<u>\$4,565,662</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Salaries and Benefits		32,935	34,874	38,484
Professional Services		66,808	76,252	116,858
Travel		345	251	605
Lease-Purchase Expenditures		0	0	0
Equipment		680	531	1,013
Payments To Local Govt Subdivisions		0	0	0
Other Operating Expenses		3,985,474	4,370,402	4,408,703
Total Expenditures by Object		<u>\$4,086,242</u>	<u>\$4,482,310</u>	<u>\$4,565,663</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
10	Operations			
1	Executive	550	487	533
2	Program, Integrity & Planning	4,082	4,393	4,770
3	Medical Professional	2,562	2,650	2,953
4	Agency Operations	1,842	1,869	2,371
6	Communication Services	1,345	1,452	1,816
7	Legal Services	3,642	2,455	3,405
8	Non Emergency Transportation	79	81	81
9	Financial Services	4,779	4,726	5,651
10	Grant Management	5,866	561	0
12	Provider Support Services	3,915	3,631	3,832
13	Program Operations & Benefits	6,497	7,058	7,731
14	Quality Assurance	1,684	1,711	1,804
15	Opportunities for Living Life	1,482	1,671	1,954
22	Quality Of Care Administration	500	526	602
88	Informational Services	4,051	4,366	4,892
Total Operations		<u>42,876</u>	<u>37,637</u>	<u>42,395</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
20	Medicaid Payments		
1	Medicaid Payments	3,939,391	4,258,935
	Total Medicaid Payments	3,939,391	4,258,935
21	OSA Non-Title XIX Medical		
1	Non-Title XIX Other Agency	9,273	10,609
	Total OSA Non-Title XIX Medical	9,273	10,609
22	Rehabilitation Services		
1	Rehabilitation Services	0	0
	Total Rehabilitation Services	0	0
23	Juvenile Affairs Services		
1	Juvenile Affairs Services	848	645
	Total Juvenile Affairs Services	848	645
30	Medicaid Contracted Services		
1	Medicaid Contracted Services	30,232	32,518
22	Quality Of Care Contract Svcs	1,115	708
88	Information Services	30,349	33,202
	Total Medicaid Contracted Services	61,696	66,428
40	Premium Assistance Program		
1	Employer Sponsored	27,941	96,711
2	State Sponsored	0	0
3	Administration	1,901	3,343
88	Information Services	2,317	3,028
	Total Premium Assistance Program	32,159	103,082
50	Grants Management		
1	Operations	0	2,468
88	Information Systems	0	2,507
	Total Grants Management	0	4,975
Total Expenditures by Activity		\$4,086,243	\$4,482,311
			\$4,565,662

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
10	Operations	424.0	418.3
40	Premium Assistance Program	10.0	16.4
50	Grants Management	0.0	10.5
Total FTE		434.0	445.2
Number of Vehicles		6	0

OUTSTANDING DEBT	\$000's		
	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Lease-purchase obligations	0	29	21
Revenue bond issues	0	0	0
Other debt	0	0	0
Total Outstanding Debt	<u>\$0</u>	<u>\$29</u>	<u>\$21</u>

HEALTH DEPARTMENT (340)

MISSION

Creating a State of Health

THE BOARD

The nine member State Board of Health is appointed by the Governor and confirmed by the Senate for nine year terms. The Commissioner of Health is appointed by the Board and is responsible for the administration of public health programs in the State. Not less than four members shall hold a current license to practice medicine in this state pursuant to the Oklahoma Allopathic Medical and Surgical Licensure and Supervision Act and the Oklahoma Osteopathic Medicine Act. At least one physician member shall be a person licensed to practice medicine in this state by the State Board of Osteopathic Examiners. Physician members licensed by the State Board of Medical Licensure and Supervision and shall be members of the Oklahoma State Medical Association. One physician member shall be a diplomate of the American Board of Psychiatry and Neurology or be similarly qualified. Eight of the members must be residents of certain specified counties for the five years preceding their appointments. The ninth member is appointed from the state at large and must be a resident of the state for the five years preceding appointment.

Policy is developed by the State Board of Health. Most of the operations of the department are carried out through organized programs delivered by the State Department of Health staff through 70 county health departments. Of these 70 county health departments, Oklahoma and Tulsa counties are served by autonomous City-County Health Departments which follow Board of Health rules but are administratively independent.

DUTIES/RESPONSIBILITIES

Public Health Infrastructure (10): This Deputy area reports to the Chief Operating Officer and consists of the Office of the Commissioner, Chief Operating Officer, Office of Accountability Systems, Office of General Counsel, Office of Communications, Internal Audit Unit, State Nutrition & Physical Activity, Office of State & Federal Policy, Office of Performance Management, Civil Rights Administrator, Chief Financial Officer, Accounting Services, Budget & Funding, Building Management and Internal Services, Internal Security, Federal Funds Development, Information Technology, Office of Human Resources and Procurement.

Prevention and Preparedness Services (PPS) (20): This Deputy area consists of the Public Health Laboratory Service, Acute Disease Service, Chronic Disease Service, Human Immunodeficiency Virus (HIV) / Sexually Transmitted Diseases (STD) Service, Immunization Service, Injury Prevention Service, Tobacco Use Prevention Service and Emergency Preparedness and Response Service.

Protective Health Services (PHS) (55): This Deputy area consists of the Health Resources Development Service, Long Term Care Services, Medical Facilities, Consumer Health Services and Quality Improvement and Evaluation Service.

Community & Family Health Services (CFHS) (75): This Deputy area consists of Maternal and Child Health; Site Specific Lead Testing and SoonerStart; Dental Health Service; Family Support and Prevention Service; Child Guidance Service; Women, Infants and Children (WIC) Service; Nursing Service; Records Management Division; the Office of Community Development (Turning Point; Office of Primary Care and Rural Health; Health Promotion; Health Equity and Resource Opportunities (HERO); the Office of Minority Health) and county health departments in sixty-eight counties.

Center for Health Statistics and Information Technology (85): This Deputy area reports to the Chief Operating Officer and consists of Vital Records, Health Care Information and Information Technology.

STATUTORY REFERENCES

Program Name	Statutory Reference
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FY - 2012 EXECUTIVE BUDGET

5 - Science and Research	Oklahoma Statutes, Title 63
3 - Prevention Services and Wellness Promotion	Oklahoma Statutes, Title 63
2-Priority Public Hlth Services-Improvement of Hlth Outcomes	Oklahoma Statutes, Title 63
4 - Access to competent personal, consumer & healthcare svcs	Oklahoma Statutes, Title 63
1 - Public Health Imperatives	Oklahoma Statutes, Title 63
6 - Public Health Infrastructure	Oklahoma Statutes, Title 63

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY- 2011</u> <u>Budgeted</u>	<u>FY-2012</u> <u>Estimated</u>
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Goal: Strong and Healthy Oklahoma

- * Increase physical activity across the lifespan and reduce sedentary lifestyles. By 2012, increase by 2% the proportion of the population (children, adolescents, adults, elderly, and families) engaging in regular daily physical activity.
Physical Activity 25-44 73.5%
- * Increase physical activity across the lifespan and reduce sedentary lifestyles. By 2012, increase by 2% the proportion of the population (children, adolescents, adults, elderly, and families) engaging in regular daily physical activity.
Physical Activity 45-64 68.0%
- * Increase physical activity across the lifespan and reduce sedentary lifestyles. By 2012, increase by 2% the proportion of the population (children, adolescents, adults, elderly, and families) engaging in regular daily physical activity.
Physical Activity 65+ 62.5%
- * Increase social and environmental changes that facilitate physical activity/education opportunities. By 2012, increase by 10% the number of school districts with policies that promote physical activity during the day.
Physical Activity in Schools 6%
- * Increase social and environmental changes that facilitate physical activity/education opportunities. By 2012, promote legislation requiring mandatory daily physical education for elementary schools, middle schools, and high schools.
Mandatory Daily PE in School TBD
- * Increase physical activity across the lifespan and reduce sedentary lifestyles. By 2012, increase by 2% the proportion of the population (children, adolescents, adults, elderly, and families) engaging in regular daily physical activity.
Physical Activity 18-24 80.5%
- * Increase access to facilities that promote physical activity and fitness. By 2012, increase miles of walking and bicycle trails and safe walking routes to schools by 20%.
Access to Facilities TBD

Goal: Tobacco Use Prevention

- * Prevent exposure to environmental tobacco smoke especially among vulnerable populations including pregnant women and children. Proportion of indoor working adults with a smoke-free work policy.
Smoke-free Worksite 79.9%
- * Reduce tobacco consumption in statewide populations including racial/ethnic minorities. Prevalence (per 100) of adult smokers.
Tobacco Consumption 25.8%

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
Goal: Tobacco Use Prevention				
* Reduce by 2% the deaths due to stroke by 2008. Actual data reported for calendar years (2005 ĩ 2012). Numbers are Age-adjusted Stroke Deaths per 100,000.				
Stroke Death Rate	48.8			
* Reduce by 2% the deaths due to heart disease by 2008. Actual data reported for calendar years (2005 ĩ 2012). Numbers are Age-adjusted Heart Disease Deaths per 100.000.				
Heart Disease Death Rate	233.4			
* Prevent tobacco use among youth including racial/ethnic minorities. Reduce tobacco use by adolescents in grades 9-12 who have used tobacco products on one or more of the past 30 days. Numbers are the percent of adolescents in grades 9-12 using tobacco products.				
Tobacco Use Among Youth	23.0%			
* Reduce by 2% the deaths due to all cancers by 2008. Actual data reported for calendar years (20035-2012). Numbers are Age-adjusted All Cancer Deaths per 100,000.				
All Cancers Death Rate	192.4			
Goal: Immunization and Infectious Disease				
* Decrease the incidence of infectious disease. Reduce the rate of disease caused by foodborne pathogens to 20.4 cases per 100,000 population by 2012.				
Foodborne Pathogens	36.9			
* Decrease the incidence of infectious disease. Reduce the rate of Tuberculosis to the rate of 4.0 cases per 100,000 by 2012.				
Tuberculosis	4.3			
* By 2012, increase to 80% the percent of adults aged 65 and older that receive an annual influenza vaccination as measured by BRFSS.				
Vaccination Rates 65+	77%			
* By 2012, increase vaccination rates of 19 to 35 month old children who have received the full schedule of age-appropriate immunizations against Measles, Mumps, Rubella, Polio, Diphtheria, Tetanus, Pertussis, Haemophilis, Influenza and Hepatitis B.				
Vaccination Rates 2 yr olds	80.8%			
Goal: Injury and Violence Prevention				
* The rate (per 100,000) of suicide deaths among youths ages 15 through 19.				
Youth Suicides	9.9			
* Increase the percentage of hospital injury discharges with an external cause of injury code (E code) to 95% by 2012. Source: Injury Indicators;Hospital Discharge Data				
External Cause of Injury	n/a			
* Increase seat belt use to 85.5% by 2012. Source: OHSO Seat Belt Observation				
Seat Belt Usage	n/a			
* Decrease the percentage of high school students who rport they never or rarely wear a seatbelt to 8% by 2012.				
Seat Belt Usage-High School	n/a			

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Injury and Violence Prevention

- * Reduce the incidence of injuries related to violence. Reduce the incidence of child abuse by 10%. Rate of death per 100,000 children.
Child Abuse 3.76
- * Increase child restraint (car seat, booster seat, seat belt) use among 0-6 years of age to 90% by 2012. Source: OHSO Statewide child Restraint Survey
Child Restraint Usage n/a
- * Reduce burn center-treated and fatal residential fire-related injuries to 3.0 per 100,000 population by 2012. Source : IPS burn Surveillance System.
Fire-Related Injuries n/a
- * Reduce deaths from work-related injuries to 5.0 deaths per 100,000 workers by 2012. Source: CFOI
Occupational Injuries n/a
- * Stabilize the continuous rate increases in unintentional poisoning deaths by 2012 (age-adjusted rate per 100,000 population). Source: Injury Indicators; Deaths
Unintentional Poisoning Death n/a
- * Reduce the percentage of high school students who have ever been physically forced to have sexual intercourse to 6.7% by 2012. Source: YRBS
High School Forced Sex n/a
- * Increase the number of middle schools that conduct comprehensive dating violence prevention programs to 12 by 2012. Source: IPS RPE staff
Middle School Violence Prev 0
- * Increase the number of schools that conduct comprehensive bullying prevention programs to 12 by 2012. Source: IPS RPE Staff
Violence Prevention 2

Goal: Healthy Children and Families

- * Percent of adolescent births out-of-wedlock aged 15-17 years at the time of delivery.
Out-of-Wedlock(15-17) 85.0
- * Percent of adolescents who report current sexual activity (activity within the past 3 months).
Adolescent Sexual Activity 36.1
- * The rate of birth (per 1,000) for teenagers aged 15 through 17 years.
Birth Rate 26.8
- * Reduce the number of unintended pregnancies. The percent of women who have an unintended pregnancy (mistimed or unwanted) resulting in a live birth.
Unintended Pregnancy 48.0%

Goal: Access to Care

- * Increase the percent of Medicaid population birth through 18 years of age receiving dental services.

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Goal: Access to Care				
Dental Services 0-18	32%			
* Reduce the negative impact of environmental factors on human health. Promote increase in the percent of population on public water systems receiving optimally fluoridated water.				
Fluoridated water Systems	74.8%			
* The percent of children with special health care needs age 0-18 whose families have adequate private and/or public insurance to pay for the services they need.				
Screening & Special Services	62.9%			
* Improve the access to quality health services. Percent of infants born to pregnant women receiving prenatal care beginning in the first trimester.				
1st Trimester Care	81.7%			

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: 2-Priority Public Hlth Services-Improvement of Hlth Outcomes				
Goal: Achieve Improvements in Oklahoma Health Improvement Plan (OHIP) Flagship Issues				
* By 2015, reduce the number of infant deaths from 8.0 per 1,000 live births to 7.2 per 1,000 live births.				
Reduce Infant Deaths	8.0% (est)	7.8%	7.7%	7.6%
* By 2015, reduce the age-adjusted death rates due to cardiovascular disease from 354.4 to 326.3 per 100,000 population in Oklahoma.				
Red. Cardiovascular Disease	354.4 (est)	349.4	344.6	339.7
* By 2015, increase the percent of pregnant women receiving adequate prenatal care, as defined by the Kotelchuck APNCU Index, from 69% to 74%				
Adequate Prenatal Care	69%	71%	72%	73%
* By 2015, reduce the prevalence of adult smoking from 24.7% (2008 actual) to 15.9%.				
Reduce Adult Smoking	25.5% (est)	22.2%	20.9%	19.7%
* By 2015, reduce the prevalence of obesity in Oklahoma's adult population from 30.9% to 30.0%.				
Reduce Obesity	30.9% (est)	30.9%	30.7%	30.7%

Goal: Focus on Core Public Health Priorities

* By 2015, reduce the rate of occupational fatalities from 8.0 to 7.0 per 100,000 workers in Oklahoma.				
Reduce Occupation Fatalities	8.0	7.8	7.6	7.4
* By 2015, reduce preventable hospitalizations from 88.0 to 83.0 per 1,000 Medicare enrollees.				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 2-Priority Public Hlth Services-Improvement of Hlth Outcomes

Goal: Focus on Core Public Health Priorities

Reduce Prev Hospitalizations	88.0	87.0	85.9	84.8
* By 2015, increase immunization rates of 19-35 month old children from 70.2% to 85.0%.				
Increase Immunization Rate	70.2%	75.0%	77.0%	79.0%

Program: 3 - Prevention Services and Wellness Promotion

Goal: Focus on Core Public Health Priorities

* Increase the percentage of SoonerStart eligible children who receive an evaluation and an initial IFSP within 45 days of consent to 100%.				
SoonerStart evals & IFSPs	78.53%	80.00%	85.00%	90.00%
* Increase average monthly WIC caseload to 110,000 by 2015.				
Increase WIC Caseload	99,975	104,000	105,100	106,200
* Percentage of newborns screened prior to hospital discharge.				
% Newborns Screened	98.0%	98.5%	99.0%	99.5%
* Reduce percentage of WIC infants and children considered underweight by 0.2% each calendar year.				
WIC Underweight infants	10.6%	10.3%	10.1%	9.9%

Program: 4 - Access to competent personal, consumer & healthcare svcs

Goal: Focus on Core Public Health Priorities

- * Number of functioning multi-disciplinary teams Child Abuse Training Council (CATC).

OKlahoma statutes require that a Child Abuse Multi-disciplinary Team (MDT), made up of the District Attorney, a child welfare worker, law enforcement, a mental health professional and a health care professional, be established in each District Attorney's district in order to routinely staff active child abuse and neglect cases. The OSDH Child Abuse Training and Coordination Program (CATC) is charged with determining which MDTs are meeting appropriate practice standards and subsequently recommending MDTs to the OKDHS for funding. In addition, CATC is responsible for the development and training of these MDTs.

Multi-disciplinary Teams	27	25	26	27
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Program: 5 - Science and Research

Goal: Focus on Core Public Health Priorities

- * Development of a Maternal and Child Health module in the data warehouse (module may include but is not limited to following linked datasets: birth, death, stillbirth, inpt discharge, outpt surg, amb surg, immunization, newborn screening, birth defects, pgms, WIC, Medicaid, PHOCIS, PRAMS, TOTS, OCAP, C1).

Yr 1: MPI complete
 Yr 2: Warehouse design complete
 Yr 3: Module designed
 Yr 4: Make data available to external users through OK2SHARE
 Yr 5: Module available to internal users for research purposes

MCH Module	0%	0%	0%	25%
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Program: 6 - Public Health Infrastructure

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 6 - Public Health Infrastructure

Goal: Achieve Accreditation & Create a Quality Improvement Culture

* Number of county health departments accredited.

National PH Accreditation	0 (est)	0 (est)	0	1
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X General Revenue	68,755	57,322	64,454
202 Kidney Health Revolving Fund	0	1	375
204 Tobacco Prevention & Cessation Fd	1,393	1,271	1,735
207 Alternatives to Abortion Servi	22	2	0
210 Public Health Special Fund	40,202	41,457	48,512
212 Home Health Care Revolving	189	143	230
217 Home Inspection Lic Act Rev Fu	29	0	0
218 Fire Extinguisher Ind Rev Fund	32	109	96
222 Oklahoma Organ Donor Education	136	129	141
225 Breast Cancer Act Revolving	78	3	106
228 OK Leukemia and Lymphoma	0	0	45
230 Licensed Marriage & Fam Therapist	35	78	93
236 Trauma Care Assistance Revolving	25,822	26,651	27,454
250 Regional Guidance Centers	18	4	0
255 Licensed Prof Counselors Revolving	330	346	377
257 Board Of Licensed Beh Pr	28	20	25
265 Child Abuse Prevention Fund	3,455	3,109	526
267 EMT Death Benefit Revolv Fund	10	5	25
268 Okla Emerg Resp Syst Stab & Im	0	0	2,000
270 Barber Licensing Revolving	210	195	205
280 Alarm Industry Revolving Fund	577	540	576
284 Dental Loan Repayment Revolvin	269	419	329
285 OK Ins Disaster & Emer Med Rev	2,503	2,779	0
295 Professional Boxing Licensing	395	398	380
340 CMIA Programs Disbursing Fund	71,194	67,278	75,000
400 Federal Funds	122,708	136,006	132,002
490 American Recov. & Reinv. Act	0	685	3,745
Total Expenditures by Fund	\$338,390	\$338,950	\$358,431

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	141,760	143,138	143,810	
Professional Services	36,345	32,819	44,191	
Travel	6,146	5,215	6,478	
Lease-Purchase Expenditures	2	0	0	
Equipment	4,609	7,834	4,422	
Payments To Local Govt Subdivisions	15,760	19,298	14,989	
Other Operating Expenses	133,772	130,645	144,542	
Total Expenditures by Object	\$338,394	\$338,949	\$358,432	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1 Support Services				
1 Support Serv. Administration	5,102	5,504	0	
2 Administrative Services	10,931	12,877	0	
10003 Center For Health Information	5,657	3,263	0	
88000 Support Serv. Admin. DP	423	521	0	
88001 Administrative Services DP	98	541	0	
88003 Center for Health Info DP	1,375	940	0	
88888 Information Technology	7,344	8,119	0	
Total Support Services	<u>30,930</u>	<u>31,765</u>	<u>0</u>	
10 Public Health Infrastructure				
10001 Public Health Infrastructure	0	0	16,256	
10003 Organ Donor	0	0	140	
20004 All Hazards, Prep & Emer Respo	0	0	145	
88101 Publ Hlth Infrastructure DP	0	0	1,583	
88103 Organ Donor DP	0	0	0	
88204 All Hazards Prep Emer Respn DP	0	0	4	
Total Public Health Infrastructure	<u>0</u>	<u>0</u>	<u>18,128</u>	
20 Prevention & Preparedness Svc				
1 Disease & Prev Administration	1,358	510	0	
10001 Public Health Infrastructure	0	0	817	
20001 Public Health Laboratory Serv.	7,573	8,174	0	
20002 Acute Disease Service	2,572	2,884	0	
20003 Infectious Disease Survey&Cntl	6,329	6,061	28,266	
20004 All Hazards Prep Emer Response	13,884	14,472	11,715	
20005 Immunization Service	4,245	4,484	0	
20006 Injury Prevention Service	1,537	1,673	0	
20007 Tobacco Use Prevention	6,562	3,128	0	
20008 Emergency Preparedness & Respo	8,010	13,467	0	
30001 Tobacco Prevention & Control	0	0	4,257	
30002 Obesity Reduction	0	0	1,606	
30003 Children's Health	0	0	150	
30005 Preventable Hospitalizations	0	0	3,361	
30006 Occupational Fatalities	0	0	50	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's	
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
20	Prevention & Preparedness Svc			
30007	0	0	471	
40001	0	0	392	
40002	0	0	228	
40003	0	0	3,062	
40006	0	0	241	
40008	0	0	745	
40012	0	0	822	
40014	0	0	1,723	
40015	0	0	457	
60001	0	0	183	
60002	0	0	963	
88000	306	24	0	
88001	265	405	0	
88002	283	516	0	
88003	75	95	0	
88004	212	125	0	
88005	370	336	0	
88006	35	31	0	
88007	45	43	0	
88008	256	2,096	0	
88101	0	0	125	
88203	0	0	2,179	
88204	0	0	727	
88301	0	0	64	
88302	0	0	27	
88303	0	0	4	
88305	0	0	11	
88307	0	0	10	
88401	0	0	8	
88402	0	0	6	
88403	0	0	30	
88406	0	0	7	
88408	0	0	17	
88412	0	0	25	
88414	0	0	24	
88415	0	0	8	
88601	0	0	3	
88602	0	0	28	
	53,917	58,524	62,812	
	Total Prevention & Preparedness Svc			
35	Family Health Services			
1	394	459	0	
35001	9,827	9,096	0	
35005	3,127	3,033	0	
35007	929	1,075	0	
41000	8,833	7,730	0	
44000	2,421	2,492	0	
60000	9,021	9,223	0	
69000	80,810	77,822	0	
88000	247	41	0	
88001	123	104	0	
88005	85	220	0	

HEALTH DEPARTMENT

HEALTH

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
35	Family Health Services		
88007	Dental Health Service DP	5	0
88041	Family Support & Prevention Se	105	0
88044	Child Guidance Data Processing	78	0
88060	Early Intervention DP	114	0
88069	WIC Service Data Processing	489	0
	Total Family Health Services	116,608	0
40	Community Health Services		
1	Central Office	2,301	0
35001	Womens Health	6	0
35007	Dental Services	0	0
40001	Office of Community Dev.	3,847	0
40002	County Health Departments	80,915	0
88000	Central Office Data Processing	65	0
88001	Office of Community Dev. DP	44	0
88002	County Health Departments DP	2,306	0
	Total Community Health Services	89,484	0
55	Protective Health Services		
1	Protective Health Serv. Admin	550	0
10001	Public Health Infrastructure	0	753
10002	Oklahoma State Athletic Commis	0	320
20001	Reg Licensing Insp Svcs Complt	0	16,920
20002	Med Sys Coord and Sustainabili	0	30,376
20003	Infectious Disease Sur & Cntrl	0	589
20004	All Hazards, Prep & Emer Respo	0	19
20005	Consumer Protection	0	2,459
50005	Barber	0	179
50006	Alarms and Locksmiths	0	458
50008	Fire Extinguisher	0	81
50009	Registered Sanitarians	0	6
55001	Health Resources Dev Serv	1,287	0
55002	Long Term Care	8,412	0
55003	Medical Facilities Service	32,117	0
55004	Consumer Health Services	3,459	0
55005	Quality Improve & Evaluation S	769	0
88000	Prot Health Serv Admin. DP	29	0
88001	Hlth Res Dev Serv DP	37	0
88002	Long Term Care DP	336	0
88003	Medical Facilities Serv DP	323	0
88004	Consumer Health Services DP	130	0
88005	Quality Improv & Eval Serv DP	8	0
88101	Public Health Infrastructur DP	0	19
88102	Oklahoma State Athletic Com DP	0	45
88201	Reg Licens Insp Svcs Complt DP	0	461
88202	Med Sys Coord and Sustain DP	0	87
88203	Infec Disease Survey & Cntl DP	0	8
88204	All Hazards, Prep & Emer Respo	0	150
88205	Consumer Protection DP	0	40
88505	Barber DP	0	4
88506	Alarms and Locksmiths DP	0	16
88508	Fire Extinguisher DP	0	3

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
55	Protective Health Services		
88509	Registered Sanitarians DP	0	0
	Total Protective Health Services	47,457	51,340
75	Community & Family Health Svcs		
10001	Public Health Infrastructure	0	16,363
20003	Infectious Dis Surveil & Contl	0	9,988
20004	All Hazards, Prep & Emer Respo	0	3,738
20005	Consumer Protection	0	4,335
20006	Vital Records Pittsburg County	0	85
30001	Tobacco Prevention & Control	0	1,228
30002	Obesity Reduction	0	1,101
30003	Children's Health	0	36,396
40003	Cancer Programs	0	188
40005	Early Interventn "SoonerStart"	0	19,086
40006	Childhood Lead, Adult Bld Lead	0	51
40007	Comm Based Child Abuse Prev	0	4,315
40008	Congen Disorder Hearing	0	15
40009	Dental Health Services	0	1,255
40010	Child Guidance	0	4,971
40011	Highway Safety	0	20
40012	Injury Prevention	0	35
40018	Warm Line (Daycare)	0	188
40019	WIC	0	96,798
50003	Child Abuse Training Council	0	401
50004	Primary Care	0	4,244
50005	Barber	0	17
60003	Youth Risk Behavior Survey	0	42
88101	Public Health Infrastructur DP	0	468
88203	Infectious Dis Sur&Contl DP	0	366
88204	All Hazards Prep Emer Respn DP	0	90
88205	Consumer Protection DP	0	128
88206	Vital Records Pittsburg Cnt DP	0	4
88301	Tobacco Prevent & Control DP	0	45
88302	Obesity Reduction DP	0	32
88303	Children's Health DP	0	801
88307	Cardiovascular Disease DP	0	0
88403	Cancer Programs DP	0	7
88405	Early Intervent SoonerStart DP	0	434
88406	Childhood Lead Adult Bld Ld DP	0	3
88407	Comm Based Child Abuse Prev DP	0	20
88408	Congen Disorder Hear DP	0	1
88409	Dental Health Services DP	0	30
88410	Child Guidance DP	0	110
88411	Highway Safety DP	0	1
88412	Injury Prevention DP	0	1
88418	Warm Line (Daycare) DP	0	25
88419	WIC DP	0	1,538
88503	Child Abuse Training Council DP	0	6
88504	Primary Care DP	0	4
88505	Barber DP	0	1
	Total Community & Family Health Svcs	0	208,975

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
85 Health Statistics & Info Tech			
20006 Vital Records	0	0	3,145
60005 Health Care Information	0	0	1,029
60006 BRSS	0	0	605
88206 Vital Records DP	0	0	1,103
88605 Health Care Information DP	0	0	85
88606 BRSS DP	0	0	44
88888 Information Technology	0	0	9,506
Total Health Statistics & Info Tech	<u>0</u>	<u>0</u>	<u>15,517</u>
Total Expenditures by Activity	<u><u>\$338,396</u></u>	<u><u>\$338,949</u></u>	<u><u>\$358,425</u></u>

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1 Support Services	314.7	305.0	0.0
10 Public Health Infrastructure	0.0	0.0	172.9
20 Prevention & Preparedness Svc	216.6	219.6	251.4
35 Family Health Services	220.7	210.6	0.0
40 Community Health Services	1,238.8	1,207.0	0.0
55 Protective Health Services	227.2	231.1	293.3
75 Community & Family Health Svcs	0.0	0.0	1,370.2
85 Health Statistics & Info Tech	0.0	0.0	125.6
Total FTE	<u>2,218.0</u>	<u>2,173.3</u>	<u>2,213.4</u>
Number of Vehicles	4	4	4

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project:	FY-2009	FY-2010	FY-2011	
# Project name	Actual	Actual	Estimated	
91 Pub Hlth Infrastruct Capital				
10012 Central Office Infrastructure	0	23		0
10014 Architectural Services	522	0		0
Total Capital Outlay by Project	<u><u>\$522</u></u>	<u><u>\$23</u></u>		<u><u>\$0</u></u>

MENTAL HEALTH & SBST ABUSE SRVC DEPT (452)**MISSION**

The mission of the Oklahoma Department of Mental Health and Substance Abuse Services is to promote healthy communities and provide the highest quality care to enhance the well-being of all Oklahomans.

THE BOARD

The Board of Mental Health and Substance Abuse Services is composed of eleven members appointed by the Governor, with the consent of the Senate, for a seven year term. Statutorily, membership must include a licensed physician, a psychiatrist, a psychologist, an attorney and three persons with education and experience in substance abuse recovery.

DUTIES/RESPONSIBILITIES

The Oklahoma State Department of Mental Health and Substance Abuse Services has the responsibility for developing and maintaining programs for the prevention and treatment of mental illness and substance abuse. This responsibility includes the total population of children and adults and covers a wide range of programs. The Department of Mental Health and Substance Abuse Services, as the mental health, alcohol and drug abuse authority, plans, operates, funds and certifies a wide range of programs designed to create and maintain a continuum of care of evidence based practices in the State of Oklahoma. Major components are as follows:

MENTAL HEALTH CENTERS- The department has developed comprehensive mental health services in each of the State's 17 service areas. Five of the existing community mental health centers are state-operated. They are located in Norman, Lawton, Woodward, McAlester and Tahlequah with satellites in numerous Oklahoma communities. In addition, the department has performance contracts for services with ten nonprofit corporations based in: Nowata, Tulsa (2), Muskogee, Okmulgee, Ardmore, Oklahoma City (3), and Ponca City. CMHCs continue to target services to adults who have severe and persistent mental illness and children who have a serious emotional disturbance.

SUBSTANCE ABUSE TREATMENT PROGRAMS- As the alcohol and drug authority under Title 43A of the Oklahoma State Statutes, the department is responsible for comprehensive planning and program implementation in the areas of education, training, prevention, and treatment for individuals and families affected by alcohol and drug abuse.

The department directly operates treatment centers in Vinita, Norman, Woodward, Tahlequah, and seeks to provide a continuum of care in each of the 8 substance abuse regional planning areas of the state through contracts with nonprofit agencies offering comprehensive alcohol and drug abuse treatment services, including services to youth and inmates.

PREVENTION PROGRAMS - The department has established several projects for early intervention and prevention of alcohol and drug abuse related problems, including educating medical personnel, disseminating alcohol/drug information statewide through 17 Area Prevention Resource Centers, promoting and offering assistance to parent support groups, providing a parent training program on developing capable people and promoting and developing employee assistance programs. Specialized services are offered to pregnant women, women with dependent children, youth and older populations. These programs are both state-operated and contracted. Programs to meet the unique requirements of the dually diagnosed continue to be refined. Prevention programs also include mental health prevention initiatives such as suicide prevention training programs.

CHILDREN'S SERVICES- The department contracts for a variety of mental health services for children, including family based, in-home services, outpatient services, group homes and wrap around services. The Childrens Recovery Center (CRC) is the only state-operated psychiatric hospital for children in the state. CRC also offers residential treatment services. In the substance abuse area, the Department is putting an increased emphasis on adolescent treatment, as well as treatment of mothers with dependent children.

OKLAHOMA COUNTY CRISIS INTERVENTION CENTER/TULSA CENTER FOR BEHAVIORAL HEALTH- The department operates a crisis center for persons in Oklahoma County and Tulsa County for mental health, alcohol, and drug emergencies. The Oklahoma County crisis center operates the department's 24 hour toll-free hotline.

PSYCHIATRIC HOSPITALS- The department operates a psychiatric hospital for adults in Norman, a forensic hospital for adults in Vinita, and a psychiatric hospital for children and youth in Norman. The two Norman hospitals receive voluntary and involuntary court committed patients while the forensic hospital in Vinita serves only individuals sent for evaluation or treatment through the criminal court system.

CENTRAL OFFICE- The central office of the Department of Mental Health and Substance Abuse Services provides direction, orientation, and professional and technical assistance to various facilities and service units within the department, as well as to locally-based community mental health providers, alcohol and drug treatment and prevention programs, crisis centers, and a variety of other contracted programs. It sets standards, policies and goals for programs, and monitors the programs to assure each service.

STATUTORY REFERENCES

Program Name	Statutory Reference
Central Administration	Title 43A, Section 1 et seq. of the Oklahoma Statutes.
Inpatient Hospitals	Title 43A, Section 1 et seq. of the Oklahoma Statutes.
Community Based Programs	Title 43A, Section 1 et seq. of the Oklahoma Statutes.
Substance Abuse Programs	Title 43A, Section 1 et seq. of the Oklahoma Statutes
Residential Care Programs	Title 43A, Section 1 et seq. of the Oklahoma Statutes.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Goal: Decrease the incidence and progression of substance abuse/chemical dependency and mental illness through increased awareness and understanding of the issues and the provision of prevention and early intervention services.

- * The Department aims to reduce the impact of mental illness on a client's life by aggressive early treatment, resulting in a lesser need for restrictive, inpatient treatment. This goal is measured by the number of all inpatient days, as measured in the Integrated Client Information System.

1 Inpatient Treatment	64,254 days	52,500 days
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Goal: People in Oklahoma will receive best-practice interventions delivered in a timely, culturally competent manner that promotes recovery and an increased quality of life.

- * A significant outcome of drug courts is a dramatic increase in unemployment. (Data is on a multi-year basis)

Drug court unemployment	87.1%
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- * In this measure, the Department is tracking drug court graduate arrest rates in comparison to those of other individuals in the criminal justice system. (This data is being tracked on a multi year basis)

Drug court arrest rate	23.5%
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- * This measure reflects the percent decrease in out of home residential placement days for children in system of care programs, based on the 90 days before intake and a sampled 90 day period in the 6 months after intake. Fiscal year refers to the client's intake year.

SOC-out of home placement	45%	35.5%
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- * PACT (Program of Assertive Community Treatment) is a best practice program which aims to maintain clients in an outpatient setting, especially those clients who are high users of inpatient services. This goal is measured through the percent decrease in hospital days spent by clients enrolled in a PACT program. (Data is collected for the twelve months prior to PACT enrollment and twelve months after PACT enrollment.)

PACT-decrease in hosp. use	68.4%	75%
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STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: People in Oklahoma will receive best-practice interventions delivered in a timely, culturally competent manner that promotes recovery and an increased quality of life.

- * Percent decrease in the number of arrests of clients enrolled in a system of care program based on the 90 days before intake and a sampled 90 day period in the 6 months after intake. Fiscal year refers to the client's intake year.

SOC decrease in arrests 49% 63.9%

- * Percent decrease in in-school suspension days by clients enrolled in a system of care program based on the 90 days before intake and a sampled 90 day period in the 6 months after intake. Fiscal year refers to the client's intake year.

SOC-decr. in school susp. 45% 79.5%

Goal: Program capacity throughout the state will be sufficient to address the size, composition, and distribution of the state's population.

- * The Department aims to increase its collections from third party revenue sources while preserving state appropriations for indigent services. Measured is Fund 200 revolving fund revenues.

Third party revenues \$36,344,000 31,442,242

- * The Department aims to increase the availability of core outpatient services to and promote the recovery of Oklahomans who would benefit from such services. One measure of this goal relates to clients who receive inpatient psychiatric services. Appropriate community based mental health treatment should follow a client's discharge from inpatient services and prevent a readmission soon thereafter. This measure tracks the percentage of clients who are discharged from inpatient services and subsequently readmitted to the same within 30 days.

Hospital readmissions 8.1% 7.0%

- * In the substance abuse treatment area, the Department aims to reduce the unmet need for treatment, as measured by the gap (%) between total need (persons with income under 200% of poverty level) and persons served through DMHSAS funded substance abuse programs.

Unmet Need-Substance Abuse 79.35%

- * In the mental health area, the Department aims to decrease the percentage of clients with serious mental illness who go unserved.

Unmet Need-Mental Health 63.18%

Goal: Services provided through the DMHSAS will recognize and address the comprehensive and holistic needs of the people served.

- * (Substance Abuse) The Department aims to ensure consumers are satisfied that the services they receive are meeting their needs. This goal is measured by the percentage of clients who positively rate their satisfaction with services.

Consumer Satisfaction-SA 92.3% 93.3%

- * (Mental Health) The Department aims to ensure consumers are satisfied that the services they receive are meeting their needs. This goal is measured by the percentage of clients who positively rate their satisfaction with services.

Consumer Satisfaction-MH 91.7% 92.6%

Goal: Continuous service and workplace improvements occur through the use of performance indicators and evaluation tools in decision making and implementation of services.

- * (Substance Abuse Services) The Department aims to ensure consumers of services receive high quality services. This goal is measured by the percentage of clients who positively rate the quality of services.

Satisfaction w/ quality - SA 95.1% 91%

- * (Mental Health Services) The Department aims to ensure consumers of services receive high quality services. This goal is measured by the percentage of clients who positively rate the quality of services.

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Continuous service and workplace improvements occur through the use of performance indicators and evaluation tools in decision making and implementation of services.

Satisfaction w/ quality - MH 92.3% 90%

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Community Based Programs

Goal: Long range goal #1: Decrease the incidence of mental illness through provision of prevention and early intervention services.

- * The Department aims to reduce the impact of mental illness on a client's life by aggressive early treatment, resulting in a lesser need for restrictive, inpatient treatment. This goal is measured by the number of all inpatient days (excluding forensic and civil voluntary) as measured by ICIS

Inpatient Treatment 57,322 days 52,500 days 52,000 days 51,500 days

Program: Inpatient Hospitals

Goal: Long range plan goal #1: Decrease the incidence of mental illness through the provision of prevention and early intervention services.

- * The Department aims to reduce the impact of mental illness on a client's life by aggressive early treatment, resulting in a lesser need for restrictive, inpatient treatment. This goal is measured by the number of all inpatient days (excluding forensic and civil voluntary), as measured in ICIS.

Inpatient treatment 57,322 days 52,500 days 52,000 days 51,500 days

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>		<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X	General Revenue	212,238	190,243	185,858
200	Dept of Mental Health Revolving	40,328	48,875	55,797
220	Drug Abuse Ed & Treatment Fund	553	333	639
240	Group Housing Loan Rev. Fund	44	24	38
245	COMM-BASED SUBSTANCE ABU	2,940	1,301	988
340	CMA Programs Disbursing Fund	18,291	16,204	16,062
410	Federal Funds - Categorical	13,251	19,247	18,937
440	Federal Funds - Block Grants	7,134	6,497	7,289
442	Intra-Agency Reimb Fund	3,832	2,810	3,123

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HEALTH

FY - 2012 EXECUTIVE BUDGET

Total Expenditures by Fund

<u><u>\$298,611</u></u>	<u><u>\$285,534</u></u>	<u><u>\$288,731</u></u>
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EXPENDITURES BY OBJECT

\$000's

Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
Salaries and Benefits	121,029	119,711	113,278
Professional Services	6,254	8,279	7,256
Travel	1,494	1,130	1,142
Lease-Purchase Expenditures	0	0	0
Equipment	2,693	4,171	2,778
Payments To Local Govt Subdivisions	116,888	117,292	118,180
Other Operating Expenses	50,252	34,954	46,095
Total Expenditures by Object	<u><u>\$298,610</u></u>	<u><u>\$285,537</u></u>	<u><u>\$288,729</u></u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

\$000's

Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1 Central Administration			
1 Administration	1,087	1,157	994
2 Legal Division	616	564	605
3 Human Resource Development	1,099	1,051	893
4 Personnel	1,208	1,434	1,199
5 Facility Admin And Support	1,582	1,429	1,646
6 Operational Support	1,146	998	1,102
7 Quality Improvement	546	559	622
8 Evaluation/Data Analysis	813	536	414
9 Inspector General/Civil Rights	74	38	210
10 Public Information	196	225	243
12 Patient Advocacy	597	491	601
13 Contracts Division	533	543	469
14 Reimbursable Projects	184	188	127
15 P-Card Transactions	0	0	150
88010 Central Admin Data Process	3,005	2,963	2,898
88099 Alch/Drug Abuse Data Collect	20	29	73
88101 State Outcomes Grant	71	97	208
88102 State Epidemiology Outcomes	40	153	0
88103 SOMMS/RTI grant	19	1	0
88104 Data Infrastructure III	105	125	42
88108 Data Infrastructure Grant	0	0	0
88111 (CLR) Client Level Reporting	41	28	0
Total Central Administration	<u>12,982</u>	<u>12,609</u>	<u>12,496</u>
20 Inpatient Hospital			
2011 Griffin Memorial Hospital	29,561	26,459	21,537
2012 Oklahoma Forensic Center	19,312	19,361	19,580
2014 Childrens Recovery Center	8,631	8,480	5,369
12011 New Generation Medication GMH	530	499	530
12012 New Generation Medication, OFC	501	501	501
88011 Griffin Memorial Data Process	352	334	342

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EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
20	Inpatient Hospital		
88012	268	218	281
88014	192	90	188
92011	568	292	468
92014	1,658	457	850
	61,573	56,691	49,646
30	Community-based Programs		
301	1,869	1,393	1,785
304	65	94	69
305	181	189	183
3010	46,638	45,277	49,846
3013	7,627	5,203	6,660
3022	9,255	9,182	9,514
3023	12,293	11,958	12,125
3025	9,603	9,900	9,642
3026	9,217	9,782	9,292
3027	9,175	8,020	7,727
3029	4,387	4,514	4,662
3041	6,587	6,545	6,075
3093	3,462	3,463	3,665
3099	1,713	1,908	4,244
13022	40	0	20
13023	476	457	476
13025	373	373	373
13026	170	170	170
13027	502	492	492
13029	263	154	266
13041	10	0	10
30135	80	38	81
30136	167	188	262
30137	30	41	40
30143	457	431	454
30144	377	360	335
30145	6,067	6,003	6,387
30146	5,190	5,332	4,860
30147	19	22	19
30150	349	263	377
30151	121	98	103
30152	18	0	0
30164	0	173	661
30165	0	7	192
30167	0	172	525
30171	0	95	214
30172	0	0	10
30173	2,259	4,022	2,047
30174	21	0	0
30175	0	167	255
30190	25	405	474
30191	224	1,235	2,378
88022	49	48	64
88023	317	235	280
88025	221	210	217

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
30	Community-based Programs		
88026	235	175	210
88027	174	135	157
88029	178	163	198
88041	38	39	40
88301	20	4	20
93023	317	22	186
93025	937	322	705
93026	558	191	319
93027	297	61	417
93029	210	166	169
93041	399	214	400
	143,260	140,111	150,352
	Total Community-based Programs		
40	Substance Abuse Programs		
304	78	30	32
400	2	11	50
401	1,765	1,872	1,958
402	308	462	357
403	851	691	864
2429	111	27	0
3429	341	214	301
4013	0	56	126
4014	0	72	311
4015	0	33	335
4016	34	206	1,094
4017	1	0	0
4018	353	278	218
4019	245	289	0
4020	3,102	3,640	4,117
4021	1,427	765	701
4022	0	207	4,063
4023	1,528	1,671	1,522
4029	407	323	114
4030	585	652	750
4042	2,428	2,489	2,945
4049	2,309	2,336	2,489
4050	1,899	1,637	0
4051	3,665	2,187	2,963
4052	2,371	2,145	2,373
4053	1,206	1,138	1,478
4054	15,695	14,246	12,030
4055	3,716	3,901	4,151
4059	5,373	4,868	5,662
4060	784	631	1,744
4064	44	24	38
4074	16,893	16,228	17,000
4075	363	137	0
4077	0	0	0
4078	90	110	227
4079	4,203	6,507	658
4080	371	455	744
4081	1	146	230

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EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's	
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
40 Substance Abuse Programs				
4429 Bill Willis Sub Abuse Treatmt	1,116	676		0
88050 Childrens Recovery DP	8	4		0
88051 NADTC Data Processing	44	29		52
88052 Rose Rock Recovery Data Proces	68	64		66
88401 Substance Abuse Coordination D	7	4		20
88429 Bill Willis Sub Abse Trt DP	1	0		0
Total Substance Abuse Programs	<u>73,793</u>	<u>71,461</u>		<u>71,783</u>
50 Co-occurring Programs				
5022 TCBH Co-occurring Treatment	2,362	415		0
Total Co-occurring Programs	<u>2,362</u>	<u>415</u>		<u>0</u>
63 Residential Care Programs				
6300 Residential Care	3,103	2,874		2,926
6301 Enhanced Residential Care	1,283	1,236		1,318
6302 Recovery Homes	255	138		210
Total Residential Care Programs	<u>4,641</u>	<u>4,248</u>		<u>4,454</u>
Total Expenditures by Activity	<u>\$298,611</u>	<u>\$285,535</u>		<u>\$288,731</u>

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1 Central Administration	162.9	136.6	137.9
20 Inpatient Hospital	809.8	754.3	729.0
30 Community-based Programs	903.4	881.8	931.8
40 Substance Abuse Programs	211.5	190.9	195.5
50 Co-occurring Programs	22.7	12.4	0.0
Total FTE	<u>2,110.3</u>	<u>1,976.0</u>	<u>1,994.2</u>
Number of Vehicles	299	298	298

CAPITAL OUTLAY and SPECIAL PROJECTS			\$000's	
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
90 Central Office Capital Outlay				
1001 Bldg Renovation & Equip Purch	94	7		60
1005 Tulsa Housing/Smart on Crime	2,000	0		1,976
95 Carl Albert CMHC Cap. Outlay				
3252 Roof Replacement	1,407	0		0
3253 Building Equipment Project	144	0		0
99 HIPPA Compliance				
88999 HIPPA Compliance	124	229		146
Total Capital Outlay by Project	<u>\$3,769</u>	<u>\$236</u>		<u>\$2,182</u>

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OUTSTANDING DEBT	\$000's		
	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Lease-purchase obligations	133	95	54
Revenue bond issues	0	0	0
Other debt	0	0	0
Total Outstanding Debt	<u>\$133</u>	<u>\$95</u>	<u>\$54</u>

TOBACCO SETTLEMENT ENDOWMENT TRUST (92)

MISSION

The mission of the Oklahoma Tobacco Settlement Endowment Trust is to improve the health and quality of life of all Oklahomans through accountable programs and services that address the hazards of tobacco use and other health issues.

THE BOARD

The Tobacco Settlement Endowment Trust Fund (TSET) established by a November, 2000 amendment to the constitution, places a portion of the Tobacco Settlement funds in an endowment and allows only the investment income to be used by the Board of Directors to fund programs to improve health. The TSET Board of Directors and Board of Investors were created by Section 40 of Article X of the Oklahoma Constitution.

The Board of Directors consists of seven members, one appointed by each of the following appointing authorities: Governor, President Pro Tempore of the Senate, Speaker of the House of Representatives, Attorney General, State Treasurer, State Auditor and Inspector, and State Superintendent of Public Instruction. Members serve seven-year, staggered, terms of office. At least one appointee must be appointed from each congressional district, and not more than two appointees can be appointed from any single congressional district. Not more than four appointees can be members of the same political party. An appointee must have been a member of the political party to which the appointee belongs for at least one (1) year prior to the date of appointment. Appointees must have demonstrated expertise in public or private health care or programs related to or for the benefit of children or senior adults.

The Board of Investors consists of five (5) members as follows: The State Treasurer who is the chair, and four members appointed by the following appointing authorities: Governor, Speaker of the House of Representatives, President Pro Tempore of the Senate, and State Auditor and Inspector. Appointees serve four-year, staggered, terms of office. No more than two appointees can be appointed from any single congressional district. Members must have demonstrated expertise in public or private investment funds management.

DUTIES/RESPONSIBILITIES

The Board of Directors is responsible for expending the certified earnings from the endowment's investments to fund programs within the following areas as specified in the Oklahoma Constitution: 1. Clinical and basic research and treatment efforts in Oklahoma to prevent and treat cancer and tobacco related disease. 2. Cost-effective tobacco cessation and prevention programs. 3. Other programs which maintain or improve the health of Oklahomans with particular emphasis on children. 4. Programs which benefit children with emphasis on common and higher education, before- and after-school and pre-school programs, substance abuse prevention programs and other programs to improve the health and quality of life of children. 5. Programs designed to enhance the health and well-being of senior adults. 6. Authorized administrative expenses of the Office of the State Treasurer and the Board of Directors.

STATUTORY REFERENCES

Program Name	Statutory Reference
Health Improvement Programs	Article X, Section 40 of the Oklahoma Constitution; and Title 62, Section 2301 - 2310 of the Oklahoma Statutes.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Improve the overall health of Oklahomans by preventing and reducing the use of tobacco

* Reduce the prevalence of smoking among Oklahoma adults to below the national average by 2015				
Adult Smoking	24.7	25.5	24.5	23.5
* Reduce the rate of smoking among high school youth in Oklahoma to below the national rate by 2015				
Youth Smoking	20.2	20.2	19.5	18.5
* Reduce the rate of per capita cigarette consumption to below the national average by 2015				
Reduce per capita consumptio	86.7	79.0	75.0	72.0
* Increase serious quit attempts among current adult smokers				
Increase quit attempts	58.8	58.8	60.0	61.0

Goal: Improve the overall health of Oklahomans by improving nutrition and fitness

* Maintain the prevalence of obesity among adults at 32% through 2015				
Obesity - Adults	32.0	32.0	32.0	32.0
* Maintain the prevalence of youth obesity at 14.1% through 2015				
Obesity - Youth	14.1	14.1	14.1	14.1
* Increase high school youth consumption of five or more fruits and vegetables per dya to 19% by 2015				
Fruits and Veggies - Youth	14.8	14.8	15.0	16.0
* Increase past month physical activity among adults to 71.5% by 2015				
Physical Activity - Adults	68.6	68.6	69.0	69.5
* Increase participation in 60 mins of physical activity, five days per week, among high school youth to 52.1% by 2015				
Physical Activity - Youth	47.4	47.4	48.0	49.0
* Increase adult consumption of five or more fruits and vegetables per day to 19% by 2015				
Fruits and Veggies - Adults	14.6	14.6	15.0	16.0

Goal: Support cutting-edge research with a focus on cancer and tobacco-related diseases

* Initiate five new Phase I Clinical Trials in tobacco related cancers by 2015				
OTRC - Clinical Trials	0	0	1	2
* Obtain five new externally funded research grants as a result of OTRC activities by 2015				
OTRC - Grants	0	0	1	2
* Recruit five new scientists who study adult stem cells to Oklahoma by 2015				
OCASCR - Scientists	0	0	1	2

Goal: Address emerging opportunities that promote health in Oklahoma

* Number of unsolicited proposals funded annually				
Unsolicited Proposals	2	2	3	4

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Health Improvement Programs				
Goal: Improve the overall health of Oklahomans by preventing and reducing the use of tobacco				
* Increase serious quit attempts among current adult smokers				
Quit Attempts - Adults	58.8	58.8	60.0	61.0
* Reduce the rate of cigarette consumption per capita to below the national rate by 2015				
Per Capita Consumption	86.7	79.0	75.0	72.0
* Reduce the prevalence of smoking among adults to below the national average by 2015				
Smoking Prevalence	24.7	25.5	24.5	23.5
Goal: Improve the overall health of Oklahomans by improving nutrition and fitness				
* Increase participation in 60 mins of physical activity, five days per week, among high school youth to 52.1% by 2015				
Physical Activity	47.4	47.4	48.0	49.0
* Increase high school youth consumption of five or more fruits and vegetables per day to 19% by 2015				
Fruits and Vegetables	14.8	14.8	15.0	16.0
Goal: Support cutting-edge research with a focus on cancer and tobacco-related diseases				
* Obtain five new externally funded research grants as a result of OTRC activities by 2015				
OTRC - Grants	0	0	1	2
* Initiative five new Phase I Clinical Trials in tobacco related cancers by 2015				
OTRC - Clinical Trials	0	0	1	2
* Recruit five new scientists who study adult stem cells to Oklahoma by 2015				
OCASCR - Scientists	0	0	1	2

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>		<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
200	Revolving Fund	16,809	17,158	24,328
443	Interagency Reimbursement Fund	1,233	1,126	1,075

TOBACCO SETTLEMENT ENDOWMENT TRUST - 427 -

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EXPENDITURES BY FUND (continued)

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY-2011 Budgeted</u>
490 American Recov. & Reinv. Act	\$ 0	332	0
Total Expenditures by Fund	\$18,042	\$18,616	\$25,403

EXPENDITURES BY OBJECT

<u>Object of Expenditure</u>	\$000's		
	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits	527	615	780
Professional Services	13,459	12,593	15,802
Travel	34	35	73
Lease-Purchase Expenditures	0	0	0
Equipment	22	19	170
Payments To Local Govt Subdivisions	3,850	4,988	7,137
Other Operating Expenses	151	366	1,083
Total Expenditures by Object	\$18,043	\$18,616	\$25,045

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

<u>Activity No. and Name</u>	\$000's		
	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
10 Tobacco Board of Directors			
1 Administration	443	471	583
2 Tobacco Prevention & Cessation	15,957	16,137	0
88 Data Processing	32	32	189
89 Programs Data Processing	8	102	0
Total Tobacco Board of Directors	16,440	16,742	772
20 Tobacco Board of Investors			
1 Administration	97	99	106
2 Investments	1,505	1,775	2,376
Total Tobacco Board of Investors	1,602	1,874	2,482
30 Programs			
1 Grants Management	0	0	544
10100 Tobacco Control Evaluation	0	0	741
10200 TC Technical Assistance	0	0	475
10300 TC Health Communications	0	0	4,953
10410 TC Communités of Excellence I	0	0	3,791
10411 TC Communités of Excellence II	0	0	1,169
10412 TC Communités of Excellence III	0	0	596
10420 TC Specific Populations	0	0	300
10501 Tobacco Control Helpline	0	0	5,300
10502 TC Cessation Systems Grants	0	0	790
10503 Tobacco Control Youth Access	0	0	288
20100 N&F Evaluation	0	0	50
20200 N&F Technical Assistance	0	0	50

TOBACCO SETTLEMENT ENDOWMENT TRUST - 428 -

HEALTH

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
30 Programs			
20300 N&F Health Communications	0	0	0
20400 N&F Community Grants	0	0	0
20500 N&F Statewide Grants& Services	0	0	0
40000 Unsolicited Grants	0	0	592
50901 Tobacco Related Research	0	0	1,149
50902 F&N Related Research	0	0	0
50903 Adult Stem Cell Research	0	0	1,336
60000 Conference Sponsorships	0	0	25
Total Programs	<u>0</u>	<u>0</u>	<u>22,149</u>
Total Expenditures by Activity	<u>\$18,042</u>	<u>\$18,616</u>	<u>\$25,403</u>

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
10 Tobacco Board of Directors	7.0	7.1	3.7
30 Programs	<u>0.0</u>	<u>0.0</u>	<u>5.3</u>
Total FTE	<u>7.0</u>	<u>7.1</u>	<u>9.0</u>
Number of Vehicles	0	0	0

CAPITOL IMPROVEMENT AUTHORITY (105)

MISSION

The mission of the Oklahoma Capitol Improvement Authority is to provide office space for state agencies by acquiring, equipping, contracting and operating buildings, when so directed by the Legislature, in the most proper, efficient and cost effective manner possible.

THE AUTHORITY

The Oklahoma Capitol Improvement Authority was established in 1959 by the 27th Legislature of the State of Oklahoma for the purpose of the construction, equipping, operation and maintenance of state buildings. The Authority consists of seven members; the Governor (Chairman), the Lieutenant Governor (Vice Chairman), the State Treasurer, the Director of the Department of Central Services, the Director of the Oklahoma Department of Tourism and Recreation and the Director of Oklahoma Department of Transportation.

DUTIES/RESPONSIBILITIES

STATUTORY REFERENCES

Program Name	Statutory Reference
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		Actual	Actual	Budgeted
402	2006A - Agriculture Rev Fnd	1,812	1,808	1,808
404	2006B DMH Revenue Fund	1,401	1,398	1,399
406	2006C Appell Crts - Revenu Fnd	1,679	1,678	1,674
408	2006D Higher Ed Var Rt - Rev F	6,312	7,192	7,005
410	Capitol Office Bldg Operating Fund	226	225	225
414	OCIA 2005C Nat Amer - Admin	2,532	2,530	2,532
417	OSBI Revenue Fund	484	40	486
418	OCIA-Administrative Services	390	363	432
424	OCIA 2005 F Bond Sinking Fund	24,422	24,423	24,421
427	Higher Ed Endowed Chairs Reven	7,496	7,498	7,497
428	Higher Ed Endowed Chairs Progr	0	0	5,801
429	Dept of Trans. Sinking Fund	0	8,351	10,550
432	Conservation Comm Sinking Fund	0	2,106	2,216
436	NACEA Sinking Fund	757	2,304	2,304
438	Supreme Court Sinking Fund	332	933	935
442	ODOT Sinking Fund 2009B	0	2,474	3,588
447	Capitol Dome Bond - Revenue Fu	473	473	471
450	OCIA 1994B Revenue Fund	1,882	786	0
451	OCIA 1999 Revenue Bond Series	7,143	1,097	0
453	OCIA 1999 Revenue Bond Series	299	57	50
456	OCIA 1999 Revenue Bond Series	235	39	0
458	OCIA 1999D Revenue Bond Fund	443	0	0
460	OCIA 1999D Revenue Bond Fund	128	51	0
465	OCIA 2005A Mil Dept Admin	549	548	552
467	OCIA 2005B Att Gen - Admin	306	306	305
470	OCIA 2002A SERIES REVENUE FUN	959	957	958
477	OCIA 2005D Revenue Fund	1,438	1,439	1,439
480	STATE HWY CAP IMPRV RFND	6,207	8,171	8,227
481	STATE HWY CAP IMPRV RFND	2,596	3,259	3,267
483	Series 2003c Revenue Bond	1,062	1,228	1,343
485	Series 2003d Revenue Bond	238	238	261
487	Series 2003e Revenue Bonds	2,932	2,551	2,013
488	OCIA Series 2004A Revenue Fund	6,381	9,988	13,966
491	OCIA Series 2000A Revenue Fund	16,737	12,789	20,923
497	St Bldg Revenue 1996 Bond Fund	99	1	0
Total Expenditures by Fund		\$97,950	\$107,301	\$126,648

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
Salaries and Benefits	0	0	0	
Professional Services	802	1,217	1,107	
Travel	2	4	4	
Lease-Purchase Expenditures	0	0	0	
Equipment	97,143	106,076	125,529	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	5	3	8	
Total Expenditures by Object	<u>\$97,952</u>	<u>\$107,300</u>	<u>\$126,648</u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
50	Lease Revenue Bonds Series-94B			
1	4,768	3,799	3,527	
	Total Lease Revenue Bonds Series-94B	4,768	3,799	3,527
51	Agriculture Revenue Fund			
1	1,812	1,808	1,808	
	Total Agriculture Revenue Fund	1,812	1,808	1,808
52	705 Fund - Bond Issue 1999A			
1	1,401	1,398	1,399	
	Total 705 Fund - Bond Issue 1999A	1,401	1,398	1,399
55	HB 1879 Debt Service Correct.			
1	3,594	5,140	5,141	
5	473	473	471	
24	1,438	1,439	1,439	
20022	226	225	225	
	Total HB 1879 Debt Service Correct.	5,731	7,277	7,276
56	1999 Debt Service			
1	443	0	0	
2	128	51	0	
3	45,374	40,210	44,724	
4	299	57	50	
5	235	39	0	
	Total 1999 Debt Service	46,479	40,357	44,774
57	705 Fund - Bond Issue 1999C			
1	0	2,106	2,216	
	Total 705 Fund - Bond Issue 1999C	0	2,106	2,216
61	Bond Series 2002A Revenue			
1	959	957	958	
	Total Bond Series 2002A Revenue	959	957	958

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's	
Activity No. and Name		FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
64	Bond Series 2003D			
1	Bond Series 2003D	238	238	261
	Total Bond Series 2003D	238	238	261
65	Sinking Fd Indebt - 96 Commer			
1	Sinking Fd Indebt - 96 Commer	648	549	552
	Total Sinking Fd Indebt - 96 Commer	648	549	552
66	Road Bond Debt Service			
2	Road Bonds, Series 2000A	16,737	12,789	20,923
3	Road Bonds Series 2003A	6,207	8,171	8,227
4	Road Bonds Series 2003B	2,596	3,259	3,267
5	Dept. of Trans. Sinking Fund	0	10,825	14,138
	Total Road Bond Debt Service	25,540	35,044	46,555
67	BOND SERIES 2003E			
1	BOND SERIES 2003E	2,932	2,551	2,013
	Total BOND SERIES 2003E	2,932	2,551	2,013
68	2003 C Revenue Bonds			
1	2003 C Revenue Bonds	1,062	1,228	1,343
	Total 2003 C Revenue Bonds	1,062	1,228	1,343
69	2004 A Refunding Rev Bond			
1	2004 A Refunding Rev Bond	6,381	9,988	13,966
	Total 2004 A Refunding Rev Bond	6,381	9,988	13,966
Total Expenditures by Activity		\$97,951	\$107,300	\$126,648

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name		FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted

This agency has no employees or vehicles. Agency administrative functions are the responsibility of the Department of Central Services

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project:		FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated
#	Project name			
90	SB 538 Bond Issue			
1	Dept Of Corrections-Repairs	11	100	0
53812	Langley St Park/40 Rv Sites	506	1,652	0

CAPITOL IMPROVEMENT AUTHORITY - 433 -

HUMAN RESOURCES AND
ADMINISTRATION

FY - 2012 EXECUTIVE BUDGET

\$000's

Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
53840	DCS Will Rogers Building	90	0	0
53850	Sci & Math Dorm	63	244	0
53852	OSU Food Processing Plant	0	168	0
91	HB 1879 Prison Construction			
10	Corrections Life Saf/Fire Mar	875	0	0
18792	OK State Reformatory	30	0	0
93	Dept of Human Services Juv Ctr			
101	Multipurpose Laboratory Projec	8,490	111	51
102	Diagnostic Laboratory Project	7,893	2,795	503
201	Department of Mental Health Co	1,801	55	273
94	Capital Improvements			
1	Native American	2,068	560	74
100	ODOT Federal Grant	2,022	0	0
103	Native American	0	2	0
20022	Atty Gen'l Convert Power Plant	57	238	94
20023	Ment Hlth 50 bed Drug/Alcohol	248	105	300
20024	Ment Hlth 25 bed Forensic	409	173	378
95	O CIA 1999 Rev Bond, Series A			
2	Rehab Svcs - School For Blind	1	0	0
3	Historical Society	28	2	0
4	Tourism Quartz Mountain Ctr	67	0	0
5	Capitol Dome Proceeds, Revenue	1	0	0
10	Lincoln Blvd / Remedial Beau	95	1,549	0
11	Oklahoma Supreme Court	9,521	12,064	8,960
12	J.D. Mccarty Center	44	0	0
20	State Capitol - West Entry	176	70	0
96	Higher Education Capital Bonds			
1	District 1	8,460	43,619	218,204
50052	OU Chemistry-Biochemistry	641	23	0
50054	OU Classroom Renov & Improveme	327	1,308	0
50055	OU University Research Campus	15	1,282	0
50057	OU Student Learning Center	2,789	1,444	0
50058	OU Gould Hall	4,059	4,995	0
50059	OU Hester Hall	30	1,823	0
50060	OU Science Hall	451	0	0
50063	OU Rhyne Hall Improvements	17	2	0
50064	Mesonet Infrastr Upgrades/Equi	99	0	0
50065	OUHSC Schusterman Class/Librar	4,869	742	0
50066	OUHSC College of Allid Hlth II	9,245	212	0
50067	OUHSC Coll of All Hlth Sp & Hr	0	116	0
50068	OUHSC Cancer Center	58	0	0
50070	OSU Science & Tech Resource Ct	1,994	0	0
50071	OSU South Murray Hall	2,354	0	0
50072	OSU New Classroom Building	0	0	0
50073	OSU-OKM Rural Hlth Sci & Tech	3,859	2,259	0
50075	OSU-OKM Campus Streets & Pkg L	518	0	0
50076	OSU Vet Med McElroy Hall	5	9	0
50077	OSU Vet Med Boren Vet Teaching	37	5	0
50078	OSU-CHS Campus Bldg Renovation	2,131	565	0
50079	OSU -OKC Agriculture Resource	122	90	0
50080	OSU-OKC Physical Plant Bldg.	18	0	0
50081	OSU-OKC Public Safety Trng Fac	1	732	0

CAPITOL IMPROVEMENT AUTHORITY

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HUMAN RESOURCES AND
ADMINISTRATION

FY - 2012 EXECUTIVE BUDGET

\$000's

Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
50082	OSU-OKC Purchase of Property	27	0	0
50083	OSU Tulsa Adv. Techn Research	451	0	0
50084	Construction fund for Universi	136	608	0
50085	Construction fund for Universi	1,893	5,706	0
50086	Construction fund for Universi	4,049	3,535	0
50089	NSU Science Building	59	0	0
50090	NSU Classroom Renov, ADA	3,664	3,285	0
50097	NSU Enrollment Management Ctr	922	42	0
50098	NSU Broken Arrow Campus	1,297	0	0
50099	NWOSU Education Bldg - Woodwar	549	0	0
50100	NWOSU Science Building Renov	1,549	0	0
50101	NWOSU Hlth & Phys Educ Bldg Re	974	0	0
50102	Rogers Bartlesville Campus	21	85	0
50103	Rogers Classroom Building	2,310	1,746	0
50104	Rogers Infrastructure improvem	1	0	0
50106	Rogers Thunderbird/Post Hall 2	37	0	0
50107	Rogers Pryor Campus	577	0	0
50108	Rogers Renovations & Repairs	11	0	0
50111	SEOSU Gen Classrms, CompSci,Ma	1,031	3,624	0
50114	SEOSU Theatre Building	853	0	0
50117	McCurtain Chemistry Lab/Dist L	255	355	0
50119	SWOSU Old Science Bldg/Theatre	1,705	106	0
50120	SWOSU Equipment/ADA Comp Eleva	582	261	0
50121	SWOSU Energy Mgmt Improvements	0	128	0
50122	SWOSU Sayre Campus	0	46	0
50123	SWOSU Crowder University Park	55	58	0
50124	SWOSU Art Building Renovation	207	428	0
50125	SWOSU Industrial Tech Building	160	2,440	0
50126	Business Building	3,910	862	0
50128	Caupus Accessibility	214	41	0
50129	Parking Lots & Access Roads	347	5	0
50130	Campus Facility Improvements	1,780	753	0
50131	Gymnasium Environmental System	121	0	0
50132	Student Success Center	3,718	1,990	0
50133	Allied Health Center	6	0	0
50135	Performing Arts Center	306	2,712	0
50136	Science and Agriculture Buildi	470	0	0
50138	Jane Brooks Schl for the Deaf	276	68	0
50139	Infrastructure, Roofing, Pkg	136	244	0
50140	Performing Arts & Acad Lecture	203	85	0
50141	Art Annex Renovation	566	106	0
50142	Lab,Classroom & Library Equipm	63	43	0
50146	Convocational Center	705	259	0
50148	Fine Arts Building Renovation	19	170	0
50149	Onestop Enrollment Center	2	4	0
50150	Muskogee Campus Renovation/Add	3	132	0
50151	Classroom & Laboratory Renovat	656	484	0
50152	Student Life and Learning Cent	6,217	687	0
50153	Infrastructure Projects	44	34	0
50154	Technology Upgrades	0	5	0
50155	Deferred Maintenance/Renovatio	220	82	0
50156	Customer Serive Lab Facilities	1,221	3	0

CAPITOL IMPROVEMENT AUTHORITY

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HUMAN RESOURCES AND
ADMINISTRATION

FY - 2012 EXECUTIVE BUDGET

\$000's

Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
50157	Maintenance Building	4	0	0
50158	Grounds Master Plan	0	133	0
50159	Technology Upgrades	114	428	0
50160	Equipment	9	98	0
50161	Enid Campus	633	1,342	0
50164	Ren & Exp of Vineyard Libr Adm	82	25	0
50165	New Classroom Bldg - Stillwate	32	0	0
50166	Health Science Building Expans	5	2,253	0
50167	Performance Ctr/Music Theater	695	99	0
50168	Science Building Ren. & Renov.	117	698	0
50169	Arts Education Center	2,252	74	0
50170	Health Professions Center	1,786	390	0
50172	Science Technology Building	111	0	0
50173	Nursing & Allied Health Renova	508	21	0
50177	Construction fund for Rose Sta	5,377	3,439	0
50181	Student Success/Placement Ret	2,078	700	0
50183	Purchase Building	19	0	0
50185	Metro Campus Dist Learning Ctr	6,670	681	0
50186	West Campus Math & Science Bld	2,653	8	0
50187	Cooperative Higher Educ Center	3,507	777	0
50190	Campus Expansion/Centr St Svcs	0	85	0
50191	Lodge Remediation	3	0	0
50192	Quartz Student Dormitory/Famil	13	77	0
50193	Performing Arts Hall & Pavilli	279	92	0
50194	Quartz Mountain Trail System	251	185	0
50195	Infrastructure Improvemnts&Pre	292	318	0
50196	New Infrastr/Land/Improvements	10	0	0
50197	OU Chemistry/Biochemistry	67	808	0
50199	OU Student Learning Center	0	50	0
50203	NSU Broken Arrow Campus	37	2	0
50204	Rogers Bartlesville Campus	135	133	0
50208	Northern Enid Campus	0	501	0
50209	Quartz Lodge Remediation	433	221	0
50210	Quartz Perf Arts Hall and Pavi	0	46	0
50212	Quartz Infrastructure Improv &	0	288	0
50213	Quartz New Infra/Land/Improvem	0	23	0
50214	Construction fund for Universi	27,048	4,483	0
50215	Construction fund for OK State	20,315	24,024	0
50217	Construction fund for Langston	2,704	68	0
97	OCIA 1999 Rev Bond, Series B			
2	The University Hospitals	390	0	0
99	OCIA 1999 Rev Bonds, Series C			
1	Native Am.Cult.& Educ. Auth.	9,081	17,199	13,642
9001	Watershed Rehabilitation	0	5	4,422
9002	Sugar Creek Watershed	0	0	4,401
9003	Watershed Operation and Mainte	0	1,473	4,832
9004	Kingfisher Flood Control	0	100	4,201
9005	Cost Share	0	375	4,926
Total Capital Outlay by Project		<u>\$208,883</u>	<u>\$172,058</u>	<u>\$265,261</u>

OUTSTANDING DEBT	\$000's		
	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Lease-purchase obligations	0	0	0
Revenue bond issues	891,290	890,330	963,130
Other debt	0	0	0
Total Outstanding Debt	<u><u>\$891,290</u></u>	<u><u>\$890,330</u></u>	<u><u>\$963,130</u></u>

CENTRAL SERVICES, DEPARTMENT OF (580)

MISSION

The Department of Central Services assists customers in accomplishing their missions by providing essential services and quality solutions through: procurement, facilities, real estate, construction, fleet, risk management; property reutilization; printing and distribution; and support to OCIA.

DUTIES/RESPONSIBILITIES

The Department of Central Services provides a variety of support services to state agencies and other governmental entities. The following list describes some of the support services provided by the Department.

- Facilities Management
- Central Purchasing
- Construction and Properties Division
- State Leasing
- Federal Surplus Property Distribution
- State Surplus Property
- Interagency Mail
- Risk Management
- Fleet Management (formerly Motor Pool)
- Alternative Fuels Program
- State Recycling Program
- State Inventory Management Program
- Central Printing
- Public Employee Relations Board
- Capital Medical Zoning
- State Use Committee
- Capitol Preservation Commission

STATUTORY REFERENCES

Program Name	Statutory Reference
Alternative Fuels	Title 74, Sections 130.2 through 130.20
Central Purchasing	Title 74, Section 85.1 et al.
Risk Management Division	Title 74, Section 85.58 and Title 51, Sections 151 through 172
Construction and Properties Division Operations	61 O.S., Section 201-220, Public Building Construction and Planning Act
Oklahoma Print Shop	Title 74, Section 111 and 581
DCS Interagency Mail	Title 74, Sections 76, 76A, 76B, 76C, 90.1
Facilities Management	Title 74, Section 63B
Fleet Management Division	Title 74 Section 78, 78A, 78B, and 78C
Capitol Medical Center Improvement & Zoning Commission	Title 73, Sections 83.1 - 83.14
Public Employees Relations Board	Fire & Police Arbitration Act (FPAA), Title 11, Section 51-101 et seq; & Municipal Employees Collective Bargaining Act (MECBA), Title 11, Section 51-201 et seq.
Property Reutilization	Title 80, Section 34.6 & Title 74, Section 62.5.
Human Resources	Oklahoma Personnel Act, Title 74 O.S. 840-1.3 - 840-6.5 and OK Merit Rules of Employment

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Central Purchasing				
Goal: Internal Climate Survey				
Certified CPO Staff	57	57	65	70
Program: Construction and Properties Division Operations				
Goal: Compliance with Fair Pay Act				
* 5-day turnaround of pay applications 95% of the time				
Process pay app. on time	90%	90%	95%	98%
Goal: Improve Response Time to Complete Construction Purchase Requests				
* Number of days from receipt of Requisition to Contract Award on projects under the statutory amount (\$50K)				
Award small Proj in 5 days	8	8	5	5
* Number of days to award publicly bid projects from receipt of Requisition				
Number of days to award publicly bid projects from receipt of Requisition				
Award large proj in 45 days	52	50	48	46
Goal: Reduce Legal Disputes				
* Sufficient staff and training to limit lawsuits to no more than 2 per year				
Manage construction projects	0	2	1	1
Program: DCS Interagency Mail				
Goal: State Agencies' postal savings				
* Eliminate duplication meter equipment/\$2500 savings in service contract per meter.				
Eliminate duplicate metering	117	120	120	120
* Number of Agencies utilizing Interagency Mail's postage meters				
State's postal savings	47	48	48	48
Program: Facilities Management				
Goal: Achieve effective financial management				
* To reduce electricity by 30% by using more energy efficient items.				
Reduce electricity by 30%	14%	20%	25%	30%
Goal: Deliver consistent quality service				
* Reduce lease processing time by 50%.				
Reduce lease processing time	15%	25%	30%	50%
Program: Fleet Management Division				
Goal: Develop and maintain sound financial systems and revenue generation.				
* Train employees to accurately bill and receive payments.				
Employees Training	50%	50%	75%	100%
* Variance between planned and actual values.				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Fleet Management Division				
Goal: Develop and maintain sound financial systems and revenue generation.				
Rate Analysis	15%	13%	11%	5%
Goal: Provide efficient fleet maintenance service in a timely manner.				
* 95% of preventive maintenance performed within 10% of due date/required hours				
Preventative Maintenance	new	95%	95%	95%
* 90% of repairs completed within labor-hour standard.				
Utilization	new	90%	90%	90%
Program: Human Resources				
Goal: Provide quality & effective HR services to support the agency in achievement of agency goals & mission				
* Support, counsel, educate, & train employees for optimal performance & satisfaction				
Educate/train employees	239.5	234.5	235.0	235.0
Program: Information Services Division				
Goal: Provide HelpDesk Support				
* Respond to help desk requests				
HelpDesk Request	123	169	173	190
* Upgrade older model workstations and laptops.				
Workstations & Laptops	63	53	70	77
* Provide Business Application Upgrades				
Application Upgrade	61	26	15	16
* Provide training for helpdesk personnel				
HelpDesk Training	1	0	0	10
Goal: Provide Application Support				
* Provide support and integration for purchased applications				
External Applications	36	39	43	47
* Develop, Test and Deploy internally developed applications				
In-house Applications	114	157	174	191
* Provide training for application support personnel				
Application Training	6	0	0	20
* Provide Project Planning & Management				
Project Management	36	16	43	47
Goal: Provide Network support				
* Provide secure network				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Information Services Division

Goal: Provide Network support

Security	121	78	81	89
* Provide Training for network personnel.				
Network Training	5	0	0	20
* Upgrade network equipment in timely manner.				
Network Infrastructure	68	75	78	86
* Upgrade agency servers in timely manner				
Servers	126	76	79	87

Program: Oklahoma Print Shop

Goal: Net Positive - Actual Revenue vs Budgeted Revenue

Goal: Net Positive - Actual Expenditures vs Budgeted Expenditures

* Performance measure - Annual expenditures vs budgeted expenditures				
Performance measure - Annual expenditures vs budgeted expenditures				
Act vs Bud expenditures	2,155,305	1,948,678	2,075,045	2,075,045

Program: Property Reutilization

Goal: To extend the life cycle of federal property by donating to eligible donees.

Program: Public Employees Relations Board

Goal: Expeditious administration of MECBA & FPAA

* Expedite closure of grievance cases with hearing officers in order to close 75-80% of cases filed within one-year period.				
Cases Filed	8	22	20	25
* Expedite closure of grievance cases with hearing officers in order to close 75-80% of cases filed within one-year period.				
Cases Closed	14	16	22	25

Program: Risk Management Division

Goal: To provide professional services to all state entities and other entities prescribed by law.

* Measureable customer surveys.				
Customer satisfaction.	99.25%	95.30%	90%	90%

Goal: To educate State decision-makers in Risk Management processes.

* Number of educational programs presented per year. Measureable through annual seminars presented on Risk Management processes.				
# of Educational Programs	32	60	40	40

Goal: To achieve cost-effective insurance renewals for State Property and Fine Arts

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Risk Management Division

Goal: To achieve cost-effective insurance renewals for State Property and Fine Arts

* Renewal costs compared to previous years costs. Measureable through calculation in Rate/\$100 value.

Renewal costs compared	+4.84%	+4.10%	+10.0%	+10.0%
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Goal: To manage the cost of risk effectively.

* Measureable through negotiating insurance renewals and establishing long-term relationship with carriers.

Cost-risk perFTE vs prev yr	-3.21%	Unknown-TBD	Unknown-TBD	Unknown-TBD
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Goal: To achieve cost-effective insurance renewals for State D&O

* Renewal costs compared to previous years costs. Measureable through calculation of change in Rate/FTE

Renewal costs vs prev yrs	-10.56%	-8.14	+10.0%	+10.0%
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Goal: To achieve cost-effective insurance renewals for Fire District Property

* Renewal costs compared to previous years costs. Measureable through calculation of change in Rate/\$100 Value

Renewal costs vs prev yrs	-9.1%	-.40%	+10%	+10%
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X General Revenue	13,171	9,854	17,983
201 General Purpose Revolving Fund	710	437	2,036
205 Risk Management Revolving	18,500	20,072	47,930
210 Surplus Property Revolving	1,423	2,490	3,428
215 State Construction Revolving	1,558	1,771	2,699
220 Capitol Cafeteria Revolving	0	0	0
223 Foster Families Protection Fund	413	30	731
225 Severely Handicapped Revolving	241	242	241
230 Oklahoma Print Shop Fund	2,352	1,949	2,080
231 Postal Service Revolving Fund	685	666	735
240 Asbestos Abatement Revolving	80	6	0
244 Statewide Surplus Property Fund	2,272	2,687	2,922
245 Building and Facility Revolving	13,753	14,361	16,375
250 State Motor Pool Fund	7,580	8,509	6,980
251 Alternative Fuels Technician Fund	10	9	369

CENTRAL SERVICES, DEPARTMENT OF - 443 -

HUMAN RESOURCES AND
ADMINISTRATION

FY - 2012 EXECUTIVE BUDGET

EXPENDITURES BY FUND (continued)

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY-2011 Budgeted</u>
255 Motor License Agent Indemnity	\$ 102	53	192
260 Risk Mgmt Fire Protection Revolving	569	636	1,215
262 Risk Mgmt Political Subdivisio	102	100	202
270 Registration of State Vendors Fund	162	53	54
271 Vendor Fees And Rebates	719	413	700
272 Purchasing Training Fund	20	23	129
275 State Recycling Revolving Fund	44	49	36
445 Payroll Trust Fund	1,482	0	20
490 Sales Fund - Surplus Property	0	0	500
57X Special Cash Fund	805	4,466	0
Total Expenditures by Fund	\$66,753	\$68,876	\$107,557

EXPENDITURES BY OBJECT

<u>Object of Expenditure</u>	\$000's		
	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits	15,581	15,678	14,865
Professional Services	5,018	4,052	3,031
Travel	169	131	345
Lease-Purchase Expenditures	0	0	0
Equipment	7,672	8,435	14,487
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	38,310	40,583	74,832
Total Expenditures by Object	\$66,750	\$68,879	\$107,560

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

<u>Activity No. and Name</u>	\$000's		
	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
1 Administration			
1000 Administration	1,418	2,305	1,331
Total Administration	1,418	2,305	1,331
2 Core Services			
1100 Finance	1,666	1,289	5,904
1200 Human Resources	262	262	275
1300 Legal Services	380	388	482
1400 OCIA	339	352	433
2000 Construction & Properties	1,373	1,437	1,484
2001 State Construction Fund	296	289	360
2002 Bond Document/Forfeiture Fund	0	0	10
2003 On-Call Consultant Services	757	800	1,450
2004 Oklahoma Roofing Program	12	3	10
2005 IDIQ Programs	0	0	5
2008 Job Order Contracting Program	9	0	0
3000 Central Purchasing	1,941	2,101	2,323
3002 Reg Of State Vendors Fund	162	53	54

CENTRAL SERVICES, DEPARTMENT OF - 444 -

HUMAN RESOURCES AND
ADMINISTRATION

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
2	Core Services		
3003	State Use	241	241
3004	Training/General Cent Purch	20	129
3006	Auditing Staff	457	476
3013	Vendor Fees & Rebates	698	700
3100	Interagency Mail	370	421
3101	Postal Service Fund	685	735
3600	Renovation Projects	411	427
3601	Veterans Memorial	11	10
3602	Hissom Center	98	152
3700	OCIA Debt Service Series 2003E	1,675	1,433
3701	OCIA Debt Service Series 2004A	991	1,098
3900	Capitol Space And Fixtures	0	0
4000	Office of Facilities & Managem	862	0
4001	Capitol Building	2,019	1,839
4002	Jim Thorpe Building	488	716
4004	Statewide Surplus Property	2,272	2,922
4005	Library Building	489	613
4006	Governors Mansion	548	456
4007	Mansion Grounds Maintenance	147	92
4009	Court Building	705	575
4010	Real Property Services & Inven	105	0
4011	Agriculture Building	622	594
4012	Warehouse	262	265
4013	State Capitol Park	592	604
4014	State Leasing	194	176
4015	Facilities Management	2,286	4,420
4016	Attorney General Building	365	430
4018	Paper Recycle	44	36
4025	Construction & Prop Bldg	88	135
4026	OSF Data Processing Bldg.	157	162
4029	Ag Lab	36	218
4500	Ada	0	0
4600	Will Rogers	829	1,133
4700	Sequoyah	963	1,091
4800	State Banking Building.	28	58
4900	Central Maintenance Annex	54	1,196
5100	Connors Building Expenses	1,318	1,031
5200	Hodge Building Expenses	740	753
5300	Transportation Bldg Expenses	1,435	1,219
5400	Tulsa Office Bldg Expenses	2,303	2,259
6900	Asbestos Abatement	80	0
8800	Information Services	2,315	756
	Total Core Services	35,200	42,361
4	Pub. Employee Relations Bd.		
1	Pub. Employee Relations Bd.	68	71
	Total Pub. Employee Relations Bd.	68	71
6	Capitol Medical Zoning Comm.		
1	Capitol Medical Zoning Comm.	96	85
	Total Capitol Medical Zoning Comm.	96	85

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
21	Federal Property Distribution		
1	Federal Property Distribution	656	1,296
2	Fed Prop Dist/Non-Fee Account	767	2,100
3	Law Enf. Fed.Excess Prop.	0	32
	Total Federal Property Distribution	1,423	3,428
23	Central Printing		
1	Central Printing	2,360	2,080
	Total Central Printing	2,360	2,080
25	State Fleet Management		
2	Parts	239	310
3	Service	529	506
4	Motor Pool (Rental Fleet)	868	1,696
5	Fleet Management - M5	457	479
6	Daily Rental	1,859	1,355
7	Fleet Management AFV Conversio	0	500
2500	State Fleet Management	2,450	2,630
	Total State Fleet Management	6,402	7,476
26	Alternative Fuels		
2	Alternative Fuels Admin	51	50
3	Alternative Fuels - Certif	10	369
4	Alternative Fuels Grant	0	0
	Total Alternative Fuels	61	419
50	Risk Management		
2	Risk Management	40	37
3	Property	10,899	12,122
4	Casualty	1,413	15,125
5	Motor Vehicle	1,500	4,195
6	OK Motor License	2	25
7	Risk Management Participation	90	419
10	Motor License (E/M Tag)	79	136
12	Participation (FD/Property)	258	404
13	Participation(Comp/Collision)	36	72
22	Public Officials/Indemnity	3,361	15,447
23	Foster Family Protect. Account	421	731
24	Conservation District Prot. Ac	102	202
30	Administration Motor Vehicle	1,319	1,041
31	Administration Motor License	22	32
32	Administration Participation	186	321
33	Administration - Foster Family	0	0
	Total Risk Management	19,728	50,309
Total Expenditures by Activity		\$66,756	\$107,560
		\$68,878	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES

<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
1 Administration	9.0	9.2	8.2
2 Core Services	170.4	163.5	173.9
4 Pub. Employee Relations Bd.	1.0	1.0	1.0
6 Capitol Medical Zoning Comm.	1.0	1.0	1.0
21 Federal Property Distribution	9.8	10.0	6.5
23 Central Printing	15.3	15.8	14.8
25 State Fleet Management	20.3	20.9	16.5
26 Alternative Fuels	0.9	0.8	0.8
50 Risk Management	11.8	12.3	12.3
Total FTE	239.5	234.5	235.0
Number of Vehicles	27	27	27

CAPITAL OUTLAY and SPECIAL PROJECTS

\$000's

<u>Expenditures by Project:</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Estimated</u>
# Project name			
91 HOMELAND SECURITY-CAP OUTL			
1 HOMELAND SECURITY-CAP OUTL	129	61	0
Total Capital Outlay by Project	\$129	\$61	\$0

OUTSTANDING DEBT

\$000's

	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Lease-purchase obligations	0	0	0
Revenue bond issues	0	0	0
Other debt	3,864	13,831	9,496
Total Outstanding Debt	\$3,864	\$13,831	\$9,496

CONSUMER CREDIT COMMISSION (635)

MISSION

We strive to protect consumer buyers, lessees and borrowers against unfair practices and to be fair and impartial in the regulation of consumer credit transactions and related activities in the state of Oklahoma.

THE COMMISSION

The Commission on Consumer Credit shall consist of nine (9) members to be appointed by the Governor by and with the advice and consent of the Senate. The State Banking Commissioner shall be an ex officio nonvoting tenth member of the Commission. The term of each member shall be five (5) years from the date of his appointment and qualification, and until his successor shall qualify. No more than three at-large members of the commission shall be members of the same political party. The Commission shall include four additional members, one who shall be actively engaged in the business of making supervised loans pursuant to the provisions of Section 3-508A of this title, one who shall be actively engaged in the business of making supervised loans pursuant to the provisions of Section 3-508B of the title, one who shall be actively engaged in the business of making pawn loans pursuant to the provisions of the Oklahoma Pawnshop Act and one who shall be a mortgage loan originator. These additional members shall be appointed in the following manner: one appointed by the Governor with the advice and consent of the Senate upon recommendation of the Oklahoma Consumer Finance Association, Inc., one appointed by the Governor with the advice and consent of the Senate upon recommendation of the Independent Finance Institute, Inc., one appointed by the Governor with the advice and consent of the Senate upon recommendation of the Oklahoma Pawnbrokers Association, Inc., and one appointed by the Governor with the advice and consent of the Senate upon recommendation of the Oklahoma Association of Mortgage Professionals, or its successor, and whose initial appointment shall be made January 1, 2010. No more than two of these additional members shall be members of the same political party. Vacancies for any unexpired term of any member of the Commission shall be filled by the Governor in the same manner as the initial appointments were made

DUTIES/RESPONSIBILITIES

The Department of Consumer Credit ("Department") was created by the Oklahoma Legislature in 1969 to administer and enforce the Uniform Consumer Credit Code ("Code"). 14A O.S. 1-101 et seq. The Department is responsible for implementing legislation and regulations regarding the Code and licenses, and regulates non-depository financial institutions that are engaged in consumer credit transactions in the State of Oklahoma.

Additionally, the Department is responsible for administering and enforcing the Credit Services Organization Act (entities and individuals that assist consumers in obtaining credit or improving credit). 24 O.S. 131 et seq., the Deferred Deposit Lending Act (payday loans), 59 O.S. 3101 et seq., the Oklahoma Health Spa Act (spas, health clubs and gym membership contracts), 59 O.S. 2000 et seq., the Oklahoma Secure and Fair Enforcement for Mortgage Licensing Act (origination and modification of residential mortgage loans), 59 O.S. 2095 et seq., the Oklahoma Pawn Shop Act, 59 O.S. 1501 et seq., the Precious Metal and Gem Dealer Licensing Act (purchase of used gold, silver, platinum, diamonds, etc.), 59 O.S. 1521 et seq., and the Oklahoma Rental Purchase Act (the rental of consumer goods), 59 O.S. 1950.

STATUTORY REFERENCES

Program Name	Statutory Reference
Consumer Credit Regulation & Education	Title 14A. Title 24, Sec. 131-147. Title 59, Sec. 1501-1515; 1521-1532; 1950-1957; 2000-2012; 2081-2091; 3101-3118
Consumer Credit Regulation & Education	Title 14A; Title 24, Sec. 131-147; Title 59, Sec. 1501-1515; 1521-1532; 1950-1957; 2000-2012; 2081-2091

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Consumer Credit Regulation & Education

Goal: Improve Department productivity and quality through more efficient processes in licensing and examinations.

- * Create a training policy for both licensing and examinations.

Training policy 12

- * Create a training policy for both licensing and examinations.

Training policy 12

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X General Revenue	679	597	535
200 Consumer Credit Investigation Fund	0	1	0
210 Health Spa Revolving Fund	16	84	0
220 Mortgage Brokers Recovery Fund	471	331	0
230 DEFERRED DEPOSIT LENDERS REVOLVING FUND	454	331	73
240 Consumer Credit Revolving Fund	29	0	0
250 Consumer Cr. Adm Exp Revolving	0	64	1,246
Total Expenditures by Fund	\$1,649	\$1,408	\$1,854

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	1,034	1,051	1,316	
Professional Services	202	91	118	
Travel	85	38	123	
Lease-Purchase Expenditures	0	0	0	
Equipment	47	1	40	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	280	227	258	
Total Expenditures by Object	\$1,648	\$1,408	\$1,855	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10 General Operations				
1 General Operations	1,544	1,311	1,658	
2 Examinations	64	19	123	
88 Data Processing	22	6	0	
Total General Operations	1,630	1,336	1,781	
30 Consumer Counseling Services				
1 Consumer Counseling Education	18	72	73	
Total Consumer Counseling Services	18	72	73	
Total Expenditures by Activity	\$1,648	\$1,408	\$1,854	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10 General Operations	19.0	17.2	19.0	
Total FTE	19.0	17.2	19.0	
Number of Vehicles	0	0	0	

HORSE RACING COMMISSION (353)

MISSION

Current:

The Oklahoma Horse Racing Commission encourages agriculture, the breeding of horses, the growth, sustenance and development of live racing, and generates public revenue through the forceful control, regulation, implementation and enforcement of Commission-licensed racing and gaming.

Historical:

The Oklahoma Horse Racing Commission encourages agriculture, the breeding of horses, and generates public revenue through the forceful control of the highest quality Commission-sanctioned racing which maintains the appearance as well as the fact of complete honesty and integrity of horse racing in this State.

THE COMMISSION

The Oklahoma Horse Racing Commission is composed of nine members appointed by the Governor with the advice and consent of the Senate. At least one member shall be appointed from each of the six congressional districts and at least three of the remaining members shall be experienced in the horse industry and shall be appointed from the state at large. Each Commissioner is appointed for six (6) years and until a successor is appointed and qualified. With the exception of the five (5) initial terms which were shorter to create a two year stagger for appointments. During the 2005 Oklahoma Legislative Session structure and membership to the Oklahoma Horse Racing Commission was changed with the passage of Senate Bill 738.

DUTIES/RESPONSIBILITIES

The Commission has plenary power to promulgate rules, and to license racetracks and individual participants for the conduct of live horse racing and simulcasting so as to: (1) encourage agriculture and the breeding of horses; (2) maintain race meetings of the highest quality and free of corrupt, incompetent, dishonest or unprincipled horse racing practices; (3) dissipate any cloud of association with the undesirable and maintain the appearance and fact of complete honesty and integrity of horse racing; and (4) generate public revenues.

In order to encourage the growth, sustenance and development of live horse racing in this state and of the state's agriculture and horse industries, the Commission is authorized to issue licenses to conduct authorized gaming to no more than three (3) organization licensees operating racetrack locations at which horse race meetings with pari-mutuel wagering, as authorized by the Commission pursuant to the provisions of Title 3A of the Oklahoma Statutes, occurred in calendar year 2001.

The Commission promulgates rules to regulate, implement and enforce the provisions of the State-Tribal Gaming Act with regard to the conduct of authorized gaming by organization licensees.

STATUTORY REFERENCES

Program Name	Statutory Reference
10 - General Operations	Title 3A, Section 203.3. A and 203.3B.
20 - Race Day Expenses	Title 3A, O.S. Section 203.3., Section 203.4., Section 203.5., Section 203.7., Section 204., Section 204.2, Section 204.3.
30 - Law Enforcement Division	Title 3A, Section 203.3 C.

40 - OK Bred Division

Title 3A, Section 205.6; Section 208.1; Section 208.2; Section 208.3 and Section 208.3a.

50 - Gaming Regulation

Title 3A, Section 261 through Section 282 State Tribal Gaming Act

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 10 - General Operations

Goal: Regulate State-Sanctioned Racing and Gaming at the Racetracks.

* See Strategic Plan for Performance Measurers
See Strategic Plan

Goal: Seek Increased Revenue Streams To Support The Agency's Regulatory Responsibilities.

Goal: Improve Operations in Commissions Administrative, Fiscal, Law Enforcement Activities and in the Administration of the Oklahoma Breeding Development Program.

Goal: Strengthen Links with Internal and External Customers, Other Agencies and Industry Interests.

Goal: Generate Positive Media Coverage Regarding the Commission and the Oklahoma Horse Racing Industry.

Program: 20 - Race Day Expenses

Goal: Regulate State-Sanctioned Racing and Gaming at the Racetracks

Goal: Seek increased revenue streams to support the agency's regulatory responsibilities.

Goal: Improve Operations in Commissions Administrative, Fiscal, Law Enforcement Activities and in the Administration of the Oklahoma Breeding Development Program

Goal: Strengthen Links with Internal and External Customers, Other Agencies and Industry Interests.

Goal: Generate Positive Media Coverage Regarding the Commission and the Oklahoma Horse Racing Industry.

Program: 30 - Law Enforcement Division

Goal: Regulate State-Sanctioned Racing and Gaming at the Racetracks.

Goal: Seek increased revenue streams to support the agency's regulatory responsibilities.

Goal: Improve Operations in Commissions Administrative, Fiscal, Law Enforcement Activities and in the Administration of the Oklahoma Breeding Development Program.

Goal: Strengthen Links with Internal and External Customers, Other Agencies and Industry Interests.

Goal: Generate Positive Media Coverage Regarding the Commission and the Oklahoma Horse Racing Industry.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 40 - OK Bred Division

Goal: Regulate State-Sanctioned Racing and Gaming at the Racetracks.

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
19X General Revenue	2,704	2,308	2,135	
200 Equine Drug Testing Revolving	318	516	750	
205 Breeding Development Admin Fund	135	131	135	
210 Law Enforcement Revolving Fund	182	39	30	
215 Gaming Regulation Revolving Fd	643	749	900	
Total Expenditures by Fund	\$3,982	\$3,743	\$3,950	

EXPENDITURES BY OBJECT

		\$000's		
<u>Object of Expenditure</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
Salaries and Benefits	2,702	2,530	2,521	
Professional Services	49	267	280	
Travel	183	140	145	
Lease-Purchase Expenditures	0	0	0	
Equipment	45	37	3	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	1,002	769	1,001	
Total Expenditures by Object	\$3,981	\$3,743	\$3,950	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

		\$000's		
<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
10 General Operations				
1 General Operations	766	695	656	
88 Data Processing	89	86	91	
Total General Operations	855	781	747	
20 Race Day Expenses				
1 Race Day Expenses	1,666	1,750	1,895	
Total Race Day Expenses	1,666	1,750	1,895	

HORSE RACING COMMISSION

- 453 -

HUMAN RESOURCES AND
ADMINISTRATION

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
30 Law Enforcement			
1 Law Enforcement	579	280	217
Total Law Enforcement	579	280	217
40 Oklahoma Bred Program			
1 OK Breeding Development	176	173	191
Total Oklahoma Bred Program	176	173	191
50 Gaming Regulation			
1 Gaming Regulation	706	759	900
Total Gaming Regulation	706	759	900
Total Expenditures by Activity	\$3,982	\$3,743	\$3,950

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
10 General Operations	10.0	10.0	10.0
20 Race Day Expenses	16.4	15.3	17.0
30 Law Enforcement	5.5	3.4	2.0
40 Oklahoma Bred Program	4.0	4.0	4.0
50 Gaming Regulation	6.0	6.5	7.0
Total FTE	41.9	39.2	40.0
Number of Vehicles	5	2	2

HUMAN RIGHTS COMMISSION (355)

MISSION

Promote equality through education and enforcement in the areas of employment, housing, and places of public accommodation regardless of race, color, sex, religion, national origin, disability, and age.

THE COMMISSION

The Oklahoma Human Rights Commission consists of nine members appointed by the Governor with the advice and consent of the Senate. Consideration is given to making the membership broadly representative of the geographic areas of the state, the two major political parties in the state, and the several racial, religious, and ethnic groups residing in the state. Appointments to the Commission are for three years, and until their successors are confirmed by the Senate.

DUTIES/RESPONSIBILITIES

The Commission enforces Oklahoma's Anti-Discrimination law by providing education and outreach, conducting investigations, settlements, conciliations and holding hearings on complaints of discrimination under the Law. The Commission also carries out policy, establishes goals, and creates and approves programs and projects relating to eliminating discrimination and improving inter-group relations.

STATUTORY REFERENCES

Program Name	Statutory Reference
Enforcement and Compliance	Title 74 O.S., Section 951 et. seq. Title 25 O.S., Section 1101 et. Seq.
Community Relations	Title 74 O.S., Section 951 et. seq.
Administration	Title 74 O.S. Section 951 et seq. and Title 25 O.S. Section 1101 et eeq.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Investigate and resolve complaints with quality and in a timely manner.

- * Fair housing is critical to the quality of life for Oklahomans; yet housing discrimination remains a serious problem. Resolving housing complaints is essential to furthering fair housing. The agency will increase the number of housing complaint resolutions by two during each fiscal year. The measurement is the number of housing discrimination complaints resolved each fiscal year.

Housing Complaint Resol.	76	98	88	90
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- * The agency strives to deliver excellent quality service to our customers. The agency has an employment resolution contract with the Equal Employment Opportunity Commission (EEOC). The EEOC sets employment resolution quality standards and approves and disapproves complainant resolutions submitted by our agency for review. The enforcement and compliance division will resolve employment complaints in accordance with EEOC quality standards and send the complaints to the EEOC for review. The measurement is the annual percentage rate of complaint resolutions approved by EEOC for each fiscal year.

Emp. Compl. Resol. Quality	99%	100%	100%	100%
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- * Resolving housing discrimination complaints timely is essential to furthering fair housing. The enforcement division will resolve housing complaints within 100 days 53% of the time each fiscal year. The measurement is the percentage of complaints resolved within 100 days.

Housing Compl.Resol.Time	53%	53%	53%	53%
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STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Goal: Investigate and resolve complaints with quality and in a timely manner.				
* Timely resolution of complaints is essential to effective complaint resolutions. The agency and enforcement and compliance division will reduce, by ten days each fiscal year, the average number of days involved in resolving employment complaints. The measurement is the reduction in the average number of days involved in resolving employment complaints each fiscal year.				
Empl. Complaint Resol. Time	614	594	584	574
* The agency has a housing complaint resolution contract with the Department of Housing and Urban Development (HUD). Pursuant to the contract, the agency will resolve housing complaints pursuant to HUD's policies and procedures and forward all complaint resolutions to HUD for review and approval. The agency will resolve housing discrimination complaints with 100% HUD approval rate for each fiscal year. The measurement is the percentage of housing complaint resolutions approved by HUD for each fiscal year.				
Housing Compl. Quality	100%	100%	100%	100%
* Equal employment opportunity is critical to quality of life for the citizens of Oklahoma; yet employment discrimination remains a serious deterrent. Resolving employment discrimination complaints is important in furthering equal opportunity in employment. This program will increase the number of employment complaints by two each fiscal year. The measurement is the number of complaints resolved each fiscal year.				
Number of Empl. Compl Resols	195	206	208	210
Goal: Increase the receipt of federal funds for resolving employment complaints.				
* The EEOC reimburses the agency \$550.00 for each employment complaint resolved by the agency and approved by EEOC. The agency will increase the amount of funds received from the EEOC by \$1,100.00 each fiscal year. The measurement is the amount of federal funds the agency receives from the EEOC each fiscal year.				
Federal funds from EEOC	\$107,250.00	\$96,450.00	\$97,555.00	\$98,650.00
Goal: Increase the receipt of federal funds for resolving housing complaints.				
* The U.S. Department of Housing and Urban Development (HUD) reimburses the agency \$2,600.00 for each housing complaint resolved and approved by HUD. The agency will increase the amount of funds received from HUD by \$5,200.00 each fiscal year.				
Federal funds from HUD	\$165,600.00	\$213,200.00	\$218,400.00	\$223,600.00
Goal: Increase awareness of and discourage racial profiling.				
* Any person may file a racial profiling complaint with the agency if that person believes he or she was stopped or arrested by a law enforcement officer based solely on that person's race or ethnicity. The measurement is the number of complaints filed with the agency each fiscal year.				
Complaints filed	6	3	4	5
* The agency will determine whether complaints meet the criteria for filing under the law. The measurement is the number of complaints that meet the filing requirements under the law.				
Criteria for filing	6	3	4	5
* The agency will compile an annual report on racial profiling. The measurement is the number of annual reports compiled each year.				
Annual report	1	1	1	1

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY- 2011</u> <u>Budgeted</u>	<u>FY-2012</u> <u>Estimated</u>
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Program: Administration

Goal: This program will provide quality and effective service to our customers, internal and external.

- * This program is required to submit several reports to its customers. These reports include, but are not limited to, budget, budget request, strategic plan, data processing plan, disaster relief plan, affirmative action plan, general acceptable accounting principles conversion, property inventory, risk assessment survey, workforce plan. We will submit each report accurately and timely. The measurement is the percentage of reports that is submitted on or before the due date.

Report Submission	100%	100%	100%	100%
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- * Staff development is critical to effective delivery of program services. Administration will ensure that each staff person receives a minimum of 16 staff development training hours for each FY-2011, 2012, and 2013. The measurement is the percentage of staff persons who receives a minimum of 16 such training hour for each of these fiscal years.

Staff Development Training	100%	100%	100%	100%
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Program: Community Relations

Goal: Successfully inform the public of their rights and responsibilities under Oklahoma's Anti-Discrimination Law and under relevant federal laws and regulations.

- * This program will address and resolve discrimination complaints (complaints that are outside of the jurisdiction of the enforcement program) between individuals or groups through meetings, conferences, conciliations, or appropriate referrals. The measurement is the number of discrimination disputes resolved each fiscal year.

Complaint resolutions	471			
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- * This program will continue to provide technical assistance relating to customers' rights under civil rights laws enforced by the Agency. The measurement is the number of technical assistance provided to customers for for each fiscal year.

Technical assistance	1024	1024	1024	1024
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- * This program will plan, organize, and conduct educational activities annually to educate the public concerning their rights, duties and responsibilities under state and federal laws equal opportunity laws in the areas of employment, housing, places of public accommodation and other areas under the laws enforced by the Agency. The measurement is the number of major educational programs conducted for each fiscal year.

# of educational activities	4	4	4	4
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Program: Enforcement and Compliance

Goal: To increase the quality, quantity and timeliness of employment and housing discrimination complaints filed with the Commission.

- * The Commission has a housing discrimination complaint resolution contract with HUD. Pursuant to the contract, the Commission is required to resolve complaints in compliance with HUD's processing procedures and forward all resolution to HUD for review and approval. This program will resolve all housing discrimination complaints with a 100% HUD approval rate for FY-2009, FY-2010 and FY-2011. The measurement is the annual percentage rate of housing resolutions approved by HUD for each fiscal year.

Housing Resol. Quality	100	100	100	100
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Enforcement and Compliance

Goal: To increase the quality, quantity and timeliness of employment and housing discrimination complaints filed with the Commission.

- * Fair housing is critical to the quality of life for Oklahomans; yet, fair housing remains a serious problem. Therefore, resolving housing discrimination complaints is very important. Given the Commission's limited staff and given the complexity involved in resolving housing complaints, the Commission can reasonably expect to resolve 80-90 complaints during each fiscal year. Due to economic trends that affect fair housing, the Commission received an increase number of complaints during FY 2010. The measurement is the number of housing discrimination complaints resolved each fiscal year.

Housing Complaints	76	98	90	92
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- * The Commission has an employment resolution contract with the Equal Employment Opportunity Commission (EEOC). The EEOC sets complaint resolution quality standards and approves and disapproves complaints resolutions submitted by the Commission for the EEOC for review. This program will resolve complaints in compliance with EEOC standard of quality 100 percent of the times during each fiscal year. The measurement is the annual percentage rate of employment complaint resolutions approved by EEOC each fiscal year.

Resolution Quality	100	100	100	100
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- * Resolving employment discrimination complaints timely is critical to providing good service to our customers. This program will reduce by 10 days each fiscal year the average number of days involved in resolving employment discrimination complaints. The measurement is the average number of days required to resolve employment complaints.

Complaint Resolution Time	614	594	584	574
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- * Equal employment opportunity is essential to the quality of life for Oklahomans; yet discrimination in employment remains a serious problem. Resolving employment discrimination complaints is important to furthering equal employment opportunity. This program will increase the number of employment complaint resolutions by two each fiscal year. The measurement is the number of employment complaint resolutions each fiscal year.

Employment Complaints	195	206	208	210
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- * Resolving housing discrimination complaints timely is essential to furthering fair housing and protecting the rights of all parties involved. This program will resolve 53% of housing discrimination complaints within 100 days of the date that the complaint was filed. The measurement is the percentage of complaints resolved within 100 days for each fiscal year.

Housing Compl. Resol Time	53	53	53	53
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>		<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X	General Revenue	714	604	571
440	Federal Funds	254	357	670
Total Expenditures by Fund		\$968	\$961	\$1,241

HUMAN RIGHTS COMMISSION

- 458 -

HUMAN RESOURCES AND
ADMINISTRATION

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	826	834	870	
Professional Services	32	2	8	
Travel	31	34	88	
Lease-Purchase Expenditures	0	0	0	
Equipment	3	1	49	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	76	90	226	
Total Expenditures by Object	\$968	\$961	\$1,241	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1 Administration				
1 Administration And Support	276	235	245	
2 Admin and Support (EEOC)	24	24	104	
3 Admin and Support (HUD)	21	69	104	
Total Administration	321	328	453	
2 Enforcement and Compliance				
2 Enforcement And Compliance	424	361	316	
3 Enforce & Compliance (EEOC)	112	56	204	
4 Enforce & Compliance (HUD)	73	159	204	
Total Enforcement and Compliance	609	576	724	
3 Community Relations				
3 Community Relations	7	9	10	
4 Community Relations (EEOC)	3	22	28	
5 Community Relations (HUD)	27	26	28	
Total Community Relations	37	57	66	
79 Clearing and ASA Department				
99999 Clearing and ASA Department	0	0	0	
Total Clearing and ASA Department	0	0	0	
Total Expenditures by Activity	\$967	\$961	\$1,243	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1 Administration	4.0	4.0	5.0	
2 Enforcement and Compliance	13.0	9.0	9.0	
3 Community Relations	1.0	1.0	1.0	
Total FTE	18.0	14.0	15.0	
Number of Vehicles	0	0	0	

MERIT PROTECTION COMMISSION (298)

MISSION

Design and implement a dispute resolution system to protect the integrity of the merit system for state employees through hearings, investigations, and an Alternative Dispute Resolution Program in conjunction with training and consultation.

THE COMMISSION

The Oklahoma Merit Protection Commission consists of nine members, who are appointed for a term of three years. Two members of the Commission are appointed by the President Pro Tempore of the Senate. Two members of the Commission are appointed by the Speaker of the House of Representatives. Five members of the Commission are appointed by the Governor.

DUTIES/RESPONSIBILITIES

The principal duties of the Oklahoma Merit Protection Commission are as follows:

- (1) Receive and act on complaints, counsel persons and groups on their rights and duties and take action designed to obtain voluntary compliance with the provisions of the Oklahoma Personnel Act;
- (2) Investigate allegations of violations of the provisions of the Oklahoma Personnel Act within our jurisdiction;
- (3) Investigate allegations of abuse in the employment practices of the Administrator of the Office of Personnel Management or any state agency;
- (4) Investigate allegations of violations of the rules of the Merit System of Personnel Administration and prohibited activities in the classified service;
- (5) Establish and maintain a statewide Alternative Dispute Resolution Program to provide dispute resolution services for state agencies and employees;
- (6) Establish rules and regulations, pursuant to the State Administrative Procedures Act, Sections 301 through 326 of Title 75 of the Oklahoma Statutes, as may be necessary to perform the duties and functions of the Commission;
- (7) Hear appeals of permanent classified employees who have been discharged, suspended without pay or involuntarily demoted;
- (8) Hear appeals of employees and applicants for state employment relating to violations of the Oklahoma Personnel Act and Merit System of Personnel Administration rules;
- (9) Prepare and preserve an audio recording of all proceedings conducted by the Commission and furnish transcripts of such recordings upon collection of transcript fees by the requesting party;
- (10) Submit quarterly, fiscal year reports on workload statistics to the Governor, the Speaker of the House of Representatives, and the President Pro Tempore of the Senate.
- (11) Act on discrimination complaints filed by state employees pursuant to Title 74 O.S., Section 954.

STATUTORY REFERENCES

Program Name	Statutory Reference
General Operations - 10	Title 74 O.S. Section 840-1.8, Section 840-6.6, Section 840-6.7, Section 840-6.1, and Section 840-6.2

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Goal: Provide effective, impartial services and programs to our customers.

- * We will increase the number of cases resolved through Alternative Dispute Resolution (ADR), mediation and negotiation. We anticipate an increase of 13 cases in FY 2011 and five cases thereafter.

ADR	93 cases	80 cases	93 cases	98 cases
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- * Based on fulfillment of our customers' new needs and expectations, we will strive to deliver quality training sessions. We will pursue at least 90 % satisfaction by FY-2011 and increase by 1% each year.

Customer Training	90%	90%	90%	91%
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- * We will conduct annual surveys to evaluate the delivery and effectiveness of all of the services and programs. We will maintain at least 82% satisfaction by FY 2011, and then increase it 1% each year.

Satisfaction Survey	N/A	NA	82%	83%
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MERIT PROTECTION COMMISSION

- 460 -

HUMAN RESOURCES AND
ADMINISTRATION

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Goal: Use innovative solutions to the Dispute Resolution System through new technology.				
* We have modified our internal tracking system to include agencies statistics on the number of and type of disputes and resolution method and then begun maintaining and tracking all classified agencies statistics.				
The Internal Tracking System	100%	100%	100%	100%
Goal: Create full and open communication channel to agency/employee inquiries.				
* We have established Communication Advisory Groups within each classified state agency regarding Commission programs and responded to agency/employee communications within one working day .				
Communication	1	1	1	1
Goal: Reduce costs to other agencies.				
* Based on our internal operation improvements through increasing team members' problem-solving skills, taking preventive actions and adopting an online filing system, we are able to expedite the appeal process and save other agencies' time and money by reducing appeal resolution days from the date of receipt in our office to the date of resolution.				
Appeal Resolution Time	91 days	71 days	66 days	61 days
* We will decrease the costs to other state agencies by increasing our on-site hours each year, which will negate the need for other agencies to pay travel costs. The travel cost of a member of the MPC staff, ALJs, or facilitators to a remote location is estimated to be at least 1/4 the cost of others traveling to OKC. We anticipate decreasing the costs for other agencies with regard to grievances, investigations, alternative dispute resolutions, hearings, and training.				
On-Site Activities	\$1,579.74	\$14,685.00	\$15,000	\$15,500

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: General Operations - 10				
Goal: Provide effective and impartial services and programs to our customers.				
* We will conduct annual surveys to evaluate the delivery and effectiveness of all services and programs. We strive for continuous improvement by coordinating strategic activities, analyzing customer feedback, and effectively utilizing our resources. We will have maintained at least 82% satisfaction by FY-11 and then increase by 1% thereafter.				
Annual Survey	N/A	N/A	82%	83%
* Based on fulfillment of our customers' needs and expectations, we will strive to deliver quality training sessions, including Progressive Discipline training and Grievance Manager training. We will pursue at least 90% satisfaction by FY-2011 and then increase by 1% each year.				
Customer Training	90%	90%	90%	91%
* We will increase the number of cases resolved through the Alternative Dispute Resolution program (ADR), mediation and negotiation. We anticipate an increase of 13 cases in FY-2011 and five cases thereafter.				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: General Operations - 10

Goal: Provide effective and impartial services and programs to our customers.

ADR	93 cases	80 cases	93 cases	98 cases
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Goal: Use innovative solutions to the dispute resolution through new technology.

- * We have modified our internal tracking system to include agencies statistics on the number of and type of disputes and resolution methods and begun maintaining and tracking all classified agencies and statistics.

Internal Tracking System	100%	100%	100%	100%
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Goal: Create full and open communication channels to agency/employee inquiries.

- * We have established Advisory Groups within each classified state agency, regarding Commission programs. We have responded to agency or employee inquiry within one working day.

Communication	1	1	1	1
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Goal: Reduce costs to other agencies.

- * We will decrease the costs to other state agencies by increasing our on-site training, mediations, investigations, and hearings. This would negate the need for other agencies to pay travel costs. We anticipate cost savings to other agencies:

On-Site Activities	\$1,579.74	\$14,685.00	\$15,000	\$15,500
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- * Based on our internal operational improvements through increasing team members' problem-solving skills, taking preventive actions, and adopting an online filing system, we are able to expedite the appeal process and save other agencies' time and money by reducing appeal resolution days from the date of receipt in our office to the date of resolution.

Appeal Resolution Days	91 days	71 days	66 days	61 days
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

<u>Type of Fund:</u>	<u>\$000's</u>		
	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X General Revenue	649	537	559
200 Merit Protection Comm Revolving	27	20	22
Total Expenditures by Fund	\$676	\$557	\$581

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	512	431	455	
Professional Services	62	46	53	
Travel	14	9	11	
Lease-Purchase Expenditures	0	0	0	
Equipment	17	1	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	73	66	62	
Total Expenditures by Object	\$678	\$553	\$581	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10 Administration				
1 General Operations	155	557	581	
3 Data Processing	28	0	0	
20 Training	27	0	0	
Total Administration	210	557	581	
30 Investigations				
1 Investigations	103	0	0	
Total Investigations	103	0	0	
40 Hearings and Hearing Appeals				
1 Hearings/Appeals	108	0	0	
Total Hearings and Hearing Appeals	108	0	0	
50 Alternative Dispute Resolution				
1 Alternate Dispute Resolution	118	0	0	
Total Alternative Dispute Resolution	118	0	0	
60 Grievance Management/Training				
1 Griev Mgmt Trng	138	0	0	
Total Grievance Management/Training	138	0	0	
Total Expenditures by Activity	\$677	\$557	\$581	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10 Administration	1.9	6.8	6.5	
30 Investigations	1.3	0.0	0.0	
40 Hearings and Hearing Appeals	0.6	0.0	0.0	
50 Alternative Dispute Resolution	1.6	0.0	0.0	
60 Grievance Management/Training	2.0	0.0	0.0	
Total FTE	7.4	6.8	6.5	
Number of Vehicles	0	0	0	

PERSONNEL MANAGEMENT, OFFICE OF (548)

MISSION

We serve the people of Oklahoma by delivering reliable and innovative human resource services to our partner agencies to achieve their missions.

DUTIES/RESPONSIBILITIES

The Office of Personnel Management (OPM) is responsible for providing both service and regulatory personnel administration functions that are efficient and effective in meeting the management needs of various state agencies. OPM maintains a classified system of employment and a fair and equitable compensation system for state employees. OPM adopts job families for Merit System jobs and assigns positions to them. It recommends a flexible and adaptable state employee pay system based on the market data found in relevant public and private sector markets, sets pay bands for individual job families and levels and administers the Longevity Payment Program. OPM also advises agencies on agency reduction-in-force and voluntary buy-out plans and approves furlough plans.

OPM provides recruitment and referral services for state agencies, including the certification of qualified persons for state jobs and the development and administration of valid, job-related, nondiscriminatory selection procedures, providing for competitive examinations when practical and appropriate. It also provides special recruitment assistance and referral services designed to assist state agencies with their affirmative action program needs and objectives. OPM approves state agencies' Affirmative Action Plans and prepares the State's AA/EEO status report. OPM also administers a statewide Employee Assistance Program designed to help agencies and employees with performance deficiencies associated with personal problems, including alcoholism and drug abuse. The program provides counseling and referral assistance to employees and family members who are seeking help and assists state agencies in dealing with employees with such problems.

OPM provides many management training and development opportunities, including the Carl Albert Public Internship Program, a nationally-accredited Certified Public Manager Program and the Quality Oklahoma Program. It administers the statutorily required Supervisory Training Program, the certification of Discrimination Complaints Investigators, and the certification of Personnel Professionals. OPM provides staff support for the Affirmative Action Review Council, the Employees Assistance Program Advisory Committee, the Committee for Incentive Awards for State Employees Program which administers the Productivity Enhancement Program (PEP), the Oversight Committee for State Employee Charitable Contributions which administers the State Charitable Campaign (SCC), the Commission on the Status of Women, and a variety of other advisory committees. OPM also administers the state employee's voluntary payroll deduction program.

For agencies with classified employees, OPM maintains the OKCareers system to provide agencies with lists of qualified job applicants.

STATUTORY REFERENCES

Program Name	Statutory Reference
10001, 10002, 10003, 10013 - Administration	Title 74, Sections 840-1.1 through 840-6.9, Sections 292.11 through 292.12, Sections 4111-4122, Sections 4190-4192, and Sections 7001-7010; Title 62, Section 7.10; Title 74 Section 840-1.6A(7); Title 74 Section 840-699.1
30001 - Human Resource Development Services	Title 74, Section 840-1.6A(10); Section 840-3.1; Section 840-3.15
20001 - Employee Selection Services	Title 74, Section 840-1.6A (6), (8); Section 740-3.2; Section 840-4.12 (F), (H), 4.13 and 4.17 (A); and Section 4111-4120
40001 - Management Support Services	Title 74, Section 840-1.6A (2) (4) (5) (9) (11) (16) (17); Section 840-2.7; Section 940-2.13, Section 840-2.15-2.26, Section 840-2-27C, and Section 840-4.3

FY - 2012 EXECUTIVE BUDGET

50005 - State Employee Assistance Program Title 74 Section 840-2.10
 60004 - Network Management Services Title 62 Section 41.5e, g; Title 74-840-2.7

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Provide strategic HR consultation and services in partnership with state agencies to attract, develop and retain a competent, productive and diverse workforce to meet the human resource management needs of state government.

Goal: Promote workforce planning and assist agencies in developing and implementing strategies.

* Number of agencies assisted in workforce planning.

Agencies Assisted	20	25	25	35
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* Develop a workforce planning strategy for the Office of Personnel Management

Develop OPM Workforce Plan	Monitored	Monitored	Monitored	Monitored
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Goal: Develop and retain an innovative effective and diverse OPM workforce.

* Days of training provided to OPM employees including Certified Personnel Professional training and in-service in accordance with the developed plan.

Days of training	341	111	100	100
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* Number of OPM employees at median to +5% compared to statewide figures for classified employees in the same job family and level.

# Employees at median +5%	4	5	5	5
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* Number of OPM employees attending training per year, including Certified Personnel Professional and in-service.

# Employees trained	56	34	35	35
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* Agency turnover (resignations and transfers)

Agency turnover	6.6%	8%	5%	5%
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 20001 - Employee Selection Services

Goal: To recruit and certify qualified personnel for state service

* Results of applicant survey on scale of 4.0

Applicant Survey Score	3.8	3.9	3.9	3.9
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* Total number of applications received annually

Applications Received	129706	119998	125000	125000
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* Total number of persons hired from the certificates annually

Certificate Hires	2576	1313	2200	2200
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PERSONNEL MANAGEMENT, OFFICE OF - 465 - HUMAN RESOURCES AND ADMINISTRATION

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 20001 - Employee Selection Services

Goal: To administer the Optional Program for Hiring Applicants with Disabilities

* Total number of persons with severe disabilities hired annually				
Persons Hired	9	25	25	25

Goal: To administer and promote the Targeted Recruitment Program

* Number of Career Fairs and other activities attended				
# of Career Fairs Attended	55	22	25	25

Goal: To provide selection services (state employment registers) to job applicants and state agencies

* Number of selection devices reviewed and either approved, revised, or replaced utilizing subject matter experts.				
Selection Devices Reviewed	18	6	12	12

Goal: To manage the State of Oklahoma Performance Management Process (PMP)

* Percentage of audited agencies in compliance with statutes regarding PMP preparation.				
% of Agencies in Compliance	50%	60%	100%	70%
* Number of agencies audited for PMP compliance with statutes.				
# of Agencies Audited	6	6	5	5

Goal: Increase the number of Carl Albert Public Internship Program interns employed by state agencies.

* Number of Carl Albert Interns hired by a state agency as an intern or executive fellow.				
Interns Employed	54	24	60	60

Goal: Increase the number of state colleges and universities with students applying to participate in the CAPIP annually.

* Percentage of state colleges and unviersities with students applying to participate in the CAPIP annually.				
Colleges and Universities	44%	25%	75%	75%

Program: 30001 - Human Resource Development Services

Goal: To conduct at least 325 management training days

* Number of Management Training Days				
Management Training Days	362	350	350	350
* Total number of courses offered to state employees				
Courses Offered	314	284	300	300

Goal: To revise at least four courses annually to ensure content is current

* Number of courses revised				
4 Revised Courses	4	4	4	4

Goal: To recognize Quality Improvement Process team efforts utilizing performance improvements resulting in better customer satisfaction, cost savings, revenue generation, increased employee morale, or service delivery systems

* Total number of Quality Improvement Process teams participating in Quality Oklahoma Team Day				
QIP & PSP Teams	66	80	60	60

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: 30001 - Human Resource Development Services				
Goal: To recognize Quality Improvement Process team efforts utilizing performance improvements resulting in better customer satisfaction, cost savings, revenue generation, increased employee morale, or service delivery systems				
* Dollars saved by Quality Oklahoma Team Projects				
Annual Team Savings	\$18,500,000	75,948,784	1,000,000	1,000,000
* Total number of Quality Improvement Process Team Awards given to employees and teams annually				
QIP & PSP Awards	45	30	30	30
Goal: To enroll at least 40 CPM candidates annually				
* Total number of newly enrolled CPM candidates annually				
New CPM Candidates	41	38	40	40
Goal: To provide opportunities for CPM candidates to complete examinations				
* Total number of CPM examinations taken annually				
CPM Examinations	92	92	40	40
Goal: To provide opportunities for CPM candidates to complete required projects				
* Total number of CPM Projects completed annually				
CPM Projects Completed	117	81	100	100
Goal: To administer and market the Productivity Enhancement Program (PEP)				
* Number of PEP awards given annually				
PEP Nominations	1	0	5	5
* Dollars saved by the state during the first year as a result of a PEP project				
First Year Savings	\$30,000	0	\$10,000	\$10,000
* Dollars given to state employees as a result of receiving a PEP award				
Approved Awards	\$10,000	\$10,000	\$2,500	\$2,500

Program: 40001 - Management Support Services

Goal: To provide for tracking and monitoring of employee data through the human resource function of the PeopleSoft and legacy Systems				
* # Transactions Reviewed	46,728	44,604	46,000	46,000
Goal: To assure that an appropriate percentage of the state's classified workforce is represented in the Annual Compensation Report survey comparisons to assure validity of the market comparison				
* The percentage of the classified workforce represented by benchmark jobs compared to survey in the Annual Compensation Report				
% of Benchmark Jobs	76%	71%	73%	73%
Goal: To encourage strong participation in the OPM Annual Salary and Benefits Survey, and to conduct and participate in formal and ad hoc salary surveys in order to increase the amount of relevant market data for classified positions.				
* The percentage of both formal and ad hoc salary surveys completed by the established survey deadline				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 40001 - Management Support Services

Goal: To encourage strong participation in the OPM Annual Salary and Benefits Survey, and to conduct and participate in formal and ad hoc salary surveys in order to increase the amount of relevant market data for classified positions.

% of Surveys Completed	100%	100%	100%	100%
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Program: 50005 - State Employee Assistance Program

Goal: To provide counseling and referral assistance to state employees and their families with help in mental health, alcohol/drugs, emotional, marital, family relations, financial, job-related issues, and personal problems

* Number of hours of counseling provided to state employees and their families on an annual basis.

Hours of Counseling	4361	3735	3922	4118
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Goal: To market the Employee Assistance Program (EAP) to state agencies, including distribution of the EAP video, brochures, and training/seminars

* Number of contacts made to state agencies through site visits and requests for materials

Marketing Contacts	25	45	47	49
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Goal: To provide EAP training to state agencies at their request

* Number of state agency personnel trained to handle EAP issues

Persons Trained in EAP	602	731	767	805
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Program: 60004 - Network Management Services

Goal: To maintain a reliable, secure network with minimal downtime

* The percentage of time the network is up and available to users

% Uptime	99.9
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Goal: To provide fast, friendly responses to users of the network.

* The average amount of time taken to resolve help desk calls

Help Call Resolution Time	1 hour
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

<u>Type of Fund:</u>	<u>\$000's</u>		
	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X General Revenue	4,749	4,388	3,914
205 Office of Personel Mgt Revolving	245	180	230
215 OCSW REVOLVING FUND	0	0	19
Total Expenditures by Fund	\$4,994	\$4,568	\$4,163

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	4,189	3,895	3,385	
Professional Services	76	61	185	
Travel	96	73	34	
Lease-Purchase Expenditures	0	0	0	
Equipment	197	120	151	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	436	418	407	
Total Expenditures by Object	\$4,994	\$4,567	\$4,162	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10 Administration				
10001 Cabinet Sec / Administration	689	649	642	
10002 Financial Mgt / Admin Services	652	689	695	
10003 Equal Opportun & Workforce Div	146	140	95	
10013 Commission on Status of Women	22	29	44	
20001 Employment Selection Services	1,116	1,068	961	
30001 Human Resource Development Svc	418	379	346	
40001 Management Services	902	805	644	
50005 State Employee Assistance Prog	291	274	207	
60004 Network Management Services	760	536	528	
Total Administration	4,996	4,569	4,162	
Total Expenditures by Activity	\$4,996	\$4,569	\$4,162	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10 Administration	67.9	61.6	54.7	
Total FTE	67.9	61.6	54.7	
Number of Vehicles	3	2	2	

SECURITIES COMMISSION (630)

MISSION

The mission of the Department is investor protection through the administration and enforcement of The Oklahoma Uniform Securities Act of 2004, an act prohibiting fraud in securities transactions and requiring the registration of broker-dealers, agents, investment advisers and investment adviser representatives and the registration of securities. The Department also administers the Subdivided Land Sales Code, Business Opportunity Sales Act and the Take Over Disclosure Act of 1985.

THE COMMISSION

The Oklahoma Securities Commission consists of four (4) appointed members and one (1) Ex officio member. The Governor makes appointments by and with the advice and consent of the Senate. The commissioners are appointed for a six-year initial term. The appointed members consist of a member of the Oklahoma Bar Association appointed from a list of five nominees submitted by the Oklahoma Bar Association, an active officer of a bank or trust company operating in the State of Oklahoma appointed from a list of five nominees submitted by the Oklahoma Bankers Association, a certified public accountant appointed from a list of five nominees submitted by the Oklahoma Society of Certified Public Accountant and a member engaged in the securities industry from a list of five nominees submitted by the Oklahoma Securities Industry Association.

The State Bank Commissioner of Oklahoma by reason of office automatically serves as an ex officio member.

DUTIES/RESPONSIBILITIES

STATUTORY REFERENCES

Program Name	Statutory Reference
Registration of Securities	Oklahoma Uniform Securities Act of 2004, 71 O.S. Supp.2008, Sec.1-601.J.1.b.
Broker-Dealers, Agents and Investment Advisers - Licensing	Oklahoma Uniform Securities Act of 2004, 71 O.S. Supp.2008, Sec.1-601.J.1.a.
Broker-Dealers, Agents and Investment Advisers - Examination	Oklahoma Uniform Securities Act of 2004, 71 O.S. Supp.2008, Sec.1-601.J.1.a.
Investigation and Enforcement	Oklahoma Uniform Securities Act of 2004, 71 O.S. Supp.2008, Sec.1-601.J.1.c.
Mission Support	Oklahoma Uniform Securities Act of 2004, 71 O.S. Supp.2008, Sec.1-601.J.1.
Administration	Oklahoma Uniform Securities Act of 2004, 71 O.S. Supp.2008, Sec.1-601.J.1.
Investor Education	Oklahoma Uniform Securities Act of 2004, 71 O.S. Supp.2008, Sec.1-601.J.1.d.
Data Processing	Oklahoma Uniform Securities Act of 2004, 71 O.S. Supp.2008, Sec.1-601.J.1.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES				
<u>Goals/Measures</u>	<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY- 2011</u> <u>Budgeted</u>	<u>FY-2012</u> <u>Estimated</u>

Goal: Meet the challenge presented by the changing industry

- * Review all submissions excluding unit investment trust mutual fund notices, to identify document and fee deficiencies.

Review submissions 5147

- * Process unit investment trust mutual fund notices, post acknowledgement of receipt on Department Internet site for retrieval by the filer.

Process Mutual Fund Notices 24950

Goal: Meet the demand for increased efficiency created by new technology

- * Process securities agent license applications

Securities agents 86123

- * Process investment adviser license applications

Investment advisers 1127

- * On-line Questionnaire (Automated system implemented during FY-04)

Review On-line questionnaire 125

- * Process broker-dealer license applications

Broker-dealer 1693

- * Participate in joint on-site examinations of securities professionals with other states, NASD and the Securities and Exchange Commission.

Conduct joint on-site exams 2

- * To encourage continuing compliance with statutory and rule requirements by registered securities professionals that are located in Oklahoma and are not regularly inspected by other regulatory agencies

Perform on-site examinations 22

- * Process investment adviser representative license applications.

Investment adviser reps 6123

- * Process submissions for securities registration

Process registration request 40

- * Process Business Opportunity submissions.

Process Business Opportunity 4

- * Process securities exemption requests

Process exemption request 110

Goal: Educate investors and the industry

- * No. of TV-Radio spots aired.

No. of TV-Radio spots aired 26

- * No. of TV documentaries aired.

No. of TV documentaries aired 2

- * Number of students participating in the semester long program.

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Educate investors and the industry

STARS Student Participation 2500

- * Number of teachers participating in the semester long program.

STARS Teacher Participation 28

- * Conduct Teacher Workshops

Conduct Teacher Workshops 120

- * Conduct one week institutes to raise teachers awareness of investment and financial education and fraud prevention

Conduct Teacher Institutes 56

Goal: To impede the defrauding of the investing public by improving responsiveness to complaints, and where warranted, investigations; and taking the appropriate remedial actions or sanctions.

Aggressively investigate suspected violations and promptly bring the proper legal action; and work closely with local, state and federal prosecutors and other law enforcement officials to ensure that appropriate enforcement action is taken, including the criminal referral, indictment, and conviction of individuals who violate the provisions of the Act

- * Civil penalties collected (\$000).

Civil penalties 30

- * Orders issued.

Orders issued 21

- * New enforcement cases opened.

New enforcement cases 112

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Broker-Dealers, Agents and Investment Advisers - Examination

Goal: Meet the challenge presented by the changing industry.

- * To encourage continuing compliance with statutory and rule requirements by registered securities professionals that are located in Oklahoma and are not regularly inspected by other regulatory agencies

Perform on-site examinations 20

- * On-line Questionnaire (Automated system implemented during FY-04).

Review On-line questionnaire 50

Program: Broker-Dealers, Agents and Investment Advisers - Licensing

Goal: Process licensing applications

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Broker-Dealers, Agents and Investment Advisers - Licensing

Goal: Process licensing applications

- * Process investment adviser license applications
Investment advisers 1096
- * Process securities agent license applications
Securities agents 83483
- * Process broker-dealer license applications
Broker-dealer 1649

Program: Investigation and Enforcement

Goal: To impede the defrauding of the investing public by improving responsiveness to complaints and, where warranted, investigations; and taking the appropriate remedial actions or sanctions.

- * New enforcement cases opened.
New enforcement cases 147
- * Orders issued.
Orders issued 25
- * Civil penalties collected (\$000).
Civil penalties 26

Program: Investor Education

Goal: Provide information and training necessary for high school teachers to implement the Students Tracking and Researching the Stock Market (STARS) program in their courses and/or organizations they sponsor. Conduct one week institutes to raise teacher awareness of investment and financial education and fraud prevention. Sponsor two-day refresher workshops for teachers who have participated in the STARS program, to offer program support and a deeper understanding of investment and financial education.

- * Conduct one week institutes to raise teacher awareness of investment and financial education and fraud prevention
Conduct Teacher Institutes 40
- * Sponsor two-day refresher workshops for teachers who have participated in the STARS program, to offer program support and a deeper understanding of investment and financial education.
Conduct Teacher Workshops 100

Goal: Sponsor a semester or trimester online portfolio tracking program for high school students, which provides free, unbiased education about the importance of long-range investing as opposed to get-rich-quick schemes or trading. The program goal is to raise student awareness about how to achieve financial freedom and independence. The portfolio is the tool the program uses to reach that goal.

Protecting finances by raising fraud awareness is another important program goal. Some valuable benefits of the students experience help each student to sharpen skills in: critical thinking, math and research, practice setting goals, meeting deadlines, and writing reports. In addition, the student learns how to understand the time value of money, understand opportunity cost, and recognize the impact of compound interest. Students complete a risk assessment, write an investment goal, document their research, maintain a tracking log, and write a detailed report at the conclusion of the program.

- * Number of teachers participating in the semester long program.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Investor Education

Goal: Sponsor a semester or trimester online portfolio tracking program for high school students, which provides free, unbiased education about the importance of long-range investing as opposed to get-rich-quick schemes or trading. The program goal is to raise student awareness about how to achieve financial freedom and independence. The portfolio is the tool the program uses to reach that goal.

Protecting finances by raising fraud awareness is another important program goal. Some valuable benefits of the students experience help each student to sharpen skills in: critical thinking, math and research, practice setting goals, meeting deadlines, and writing reports. In addition, the student learns how to understand the time value of money, understand opportunity cost, and recognize the impact of compound interest. Students complete a risk assessment, write an investment goal, document their research, maintain a tracking log, and write a detailed report at the conclusion of the program.

Participating Teachers 30

* Number of students participating in the semester long program.

Participating Students 300

Goal: Manage a TV Radio project providing Oklahoma citizens with unbiased investment education basics and anti-fraud information designed to increase investor well being through knowledge and securities fraud awareness.

* Number of radio spot airing listeners (weekly).

Radio spots listeners 10,290

* To reach Oklahoma Spanish speaking community an OU Department of Foreign Languages Spanish language expert will translate 26 Investment Minute scripts to Spanish for airing on the Spanish language stations. The project was suspended on April 23, 19008 in view of SB 163.

Spanish translated scripts 6

* Number TV documentary broadcast viewers (Twenty-six different documentaries.).

TV documentary viewers 495,865

Program: Mission Support

Goal: Protect investors through support of the registrations divisions

* Review all submissions excluding unit investment trust mutual fund notices, to identify document and fee deficiencies.

Review submissions 5000

Goal: Process investment company notices

* Process unit investment trust mutual fund notices, post acknowledgement of receipt on Department Internet site for retrieval by the filer.

Process Mutual Fund Notices 23322

Program: Registration of Securities

Goal: Meet the challenge presented by the changing industry

* Process securities exemption requests

Process exemption request 110

* Process submissions for securities registration

Process registration request 30

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Registration of Securities

Goal: Meet the challenge presented by the changing industry

* Process Business Opportunity submissions.

Process Business Opportunity 2

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
200 Securities Commission Revolving	3,621	4,579	5,140
205 Investor Education Revol Fund	1,380	237	900
Total Expenditures by Fund	\$5,001	\$4,816	\$6,040

EXPENDITURES BY OBJECT

\$000's

<u>Object of Expenditure</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits	3,175	3,256	3,236
Professional Services	1,432	1,215	2,305
Travel	21	14	61
Lease-Purchase Expenditures	26	35	31
Equipment	68	31	30
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	278	264	378
Total Expenditures by Object	\$5,000	\$4,815	\$6,041

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

\$000's

<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
10 General Operations			
1000 Administration General	204	223	210
2000 Regulation General	509	488	408
2088 Regulation Data Processing	296	223	254
2200 Registration Of Securities	293	271	281
2310 Regis of Firms/Agents Licensng	128	6	0
2320 Regis of Firms/Agents Exam	443	500	545

SECURITIES COMMISSION - 475 -

HUMAN RESOURCES AND
ADMINISTRATION

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
10 General Operations			
2400 Investigation and Enforcement	1,659	1,745	1,994
2500 Investor Education	87	242	172
Total General Operations	<u>3,619</u>	<u>3,698</u>	<u>3,864</u>
30 Investor Education			
2 Investor Education Univ of OK	1,380	1,117	2,176
Total Investor Education	<u>1,380</u>	<u>1,117</u>	<u>2,176</u>
Total Expenditures by Activity	<u>\$4,999</u>	<u>\$4,815</u>	<u>\$6,040</u>

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
10 General Operations	26.0	0.0	0.0
Total FTE	<u>26.0</u>	<u>0.0</u>	<u>0.0</u>
Number of Vehicles	0	0	0

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
91 Capital Projects				
1 Personal Computer LAN	26	25	329	
Total Capital Outlay by Project	<u>\$26</u>	<u>\$25</u>	<u>\$329</u>	

STATE BOND ADVISOR (582)

MISSION

The mission of the Office is to protect the interests of the citizens of the State of Oklahoma by ensuring that all borrowings are conducted in a legal, ethical and cost-effective manner. Through the timely preparation and dissemination of financial and economic information, the Office promotes better understanding of the State's credit quality and helps ensure market access for each of its borrowing programs.

THE COUNCIL

Council of Bond Oversight

DUTIES/RESPONSIBILITIES

Serve as staff to the Council of Bond Oversight and the Long-Range Capital Planning Commission. Oversee issuance of state bonds, notes and other obligations. Review RFP's for professionals hired in connection with bond issues and approve all fees and expenses. Serve as liaison for state with bond rating agencies and credit enhancement firms. Administer the Oklahoma Private Activity Bond Allocation Act.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operation of the State Bond Advisor's Office	62 O.S. 695

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
19X	FY 2004 GENERAL REVENUE FUN	181	160	156
285	BOND OVERSIGHT REVOLVING F	151	48	207
Total Expenditures by Fund		<u><u>\$332</u></u>	<u><u>\$208</u></u>	<u><u>\$363</u></u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Salaries and Benefits		302	178	309
Professional Services		0	4	0
Travel		6	3	26
Lease-Purchase Expenditures		0	0	0
Equipment		1	0	5
Payments To Local Govt Subdivisions		0	0	0
Other Operating Expenses		23	22	23
Total Expenditures by Object		<u><u>\$332</u></u>	<u><u>\$207</u></u>	<u><u>\$363</u></u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
11	General Operations			
1	General Operations	331	208	360
2	Data Processing	0	0	3
	Total General Operations	<u>331</u>	<u>208</u>	<u>363</u>
Total Expenditures by Activity		<u><u>\$331</u></u>	<u><u>\$208</u></u>	<u><u>\$363</u></u>

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
11	General Operations	3.0	2.0	3.0
Total FTE		<u><u>3.0</u></u>	<u><u>2.0</u></u>	<u><u>3.0</u></u>
Number of Vehicles		0	0	0

ACCOUNTANCY BOARD (20)

MISSION

To protect the citizens of this state and to safeguard the public interest by prescribing and assessing the qualifications of and regulating the professional conduct of individuals and firms authorized to engage in the practice of public accounting in the State of Oklahoma.

THE BOARD

The Oklahoma Accountancy Board is composed of seven members who are appointed by the Governor and confirmed by the Senate. No more than six members may be public accountants or certified public accountants and one member represents the public. The term of office of each accountant member is five years. The term of the public member is coterminous with the Governor appointing the public member. No member who has served two successive complete terms is eligible for reappointment. Vacancies are filled by gubernatorial appointment for the remainder of the term of office.

DUTIES/RESPONSIBILITIES

The seven member board is charged with the administration and enforcement of the Oklahoma Accountancy Act for the protection of the public through the promulgation of rules and enforcement of the statute.

STATUTORY REFERENCES

Program Name	Statutory Reference
Licensing and Regulation of the Accounting Industry	Title 59, Oklahoma Statutes, Sections 15.1 et seq

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND	\$000's		
	FY- 2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
200 Accountancy Board Revolving Fund	1,033	1,349	2,714
Total Expenditures by Fund	<u>1,033</u>	<u>1,349</u>	<u>2,714</u>

ACCOUNTANCY BOARD

- 4 -

HUMAN RESOURCES AND
ADMINISTRATION

NON-APPROPRIATED AGENCIES

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	515	610	975	
Professional Services	242	463	1,177	
Travel	50	32	67	
Lease-Purchase Expenditures	0	0	0	
Equipment	45	13	139	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	181	230	356	
Total Expenditures by Object	\$1,033	\$1,348	\$2,714	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10 Administration				
1 General Administration	926	1,264	1,908	
2 Data Processing	107	85	806	
Total Administration	1,033	1,349	2,714	
Total Expenditures by Activity	\$1,033	\$1,349	\$2,714	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10 Administration	8.9	10.0	11.0	
Total FTE	8.9	10.0	11.0	
Number of Vehicles	0	0	0	

ARCHITECTS BOARD (45)

MISSION

The mission of the Board of Governors of the Licensed Architects, Landscape Architects and Registered Interior Designers of Oklahoma is to protect the citizens of the State of Oklahoma by regulating the professions of architecture and landscape architecture , promoting quality practice and identifying Registered Interior Designers.

THE BOARD

The Board is composed of (11) members who are appointed by the Governor, including six (7) persons who are licensed to practice and are actively engaged in the practice of architecture in this state or are a teaching professor of architecture, two (2) persons who are licensed landscape architects, (1) person who is registered as an interior designer and (1)one lay member. The lay member of the Board is appointed by the Governor to a term coterminous with that of the Governor. The term of office of each architect, landscape architect and interior designer member is five (5) years.

DUTIES/RESPONSIBILITES

The powers and duties of the Board are to:

1. Prescribe such rules and to make such orders, as it may deem necessary or expedient in the performance of its duties;
2. Prepare, conduct, and grade examinations of persons who shall apply for the issuance of licenses to them, and to promulgate such rules with reference thereto as it may deem proper;
3. Contract with nationally recognized registration organizations to prepare, conduct, and grade examinations, written or oral, of persons who shall apply for the issuance of licenses;
4. Determine the satisfactory passing score on such examinations and issue licenses to persons who shall have passed examinations, or who shall otherwise be entitled thereto;
5. Determine eligibility for licenses and certificates of authority;
6. Determine eligibility for registration as a registered interior designer and for certificate of title;
7. Promulgate rules to govern the issuing of reciprocal licenses and registrations;
8. Upon good cause shown, as hereinafter provided, deny the issuance of a license or, registration, certificate of authority or certificate of title or suspend, revoke or refuse to renew licenses or certificates of authority previously issued, and upon proper showing, to reinstate them;
9. Review, affirm, reverse, vacate or modify its order with respect to any such denial, suspension, revocation or refusal to renew;
10. Prescribe rules governing proceedings for the denial of issuance of a license or, registration, certificate of authority or certificate of title, suspension, revocation or refusal to renew, for cause, of licenses or, registrations, certificates of authority or certificates of title heretofore issued and the reinstatement thereof;
11. Prescribe such penalties, as it may deem proper, to be assessed against holders of licenses or, registrations, certificates of authority or certificates of title for the failure to pay the biennial fee hereinafter provided for;
12. Levy civil penalties plus the legal costs incurred by the Board to prosecute the case against any person or entity who shall violate any of the provisions of The the State Architectural and Interior Designers Act or any rule promulgated thereto;
13. Obtain an office, secure such facilities, and employ, direct, discharge and define the duties and set the salaries of such office personnel and set the salaries of such unclassified and exempt office personnel as deemed necessary by the Board;
14. Initiate disciplinary action, prosecutive, prosecute and injunctive proceedings seek injunctions against any person or

entity who has violated any of the provisions of The the State Architectural and Interior Designers Act or any rule of the Board promulgated pursuant to said act and against the owner/developer of the building type not exempt;

15. Investigate alleged violations of The the State Architectural and Interior Designers Act or of the rules, orders or final decisions of the Board;

16. Promulgate rules of conduct governing the practice of licensed architects and landscape architects;

17. Keep accurate and complete records of its proceedings, and certify the same as may be appropriate;

18. Whenever it deems it appropriate, confer with the Attorney General or his the Attorney General's assistants in connection with all legal matters and questions. The Board may also retain an attorney who is licensed to practice law in this state. The attorney shall serve at the pleasure of the Board for such compensation as may be provided by the Board. The attorney shall advise the Board and perform legal services for the Board with respect to any matters properly before the Board. In addition to the above, the Board may employ hearing examiners to conduct administrative hearings under the provisions of the Administrative Procedures Act, Section 250 et seq. of Title 75 of the Oklahoma Statutes;

19. Prescribe by rules, fees to be charged as required by this act;

20. Adopt rules providing for a program of continuing education in order to insure that all licensed architects or landscape architects remain informed of those technical and professional subjects which the Board deems appropriate to professional architect or landscape architect practice. The Board may by rule describe the methods by which the requirements of such program may be satisfied. Failure to meet such requirements of continuing education shall result in nonrenewal of the license issued to the architect or landscape architect;

21. Adopt rules regarding requirements for intern development as a prerequisite for registration licensure; and

22. Take such other action as may be reasonably necessary or appropriate to effectuate The the State Architectural and Interior Designers Act.

STATUTORY REFERENCES

Program Name	Statutory Reference
Architects, Landscape Architects & Reg. Interior Designers	O.S. 59, Section 46.1 et seq.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated
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Goal: Become a resource to NCARB (National Council of Architectural Registration Boards), CLARB (Council of Landscape Architectural Boards), NCIDQ (National Council for Interior Design Qualification), IIDA (International Interior Designers Association), the Oklahoma Legislature, other state agencies, municipal entities & students.

* The Board and staff will represent the state by attending NCARB, CLARB, NCIDQ, IIDA regional & annual and Board Executives meetings. We will calculate this measure by the total number meeting represented by Board and staff in at these meetings. This is also to include participation by teleconference.

National Participation	5	5	5	5
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* Inform different levels of government, including the Municipal officials and the Fire Marshals, and professional associations the restrictions on each practicing field of architecture and engineering as well as changes in the Act & Rules/Board policies. This measure will be calculated by the number of joint meetings held to ensure interaction and open communication. These will also include joint conference calls.

Inform Officials	2	2	2	2
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ARCHITECTS BOARD

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HUMAN RESOURCES AND
ADMINISTRATION

NON-APPROPRIATED AGENCIES

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Goal: Become a resource to NCARB (National Council of Architectural Registration Boards), CLARB (Council of Landscape Architectural Boards), NCIDQ (National Council for Interior Design Qualification), IIDA (International Interior Designers Association), the Oklahoma Legislature, other state agencies, municipal entities & students.				
* We will ensure that the information on our website is current and up to date for entities needing accessible information. We hire professionals to advise, purchase, maintain and install equipment, including software and hardware. This will be measured by annual data processing expenditures.				
Maintain Website	\$4107	\$17,718	\$88,000	\$58,000
* The Board and/or staff will sponsor/present annual programs to architectural students. This will be measured by the number of programs presented annually.				
Educate Students	1	2	1	1
Goal: Protect consumers by enforcing laws, codes and standards.				
* The Board will hire counsel to ensure our workload has a priority in effectively representing the Board's interest and ensuring enforcement. This will be measured by the dollars spent for counsel representation for the Board.				
Obtain Counsel	\$18,000	\$21,600	\$21,600	\$21,600
* Keeping current on laws effecting regulation by the Board is imperative. This includes our Act, the Open Records Act and the Adminstrative Procedures Act. This measure will be calculated by number of presentations of laws given to the Board and staff.				
Keeping Current on Laws	1	1	1	1
* Sponsoring continuing education programs complying with the HSW (health, safety,welfare) provision by the Board for licensees. This measure will be calculated by the number of programs sponsored by the board each year.				
Sponsor Continuing Ed	2	1	1	1
Goal: Obtain professional assistance to ensure the agency is working effeciently.				
* Hire professionals to advise, purchase, maintain and install equipment, including software and hardware. This will be measured by annual data processing professional services expenditures.				
Hire IT Assistance	\$26,910	\$17,718	\$88,000	\$58,000
* Hire consel to ensue our workload is priority effectively representing the Board's interests and ensuring enforcement. This will be measured by the dollars spent for consel representation.				
Hire Legal Counsel	\$18,000	\$21,600	\$21,600	\$21,600
Goal: Develop staff/Board organizational infrastructure to accomplish strategic plan goals.				
* On going development and training of staff is vital to the agency's success. We will measure this by the total number of hours staff spends in training and/or continuing education.				
Develop Staff's Skills	12	30	12	12
* Maintaining facilities is essential in the daily and ongoing operations of the agency. We will calculate this measure by the dollars spent for office rent.				
Maintain Facilities	\$9126	\$9759	\$12,000	\$12,000
* The Board members are presented with a law-related presentation on, but not limited to, the Open Meeting Act, Adminstrative Procedures Act and a refresher on the Architectural Act. We will measure this by the number of law-related presentations given each year.				
Law Related Training	1	1	1	1

ARCHITECTS BOARD

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HUMAN RESOURCES AND
ADMINISTRATION

NON-APPROPRIATED AGENCIES

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Develop staff/Board organizational infrastructure to accomplish strategic plan goals.

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
200 Architects Board Revolving Fund	360	391	553	
Total Expenditures by Fund	\$360	\$391	\$553	

EXPENDITURES BY OBJECT

		\$000's		
<u>Object of Expenditure</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
Salaries and Benefits	247	249	252	
Professional Services	48	69	121	
Travel	20	23	68	
Lease-Purchase Expenditures	0	0	0	
Equipment	0	9	40	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	45	41	72	
Total Expenditures by Object	\$360	\$391	\$553	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

		\$000's		
<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
10 General Operations				
10 General Operations	355	375	465	
88 Data Processing	5	16	88	
Total General Operations	360	391	553	
Total Expenditures by Activity	\$360	\$391	\$553	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES

<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
10 General Operations	3.1	3.1	3.1
Total FTE	3.1	3.1	3.1
Number of Vehicles	0	0	0

ARCHITECTS BOARD

- 48 -

HUMAN RESOURCES AND
ADMINISTRATION

NON-APPROPRIATED AGENCIES

CHIROPRACTIC EXAM. BD. (145)

MISSION

The mission of the Oklahoma Board of Chiropractic Examiners is to enhance public health and safety by regulating the practice of chiropractic in the State of Oklahoma, to ensure that only properly qualified chiropractors practice in this state, and that the profession as a whole is conducted in the public's best interest.

THE BOARD

The Board of Chiropractic Examiners is comprised of 8 chiropractic physicians and 1 lay member the 8 chiropractors represent various districts within Oklahoma, and the 8th position is an "at large position" which may be from any district within Oklahoma. This was a result of SB 415 which was passed into law during the 2005 Legislative Session.

DUTIES/RESPONSIBILITIES

The Board is appointed by the Governor and is mandated by statutes to carry forward the duties set forth in the Oklahoma Chiropractic Practice Act per Section 161.4 et seq. of Title 59 of the Oklahoma Statutes. The agency maintains records on all licensed chiropractors in the state of Oklahoma, reviews and approves all applicants to sit for the examination administered by the Board, maintain complaints on all licensed chiropractors along with disciplinary files, and provide renewal services for all license holders annually.

STATUTORY REFERENCES

Program Name	Statutory Reference
Regulation and Licensing of Chiropractic Physicians	Title 59 O.S. 161.1

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Goal: Protect the Public's health, safety and welfare

- * All applicants will be reviewed by the Executive Director and Administrative Support Staff to ensure that each applicant meets requirements set forth in the Chiropractic Practice Act to sit for the Jurisprudence Examination.

Review of Applications

- * Maintaining current renewal information, such as continuing education requirements, and license renewal requirements on each licensee that maintains an original license to practice chiropractic in Oklahoma.

License renewal monitoring	1082	1106	1146	1186
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- * The Board of Chiropractic Examiners has the authority in accordance to Title 59 O.S. 161.8 AND 161.9 to test the applicant who has meet the requirements set forth in Title 161.8 and 161.9 to allow them to sit for the Jurisprudence Examination or any other examination that the Board sees fit to administer to the applicants in accordance to Title 161.8. All applicants shall have a score of no less than 75% in order to qualify for licensure.

Testing of Applicants

Goal: More leadership role by Board for effective outcomes

- * Develop committees to assist the Board's Legal Counsel and Executive Director in reviewing continuing education applications, amendments of statutes and rules, and revising jurisprudence examination administered to applicants as needed.

Committees	NA
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EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
Salaries and Benefits	153	156	175	
Professional Services	78	56	39	
Travel	15	16	9	
Lease-Purchase Expenditures	0	0	0	
Equipment	4	0	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	34	33	31	
Total Expenditures by Object	<u>\$284</u>	<u>\$261</u>	<u>\$254</u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
10 General Operations				
1 General Operations	283	261	253	
Total General Operations	<u>283</u>	<u>261</u>	<u>253</u>	
Total Expenditures by Activity	<u>\$283</u>	<u>\$261</u>	<u>\$253</u>	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
10 General Operations	3.0	3.0	3.0	
Total FTE	<u>3.0</u>	<u>3.0</u>	<u>3.0</u>	
Number of Vehicles	0	0	0	

COSMETOLOGY BOARD (190)

MISSION

The mission of the Board is to safeguard and protect the health and general welfare of the people of the State of Oklahoma by enforcing all statutes and regulations necessary relating to standards of sanitation and safety which shall be maintained in state beauty schools and shops, mediating in areas of consumer complaints and alleged violations of cosmetology laws and rules, promoting state socio-economic goals relating to the industry, and by serving as a resource base regarding products, techniques and trends affecting cosmetologists and consumers.

THE BOARD

The Board is composed of nine members, each appointed to a four-year term by the Governor. Six members are appointed from each of the State's Congressional Districts and each shall be actively engaged in the cosmetology profession while serving on the Board. Three members are appointed at large. Of these three members, one is a lay person, one is an administrator of a licensed privately-owned beauty school and one is an administrator of a state public school which is licensed to teach cosmetology.

DUTIES/RESPONSIBILITIES

The Board is responsible for establishing standards of training, testing and licensing pertaining to sanitation and safety procedures for a healthy environment in state beauty shops and schools.

STATUTORY REFERENCES

Program Name	Statutory Reference
Licensing and Inspection of the Cosmetology Profession	Title 59 Section 199.1-199.14 of The Oklahoma Statutes

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Goal: Public Protection - Maintain a strong Inspection program by creating uniform public protection practice for the licensing and regulation of the cosmetology profession and occupations.

- * The Oklahoma State Board of Cosmetology currently regulates 90 Cosmetology schools, approx. 5,400 salons, 3,000 students, and approx. 30,000 licensees. All salons are inspected at least two times annually and all schools are inspected at least four times annually.

Inspections	4800	5787	5900	6100
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- * A staff of Inspectors/Investigators and support staff handle all violations for approx. 5,400 salons and 90 schools licensed by the Oklahoma State Board of Cosmetology throughout the state. The agency also directs operations for dispute resolutions, serving hearing papers, preparing case hearings while working with the Assistant Attorney General to prosecute violators as allowed by the Oklahoma Cosmetology Act.

Violations	166	132	150	150
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- * Review all complaints within one week of date of receipt, determine if legal sufficiency exists to conduct an investigation and whether complaint pertains to a matter within the authority of the Board.

Complaints	82	80	80	80
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Goal: Examinations - Administer a sound and legally defensible examination that ensures minimum competency at entry level of practice.

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STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Examinations - Administer a sound and legally defensible examination that ensures minimum competency at entry level of practice.

- * Measure number of applicants who pass the practical and written examinations. Provide the schools with their students pass and fail rates on a quarterly basis in order to better serve those we regulate.

Measure Passing Scores	2200	2000	2300	2300
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- * Administer in-house practical examination to ensure competency in knowledge of practice and safety measures and administer National written examinations to ensure competency in knowledge of theory, practical and safety measures.

Measure Applicants	2215	2443	2500	2500
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Goal: Reciprocity Programs - Increase the efficiency and effectiveness of the reciprocity program to be more responsive to those we serve.

- * Account for the number of applicants granted reciprocity from other states and foreign countries.

Granted Reciprocity	916	667	500	500
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- * The Oklahoma State Board of Cosmetology shall review current rules and laws to ensure all reciprocity applicants are provided an efficient and effective transition into Oklahoma.

Rules and Laws	2	2	2	2
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- * Reveiw the credentials/paperwork of applicants applying for reciprocity from other states and foreign countires.

Applying for Reciprocity	916	554	500	500
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Goal: Develop Board, Staff, and Organizational infrastructures to accomplish strategic goals.

- * Train and educate all employees of organizational changes, while providng classes/training as needed for state government changes and certifications that require continuing education for management staff while maximizing resources in areas most needed.

Training and Education	4	4	4	4
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- * Conduct orientation for new Board members while providing each member with a Board member manual to educate them in becoming a more effective member.

Educate Board Members	2	2	2	2
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Goal: Build intentional working relationships and effective partners with common interest groups.

- * Enhance Public awareness by providing information to all licensees with continuous updates on our web site.

Enhance	2	2	12	12
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- * Coordinate with related professional organizations on the state and national levels.

Form a Cooperative agreement with other state entities to meet the needs of the agency.

For example: Cooperative agreement on lease options-sharing expenses, etc. in the most cost effective manner.

Coordinate	3	3	3	3
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- * Monitor trends and scope of practice within the cosmetology industry.

Monitor	2	2	2	2
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Goal: Create more effective ways of renewing licenses

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Create more effective ways of renewing licenses

- * The Agency will provide Laser Grade Testing to meet the demand for the number of applicants desiring a computer test with instant results.

Laser Grade Testing	0	0	0	0
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- * To establish and utilize an online renewal system for licensees to renew their Cosmetology licenses to be completed and running by the end of 2010.

Online Renewal	0	0	25	50
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
200 Cosmetology Board Revolving	807	889	1,005
Total Expenditures by Fund	\$807	\$889	\$1,005

EXPENDITURES BY OBJECT

\$000's

<u>Object of Expenditure</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits	565	633	636
Professional Services	27	26	55
Travel	68	55	58
Lease-Purchase Expenditures	0	0	0
Equipment	4	10	32
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	144	166	224
Total Expenditures by Object	\$808	\$890	\$1,005

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

\$000's

<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
10 General Operations			
1 General Operations	612	646	722
2 Data Processing	13	19	70
3 Inspection Program	182	224	212
Total General Operations	807	889	1,004
Total Expenditures by Activity	\$807	\$889	\$1,004

COSMETOLOGY BOARD

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HUMAN RESOURCES AND
ADMINISTRATION

NON-APPROPRIATED AGENCIES

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES

<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
10 General Operations	12.5	12.5	12.5
Total FTE	12.5	12.5	12.5
Number of Vehicles	0	4	4

DENTISTS, BD. OF GOV. OF REG. (215)

MISSION

Our mission is to promote, protect and provide public health and safety to the citizens of Oklahoma by regulating the practice of dentistry, dental hygiene, dental assisting, and the fabrication of dental appliances in dental laboratories by enforcing laws, rules and policies.

THE BOARD

The Board is a state agency composed of eleven (11) members. The eight (8) dentist members and one dental hygienist member are elected. The two (2) public members are appointed by the Governor and confirmed by the Senate. Each member holds office for a term of three (3) years. Board members cannot serve for more than three consecutive terms.

DUTIES/RESPONSIBILITIES

The Board is responsible for issuing licenses and permits to qualified dentists, dental specialists, dental hygienists, dental assistants and dental laboratories as prescribed by the State Dental Act. Once licenses or permits are issued, the Board enforces violations of laws and rules.

STATUTORY REFERENCES

Program Name	Statutory Reference
Regulation and Enforcement of the Dental Profession	Title 59 O.S. 328.2

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Goal: Implementation of Oklahoma Dental Loan Repayment Act Program.

- * To reduce the number of underserved areas of Oklahoma by requiring dental students who receive education loans to practice in underserved areas after graduation for two years. Enhance direct reimbursement for dentistry through medicare/medicaid in underserved areas.

# of underserved areas of OK	7 areas	7 areas	7 areas	7 areas
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Goal: Educate new Board members in order to help them become more effective.

- * Cooperate with other regulatory health care agencies to conduct one-day seminar for all new Board members each year or when needed. Cooperation with other regulatory agencies will reduce costs and encourage participation.

# of seminars for new member	1	1	1	1
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- * Develop and update materials to educate all new members on Board policies and procedures. Periodically provide to Board officer updates or revisions.

# training manuals developed	1	1	1	1
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Goal: Provide public with information of complaint process and procedures when submitting complaint to the Board.

- * Provide post cards to all complainants upon initial receipt of complaint. Include written update after 60 days based on implementation of new tracking forms by Executive Director. Each complaint must be individualized for time.

# days to provide post cards	7	6	6	6
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- * Notify complainants to make them aware of time line procedures after written complaint is received. Implement new forms for tracking by Executive Director.

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated
Goal: Provide public with information of complaint process and procedures when submitting complaint to the Board.				
# days to notify complainant	55	50	50	50
Goal: Train staff to utilize improvements in technology and work more effectively towards completing assigned tasks to increase productivity.				
* Purchase laptop computer(s) for fieldwork.				
# of laptops purchased	0	0	1	1
* Provide training to employees through Human Resource Development and allow employee choice of course participation. One employee maintains certified procurement training. Investigator obtained certified peace officer status through 8 week training course.				
# training courses offered	2	2	2	3
Goal: Eliminate the increase of chemical dependency cases among practitioners by seeking additional funding for the Physician's Recovery Program to strengthen availability of funds for education/treatment of those licensees that experience chemical dependency.				
* Decrease incidence of relapse among licensees by mandating participation in Recovery Program through Board orders.				
Decrease relapse	5	4	4	4

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

	\$000's		
Type of Fund:	FY- 2009 Actual	FY-2010 Actual	FY-2011 Budgeted
200 State Dental Board Revolving	478	493	547
Total Expenditures by Fund	\$478	\$493	\$547

EXPENDITURES BY OBJECT

	\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
Salaries and Benefits	305	310	320
Professional Services	55	82	85
Travel	22	21	33
Lease-Purchase Expenditures	0	0	0
Equipment	0	2	11
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	96	77	99
Total Expenditures by Object	\$478	\$492	\$548

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
10	General Operations			
1	General Operations	477	492	539
	Total General Operations	<u>477</u>	<u>492</u>	<u>539</u>
88	Data Processing			
1	Data Processing	1	1	8
	Total Data Processing	<u>1</u>	<u>1</u>	<u>8</u>
Total Expenditures by Activity		<u>\$478</u>	<u>\$493</u>	<u>\$547</u>

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES		FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
10	General Operations	4.0	4.0	4.0
Total FTE		4.0	4.0	4.0
Number of Vehicles		2	2	2

EMPLOYEES BENEFITS COUNCIL (815)

MISSION

To provide state employees flexible benefits designed for choice and cost effectiveness, superior administration, and promotion of healthy lifestyles.

THE COUNCIL

A five member council consists of two Governor's appointees, one appointed by the Speaker of the House, one appointed by President Pro Tempore, and Administrator of the Office of Personnel Management

DUTIES/RESPONSIBILITIES

Please see Mission Statement.

STATUTORY REFERENCES

Program Name	Statutory Reference
Executive Administration	74 O.S. 1361 et.seq.
Contracts Administration	74 O.S. 1361 et.seq.
Accounting	74 O.S. 1361et. seq.
Data Processing	74 O.S. 1361 et.seq.
Flexible Spending Accounts Administration	74 O.S. 1361 et. seq.
Communications	74 O.S. 1361 et. seq.
Member Services/Training	74 O.S. 1361 et. seq.
Wellness	74 O.S. 1361 et. seq.

FY - 2012 EXECUTIVE BUDGET

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
210	EBC Wellness Program Revolving	546	766	966
220	EBC Administration Revolving	3,955	3,829	3,839
Total Expenditures by Fund		<u>\$4,501</u>	<u>\$4,595</u>	<u>\$4,805</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Salaries and Benefits		2,416	2,538	2,662
Professional Services		1,116	1,188	1,225
Travel		53	46	50
Lease-Purchase Expenditures		0	0	0
Equipment		250	141	290
Payments To Local Govt Subdivisions		0	0	0
Other Operating Expenses		665	683	578
Total Expenditures by Object		<u>\$4,500</u>	<u>\$4,596</u>	<u>\$4,805</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
50	Employee Benefits Council			
1	Employee Benefits Admin	2,835	2,995	2,716
2	Wellness Program	487	743	966
88	Employee Benefits Data Process	1,179	858	1,123
	Total Employee Benefits Council	4,501	4,596	4,805
Total Expenditures by Activity		<u>\$4,501</u>	<u>\$4,596</u>	<u>\$4,805</u>

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
50	Employee Benefits Council	38.0	38.0	38.0
Total FTE		<u>38.0</u>	<u>38.0</u>	<u>38.0</u>
Number of Vehicles		1	1	1

EMPLOYEES BENEFITS COUNCIL

- -

HUMAN RESOURCES AND
ADMINISTRATION

NON-APPROPRIATED AGENCIES

EMPLOYMENT SECURITY COMMISSION (290)

MISSION

Enhance Oklahoma's economy by matching jobs and workers to increase the efficiency of local labor markets; providing Unemployment Compensation to support unemployed workers and their communities; referring workers to training opportunities to enhance and align their skills to meet local labor market needs; gathering, analyzing and disseminating information about the labor force to improve local economic decisions.

THE COMMISSION

The Oklahoma Employment Security Commission was created in 1941 through amendment to the Oklahoma Compensation Law. A five member commission, appointed by the Governor with the advice and consent of the Senate, is responsible for administering the Employment Security Act. Two members represent employers, two represent employees, and one represents the public. The representative from the public is the Chairman of the Commission. Each member is a citizen of the United States, and has been a resident and qualified voter of the State of Oklahoma for more than five years. Each member holds office for a term of six years.

DUTIES/RESPONSIBILITIES

STATUTORY REFERENCES

Program Name	Statutory Reference
Employment Service (ES)	Wagner-Peyser Act. Workforce Investment Act.
Work Opportunity Tax Credit (WOTC)	Small Business Protection Act of 1996, (Public Law 104-188); Tax Payer Relief Act of 1997, (PL 105-34); Internal Revenue Code of 1986, Section 51 and 51A; ETA Handbook 408, 2nd Edition, November 1998 and Addendums; Tax and Trade Relief Extension Act of 1998 (PL 105-277); Ticket to Work and Work Incentives Improvement Act of 1999 (PL 106-170). Job Creation and Work Assistance Act of 2002 (PL 107-47). Amended Recovery and Reinvestment Act of 2009.
Trade Adjustment Assistance (TAA)	Trade Adjustment Assistance for Workers under the Trade Act of 2002 as amended, and Trade and Globalization Adjustment Assistance Act of 2009 will operate under two distinct set of rules for at least two years.
Foreign Labor Certification	Immigration and Nationality Act of 1952. In 1990, the Immigration Act was enacted. 20 C.F.R. Part 656
Unemployment Insurance (UI)	The Social Security Act, Wagner-Peyser Act, Federal Unemployment Tax Act and Title 40, Oklahoma.
Veterans Services	United States Code Title 38, Chapters 41, 42 and 43 and P.L. 107-288.
Senior Community Services Employment Program (SCSEP)	Title V of the Older Americans Act (OAA) as amended by P.L. 100-175 and P.L. 102-325; the SCSEP regulations at 20 CFR, Part 641.
Current Employment Statistics (CES)	29 USC 1 and Section 14 of the Wagner-Peyser Act (29USC49f(a)(3)(D). Workforce Investment Act, Section 15, Employment Statistics
Local Area Unemployment Statistics (LAUS)	29 USC 1 and Section 14 of the Wagner-Peyser Act (29USC49f(a)(3)(D). Workforce Investment Act Section 15 Employment Statistics.
Occupational Employment Statistics (OES)	29 USC 1 and the Job Training Partnership Act (29 USC 1501). Workforce Investment Act Section 15 Employment Statistics.
Quarterly Census of Employment and Wages (QCEW)	29 USC 1 and Section 14 of the Wagner-Peyser Act (29USC49f(a)(3)(D). Workforce Investment Act Section 15 Employment Statistics.

EMPLOYMENT SECURITY COMMISSION

HUMAN RESOURCES AND
ADMINISTRATION

NON-APPROPRIATED AGENCIES

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	37,592	40,260	54,106	
Professional Services	744	1,844	3,596	
Travel	703	806	967	
Lease-Purchase Expenditures	0	0	0	
Equipment	3,868	2,330	2,806	
Payments To Local Govt Subdivisions	18,784	25,640	30,000	
Other Operating Expenses	9,979	17,406	32,213	
Total Expenditures by Object	\$71,670	\$88,286	\$123,688	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1 Administration				
1 Administration	6,528	11,275	9,695	
Total Administration	6,528	11,275	9,695	
4 Unemployment Insurance				
1 Unemployment Insurance	17,500	19,432	31,626	
Total Unemployment Insurance	17,500	19,432	31,626	
5 Employment Service				
1 Employment Service	1,593	1,566	2,922	
Total Employment Service	1,593	1,566	2,922	
7 Research				
1 Research	1,380	1,396	2,456	
Total Research	1,380	1,396	2,456	
13 Field Services				
1 Field Services	15,281	17,546	28,346	
Total Field Services	15,281	17,546	28,346	
18 Employment and Training				
1 Employment And Training	19,907	26,732	33,407	
6 Workforce Investment Act (WIA)	2	38	0	
Total Employment and Training	19,909	26,770	33,407	
79 Clearing and ASA Department				
99999 Clearing and ASA Department	0	0	0	
Total Clearing and ASA Department	0	0	0	
88 Data Processing				
1 Data Processing	9,475	10,300	15,235	
Total Data Processing	9,475	10,300	15,235	
Total Expenditures by Activity	\$71,666	\$88,285	\$123,687	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES

Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
1 Administration	62.0	64.1	91.6
4 Unemployment Insurance	291.4	342.7	381.6
5 Employment Service	23.9	27.5	37.3
7 Research	22.2	24.3	33.0
13 Field Services	230.2	268.9	288.4
18 Employment and Training	16.2	19.1	29.0
88 Data Processing	43.9	45.7	60.1
Total FTE	689.8	792.3	921.0
Number of Vehicles	4	4	4

ENGINEERS & LAND SURVEYORS (570)

MISSION

In order to safeguard life, health and property, and to promote the public welfare, the practice of engineering and the practice of land surveying in this state are hereby declared to be subject to regulation in the public interest.

This agency is the only agency in the state of Oklahoma which ensures that the citizens of the state of Oklahoma are protected through the regulation of engineering and surveying services. The Board ensures that engineers and surveyors practicing in the state of Oklahoma meet the education, experience, and examination standards outlined by the legislature in O.S. 59, Section 475.1 et seq.

The application process is rigorous, verifying all education and experience prior to an individual being cited for the required examinations. Once the Board has approved the applications, national and state examinations are administered to the applicants. Following successful completion of the examinations, individuals may be licensed with this Board. Continuing education requirements are mandated for professional engineers and land surveyors to ensure that they are staying current in their field of practice. The Board, by rule, has an investigative committee which actively pursues complaints filed regarding infractions of the statutes and rules under this Board's jurisdiction. Disciplinary hearings are held to adjudicate violations of the regulations in this Board's jurisdiction.

THE BOARD

The Board consists of four licensed Professional Engineers; two licensed Land Surveyors (at least one of whom is not an engineer) appointed by the Governor, with the advice and consent of the State Senate; and one member who serves at the pleasure of the Governor and who is neither a licensed Professional Engineer nor a licensed Land Surveyor. The Board will continue until July 1, 2010, in accordance with the Oklahoma Sunset Law. On the expiration of the term of any member, except the lay member, the Governor will appoint for a term of six years a professional engineer or land surveyor having the required qualifications.

DUTIES/RESPONSIBILITIES

The principle duties and powers of the Board are:

- (a) To receive, process and investigate all applications for licensure of engineers, engineer interns, land surveyors, land surveyor interns, certificates of authorization for firms, temporary permits by non-resident engineers and reinstatement of revoked licenses.
- (b) To implement the statutory continuing education requirements for land surveyors and professional engineers.
- (c) To examine applicants and issue licenses as provided by law, upon cause shown as provided by the Professional Engineer and Land Surveyor Act, to suspend or revoke licenses previously issued and upon proper showing reinstate them.
- (d) To adopt and promulgate rules of professional conduct for Professional Engineers and Land Surveyors which shall be made known to each licensee and applicant for licensure under the Act.
- (e) To investigate all inquiries and complaints concerning violations of the Act. To conduct hearings of alleged violations, to subpoena witnesses and compel their attendance, require the submission of books, papers, documents or other pertinent data, to apply to a court of competent jurisdiction for relief by injunction in cases of civil procedure to enforce the provisions of the Act.

STATUTORY REFERENCES

Program Name	Statutory Reference
Licensing and regulation of Engineers and Land Surveyors	Title 59 Section 475.1 et. seq. of the Oklahoma Statutes

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Goal: Expedite the application processing time to ensure quality service for the good of the public				
* 99% of the applications received will be processed and complete within two weeks of receipt				
Application processing	1,756	1,857	1,900	1,900
Goal: Expedite the renewal processing time to ensure quality service for the good of the public				
* 99% of the license renewal fees to be processed within 3 days of receipt				
License renewal processing	6,790	6,849	7,000	7,000
Goal: Expedite notification of acceptance to examinations for examinees to ensure quality service for the good of the public				
* 100% of applicants notified of examination approval within 5 days of approval				
Examination Notification	989	1,063	1,100	1,100
Goal: Expedite complaint review process to ensure quality service for the good of the public				
* 100% of complaints filed will be reviewed within one week of date received				
Complaints	110	110	120	120

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
200 Engineers & Land Surveyors Fund	1,138	1,240	1,276	
Total Expenditures by Fund	\$1,138	\$1,240	\$1,276	

EXPENDITURES BY OBJECT		\$000's		
<u>Object of Expenditure</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
Salaries and Benefits	711	748	758	
Professional Services	142	210	175	
Travel	62	57	58	
Lease-Purchase Expenditures	0	0	0	
Equipment	4	14	22	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	220	212	264	
Total Expenditures by Object	\$1,139	\$1,241	\$1,277	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
10	General Operations			
1	1,129	1,220	1,249	
2	10	20	28	
	Total General Operations	1,139	1,240	1,277
Total Expenditures by Activity		\$1,139	\$1,240	\$1,277

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
10	General Operations			
	9.2	9.2	9.2	
Total FTE		9.2	9.2	9.2
Number of Vehicles		0	0	0

GROUP HEALTH INSURANCE BOARD (516)

MISSION

In an ever-changing environment, we are committed to serving the State of Oklahoma by providing, the highest degree of efficiency, a wide range of quality insurance benefits that are competitively priced and uniquely designed to meet the needs of our members.

THE BOARD

The board consists of eight members: the State Insurance Commissioner, the Director of State Finance, two members appointed by the Governor, two members appointed by the Speaker of the House of Representatives and two members appointed by the President Pro Tempore of the Senate. The term of office for members appointed by the Governor is conterminous with the Governor's term of office. The term of office for members appointed by the Speaker of the House and the President Pro Tempore of the Senate is four years. The appointed members must have demonstrated professional experience in the investment of funds management, public funds management, public or private group health or pension fund management, or group health insurance management; or they must be either licensed to practice law or to practice accountancy in the state.

DUTIES/RESPONSIBILITIES

The State and Education Employees Group Insurance Plan was created to provide uniformity in insurance benefits coverage for employees of the state. The plan is designed to enable the state to attract and retain qualified employees by providing insurance benefits similar to those commonly provided in private industry. The plan also has responsibility for providing insurance coverage to personnel of education entities in the state.

STATUTORY REFERENCES

Program Name	Statutory Reference
Self Funded Insurance Plans	Title 74, Sections 1301-1323, Sections 1331-1335, Sections 1341-1348 of the Oklahoma Statutes.
Third Party Administrative Contracts	Title 74, Sections 1301 - 1323, Sections 1331-1335, Sections 1341-1348 of the Oklahoma Statutes.
Medical Expense Liability Fund	Section 746.1 of Title 19 of the Oklahoma Statutes.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY- 2011</u> <u>Budgeted</u>	<u>FY-2012</u> <u>Estimated</u>
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Goal: Being more connected to our customers:

- 1)Solid relationships with existing members.
- 2)Attract new members

* An annual 3% reduction in member complaints recorded in call log each plan year with base year being 2010.

Care and Service Awareness	423	809	785
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* Annually maintain satisfaction rate of 95% for information and administrative services provided.

Member Satisfaction	98%	95%	95%	95%
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* Providers will be encouraged to utilize self service for initial contracting and information updates. Provider self service will increase by 5% annually for a total of 30% in 5 years.

Provider Self Service	0%	5%	10%
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Goal: Provide more innovation in products and services:

Enhance and add services that make us "the insurer of choice".

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated
Goal: Provide more innovation in products and services:				
Enhance and add services that make us "the insurer of choice".				
* Active employee premiums for high option health coverage equal to or below the regional norm for comparative self-funded state plans.				
Employee Premiums	83%	97.12%	96%	95%
Goal: Achieve operational excellence:				
Deliver our commitments so that the customer experiences competitive cost, ease of access , and maximum responsiveness.				
* Keep eligibility referrals under 7,000 per year.				
Eligibility Referrals	6,687	6,682	7,000	7,000
* Reduce claim inquiry call volume by 2% annually for a total of 10% in five (5) years.				
Claims Inquiry Calls	485,864	470,299	470,299	460,893
* At least 60% of coverage & demographic changes should be accomplished by electronic means for the next 5 years.				
Manual Data Entry		83%	60%	60%
* Annually achieve the answering of 88% incoming calls to call center within 30 seconds with an abandonment rate of 3% or less.				
Incoming Calls	3.0%	1.17%	2.5%	2.5%
* Ninety-five percent of claims must be processed in no more than 10 days.				
Claim Process	95.9%	95%	95%	95%
* IT Data Center Team will implement and convert 30% of physical servers to virtual servers by 2016.				
Virtualization of Servers	0%	0%	5%	10%
* Participants will be encouraged to utilize self service for all viewing of eligibility and insurance information updates. Online self service will increase by 8% annually for a total of 48% in 5 years.				
Eligible Particip Self Serv.	0%	0%	8%	16%
* Financial accuracy of claims paid must be no less than 99%				
Claim Financial Accuracy	99.7%	99%	99%	99%

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:	FY- 2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
210 OSEEGIB Revolving Fund	36,544	35,408	41,834	
215 MEDICAL EXP LIABILITY REV FU	52	493	700	
Total Expenditures by Fund	\$36,596	\$35,901	\$42,534	

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	12,217	12,207	13,128	
Professional Services	19,093	17,781	22,853	
Travel	161	96	192	
Lease-Purchase Expenditures	0	0	0	
Equipment	379	233	666	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	4,747	5,584	5,695	
Total Expenditures by Object	\$36,597	\$35,901	\$42,534	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1 Self-Funded Insurance Plans				
1 Administration	6,258	6,694	7,104	
2 Third Party Administration	6,567	6,267	6,552	
3 Finance	4,021	3,938	4,386	
4 Legal Services	132	135	105	
5 Internal Audit Services	439	492	566	
Total Self-Funded Insurance Plans	17,417	17,526	18,713	
2 Third Party Admin Contracts				
1 Third Party Admin Contracts	16,596	15,564	19,902	
Total Third Party Admin Contracts	16,596	15,564	19,902	
3 Medical Reimbursement				
1 Medical Reimbursement	52	493	700	
Total Medical Reimbursement	52	493	700	
88 Data Processing				
1 Data Processing	2,531	2,318	3,219	
Total Data Processing	2,531	2,318	3,219	
Total Expenditures by Activity	\$36,596	\$35,901	\$42,534	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1 Self-Funded Insurance Plans	159.0	159.0	151.0	
88 Data Processing	22.5	22.5	22.5	
Total FTE	181.5	181.5	173.5	
Number of Vehicles	0	0	0	

GROUP HEALTH INSURANCE BOARD

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HUMAN RESOURCES AND
ADMINISTRATION

NON-APPROPRIATED AGENCIES

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
200	Medical Licensure Revolving	2,625	2,717	3,359
210	Allied Prof. Peer Assist. Fund	0	0	23
Total Expenditures by Fund		<u><u>\$2,625</u></u>	<u><u>\$2,717</u></u>	<u><u>\$3,382</u></u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
	Salaries and Benefits	1,493	1,455	1,730
	Professional Services	517	495	912
	Travel	47	43	67
	Lease-Purchase Expenditures	4	0	0
	Equipment	15	244	127
	Payments To Local Govt Subdivisions	0	0	0
	Other Operating Expenses	550	479	546
Total Expenditures by Object		<u><u>\$2,626</u></u>	<u><u>\$2,716</u></u>	<u><u>\$3,382</u></u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
10	General Operations			
1	Administration	746	850	1,005
2	Licensure	468	491	578
3	Investigative Compliance	903	763	1,124
4	Accounting	184	196	270
5	Data Processing	322	416	405
	Total General Operations	<u>2,623</u>	<u>2,716</u>	<u>3,382</u>
Total Expenditures by Activity		<u><u>\$2,623</u></u>	<u><u>\$2,716</u></u>	<u><u>\$3,382</u></u>

MOTOR VEHICLE COMMISSION (475)

MISSION

To serve and protect the people of Oklahoma by fairly and professionally regulating new motor vehicle dealers, salespersons, manufacturers, distributors, and representatives.

THE COMMISSION

The Oklahoma Motor Vehicle Commission is composed of nine members, all appointed by the Governor with the advice and consent of the State Senate. Seven of the members must have been engaged in the manufacture, distribution or sale of new motor vehicles for not less than ten years preceding appointment to the Commission. The remaining two members are lay members. In addition, six of the members must be from specific geographical areas within the state; the other three members are at-large members. Members serve at the pleasure of the Governor. The term of office is six years.

DUTIES/RESPONSIBILITIES

The principal duties and responsibilities of the Commission are:

- (a) To regulate business procedures and practices regarding the sale of new motor vehicles;
- (b) To investigate all valid complaints concerning the sale and advertising of new motor vehicles.

STATUTORY REFERENCES

Program Name	Statutory Reference
Licensing and regulation of New Motor Vehicle Dealers	Title 47, Section 561 et seq, of the Oklahoma Statutes

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Improved communication between OMVC and its licensees

- * With the projected addition of a field investigator in FY2011, more frequent visits to dealers will result in better communication, better enforcement of OMVC Laws and Rules, and more effective investigations of consumer/dealer issues. The measure will track the percentage of dealer licensees visited annually.

Increased dealer visits	10	15	20	25
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- * Bring OMVC database and website up to date, and continually update it, so that licensees can effectively utilize web-based information, forms, and applications. Measurement will track the percentage of licensees who use OMVC web-based applications as opposed to conventional mail.

Upgrade technology	50	80	90	95
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- * By FY2012, gather and maintain in our database email addresses of all dealer and manufacturer licensees and key personnel, for the purpose of faster and more effective communication. The measurement is the percentage of email addresses obtained of all our dealer licensees.

Email addresses of licensees	60	500	600	600
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Goal: Better education to consumers on how to buy new motor vehicles

- * Beginning in FY2011 with our revised website, we will provide much more consumer-friendly information and easier access for contacting our office for questions, complaints, and motor vehicle related issues. We will track the number of consumer contacts to our website as the measure.

Website Information	500	600	1000	1500
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MOTOR VEHICLE COMMISSION

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HUMAN RESOURCES AND
ADMINISTRATION

NON-APPROPRIATED AGENCIES

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Better education to consumers on how to buy new motor vehicles

* During FY2011, we will produce a consumer brochure for distribution via our website and other sources. We will track the number of of these pamphlets downloaded from the website and distributed from the OMVC.

Consumer Brochure	0	0	250	500
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Goal: Salesperson education and certification program

* Provide training and certification for all salespersons. The training will consist of legal and ethical issues which involve motor vehicle sales transactions with consumers. Existing salespersons would be phased-in during FY2012 and FY2013. All new salesperson applications beginning in FY2013 would require completion of the certification course prior to issuance of license. Measurement would track the cumulative number of salespersons who have completed certification.

Salesperson Certification	0	0	0	2500
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

<u>Type of Fund:</u>	<u>\$000's</u>		
	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
200 Motor Vehicle Comm Revolving	312	306	406
Total Expenditures by Fund	<u>\$312</u>	<u>\$306</u>	<u>\$406</u>

EXPENDITURES BY OBJECT

<u>Object of Expenditure</u>	<u>\$000's</u>		
	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits	238	239	289
Professional Services	18	9	20
Travel	16	16	24
Lease-Purchase Expenditures	0	0	0
Equipment	1	5	9
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	38	37	65
Total Expenditures by Object	<u>\$311</u>	<u>\$306</u>	<u>\$407</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

<u>Activity No. and Name</u>	<u>\$000's</u>		
	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
10 General Operations			
1 General Operations	298	293	364

MOTOR VEHICLE COMMISSION

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HUMAN RESOURCES AND
ADMINISTRATION

NON-APPROPRIATED AGENCIES

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
10 General Operations			
88 Data Processing	13	14	42
Total General Operations	311	307	406
Total Expenditures by Activity	\$311	\$307	\$406

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
10 General Operations	3.0	3.0	6.0
Total FTE	3.0	3.0	6.0
Number of Vehicles	0	0	0

CAPITAL OUTLAY and SPECIAL PROJECTS			\$000's
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated
90 Capital Outlay Projects			
1 Upgrade Data Process Systems	3	0	0
Total Capital Outlay by Project	\$3	\$0	\$0

NURSE REGISTRATION & EDUC. BOARD (510)

MISSION

The mission of the Oklahoma Board of Nursing is to safeguard the public's health, safety, and welfare through the regulation of nursing practice and nursing education.

THE BOARD

The Board consists of eleven members appointed by the Governor who are citizens of the United States and residents of Oklahoma for no less than the previous three years. By statute, six members are registered nurses currently engaged in the practice of nursing as a registered nurse with no less than five years of experience as a registered nurse; three members are licensed practical nurses currently engaged in the practice of nursing as a licensed practical nurse with no less than five years of experience as a licensed practical nurse; two members are to represent the public and serve co-terminously with the Governor. Of the registered nurse members: two must be from nursing education, two from nursing service, and one must be an Advanced Practice Nurse. Of the licensed nurse members: one must be employed in long term care and one must be employed in acute care. No two registered nurses or licensed practical nurses may be from the same geographical district. One licensed practical nurse, one registered nurse, and one public member must be from a county of less than 40,000 population.

DUTIES/RESPONSIBILITIES

The Oklahoma Board of Nursing is responsible for administering the Oklahoma Nursing Practice Act. The Board's purpose is to safeguard the public health and welfare of the residents of Oklahoma by ensuring that any person who practices or offers to practice registered nursing, practical nursing, or advanced practice nursing in this state is competent to do so. The Board also ensures any person who practices or offers to practice as an advanced unlicensed assistance person in this state is competent to do so. The Board accomplishes this purpose through the regulation of nursing licensure, unlicensed assistance certification, nursing practice and nursing education. The purpose, as defined in the Oklahoma Nursing Practice Act, supersedes the interests of any individual, the nursing profession or any special interest group. Activities include:

- a. Prescribing standards for educational programs preparing persons for licensure as a registered nurse, licensed practical nurse, or advanced unlicensed assistant;
- b. Conducting survey visits of such educational programs;
- c. Approving programs which meet prescribed standards;
- d. Denying or withdrawing approval of programs which fail to meet or maintain prescribed standards;
- e. Conducting licensure examinations;
- f. Investigating complaints of alleged violations of the Nursing Practice Act;
- g. Conducting hearings upon charges calling for disciplinary action;
- h. Licensing and renewing the licenses/certifications/recognitions of qualified applicants;
- i. Promulgating rules to implement the Nursing Practice Act;
- j. Administering the Peer Assistance Program for licensed nurses whose competency may be compromised because of abuse of drugs or alcohol;
- k. Recognizing Advanced Practice Nurses who meet criteria in statutes and rules;
- l. Authorizing prescriptive authority for ARNP's, CNM's, and CNS's who meet criteria;
- m. Authorizing CRNA's to order, select, obtain and administer legend drugs, Schedule II-V controlled substances who meet criteria;
- n. Maintaining records of all licensed nurses and advanced unlicensed assistants.

In addition, the Board cooperates with other state and federal agencies on nurse manpower reports. The Board participates in and utilizes the National Council Licensure Examination for registered nurse licensure and practical nurse licensure.

STATUTORY REFERENCES

Program Name	Statutory Reference
Peer Assistance Program (PAP)	59 O.S. Section 567.17
Business Services	Title 59, Section 567.1 et seq
Investigative Department	59 O.S. Section 567.8
Regulatory Services Division	Title 59, Sections 567.2., 567.3a, 567.4, 567.4a, 567.4b, 567.5, 567.6, 567.7, 567.12, 567.13, 567.16a

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

Type of Fund:	\$000's		
	FY- 2009 Actual	FY-2010 Actual	FY-2011 Budgeted
200 Board of Nursing Revolving Fund	2,608	2,843	3,462
Total Expenditures by Fund	\$2,608	\$2,843	\$3,462

EXPENDITURES BY OBJECT

Object of Expenditure	\$000's		
	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
Salaries and Benefits	1,703	1,953	2,148
Professional Services	312	360	474
Travel	59	61	114
Lease-Purchase Expenditures	0	0	0
Equipment	196	157	230
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	337	313	497
Total Expenditures by Object	\$2,607	\$2,844	\$3,463

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10 General Operations				
1 Business Services	1,370	1,397	1,739	
2 Data Processing	171	193	228	
5 Peer Assistance	304	348	379	
7 Investigations	762	906	1,116	
Total General Operations	<u>2,607</u>	<u>2,844</u>	<u>3,462</u>	
Total Expenditures by Activity	<u>\$2,607</u>	<u>\$2,844</u>	<u>\$3,462</u>	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
10 General Operations	<u>26.0</u>	<u>26.0</u>	<u>26.0</u>
Total FTE	26.0	26.0	26.0
Number of Vehicles	0	0	0

OKLAHOMA FUNERAL BOARD (285)

MISSION

The mission of the Oklahoma Funeral Board is to act in the public interest; for the public protection and advancement of the profession with the powers vested in the Board by the Legislature of the State of Oklahoma entirely without appropriated funds. The Board shall examine and issue licenses to all that qualify and serve as an information resource on funeral service to the general public and members of the funeral profession.

THE BOARD

The Board consists of seven members appointed by the Governor. Members each serve a term of five years. Five of the members must be actively engaged in the practice of embalming and funeral directing in this state for not less than seven consecutive years and must have an active license. Two of the members are chosen from the public, one of whom, if possible, shall be licensed and actively engaged in the health care field.

DUTIES/RESPONSIBILITIES

The powers and duties imposed by law on the Oklahoma Funeral Board are found in 59 O.S. Section 396.2a. and 63 O.S. Sections 1-328, 1-331, and 1-333 and 8 O.S. Section 304, 36 O.S. section 6124.

STATUTORY REFERENCES

Program Name	Statutory Reference
License and regulate Embalmers and Funeral Directors	Title 59

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND	\$000's		
	FY- 2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
200 Embalmers & Funeral Dir Revolving	274	286	375
Total Expenditures by Fund	<u><u>\$274</u></u>	<u><u>\$286</u></u>	<u><u>\$375</u></u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
Salaries and Benefits	185	203	269	
Professional Services	40	37	41	
Travel	20	21	32	
Lease-Purchase Expenditures	0	0	0	
Equipment	0	1	5	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	29	23	29	
Total Expenditures by Object	<u>\$274</u>	<u>\$285</u>	<u>\$376</u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
10 General Operations				
1 General Operations	274	286	366	
2 Data Processing	0	0	9	
Total General Operations	<u>274</u>	<u>286</u>	<u>375</u>	
Total Expenditures by Activity	<u>\$274</u>	<u>\$286</u>	<u>\$375</u>	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
10 General Operations	<u>3.0</u>	<u>3.0</u>	<u>3.0</u>	
Total FTE	<u>3.0</u>	<u>3.0</u>	<u>3.0</u>	
Number of Vehicles	0	0	0	

OPTOMETRY BOARD (520)

MISSION

The mission of the Optometry Board is to protect the public by regulating the practice of optometry in the State of Oklahoma through education and licensing requirements and to insure that optometrists practice optometry within the provisions of the law.

THE BOARD

The State Board of Examiners in Optometry is the official licensing agency for the practice of optometry in the State of Oklahoma. The board consists of five members, each appointed by the Governor to serve for a period of five years. Four of the five members possess sufficient knowledge of theoretical and practical optics to practice optometry, are licensed as optometrists, and are residents of this state and have been engaged in the practice of optometry for at least five years. The fifth member is a lay member who serves at the pleasure of the Governor.

DUTIES/RESPONSIBILITIES

The primary duties of the board are to prepare, administer, and determine the results of the examination given to every candidate desiring to commence the practice of optometry, issue certificates to practice optometry upon successful completion of this exam, collect annual license fees, keep records of postgraduate education as the law requires, investigate complaints relating to the unlawful practice of optometry, and to conduct all official business of the State Board of Examiners in Optometry.

STATUTORY REFERENCES

Program Name	Statutory Reference
Regulation and Licensing of Optometrists	59 O.S., Sections 581-598, 601-606, 725, 731, 941-947

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND	\$000's		
	FY- 2009	FY-2010	FY-2011
Type of Fund:	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
200 Optometry Board Revolving	172	168	242
Total Expenditures by Fund	<u>172</u>	<u>168</u>	<u>242</u>

OPTOMETRY BOARD

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HUMAN RESOURCES AND
ADMINISTRATION

NON-APPROPRIATED AGENCIES

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	133	139	159	
Professional Services	11	11	30	
Travel	11	0	21	
Lease-Purchase Expenditures	0	0	0	
Equipment	0	0	9	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	17	18	23	
Total Expenditures by Object	\$172	\$168	\$242	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10 General Operations				
1 Admin/Licensing and Regulation	172	168	228	
88 Data Processing	0	0	14	
Total General Operations	172	168	242	
Total Expenditures by Activity	\$172	\$168	\$242	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10 General Operations	2.5	2.5	2.5	
Total FTE	2.5	2.5	2.5	
Number of Vehicles	0	0	0	

OSTEOPATHIC EXAMINERS BOARD (525)

MISSION

The mission of the Board of Osteopathic Examiners is to protect the public by regulating the practice of osteopathic medicine in the State of Oklahoma through education and licensing requirements and to ensure that each licensee practices osteopathic medicine within the provisions of the Osteopathic Medicine Act.

THE BOARD

The Board is composed of eight members appointed by the Governor, two of whom are lay persons. The remaining six members are licensed osteopathic physicians in good standing in this state and have been so engaged for a period of at least five years immediately prior to their appointment. All appointments are for terms of seven years.

DUTIES/RESPONSIBILITIES

STATUTORY REFERENCES

Program Name	Statutory Reference
z	Title 59 O.S., Section 620 to 645. Board established in Section 624.

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND	\$000's		
	FY- 2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
200 Osteopathic Examiners Revolving	516	499	601
Total Expenditures by Fund	<u>516</u>	<u>499</u>	<u>601</u>

EXPENDITURES BY OBJECT	\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Salaries and Benefits	392	388	422
Professional Services	53	47	85
Travel	9	7	9
Lease-Purchase Expenditures	0	0	0
Equipment	2	0	10
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	60	57	75
Total Expenditures by Object	<u>\$516</u>	<u>\$499</u>	<u>\$601</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY	\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
10 General Operations			
1 General Operations	516	499	601
Total General Operations	<u>516</u>	<u>499</u>	<u>601</u>
Total Expenditures by Activity	<u>\$516</u>	<u>\$499</u>	<u>\$601</u>

PHARMACY BOARD (560)

MISSION

The Oklahoma Board of Pharmacy's mission is to protect the health, safety, and welfare of Oklahoma citizens by the professional and thorough performance of licensing, regulating and enforcing of the laws regarding the practice of pharmacy and the manufacturing, sales, distribution and storage of drugs, medicines, chemicals, and poisons to assure quality pharmaceutical products and services.

THE BOARD

The Board consists of six members: five pharmacists appointed by the Governor from a list of names submitted by the Oklahoma Pharmaceutical Association and one public (lay) member. Pharmacist members serve for a five-year term, with one board member's term expiring each year over five years, while the public member serves coterminous with the Governor. Appointments are made with the advice and consent of the Senate.

DUTIES/RESPONSIBILITIES

The Board is responsible for licensing individuals (pharmacists, interns and technicians), pharmacies (retail, non-resident, charitable, hospital and hospital drug room), and facilities (wholesalers, packagers, manufacturers, medical gas suppliers and medical gas distributors) doing business in Oklahoma and maintaining approximately 14,307 registrant records.

The Board has the power and duty to inspect all places handling prescription drugs, medicines, chemicals and poisons. Approximately 1,495 licensed Oklahoma outlets are inspected at least once a year. Around 1543 non-resident pharmacies and facilities are licensed and shipping into Oklahoma.

The Board conducts examinations and reviews and approves continuing education programs that individuals are required to complete in order to maintain licensure.

The Board investigates complaints concerning registrants and enforces federal and state controlled substance and prescription drug laws and rules. The Board conducts registrant hearings and has the authority to reprimand, fine, suspend or revoke licenses or permits.

STATUTORY REFERENCES

Program Name	Statutory Reference
Licensing and regulation, Pharmacy Prof, Pharmacy & Rx drugs	Oklahoma Constitution, Article 5, Sec. 39, Oklahoma Statutes, Title 59, Chapter 8 Sec. 353 et seq.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Goal: Online Renewals / allow credit card usage and EFT.

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:	FY- 2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
200 Pharmacy Board Revolving	1,134	1,184	1,531	
Total Expenditures by Fund	<u><u>\$1,134</u></u>	<u><u>\$1,184</u></u>	<u><u>\$1,531</u></u>	

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
Salaries and Benefits	817	808	900	
Professional Services	100	168	277	
Travel	105	83	116	
Lease-Purchase Expenditures	0	0	0	
Equipment	12	17	74	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	101	108	163	
Total Expenditures by Object	<u><u>\$1,135</u></u>	<u><u>\$1,184</u></u>	<u><u>\$1,530</u></u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
10 General Operations				
1 General Operations	1,112	1,147	1,409	
88 Data Processing	22	37	122	
Total General Operations	<u>1,134</u>	<u>1,184</u>	<u>1,531</u>	
Total Expenditures by Activity	<u><u>\$1,134</u></u>	<u><u>\$1,184</u></u>	<u><u>\$1,531</u></u>	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name	FY-2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
10 General Operations	9.0	9.0	9.0	
Total FTE	<u>9.0</u>	<u>9.0</u>	<u>9.0</u>	
Number of Vehicles	5	5	5	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
90	Pharmacy Board Building			
1	Pharmacy Board Building	0	3	2,060
Total Capital Outlay by Project		<u>\$0</u>	<u>\$3</u>	<u>\$2,060</u>

PSYCHOLOGISTS, BOARD OF EXAMINERS (575)

MISSION

The mission of the Oklahoma State Board of Examiners of Psychologists is to protect the public by regulating the practice of psychology and insuring ethical practice in the State of Oklahoma.

THE BOARD

The Board consists of 5 psychologists and 2 public members, who are all appointed by the governor. Each member serves a 4 year term.

DUTIES/RESPONSIBILITIES

STATUTORY REFERENCES

Program Name	Statutory Reference
Licensing and regulation of Psychologists	Title 59, OS 1991, Sections 131-1376

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Goal: Improvement of Oral Examinations-Develop and update vignettes (exam scenarios) as needed-Standardize rating form-Develop a feedback form for examiners following the examination-Develop procedures to qualify, select, train and debrief examiners-Establish guidelines for the selection of vignettes for each candidate.

- * The Board will develop at least 15 new exams to be offered to oral examination candidates by 2010.

of exams to be developed 1

Goal: Revision of Application for Licensure Form-Evaluate the construction and format of the application form-create a widely compatible application form computer file

- * The Board will survey new licensees in order to receive feedback regarding the application form and the application process through the year 2010.

of licensees surveyed 26

Goal: Selection of investigators- Develop an investigator application form-Develop a list of investigators as needed and enhance guidelines for investigator qualification-Standardize investigator training.

- * The Board will maintain a list of at least 10 qualified and trained investigators to be utilized when the need for an investigator arises through the year 2014 and to continue on an as needed basis

of investigators to train 0

Goal: Revision of the Jurisprudence Examination-Review, evaluate, and create additional Jurisprudence items-Develop an annual review and assessment of item validity.

- * In 2004 the Board developed 92 new Jurisprudence questions, with 8 pretest items. In 2004, a select group of licensees were pretested in order to evaluate the exam items. This exam is currently being administered to licensure candidates. The Board will monitor the examination and update questions as needed. In 2005 the Board developed a 110 question exam with 10 pretest items. In 2006 the Board developed an exam with 115 questions with 15 pretest items. In 2007 the Board developed a 115 item exam with 15 pretest items.

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Goal: Revision of the Jurisprudence Examination-Review, evaluate, and create additional Jurisprudence items- Develop an annual review and assessment of item validity.				
# of questions	15			
Goal: Evaluation of new Board member education and orientation-Develop the agenda for new Board member orientation				
* The Board will survey all new Board members for 5 years to obtain feedback regarding orientation and education beginning in the year 2008.				
% of new Board members	0			
Goal: Evaluation of Continuing Education Programs (CPE) and Sponsors-Revise the criteria for CPE sponsors by 2011				
* The Board will revise the current CPE criteria and evaluate and monitor all CPE programs by 2011				
% of programs to evaluate	0			
Goal: Continue development of an Oklahoma State Board of Examiners of Psychologists web site through 2014				
* The Board will continue to develop a Board web site through the year of 2014				
% of web site development	100%			
Goal: Remain abreast of current national trends and implications for professional psychology by monitoring trends in telepractice, coordinating with related professional organizations and enhance public awareness through 2014.				
* Due to the current trends impacting professional practice of psychology, the Board will monitor trends in telepractice and coordinate with other professional organizations through the year 2014.				
Monitor trends	100%			

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
200 Psychologists Licensing Fund	246	250	244	
Total Expenditures by Fund	\$246	\$250	\$244	

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
Salaries and Benefits	186	206	175	
Professional Services	23	16	26	
Travel	13	11	14	
Lease-Purchase Expenditures	0	0	0	
Equipment	0	0	7	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	23	17	23	
Total Expenditures by Object	<u>\$245</u>	<u>\$250</u>	<u>\$245</u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
10 General Operations				
1 General Operations	246	250	237	
Total General Operations	<u>246</u>	<u>250</u>	<u>237</u>	
88 Data Processing				
1 Data Processing	0	0	7	
Total Data Processing	<u>0</u>	<u>0</u>	<u>7</u>	
Total Expenditures by Activity	<u>\$246</u>	<u>\$250</u>	<u>\$244</u>	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
10 General Operations	2.0	0.0	0.0	
Total FTE	<u>2.0</u>	<u>0.0</u>	<u>0.0</u>	
Number of Vehicles	0	0	0	

REAL ESTATE COMMISSION (588)

MISSION

The mission of the Oklahoma Real Estate Commission is to safeguard public interest and provide quality services to our customers by assisting and providing resources; encouraging and requiring high standards of knowledge and ethical practices of licensees; investigating and sanctioning licensed activities; and through the prosecution of any unlicensed person who violates the "Oklahoma Real Estate License Code and Rules."

THE COMMISSION

The Commission is composed of seven members appointed by the Governor with the advice and consent of the Senate to serve a term of four years. Five members are licensed real estate brokers who have had at least five years active experience as a real estate broker prior to their appointment, one member is a lay person not in the real estate business, and one member is a representative of an approved real estate school located within the State of Oklahoma. No more than two members may be appointed from the same Congressional District according to the latest Congressional Redistricting Act.

DUTIES/RESPONSIBILITIES

The duties and responsibilities of the Commission are to prescribe minimum educational requirements for real estate licensees; to prescribe, approve, monitor and record pre-license and continuing education offerings; approve schools and instructors; monitor the activities of licensees to ensure that operational standards and standards of conduct are maintained within statutory limits; to sanction a license when unprofessional standards are noted; to maintain and administer the education and recovery fund program for the purpose of reimbursing persons suffering monetary damages because of misconduct on the part of a licensee; and to provide educational projects for the benefit of licensees and the public; to investigate individuals who have conducted licensable activity without a license and to impose penalties as allowed in the statutes.

STATUTORY REFERENCES

Program Name	Statutory Reference
Real Estate Regulation	Title 59 OS Section 858-208
Real Estate Education	Title 59 O.S. Section 858-208

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY- 2011</u> <u>Budgeted</u>	<u>FY-2012</u> <u>Estimated</u>
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Goal: Utilize current technology in such a way as to promote conservation of natural resources.

- * Increase the amount of transactions processing online 60% by 2016.

Online Transactions	12%	15%	20%	25%
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Goal: Fostering relationships with industry related organizations.

- * OREC will work on developing and maintaining relationships with all other Real Estate Industry organizations in order to offer licensees an average of 2 different continuing education topics per year that pertain to real estate.

Industry Liason	2	2	2	2
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FY - 2012 EXECUTIVE BUDGET

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
200	Real Estate Comm Revolving Fund	1,683	1,701	2,093
210	Real Estate Educ & Recovery Fund	80	81	170
Total Expenditures by Fund		<u>\$1,763</u>	<u>\$1,782</u>	<u>\$2,263</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Salaries and Benefits		1,184	1,274	1,493
Professional Services		210	220	316
Travel		101	77	89
Lease-Purchase Expenditures		0	0	0
Equipment		38	22	43
Payments To Local Govt Subdivisions		0	0	0
Other Operating Expenses		229	189	323
Total Expenditures by Object		<u>\$1,762</u>	<u>\$1,782</u>	<u>\$2,264</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
1	General Operations			
1	Administration	1,558	1,599	1,929
88	Data Processing	125	102	164
	Total General Operations	<u>1,683</u>	<u>1,701</u>	<u>2,093</u>
2	Education Program			
1	Education Program	80	76	120
	Total Education Program	<u>80</u>	<u>76</u>	<u>120</u>
3	Recovery Program			
1	Recovery Program	0	5	50
	Total Recovery Program	<u>0</u>	<u>5</u>	<u>50</u>
Total Expenditures by Activity		<u>\$1,763</u>	<u>\$1,782</u>	<u>\$2,263</u>

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
1	General Operations	18.0	18.0	18.0
Total FTE		<u>18.0</u>	<u>18.0</u>	<u>18.0</u>
Number of Vehicles		0	0	0

REAL ESTATE COMMISSION

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HUMAN RESOURCES AND
ADMINISTRATION

NON-APPROPRIATED AGENCIES

SOCIAL WORKERS BOARD (622)

MISSION

The mission of the Board of Licensed Social Workers is to safeguard the welfare of the people of the State of Oklahoma by administering the Social Worker's Licensing Act which provides that all persons who engage in the practice of social work for compensation, or perform the services of a social worker, are licensed as a social worker or as a social worker associate.

THE BOARD

The seven member board is composed of three licensed social workers and two licensed social worker associates. A sixth member is selected from and represents the general public. The remaining member is the President of the Oklahoma Chapter of the National Association of Social Workers. Appointed members are appointed by the Governor with the advice and consent of the Senate. Each member serves a term of three years.

DUTIES/RESPONSIBILITIES

STATUTORY REFERENCES

Program Name	Statutory Reference
Licensing and regulation of Social Workers	Title 59, Section 1250 et seq

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND	\$000's		
	FY- 2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
200 Social Workers Revolving Fund	191	185	208
Total Expenditures by Fund	<u><u>\$191</u></u>	<u><u>\$185</u></u>	<u><u>\$208</u></u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	81	81	88	
Professional Services	29	49	71	
Travel	7	7	10	
Lease-Purchase Expenditures	3	3	3	
Equipment	0	6	6	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	72	39	30	
Total Expenditures by Object	\$192	\$185	\$208	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10 General Operations				
1 General Operations	191	185	208	
Total General Operations	191	185	208	
Total Expenditures by Activity	\$191	\$185	\$208	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10 General Operations	1.5	0.0	0.0	
Total FTE	1.5	0.0	0.0	
Number of Vehicles	0	0	0	

SPEECH-LANGUAGE PATH. & AUDIO. (632)

MISSION

The Oklahoma Board of Examiners for Speech-Language Pathology and Audiology is the only agency in the state regulating health care services in the area of communicative disorders of the speech, language and hearing mechanisms. The Agency mission is to protect the health and general welfare of the people of the State of Oklahoma by ensuring that no person practices speech-language pathology or audiology unless he/she is qualified to do so and licensed under the "Speech-Language Pathology and Audiology Licensing Act".

THE BOARD

The Board is composed of five members appointed by the Governor with the advice and consent of the Senate to consist of three licensed speech pathologists or audiologists, one certified otolaryngologist, and one lay member. A member of the Board may be reappointed to succeed himself by for an additional three years following the completion of a previous appointment to the Board.

DUTIES/RESPONSIBILITES

To insure the applicant possesses the necessary academic and clinical requirements for licensure as a speech pathologist, audiologist, assistant or intern. To collect licensure and annual renewal fees. To hear complaints and discipline licensees.

STATUTORY REFERENCES

Program Name	Statutory Reference
Speech-Language Pathology and Audiology Licensing	Title 59, Section 1601 et seq.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Develop clear, concise rules for the function of speech-language pathology assistants and audiology assistants

- * Reduce questions and inaccurate information received by staff from potential licensees desiring to qualify as an assistant.
Develop levels of scope of practice for assistants.
Develop education requirements for assistants.

Assistants	25%	75%	100%	100%
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Goal: Review all board rules for corrections

- * The committee will divide the rules into sections for each committee member to review.

Set up Rule Review Committee	10%	85%	100%	100%
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Goal: Emergency Preparedness

- * Development and Implementation of Emergency Plan

Emergency Plan	75%	100%	100%	100%
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Goal: Network with local and national associations on issues that affect the role of the Speech-Language Pathologist and Audiologist.

Goal: Preparation for staff retirement and staffing issues.

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:	FY- 2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
200 Speech-Language Path & Audio	169	178	190	
Total Expenditures by Fund	<u><u>\$169</u></u>	<u><u>\$178</u></u>	<u><u>\$190</u></u>	

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
Salaries and Benefits	132	137	140	
Professional Services	10	14	8	
Travel	8	6	4	
Lease-Purchase Expenditures	0	0	0	
Equipment	0	0	0	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	19	20	23	
Total Expenditures by Object	<u><u>\$169</u></u>	<u><u>\$177</u></u>	<u><u>\$175</u></u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
10 General Operations				
1 General Operations	169	178	190	
Total General Operations	<u>169</u>	<u>178</u>	<u>190</u>	
Total Expenditures by Activity	<u><u>\$169</u></u>	<u><u>\$178</u></u>	<u><u>\$190</u></u>	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name	FY-2009	FY-2010	FY-2011	
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>	
10 General Operations	2.0	2.0	2.0	
Total FTE	<u>2.0</u>	<u>2.0</u>	<u>2.0</u>	
Number of Vehicles	0	0	0	

USED MOTOR VEHICLE & PARTS COMMISSION (755)

MISSION

The Commission's mission is to license and regulate used motor vehicle dealers, used motor vehicle salespersons, automotive dismantler and parts recyclers, automotive rebuilders, manufactured home dealers, manufactured home manufacturers and manufactured home installers in order to create an environment of fair competition among equally regulated licensees and to protect the interests of the consuming public by requiring conformity to the licensing laws by both the licensees and those parties attempting to evade the licensing laws.

THE COMMISSION

The Commission is made up of ten members, one from each congressional district and five, including the chairperson, at large. All members are licensees in the industries the Commission regulates, each of whom have at least ten years experience in the industry in which the individual is licensed. At least five members are required to be engaged in the used motor vehicle business, at least two are required to be automotive dismantlers and one is required to be a manufactured home dealer. All members are appointed by the Governor with the advice and consent of the Senate. Each member serves a term of six years, except the chairman whose term is coterminous with the Governor.

DUTIES/RESPONSIBILITIES

The agency licenses and regulates used motor vehicle dealers, used motor vehicle salespersons, automotive dismantler and parts recyclers, automotive rebuilders, manufactured home dealers, manufactured home manufacturers and manufactured home installers. The Commission accepts, reviews and resolves complaints by consumers against any licensee, and investigates and institutes legal proceedings against individuals engaging in any of the businesses regulated without a license.

STATUTORY REFERENCES

Program Name	Statutory Reference
Licensing and regulation of Agency Licensees	Title 47, Section 581 et. seq. and Title 47, Section 591.1 et seq.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Goal: Regulate industry licensees through the initiative of agency employees. Employees must be motivated to identify with the essential interests of those who deal with licensees and to seek to obtain conformance with the standards required of licensees in the conduct of their business.

- * Explore ways that several licenses could be combined at different levels to avoid redundancy, while maintaining funding levels.

Combination licensing	100%	100%	100%	100%
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- * Explore the feasibility of licensing via electronic means to eliminate the need for paper

Electronic licensing	100%	100%	100%	100%
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- * Investigate licensee activity and initiate and pursue curative action if required

Licensees	100%	100%	100%	100%
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Goal: Continue to provide educational opportunities to licensees so that new licensees are familiarized with the standards of conduct expected in their industry.

- * Continue to provide sample forms, sample titles, and pertinent rules at a live presentation in which all aspects of a used motor vehicle transaction is explained.

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated
Goal: Continue to provide educational opportunities to licensees so that new licensees are familiarized with the standards of conduct expected in their industry.				
Education information	100%	100%	100%	100%
Goal: Continue to provide services at a reasonable cost. The cost of doing business with the State should not be prohibitive. As a low cost provider of remedies for both dealers and the general public in problems arising from the sale or purchase of a used motor vehicle, the Commission should strive to use its limited resources to the best effect.				
* Receive and process applications for license for review by Commission and complete process after disposition by Commission				
Licensing	100%	100%	100%	100%
Goal: Train employees to maintain expertise in the regulatory field. Keeping current with changing business practices and new methods of performing the regulatory function enhances the productivity of employees.				
* Implement an employee education and training program that will help keep employees interested, informed and motivated. Each employee should attend a minimum of one formal course of instruction per year.				
Employee Retention	100%	100%	100%	100%
Goal: Be responsive to the needs of the consumers of the State. Provide information regarding topics of concern to consumers in the purchase of a used motor vehicle when asked.				
* Explore means to provide protection to consumers when purchasing vehicles through the internet.				
Internet Problems	100%	100%	100%	100%
* Make sure the questions and answers section of the Commission website is current and up to date with trends and problems.				
Keep Website Current	100%	100%	100%	100%
* Receive and process complaints and resolve them or make final disposition of the complaint in a timely manner.				
Complaints	100%	100%	100%	100%
Goal: Interface appropriately with other state entities.				
* Ensure that all mandated reports requested by other agencies are completed and filed on time. Maintain a monthly report to verify compliance.				
Administrative reports	100%	100%	100%	100%

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

Type of Fund:	\$000's		
	FY- 2009 Actual	FY-2010 Actual	FY-2011 Budgeted
200 Used Motor Vehicle & Parts Fund	682	732	917
Total Expenditures by Fund	\$682	\$732	\$917

USED MOTOR VEHICLE & PARTS
COMMISSION

- 537 -

HUMAN RESOURCES AND
ADMINISTRATION

NON-APPROPRIATED AGENCIES

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
Salaries and Benefits	524	559	663	
Professional Services	34	41	133	
Travel	55	51	23	
Lease-Purchase Expenditures	0	0	0	
Equipment	2	9	22	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	67	71	77	
Total Expenditures by Object	<u>\$682</u>	<u>\$731</u>	<u>\$918</u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
10 General Operations				
1 General Operations	669	710	917	
88 Data Processing	13	21	0	
Total General Operations	<u>682</u>	<u>731</u>	<u>917</u>	
Total Expenditures by Activity	<u>\$682</u>	<u>\$731</u>	<u>\$917</u>	

VETERINARY MEDICAL EXAMINERS BOARD (790)

MISSION

The mission of the Board of Veterinary Medical Examiners is to protect the public by regulating the practice of veterinary medicine through the licensure of veterinarians, veterinary and euthanasia technicians and investigation of complaints to ensure that licensees are practicing within the provisions of the law.

THE BOARD

The Board consists of six members, five who are graduates of schools of veterinary medicine and one lay member. Members are appointed by the Governor with the advice and consent of the Senate for terms of five years.

DUTIES/RESPONSIBILITIES

The State Board of Examiners in Veterinary Medicine is the official licensing agency for the practice of veterinary medicine in the State of Oklahoma. The primary duties of the Board are as follows: to prepare, administer and determine the competency of the licensees.

STATUTORY REFERENCES

Program Name	Statutory Reference
Licensing and Regulation of Veterinarians	Title 59, Section 698.1 et seq.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Goal: Create and maintain a vital workforce with the appropriate skills and characteristics to serve the public and our clients.

- * Enhancing the quality of work life of the agency employees to ensure that the workplace serves as an encouraging, challenging, safe and motivating place in which to heighten productivity. Dollars budgeted per year.

Quality of work life	3,100	3,300	3,400	3,500
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- * Invest in training and development to ensure that the staff and Board possesses the skills and knowledge necessary to meet agency challenges both today and in the future.

Training and development	5,500	5,710	6,200	6,400
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Goal: Ensure the humane care and treatment of all animals by enforcing the Veterinary Practice Act.

- * Average number of days until a complaint is resolved. The day the complaint is received to the day the complaint is finalized.

# of complaints	86	45	50	55
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- * Establish minimum standards for clinics. Inspection of the clinic when a complaint is filed.

Establish standards	35	45	50	52
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Goal: Reduction of chemical dependency cases among the practitioners.

- * Educate the licensees to promote sobriety by dissemination of information through presentations. Presentations per year by the Board and staff.

Education of the licensees	10	11	12	12
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VETERINARY MEDICAL EXAMINERS BOARD

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HUMAN RESOURCES AND ADMINISTRATION

NON-APPROPRIATED AGENCIES

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Reduction of chemical dependency cases among the practitioners.

* 30% reduction in the rate of recidivism among licensees that have been treated for chemical dependency as compared to the average recidivism rate the previous five years.

Reduction in recidivism	3	2	2	4
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

<u>Type of Fund:</u>	<u>\$000's</u>		
	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
200 Veterinary Medical Examiners Fund	381	402	444
Total Expenditures by Fund	<u>\$381</u>	<u>\$402</u>	<u>\$444</u>

EXPENDITURES BY OBJECT

<u>Object of Expenditure</u>	<u>\$000's</u>		
	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits	229	263	276
Professional Services	59	57	70
Travel	24	17	31
Lease-Purchase Expenditures	0	0	0
Equipment	5	6	8
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	65	59	59
Total Expenditures by Object	<u>\$382</u>	<u>\$402</u>	<u>\$444</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

<u>Activity No. and Name</u>	<u>\$000's</u>		
	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
10 General Operations			
1 General Operations	381	402	444
Total General Operations	381	402	444
Total Expenditures by Activity	<u>\$381</u>	<u>\$402</u>	<u>\$444</u>

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES

<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
10 General Operations	3.0	3.0	3.0
Total FTE	3.0	3.0	3.0
Number of Vehicles	1	0	0

CHILDREN & YOUTH COMMISSION (127)

MISSION

The mission of the Oklahoma Commission on Children and Youth is to improve services to children by: Planning, coordinating and communicating with communities and between public and private agencies; Independent monitoring of the children and youth service system; testing models and demonstration programs for effective services; and certifying state operated children's shelters.

THE COMMISSION

The Commission is composed of nineteen members: the Director of the Department of Human Services; the Commissioner of the Department of Health; the Commissioner of the Department of Mental Health and Substance Abuse Services; the State Superintendent of Public Instruction; the Chairman of the Oklahoma Supreme Court Juvenile Justice Oversight and Advisory Committee; the Director of the Office of Juvenile Affairs; the Director of the Oklahoma Health Care Authority; the Director of the Department of Rehabilitation Services, one member elected by the Community Partnership Boards; six members appointed by the Governor from the following organizations: one from Oklahoma Children's Agencies and Residential Enterprises, one from a statewide association of youth services, one from the Oklahoma Bar Association, one from a statewide Court Appointed Special Advocate Association, one from the metropolitan juvenile bureaus, and one from the Post Adjudication Review Boards; one member, appointed by the Speaker of the House of Representatives, who is a parent of a child with special needs; one member, appointed by the President Pro Tempore of the Senate, who is an individual with interest in improving children's services who is not employed by, or paid with funds from the state; and one direct Governor appointee of a person representing business or industry. All members must have active experience in services to children and youth and all serve a term of two years at which time they may be reappointed for an additional term.

DUTIES/RESPONSIBILITIES

The Commission is charged with the responsibilities to plan and coordinate with public and private agencies for the improvement of services to children and youth and to report its findings annually to the Governor, Speaker of the House, President Pro Tempore of the Senate, Chief Justice of the Supreme Court and to each agency affected. The Office of Planning and Coordination collects information from community partnership boards and submits an annual report of needed system improvements to the Commission and each agency affected by the report. The Commission reviews and approves the state's child abuse prevention plan. This plan is developed by the Interagency Child Abuse Prevention Task Force, which is appointed by the Commission.

The Office of Juvenile System Oversight (OJSO) is responsible for independent oversight of all children's services in Oklahoma, including the investigation of complaints of misfeasance and malfeasance. Additionally, the OJSO makes announced and unannounced visits to children's facilities to determine compliance with established responsibilities.

Other services of the agency include administration of the state's Post Adjudication Review Boards, the Child Death Review Board, the Board of Child Abuse Examination, and the Joint Oklahoma Information Network (JOIN). Funding for the Oklahoma Areawide Services Information System (OASIS), an information and referral program for families of children with disabilities and for the training of personnel who work with children and youth, is appropriated to the OCCY as well.

STATUTORY REFERENCES

Program Name	Statutory Reference
Administration - 01	Title 10, § 601.1-601.50, 620.6, 1116.2-1116.6, 10A § 2-7-901 through 10A § 2-7-905, 10A § 1-9-112, Title 70 § 13-124B of the Oklahoma Statutes.

FY - 2012 EXECUTIVE BUDGET

Post-Adjudication Review Board - 04-00003	Title 10 O.S. 1116.2 through 1116.6; 7003-5.6e; 7005-1.3(3); 7006-1.6c
Office of Juvenile System Oversight - 03	Title 10, Oklahoma Statutes, Section 601.6
Office of Planning and Coordination - 04	Title 10, Section 601.3 and Title 10, Section 601.9, Section 601.12
Juvenile Personnel Training - 30	Section 1 of HB 2396, 2nd Session of the 51st Legislature (2008)
Oklahoma Areawide Services Information System - 35	Section 1 of HB 2396 2nd Session of the 51st Legislature (2008)
Board of Child Abuse Examination - 40	Section 601.30 of Title 10 HB 2310 5-22-90
Child Death Review Board - 68	Title 10, Section 1150 of the Oklahoma Statutes
Interagency Coordinating Council for Early Intervention	Part C, formerly part H of Federal PL99-457, Amended Executive Order 2006-4, Title 70 O.S., Section 13-121.
Joint Oklahoma Information Network - 04-00089	Title 10, Section 630.2 of the Oklahoma Statutes

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Goal: Increase community communication and efforts to improve services to children and their families.

- * The number of coordinated local meetings attended by P&C staff, which increases communication and enhances service delivery at the local interagency level.

Increase interagency efforts	505	438	509	584
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Goal: Ensure the safety of Oklahoma's children by providing independent monitoring of the children and youth service system utilizing established rules, regulations, and state laws.

*

- * Provide oversight and assessment of safety and quality of life indicators to 100% of state-operated children's institutions and residential facilities annually.

Children's Resid. Facilities	100%	100%	100%	100%
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Goal: Improve children's protective service system through issuing of public reports of deaths/near deaths

- * Ensure safety and quality of life of children by conducting certification visits to state operated children's shelters.

Certification/Assessments	100%	100%	100%	100%
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Goal: Identify and promote best practices at the state and local level through local ITA (Intensive Technical Assistance) sites

- * ITA sites receiving P&C assistance.

ITA sites developed	8	9	9	10
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Goal: Develop goals and workplans based on Commission adopted State Plan for Children's Services

- * Adoption of goals with workplan.

Develop goals	100%	100%	100%	100%
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES				
<u>Goals/Measures</u>	<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY- 2011</u> <u>Budgeted</u>	<u>FY-2012</u> <u>Estimated</u>

Program: Administration - 01

Goal: To develop the agency's annual budget necessary to accomplishing the work of the agency.

- * Percent of budgets and revisions that are accurate and turned into the Office of State Finance on time.

Budgets/Revisions to OSF	100%	100%	100%	100%
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Goal: To pay the obligations of the agency on time.

- * Percent of proper invoices paid within 30 days or when legally able to do so (whichever is later).

% Invoices paid w/in 30 days	100%	100%	100%	100%
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Program: Board of Child Abuse Examination - 40

Goal: Ensure that the Board is in compliance with state statute.

- * Board meets a minimum of 4 times a year.

Meetings per year	6	6	6	6
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Goal: Provide basic and updated child abuse training to 50 health care providers each year.

- * Number of participants trained during Annual Basic Training and Annual Update Training.

Number of participants	50	44	50	50
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Program: Child Death Review Board - 68

Goal: To identify any systems failures that occur in relation to a child death, so that these failures will be addressed and not occur in the future.

- * Percentage of recommendations made to improve policies, procedures and practices within agencies that serve and protect children which are implemented by State and Local agencies, or if not implemented, an explicatory response has been submitted to the Oklahoma Child Death Review Board.

# rec's implemented locally	3%	3%	25%	25%
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- * Number of child death cases reviewed and closed in a calendar year.

Review child death cases	250	350	350	350
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- * Number of near death cases reviewed in a calendar year

Review near deaths	53	70	70	70
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- * Number of recommendations made to improve policies, procedures, and practices to reduce the number of child deaths and near-deaths based on cases reviewed in a calendar year.

Make recommendations	31	31	25	25
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated

Program: Child Death Review Board - 68

Goal: To identify any systems failures that occur in relation to a child death, so that these failures will be addressed and not occur in the future.

- * Percentage of recommendations for systemic improvements to help reduce preventable/accidental deaths in Oklahoma that are supported by an agency or the legislature.

# rec's adopted	87%	50%	50%	50%
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- * Number of regional review teams for which support is provided to assist in identification of system failures

Regional review teams	4	4	4	4
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Program: Interagency Coordinating Council for Early Intervention

Goal: A timely comprehensive, multidisciplinary evaluation will be conducted for each child, birth through age two, who is referred to the SoonerStart program for evaluation.

- * % of referred children who receive an evaluation.

SS Comp. Process & Eval.	100%	100%	100%	100%
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Goal: An annual performance report is timely submitted and approved by the U.S. Office of Special Education (OSEP).

- * SoonerStart Annual Performance report

SS Comp. Qual Assur process	100%	100%	100%	100%
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Goal: The SoonerStart Program will serve at least 2.09% of the general population ages 0 through 2 with developmental delays and disabilities.

- * % of population birth through two served.

% of population served	1.9%	1.79%	1.7%	1.7%
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Goal: Facilitate and support family involvement in state program policy development and implementation

- * The Interagency Coordinating Council promotes family participation in the development, implementation and evaluation of the SoonerStart program by including at least one family member on all of the ICC committees and maintains five members on the Family Leadership Committee.

% of cmtes w/family particip	100%	100%	100%	100%
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Program: Joint Oklahoma Information Network - 04-00089

Goal: Through the collaboration with the Oklahoma 2-1-1's JOIN will expand its statewide database for Information and Referral programs and agencies.

- * JOIN will monitor the size of the statewide database and can report on the number of records obtained annually.

Expansion of database.	19,499	20,733	22,000	23,000
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Goal: Provide access to all state agencies and the public via the internet to the JOIN website which includes the Community Resource Directory which will assist them with accurate information as well as appropriate referrals to statewide services.

- * A hit is defined as any connection to this site.

Number of hits to website	1,994,218	1,511,187	1,600,000	1,650,000
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Goal: Initiate a Marketing/Advertising campaign to promote JOIN, and JOIN/2-1-1. JOIN plans to accomplish this by using local and statewide media to include radio, television, posters, and brochures.

- * A distribution list will allow us to track the number, location, and frequency with which we are sending or replenishing the brochures.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated

Program: Joint Oklahoma Information Network - 04-00089

Goal: Initiate a Marketing/Advertising campaign to promote JOIN, and JOIN/2-1-1. JOIN plans to accomplish this by using local and statewide media to include radio, television, posters, and brochures.

Distribute brochures.	20,000	15,500	25,000	30,000
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* Develop public service announcements for television and radio.

Develop PSA's.	0	0	1	2
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Program: Juvenile Personnel Training - 30

Goal: Provide training events based on results of Oklahoma public and nonprofit agency needs assessments.

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Number of events	90	86	80	80
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Goal: Train participants (Oklahoma service providers working with children, youth and families) to increase their knowledge and skills.

* Number of participants trained.

Number of participants	4,335	4,198	3,800	3,800
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Goal: To increase the skills and knowledge of participants of JPTP events measured through evaluations and follow-up surveys.

* Percentage of participants reporting an increase in skills

Evals & follow-up surveys	96%	96%	95%	95%
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* Percentage of participants reporting an increase in knowledge

Evals & follow-up surveys	97%	96%	95%	95%
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Program: Office of Juvenile System Oversight - 03

Goal: Ensure compliance with established responsibilities of all state-operated children's facilities to increase the likelihood that children will be safe and will receive proper care.

* The number of visits to state-operated facilities and the issuance of required reports.

# visits to state facilities	62	48	48	48
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* The number of visits to state-operated children's shelters for the purpose of certifying the shelter for operation and the issuance of a report or verification of the visit.

certification visits	NEW	37	37	37
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Goal: Conduct systemic reviews to ensure the safety of youth who reside in non-state operated children's facilities, in accordance with established responsibilities which include, the Terry D. Consent Decree, Oklahoma State Statutes, DHS licensing standards, and OJA and DHS contracts.

* The number of visits to non-state operated facilities and the issuance of corresponding reports.

# visits to private fac.	83	26	0	0
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Goal: Conduct investigations of all complaints alleging misfeasance and malfeasance and lack of compliance with established responsibilities within the children and youth service system and provide verbal and/or written communication of the OJSO's findings to the appropriate persons and entities, such as complainants, agencies, and communities, in accordance with policy and procedures.

* The number of investigations conducted.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated
Program: Office of Juvenile System Oversight - 03				
Goal: Conduct investigations of all complaints alleging misfeasance and malfeasance and lack of compliance with established responsibilities within the children and youth service system and provide verbal and/or written communication of the OJSO's findings to the appropriate persons and entities, such as complainants, agencies, and communities, in accordance with policy and procedures.				
# investigations conducted	408	326	350	350
* The number of public releases prepared pursuant to Title 10A, Oklahoma Statutes, Section 1-6-105.				
public releases prepared	14		15	15
Program: Office of Planning and Coordination - 04				
Goal: Facilitation of interagency efforts to increase local communication and to improve services to children and youth				
* Number of coordinated local meetings attended by P&C Staff that increase communication and enhance service delivery at the local interagency level.				
Increase interagency efforts	505	438	509	584
* Number of Community Partnership Boards statewide.				
# of CPBs	45	44	47	47
Goal: Provide community boards with resources and funding and organizational infrastructure development services.				
* The percentage of Community Partnership Boards that are satisfied with the services provided by OCCY.				
CPBs Survey	92%	100%	100%	100%
Goal: Develop and implement State Plan for Services to Children and Youth according to recommendations and budget limitations.				
* State Plan workplans are implemented through funding or direct staff and coordination services.				
State Plan Recommendations	100%	100%	100%	100%
Goal: To test program models and demonstration projects for effective services to children and their families.				
* Number of model programs funded annually				
# of model programs funded	8	9	9	10
Program: Oklahoma Areawide Services Information System - 35				
Goal: Provide people in need of assistance with accurate information as well as appropriate referrals.				
* Referrals to other agencies/programs as well as requests handled by OASIS staff such as SoonerStart message relays and respite vouchers				
Number of Referrals	12,330	9,893	10,000	11,000
Goal: Provide access via internet to the OASIS service program				
* Users can search the OASIS Online directory using keywords/service terms and by area of need. Measurement is number of times a search is performed using one of the listed terms, using the directory's built in reporting feature. This online directory was upgraded in the spring of 2010 during which time it was not available for searches for approximately three months. The statistics below are based on the 9 months it was available.				
Online Directory Searches	35,093	20,000	30,000	35,000

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Oklahoma Areawide Services Information System - 35

Goal: Provide access via internet to the OASIS service program

- * Beginning in FY 2007, statistics on visits are tracked instead of hits to provide a more accurate reflection of website usage. A visit can be described as an interaction with a website. One user can make multiple visits over time. A visit can contain one or more page views. Statistics are compiled by website statistical program on the OUHSC server.

During the FY 2009 period, statistics on the number of visits to the web site was not available for the last six (6) months. The total number of visits was derived by annualizing the statistics for the first six (6) months of the year.

Number of visits to website	50,000	90,000	75,000	75,000
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Program: Post-Adjudication Review Board - 04-00003

Goal: All judicial districts and every county will be served by PARB.

- * Increase the number of volunteers to conduct reviews by 25 additional volunteers each year

Increase # of volunteers	417	375	420	435
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- * Increase the number counties served by PARB

Counties served	49	50	54	57
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- * Number of judicial districts with local PARB boards

Judicial districts served	25	25	26	26
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Goal: To increase knowledge and skills of PARB reviewer.

- * Increase the number of PARB volunteers who attend PARB training each year.

Number of training events	9	135	175	235
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X General Revenue	2,431	2,564	2,282
200 Commission on Children & Youth	431	327	1,347
Total Expenditures by Fund	\$2,862	\$2,891	\$3,629

EXPENDITURES BY OBJECT	\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
Salaries and Benefits	1,934	1,936	2,168
Professional Services	642	665	1,014
Travel	67	27	105
Lease-Purchase Expenditures	0	0	0
Equipment	18	26	33
Payments To Local Govt Subdivisions	5	0	0
Other Operating Expenses	197	237	309
Total Expenditures by Object	\$2,863	\$2,891	\$3,629

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY	\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1 Administration			
1 Administrative Operations	35	42	49
2 Administrative Personnel	346	326	312
88 Administrative Data Processing	8	11	10
Total Administration	<u>389</u>	<u>379</u>	<u>371</u>
3 Office-Juvenile Syst Oversight			
1 OJSO Operations	76	87	92
2 OJSO Personnel	814	782	910
88 OJSO Data Processing	18	31	28
Total Office-Juvenile Syst Oversight	<u>908</u>	<u>900</u>	<u>1,030</u>
4 Office of Planning & Coord			
1 P&C Operations	53	61	82
2 P&C Personnel	246	249	350
3 P&C Post Adj Rev Brd Admin	199	175	250
69 Interagency Coord Council	0	0	0
88 P&C Data Processing	10	15	15
89 Joint OK Info Network (Join)	319	408	520
90 Demonstration Projects	113	113	390
Total Office of Planning & Coord	<u>940</u>	<u>1,021</u>	<u>1,607</u>
5 Spec Ed Coord & Data Analysis			
1 Interagency Coord Council	190	156	149
2 Data Analysis	8	0	0
88 Spec Ed Coord/Data Analysis DP	0	0	0
Total Spec Ed Coord & Data Analysis	<u>198</u>	<u>156</u>	<u>149</u>
30 Juvenile Personnel Training			
1 Juvenile Personnel Training	189	195	217
Total Juvenile Personnel Training	<u>189</u>	<u>195</u>	<u>217</u>
35 OK Areawide Information System			
1 OK Areawide Service Info Syst	62	73	70

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
	62	73	70
40			
1	58	55	61
	58	55	61
68			
1	118	114	123
	118	114	123
Total Expenditures by Activity	\$2,862	\$2,893	\$3,628

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1	4.4	4.5	4.0
3	12.2	10.7	12.7
4	8.5	9.7	11.8
5	2.5	2.0	2.0
Total FTE	27.6	26.9	30.5
Number of Vehicles	4	4	4

HUMAN SERVICES, DEPARTMENT OF (830)

MISSION

The Mission of the Oklahoma Department of Human Services is to help individuals and families in need help themselves lead safer, healthier, more independent and productive lives.

THE COMMISSION

The Department of Human Services is under the governance of the Human Services Commission which is composed of nine members appointed by the Governor and selected on the basis of recognized interest in, and knowledge of, the problems of public welfare. Members are appointed for terms of nine years. Commission members are to be at least thirty years of age, a citizen of the United States, and an Oklahoma resident for at least five years. The Commission Chair is designated by the Governor. The Commission formulates policies and adopts rules and regulations for the effective administration of the duties of the department.

DUTIES/RESPONSIBILITIES

The Department of Human Services (OKDHS) is charged with the administration and fulfillment of all laws and legislative resolutions enacted pursuant to the authority granted under the Oklahoma Social Security Act and other duties that have, from time to time, been prescribed by law. As authorized by the adoption of Initiative Petitions 154 (establishing the Department) and 155 (establishing the State Administration Fund) in 1936, the OKDHS is designated as the single state agency responsible for the administration of a variety of state programs and for obtaining federal reimbursement where available. This designation makes the OKDHS responsible for a broad range of income support programs, medical services, institutional care programs, home and community programs and other social services. In the material below, descriptions are given of the individual functions and responsibilities of each OKDHS component.

HUMAN SERVICES CENTERS: The Office of the Chief Operating Officer has line authority over the core Human Services Centers. These include the Family Support Services Division, Division of Children and Family Services and Field Operations Division.

FAMILY SUPPORT SERVICES DIVISION: Working through staff assigned to Field Operations and in offices located in all counties of the state, the Family Support Services Division responsibilities include developing and monitoring the implementation of policies and procedures for the administration of the following programs: Adult Protective Services, Child Care Subsidy program; Supplemental Nutrition Assistance Program (SNAP), Low Income Home Energy Assistance Payments (LIHEAP), Medical Assistance eligibility, Children with Special Health Care Needs (CSHCN), Refugee Assistance (via contracts), State Supplemental Payments to the Aged, Blind and Disabled and Temporary Assistance to Needy Families (TANF). The Division is responsible for the staff assigned to investigate APS referrals by staff in Long Term Care facilities. FSSD is also responsible for the management of numerous contracts including Child Care providers, CSHCN service providers, Refugee Social Service Providers, Nutrition Education service providers, TANF work related service providers, and TANF related family formation service providers. FSSD also has responsibility to develop and deliver training on the various FSSD administered programs to staff in local HSC offices as well as others. Additionally, FSSD has responsibility to coordinate with the Data Services Division in the design, maintenance and implementation of the information technology systems that support the delivery and documentation of FSSD programs.

CHILDREN AND FAMILY SERVICES DIVISION: The Children and Family Services Division (CFSD) administers programs to children and families at the home, community and residential level. Field staff administered by the Office of Field Operations specialize in child welfare services and program delivery. The CFSD also administers two shelter programs: the Oklahoma County Juvenile Center in Oklahoma City and the Dester Center in Tulsa. The mission of the CFSD is to provide programs and services necessary to prevent or reduce the abuse, neglect or exploitation of children, preserve and strengthen families, and to provide permanency planning for children in DHS custody. A continuum of placement services is provided for children placed in OKDHS custody who cannot remain in their own homes. A statewide hotline and central child abuse/neglect registry are also maintained by the division.

FIELD OPERATIONS DIVISION: The Field Operations Division is responsible for coordinating the delivery of all

agency services at the local level as well as supervision of local Child Welfare, and Family Support programs. Coordination includes the integration of agency programs to fill service gaps and avoid duplication as well as developing and maintaining linkages with other agencies. These responsibilities are accomplished primarily through county directors in 87 local offices across the state. Six area directors provide supervision and technical support to county directors in the six major geographical areas of the state. County directors oversee personnel, housing, equipment and supplies for all local staff housed in the county. They coordinate with all program divisions to ensure that client and staff needs are met, serve as chief spokespersons for OKDHS in the community, and establish and maintain linkages with other human service agencies. The Office of Field Operations also directs the AIDS Coordination and Information Services (ACIS) Unit. This unit works with OKDHS divisions and other public and private entities to coordinate the development and provision of services to persons with AIDS and HIV infection. This unit directs statewide program activities of the Field Operations Division's HIV/AIDS case management services through Tulsa, Oklahoma and Comanche county offices. HIV/AIDS care coordinators assist hundreds of persons with HIV disease in accessing needed health care and social services.

VERTICALLY INTEGRATED SERVICES: The Chief Coordinating Officer has line authority over all other programmatic service divisions. These divisions are the Aging Services Division, Oklahoma Child Care Services, Oklahoma Child Support Services, (formerly Child Support Enforcement Division), and Developmental Disabilities Services Division.

AGING SERVICES DIVISION: The Aging Services Division (ASD) serves as the focal point for all matters relating to the needs of older persons within Oklahoma. ASD works with and through OKDHS county offices, Area Agencies on Aging, local governments, local agencies and organizations of older persons. The division serves as an effective and visible advocate for older persons and for planning, developing, conducting, monitoring and evaluation programs and services. The State Council on Aging serves in an advisory capacity to the division, OKDHS, the Legislature and the Governor. The National Senior Services Corps allows senior citizens to participate in their communities through volunteer services with emphasis on intergenerational programs. The Volunteer Services Credit Bank Program encourages volunteers to provide in-home services to homebound persons and respite for family caregivers. Through congregate and home delivered meal programs and nutritional education, this division enables older persons to have the opportunity for adequate nutrition and social interaction with their peers. By providing transportation and in-home personal care, many frail and elderly individuals are able to avoid unnecessary institutionalization and to live independently in their own homes. The division administers the ADvantage program, a Medicaid waiver program for frail elders and adults with physical disabilities. The ADvantage program provides an opportunity for individuals who are eligible for nursing facility level of care to receive services while they remain at home in the community. The program, now available statewide, was developed through the division's Community System Development Project. Services that can be obtained through the ADvantage program include case management, personal care, skilled nursing care, adult day health care, home delivered meals, home modifications, and prescription drugs. Other services such as legal services, adult day care, counseling, outreach, information and referral also enhance the independence of older persons. Adult Protective Services protect vulnerable individuals, who are at risk from abuse, exploitation or neglect. Division staff is responsible for policy, training, technical assistance and quality assurance for the program; most investigations are done by local Field Operations staff, division long-term care investigators and ombudsmen who investigate and resolve complaints from facilities involving abuse, neglect, nursing care, financial management, sanitation, food service and other issues of concern to residents and their families. Long Term Care Ombudsman Office representatives advocate for older persons in long-term care facilities through individual problem response as well as systems advocacy in the regulatory and legislative areas. ASD is in liaison with other OKDHS service programs on matters concerning older persons and with other State agencies and several aging organizations. Through educational and training programs, volunteer organizations, and a wide range of media activities, public awareness is heightened on the positive contributions made by older persons as well as their need for services. Special activities include an annual State conference on aging, an elderly abuse state conference, sessions of the 'Silver Haired Legislature', senior advocates activities, and special efforts to represent the interests of minority and older persons with disabilities.

OKLAHOMA CHILD CARE SERVICES: The primary responsibility of the OCCS is to assure that Oklahoma children and their parents have access to licensed, affordable, quality child care. This is accomplished through the administration of the federal Child Care Development Fund, the statewide licensing program that monitors child care programs for compliance with minimum requirements, and encouraging facilities to upgrade to a Two-Star level or a Three-Star level.

OKLAHOMA CHILD SUPPORT SERVICES (OCSS): OCSS has responsibility for the establishment and enforcement

of the child support responsibilities of non-custodial parents. Pursuant to Title IV, Part D, of the Social Security Act, every state must designate a single state agency to administer a statewide plan for child support enforcement. OKDHS has been designated as that agency and, in turn, has established OCSS to administer the plan. The primary function of OCSS is to provide child support enforcement services in all TANF and medical assistance cases and in non-TANF cases for individuals who have applied for services. In addition, OCSS provides these services in all interstate cases forwarded by Title IV-D agencies of other states. Pursuant to Oklahoma's State Plan for Child Support Enforcement, OCSS has four major responsibilities: (1) to establish paternity, (2) to enforce child support, (3) to locate non-custodial parents, and (4) to collect and distribute child support payments. Paternity, child support obligations, medical support obligations and collections are established through administrative and court actions. Child support, medical support and in some instances, spousal support obligations are enforced through administrative and court action. Methods of enforcement include wage assignment, contempt orders, federal and state tax return intercepts, Workers' Compensation intercepts, unemployment compensation intercepts, lottery intercepts, liens on real and personal property and various other remedies. Non-custodial parents are located through the establishment and use of links in Oklahoma and in other states with local, state and federal agencies. Links such as law enforcement agencies, the Department of Public Safety, the Oklahoma Employment Security Commission, the Oklahoma Tax Commission, the Department of Defense, the Internal Revenue Service, the Federal Parent Locator Service and various private sources are utilized. Child support payments are collected and distributed in accordance with state and federal law. Each office is staffed by child support case workers and a full or part time assistant district attorney or staff attorney. The state office provides administrative support to local offices. Responsibilities of the state office include coordination of automated links and information exchange with state and federal agencies and with agencies in other states, administration of the Federal and State Tax Intercept Program, the Unemployment Compensation Intercept Program, processing and distribution of all child support payments and other required financial and accounting activities, operation of a central registry of all incoming interstate child support cases, administration of various contracts, maintenance of certain records, policy development, program monitoring, training and legal advice.

DEVELOPMENTAL DISABILITIES SERVICES DIVISION: The Developmental Disabilities Services Division is responsible for the administration and coordination of a full range of community-based and institutional programs for Oklahomans who are developmentally disabled. Services are supplied to children and adults with disabilities as well as the families of these individuals. The division supplies community services mainly through contracts with private corporations. Residential services, which vary from serving one to twelve individuals per home, include supported living, specialized foster care, adult companions, group homes and assisted living. Employment services include sheltered workshops and community integrated employment. The range of support services available include therapy, psychological services, habilitative training, nursing services, architectural modifications, adaptive equipment, transportation, emergency services and family income support. Three area offices operated by the division provide case management services to many of the individuals receiving community services. Community services are individualized to meet the unique needs of the persons served and are intended to enable persons with developmental disabilities to successfully live, work, learn and recreate in the least restrictive environment. In accordance with Public Law 100-203, the division is responsible for arranging alternative community services for individuals with mental retardation who reside in nursing facilities and have expressed a desire to relocate. The division directly and through contract provides specialized services to those persons that have chosen to remain at nursing facilities. This division is also responsible for the operation of three Intermediate Care Facilities for the Mentally Retarded (ICF/MR's). These facilities are: The Northern Oklahoma Resource Center at Enid, the Southern Oklahoma Resource Center at Pauls Valley, and, by contract, the Robert M. Greer Center. In cooperation with the Oklahoma Health Care Authority, the division contracts with private corporations to deliver ICF/MR programs in twenty-four facilities. ICF/MR programs provide a full range of residential, therapy, health care and active treatment twenty-four hours a day. These programs are certified and licensed by the Oklahoma Department of Health in accordance with federal regulations.

OFFICE OF FINANCE: The Office of Finance, under the Direction of the Chief Financial Officer, supports the delivery of program services by presenting the agency's annual Budget Request, preparing and monitoring the annual Budget Work Program, monitoring receipts and expenditures, processing vendor and some client payments, producing financial reports and statements to meet state and federal requirements, preparing the agency payroll, distributing nutrition benefits and administering the Electronic Benefit Transfer (EBT) program for distribution of client assistance payments for SNAP benefits, OCSS client collections, TANF benefits and AABD payments. Operations are organized into six units: (1) Responsibilities of the Budget Unit include compilation and maintenance of the agency Budget Work Program, compilation of the agency Budget Request, monitoring of full-time equivalent employees and payroll expenditures, production of revenue and expenditure estimates and reports, monitoring, maintenance and reporting of the agency

operating budget; (2) the Cost Accounting and Revenue Enhancement Unit monitors expenditure data, submits financial and informational reports to satisfy state and federal requirements, requests federal fund draws, coordinates agency efforts to maximize federal funds, develops and maintains the cost allocation plan, coordinates responses to and appeals of federal audits and the disallowance of administrative costs, and identifies and coordinates corrections of systems, procedures, and policies that impede claiming of federal fund; (3) the Finance Operations Systems unit is responsible for providing data processing support to the Office of Finance and other divisions using financial information; (4) the Finance Operations Unit performs revenue processing, trust accounts maintenance, warrant control, receipt and deposit of state, federal and other funds, processes and manages agency billings and accounts receivable, coordinates the production of DHS financial statements and coordinates the agency-wide audits conducted by the State Auditor and Inspector; (5) the Claims Auditing Unit audits and processes administrative, vendor and some provider claims; (6) the Payroll Management Unit manages all employee leave and attendance records, processes changes in individual pay levels, prepares payroll for all employees, and accounts for the proper distribution of all money withheld from individual payroll warrants; and (7) the Electronic Payment Systems Unit develops and monitors Electronic Benefit Transfer financial activities for SNAP, TANF, OCSS, Day Care subsidy, and AABD payments

CHIEF INFORMATION OFFICER: The Chief Information Officer has line authority over the Data Services Division, Information Security Office, the Enterprise Program Management Office, and the Office of Planning, Research & Statistics.

DATA SERVICES DIVISION: The Data Services Division (DSD), under the direction of the Chief Information Officer, is responsible for providing data processing and IT Solution support for OKDHS by developing, maintaining and enhancing application programs; ensuring data availability and security of OKDHS' data; and acquiring and maintaining and operating computers and software in support of the Department's many client services. The DSD supports a statewide telecommunications network for OKDHS workers in all counties, all DHS institutions and the state office. Division staff is responsible for the development and maintenance of OKDHS computer software systems and operation of the department's data center and teleprocessing network. Systems are designed to: (1) expedite the delivery of benefits and services to clients; (2) increase the accuracy and quality of the information stored in systems; and (3) provide management assistance in the effective and efficient administration of department programs.

OFFICE OF INFORMATION SECURITY: The Information Security Office (ISO), under the direction of the Chief Information Officer and the Chief Administrative Officer, is charged with managing, oversight, and auditing of the Department to: 1) Evaluate, mitigate and reduce risk to OKDHS data and information systems; 2) Identify, assess, and appropriately manage information security and business continuity risk to OKDHS business processes, assets, and information systems; 3) Coordinate and assist OKDHS divisions and business units to determine, manage, respond to, and implement controls that appropriately and proactively respond to information security and business continuity risks; and 4) Develop, implement, and monitor agency, divisional and county office emergency operations that include planning, response, management and reporting for all aspects of emergencies and incidents.

ENTERPRISE PROGRAM MANAGEMENT OFFICE: The Enterprise Program Management Office (EPMO), under the direction of the Chief Information Officer, is charged with establishing and implementing an Enterprise Business Architecture to improve the delivery of OKDHS services. The objectives of the office are to document the Business Architecture of the agency, provide basic training to the agency in Business Process Engineering and Business Architecture, and establish the baseline language and methodology for Enterprise Architecture.

OFFICE OF PLANNING, RESEARCH, & STATISTICS: The Office of Planning, Research, & Statistics (OPRS), provides technical assistance in designing, developing, and implementing strategic planning for OKDHS, and produces the OKDHS Strategic Plan. OPRS conducts data-driven research and statistical analysis of OKDHS programs and services. To assist in making data-driven decisions, OPRS collaborates with OKDHS divisions to communicate and disseminate information regarding agency research, programs, and services. OPRS is the official clearinghouse for forms and appendices for the agency. OPRS maintains program statistics. OPRS also produces the OKDHS Annual Report and provides grant assistance.

OFFICE OF ADMINISTRATIVE SERVICES: The Office of Administrative Services, under the direction of the Chief Administrative Officer, provides a wide spectrum of quality services to support agency programs and to continuously promote improvement in administration and the responsible management of agency resources. The divisions and offices reporting to the Chief Administrative Officer are the Office of Support Services Division, the Human Resources

Management Division, the Office of Communications, the Office of Volunteerism, the Office of Information and Referral, and the Office of Legislative Relations and Special Projects.

OFFICE OF SUPPORT SERVICES DIVISION: The Office of Support Services Division is responsible for DHS functions relating to construction, architecture and engineering, management of real property, contracts and purchasing, policy management, commodity distribution, and logistical, inventory and distribution support for the operation of a statewide network of offices. The division is organized into five units: (1) the Departmental Services Unit provides logistical support for all DHS installations. Services include ordering, warehousing and distribution of supplies and office equipment; publishing, printing, copying; equipment/vehicle inventory management; management of administrative and client records; open records management; air travel; and the processing of all agency mail. (2) The Commodity Distribution Unit warehouses and distributes USDA donated commodities to recipient agencies for compliance with USDA requirements and regulations, and provides technical assistance and training in the proper usage and storage of commodity foods; coordinates with food processors to provide items for recipient/agencies that have been processed into end product items; contracts with Oklahoma City and the Tulsa Community food banks to provide foods for distribution statewide to needy individuals as determined eligible by their program guidelines. (3) Facilities Management services provides a full range of property and facility management services, in compliance with state and federal codes and regulations, for DHS facilities throughout the state. These services include real property management, acquisition and coordination of DHS rental space and facilities; planning, architectural and engineering services (i.e. electrical, mechanical, civil and structural engineering): renovation and new construction services; manufacture of modular furniture; telephone systems installation, maintenance, and repair; and facilities maintenance. Additionally, Facilities Management Services provides repair and services to all DHS vehicles through the DHS service center. All services, with the exception of real property management, are provided to other state agencies on request and approved by DHS management. (4) The Contract and Purchasing Unit is responsible for the procurement of all equipment, supplies and services supporting the agency as well as contracts providing services to DHS clients. Services include technical advice, facilitating projects and emergencies, coordination with the Department of Central Services, monitoring for compliance with all state and federal requirements, fiscal and ethical issues related to the function, and maintenance of all agency records. (5) OSSD also operates a Risk Management and Employee Safety Program as a positive response to workplace safety and security issues.

HUMAN RESOURCES MANAGEMENT DIVISION: The Human Resources Management Division manages three key systems: acquisition and allocation of personnel; employee and organizational development; and employee services. The division's Personnel Administration Unit assures the appropriate staffing of agency functions consistent with the rules of the Merit System of Personnel Administration, state law and agency policy, monitors personnel transactions, and maintains the official personnel records of the department. Employee Services include an Employee Assistance Program to address personal problems of employees which impact their productivity: employee benefits; and a labor relations group offering, among other things, dispute resolution services to employees and managers of the department. The Center for Professional Development oversees the department's Training and Development Program for employees and managers. It provides access to competency-based and developmental training to equip employees with the skills needed to meet current and future demands of the Agency.

OFFICE OF COMMUNICATIONS: The Office of Communications conveys, to a large and varied audience, information about the programs, services, operations and actions undertaken by the Oklahoma Department of Human Services in carrying out its mission. The Office of Communications provides information for the citizens of Oklahoma and for government officials at the federal, state and local levels, and provides specialized information for specific segments within these primary audiences. The office monitors social service issues on the state and national levels; provides the Oklahoma Legislature and the Oklahoma congressional delegation with current information relating to the delivery of social services in Oklahoma; responds to any specific requests for information from legislative committees, individual legislators and members of the Oklahoma congressional delegation. The office communicates to the general public through the news media the role and the mission of DHS; the procedures and operations of the department and the success and special efforts of DHS employees and clients. The office is the contact point for the news media and responds to all inquiries from newspaper, internet, radio and television journalists. The office develops and disseminates news releases; coordinates and monitors DHS public services campaigns; and advises state office and field office personnel in media relations. The office is the first contact for many Oklahomans' seeking information about DHS programs, services and administrative operations.

OFFICE OF VOLUNTEERISM: The Office of Volunteerism utilizes volunteers to provide services, staff support and

resource development in each division of the agency. Volunteer services are used throughout DHS to augment the agency's mandated programs and fill gaps in available services. Programs utilizing volunteerism include Visitor or Companion, Mom-to-mom, Mentor or Special Friend, Tutoring, Transportation, and Share-a-trip.

OFFICE OF INFORMATION AND REFERRAL: The Office of Information and Referral administers the DHS reception, central switchboard and incoming executive mail. The office also coordinates all responses to inquiries from persons contacting or visiting the DHS state office.

OFFICE OF LEGISLATIVE RELATIONS AND SPECIAL PROJECTS: The primary responsibility of the Chief Projects Director & Coordinator is to work with the DHS Director, Commission for Human Services, Governor's staff, cabinet directors, the Legislature and DHS administrators to assure management efficiencies and to coordinate cross-cutting activities throughout the department and with other state agencies. The office also chairs the Department's rate setting committee.

GENERAL COUNSEL: The Office of the General Counsel is responsible for the administration of the Legal Division, Appeals Unit and Child Support Hearing Unit. The Legal Division represents the department and the commission in litigation of all types, renders legal opinions, appears before the Ethics Commission and other state agencies, drafts contracts and legislative regulations and collects money owed. The Appeals Unit safeguards the rights and interests of applicants or recipients of services under any DHS program by providing the client with a fair hearing on any DHS action, or delay in action, related to their case. The Child Support Hearing Unit conducts administrative hearings to render decisions resulting in the establishment of child support orders.

OFFICE OF INSPECTOR GENERAL: The Office of the Inspector General investigates situations that involve possible fraud, abuse or error, to assure DHS accountability in all programs administered by the department. Investigations may involve recipients, vendors, service providers or employees. Particular emphasis is placed on these programs: Temporary Assistance to Needy Families (TANF), Medicaid, Daycare and Supplemental Nutrition Assistance Program (SNAP). The Audit Unit conducts financial, compliance and special purpose audits. The Administrative Review Unit conducts quality control reviews on TANF, Medicaid, and SNAP cases.

OFFICE OF CLIENT ADVOCACY: The Office of Client Advocacy (OCA) provides a variety of protection and advocacy services for DHS clients. OCA Ombudsmen provide advocacy assistance to individuals with developmental disabilities. An Ombudsman is a person who assists individuals in resolving problems with regard to services they need and the quality of those services. Their mission is to provide an independent, proactive voice for all clients to ensure their safety and the delivery of services and programs in a fair, honest and professional manner. OCA investigations unit promotes the protection of Oklahoma's citizens by investigating allegations of abuse, neglect, mistreatment, and financial exploitation with regard to all children living outside their homes (except for foster home placements and children living with relatives) and certain individuals with developmental disabilities, including those who reside in DHS operated facilities. Any person having reasonable cause to believe an individual served by OCA has been subjected to abuse, neglect or financial exploitation is required to promptly report it to OCA. In the past few years, approximately one third of OCA investigations have resulted in a finding that some form of abuse, neglect, mistreatment, and/or exploitation had occurred. OCA also coordinates and monitors the DHS grievance system for resolution of grievances/complaints of a person who received services from DHS for whom there is no other grievance system. The main office is in Oklahoma City. The division also has offices in Tulsa, Muskogee, Pauls Valley and Enid. In addition to the Advocate General, OCA staff consists of two programs administrators, seven supervisors, twenty-seven Ombudsmen, thirteen investigators, one grievance liaison, one data management analyst, two intake workers, and support staff.

OFFICE FOR CIVIL RIGHTS: The major functions of the Office for Civil Rights are: (1) ensuring equal access for employment opportunities for DHS employees and applicants; (2) developing and monitoring the DHS Affirmative Action Plan which primarily addresses personnel related issues, including developing strategies to increase the representation of ethnic minorities and females in targeted positions in the DHS work force; (3) investigating and resolving complaints filed by DHS employees in which discrimination based on race, sex, national origin, age and/or disability is alleged; (4) investigating and resolving complaints filed by clients in which discriminatory practices are alleged in the delivery of services or access to programs; (5) formulating and reviewing policy to prevent discriminatory acts and/or practices; (6) developing and monitoring the Civil Rights Compliance Plan mandated by USDA, Food and Consumer Services, to insure Title VI compliance.

OFFICE OF FAITH-BASED AND COMMUNITY INITIATIVES: The mission of the Office of Faith-Based and Community Initiatives is to help Oklahoma's poor and disadvantaged by establishing faith links -- linking government with faith-based and community organizations to provide social services.

SUBSTANCE ABUSE SERVICES: This division assists OKDHS staff by providing training to identify and develop treatment resources for clients. The division also works with the Oklahoma Department of Mental Health and Substance Abuse Services collaboratively to provide treatment to OKDHS Temporary Assistance for Needy Families (TANF) clients and identified child welfare clients and families.

STATUTORY REFERENCES

Program Name	Statutory Reference
Aging Services	Article 25, State Constitution; Federal, Older Americans Act, Social Security Act as amended; Title XIX and XX.
Oklahoma Child Support Services	United States Social Security Act, Sections: 453, 454, 454A and 454B; United States Code Section 1738B; 45 Code of Federal Regulations, Part 301 - 310; Article 25, Sections 2,3 and 4 of the Oklahoma Constitution; Title 10; Title 12, Title 21; Title 31; Title 36; Title 40; Title 43; Title 47; Title 56; Title 63; Title 68; Title 74; Title 75; Title 85.
Children and Family Services	Article 25, Oklahoma Constitution, Titles IV part B and Part E of Federal Social Security Act, Title 10 and Title 10A of Oklahoma Statutes.
Developmental Disabilities	Article 25, Titles 10, 56, 60, and 74.
Family Support Services	Article 25, U.S. Social Security Act Titles IVA, V, and XIX. Oklahoma Statutes Title 56: Poor Persons. National FS Act of 1977. Liheap Title XXVI of Public Law 9735.
Oklahoma Child Care Services	The Child Care Development Fund (CCDF) includes funds made available under Section 418 of the Social Security Act as amended by Title VI of the Personal Responsibility and Work Opportunity Reconciliation Act of 1996, PL 104-193. Title VI of PL. 104-193 also amended the Child Care Development Block Grant Act of 1990 (42 USC 9801 et seq.), and the Balanced Budget Act of 1997 (Public Law 105-33). The Oklahoma Child Care Facilities Licensing Act; 10 OS 401 et seq.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Aging Services

Goal: Safety: We protect and serve vulnerable adults and children and reduce the risk of harm to individuals and families.

Program: Aging Services

Goal: Safety: We protect and serve vulnerable adults and children and reduce the risk of harm to individuals and families.

* Objective 2: We provide services that help protect the vulnerable adults.				
Num. of complaint allegation	6,345	5,842	5,700	5,700
* Objective 2: We provide services that help protect vulnerable adults.				
Allegations addressed	99.9%	99.9%	99.9%	99.9%

Goal: Health: Eligible children and adults are able to access health care and nutrition services.

* Objective 2: We assist eligible individuals and families meet their nutritional needs				
# receiving home delivered	8,494	7,358	7,700	7,700
* Objective 2: We assist eligible individuals and families meet their nutritional needs				
Congregate meals	17,567	15,487	15,707	15,707

Goal: Independence: We help individuals and families in need, help themselves.

* Objective 1: Help seniors live at home. Unduplicated number of individuals served in ADvantage Program.				
# served in ADvantage Prog.	24,055	21,000	24,000	24,000

Goal: Productivity: Individuals are able to move into the workforce and maintain employment.

Program: Children and Family Services

Goal: Safety: We protect and serve vulnerable adults and children and reduce the risk of harm to individuals and families.

* Objective 1: Provide services that protect the health and welfare of children. Number of children in out-of-home care at year end.				
# of children in out of hom	9489	7866		
* Objective 1: Provide services that protect the health and welfare of children. Percent of non-maltreatment in out-of-home care.				
% of non-maltreatment	99.08%	99%		
* Objective 1: Provide services that protect the health and welfare of children. Percent of non-recurrence of child abuse and neglect after return to family				
% of non-recurrence of abuse	91.80%	90%		

Goal: Health: Eligible children and adults are able to access health care and nutrition services.

Goal: Independence: We help individuals and families in need, help themselves.

Goal: Productivity: Individuals are able to move into the workforce and maintain employment

Program: Developmental Disabilities

Goal: Safety: We protect and serve vulnerable adults and children and reduce the risk of harm to individuals and families.

Goal: Health:Eligible children and adults are able to access health care and nutrition services

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>

Program: Developmental Disabilities

Goal: Independence: We help individuals and families in need help themselves.

Goal: Productivity: Individuals are able to move into the workforce and maintain employment

Program: Family Support Services

Goal: Safety: We protect and serve vulnerable adults and children and reduce the risk of harm to individuals and families.

* Objective 2: Provide services that protect vulnerable adults from abuse, neglect, self-neglect and exploitation. Number of APS initiated guardianships.

APS initiated guardianships	500			
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Goal: Health: Eligible children and adults are able to access to health care for eligible adults and children.

Goal: Independence: We help individuals and families in need help themselves

Goal: Productivity: Individuals are able to move into the workforce and maintain employment.

Program: Oklahoma Child Care Services

Goal: Health: Eligible children and adults are able to access health care and nutrition services

Goal: Safety: We protect and serve vulnerable adults and children and reduce the risk of harm to individuals and families.

Goal: Independence: We help individuals and families in need help themselves.

Goal: Productivity: Individuals are able to move into the workforce and maintain employment

Program: Oklahoma Child Support Services

Goal: Safety: We protect and serve vulnerable adults and children and reduce the risk of harm to individuals and families.

Goal: Health: Eligible children and adults are able to access health care and nutrition services.

Goal: Independence: We help individuals and families in need help themselves.

* Objective 2: Assist families in securing financial support from non-custodial parents. Percent of support order established.

% of Support Ords Established	74.4	75	75
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* Objective 2: Assist families in securing financial support from non-custodial parents. Current support collections (in Millions)

Current Support Collections	195	199	203
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Goal: Productivity: Individuals are able to move into the workforce and maintain employment

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
320 Human Services Disbursing Fund	0	627,970	0	
321 Human Services Disbursing Fund	0	0	687,487	
322 Human Services Disbursing Fund	0	0	0	
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EXPENDITURES BY FUND (continued)

Type of Fund:		FY- 2009 Actual	FY- 2010 Actual	FY-2011 Budgeted
327	Human Services Disbursing Fund	\$ 451	0	0
328	Human Services Disbursing Fund	53,260	310	0
329	Human Services Disbursing Fund	617,355	55,262	0
340	Human Services Medical & Assist	1,170,161	1,409,215	1,410,844
370	Juvenile Justice Disbursing Fund	0	1	34
371	Juvenile Justice Disbursing Fund	0	0	34
372	Juvenile Justice Disbursing Fund	0	0	0
378	Juvenile Justice Disbursing Fund	0	0	0
379	Juvenile Justice Disbursing Fund	1	0	0
Total Expenditures by Fund		\$1,841,228	\$2,092,758	\$2,098,399

EXPENDITURES BY OBJECT

Object of Expenditure	\$000's		
	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
Salaries and Benefits	442,368	453,779	428,894
Professional Services	84,123	92,169	122,273
Travel	12,491	9,472	11,847
Lease-Purchase Expenditures	0	0	26
Equipment	13,130	14,152	12,664
Payments To Local Govt Subdivisions	16,785	16,084	16,714
Other Operating Expenses	1,272,332	1,507,107	1,505,980
Total Expenditures by Object	\$1,841,229	\$2,092,763	\$2,098,398

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

Activity No. and Name		\$000's		
		FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
21	Children and Family Services			
1	Division Of Child Welfare	160,960	156,905	163,239
	Total Children and Family Services	160,960	156,905	163,239
22	Developmentally Disabled Svcs			
1	Developmentally Disabled Svcs	257,482	220,101	235,539
	Total Developmentally Disabled Svcs	257,482	220,101	235,539
27	Family Support Services			
1	Family Support Services	52,112	71,840	55,635
	Total Family Support Services	52,112	71,840	55,635
28	Aging Services			
1	Services For The Aging	123,368	100,949	108,022
	Total Aging Services	123,368	100,949	108,022
29	Administration and Data Svcs			
1	Administration	80,328	81,038	81,634

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's	
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
	Total Administration and Data Svcs	80,328	81,038	81,634
31	Office of Child Care			
1	Office of Child Care	23,692	23,750	25,410
	Total Office of Child Care	23,692	23,750	25,410
34	OJA Nonresidential Services			
1	OJA Nonresidential Services	1	1	69
	Total OJA Nonresidential Services	1	1	69
37	Field Operations			
1	Field Operations	231,419	235,618	222,327
	Total Field Operations	231,419	235,618	222,327
38	Oklahoma Child Support Svcs.			
1	Child Support Enforcement	52,991	55,081	58,719
	Total Oklahoma Child Support Svcs.	52,991	55,081	58,719
61	TANF Cash Assistance			
1	AFDC Entitlement Programs	21,251	28,067	25,759
	Total TANF Cash Assistance	21,251	28,067	25,759
62	Work Activities			
1	Tanf Work Activities	22,021	27,992	32,348
	Total Work Activities	22,021	27,992	32,348
64	AABD State Supplement			
1	AABD State Supplement	39,930	38,861	38,609
	Total AABD State Supplement	39,930	38,861	38,609
65	Day Care Payments			
1	Day Care Payments	140,053	142,103	139,140
	Total Day Care Payments	140,053	142,103	139,140
66	Electronic Benefits Transfer			
1	Food Stamp Ebt Pilot Program	588,704	861,804	860,000
	Total Electronic Benefits Transfer	588,704	861,804	860,000
71	Office of Child Care DP			
1	Office of Child Care DP	80	94	189
	Total Office of Child Care DP	80	94	189
74	Finance Info Systems Unit			
1	Finance Info Systems Unit	1,864	1,734	1,948
	Total Finance Info Systems Unit	1,864	1,734	1,948
76	Data Services Division			
1	Data Services Division	36,018	38,025	38,103
	Total Data Services Division	36,018	38,025	38,103
77	Field Operations Data Process			
1	Field Operations Data Process	1,958	1,910	1,821
	Total Field Operations Data Process	1,958	1,910	1,821
81	Division of Child Welfare DP			
1	Division Of Child Welfare Dp	1,697	1,623	1,722
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EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
Total Division of Child Welfare DP	1,697	1,623	1,722
82 Develop Disabil Data Process			
1 Develop Disabil Data Process	1,735	1,431	1,770
Total Develop Disabil Data Process	1,735	1,431	1,770
86 Child Support Enforcement - DP			
1 Child Support Enforcement DP	886	933	3,191
Total Child Support Enforcement - DP	886	933	3,191
87 Family Support Svcs - DP			
1 Family Support Svcs DP	383	414	485
Total Family Support Svcs - DP	383	414	485
88 Services for the Aging - DP			
1 Services For The Aging - DP	463	487	663
Total Services for the Aging - DP	463	487	663
89 Administration - Data Process			
1 Administration - DP	1,835	2,002	2,059
Total Administration - Data Process	1,835	2,002	2,059
Total Expenditures by Activity	\$1,841,231	\$2,092,763	\$2,098,401

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
21 Children and Family Services	445.0	398.9	365.0
22 Developmentally Disabled Svcs	1,691.6	1,479.1	1,254.0
27 Family Support Services	98.5	92.9	92.0
28 Aging Services	243.8	236.0	239.0
29 Administration and Data Svcs	605.0	709.4	655.0
31 Office of Child Care	170.1	175.2	181.0
37 Field Operations	4,219.3	4,163.7	3,723.0
38 Oklahoma Child Support Svcs.	517.7	513.7	497.0
71 Office of Child Care DP	1.0	0.9	1.0
74 Finance Info Systems Unit	19.8	18.9	19.0
76 Data Services Division	248.9	250.4	244.0
77 Field Operations Data Process	34.6	31.6	26.0
81 Division of Child Welfare DP	21.0	19.7	20.0
82 Develop Disabil Data Process	16.0	13.0	15.0
86 Child Support Enforcement - DP	11.0	2.0	11.0
87 Family Support Svcs - DP	6.0	6.0	6.0
88 Services for the Aging - DP	7.0	6.6	9.0
89 Administration - Data Process	13.0	9.7	22.0
Total FTE	8,369.3	8,127.7	7,379.0
Number of Vehicles	421	377	377

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
90	Salvage Container Fund - Fed			
1	Salvage Container Fund Fed	3,886	4,472	9,324
91	Special Technologies			
1	Special Technologies	18,517	11,355	7,885
96	Reimbursable Projects			
1	Reimbursable Projects	8,912	12,513	1,655
97	Statewide Repair & Renovation			
1	Statewide Repair & Renovation	3,492	5,750	1,955
98	CSED OSIS			
1	CSED OSIS	2,166	1,320	1,013
Total Capital Outlay by Project		<u>\$36,973</u>	<u>\$35,410</u>	<u>\$21,832</u>

OUTSTANDING DEBT		\$000's		
		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Lease-purchase obligations		1,721	2,656	2,203
Revenue bond issues		43,330	39,960	36,395
Other debt		0	0	0
Total Outstanding Debt		<u>\$45,051</u>	<u>\$42,616</u>	<u>\$38,598</u>

INDIAN AFFAIRS COMMISSION (360)

MISSION

The Oklahoma Indian Affairs Commission is charged with the mission of serving as the liaison between Oklahoma's tribal population and governments and the Oklahoma State government. The Oklahoma Indian Affairs Commission accomplishes this mission by maintaining consistent involvement in the areas of legislation development and tracking, policy concerns, legal issues, economic development and education.

THE BOARD

The Commission consists of twenty members: nine appointed by the Governor with the consent of the Senate and eleven nonvoting, ex officio members. Four of the appointed members are from tribes represented by the Bureau of Indian Affairs Eastern Region Office; four of the appointed members are from tribes represented by the Bureau of Indian Affairs Southern Plains Region Office. One appointed member serves at-large. The eleven nonvoting, ex officio members are the Superintendent of Public Instruction, or designee; the Executive Director of the Oklahoma Department of Commerce, or designee; the Director of the Oklahoma Department of Tourism and Recreation, or designee; the Director of the Department of Human Services, or designee; the Director of the Oklahoma Historical Society, or designee; the Director of the Oklahoma Arts Council, or designee; the Attorney General, or designee; the Secretary of State, or designee; the Secretary of Transportation, or designee; the Governor of the State of Oklahoma, or designee; and the Executive Director of the Native American Cultural and Educational Authority of Oklahoma, or designee.

DUTIES/RESPONSIBILITIES

To accomplish its mission, the Commission retains a professional staff which strives towards promoting unity, purpose, and understanding among the Indian people of Oklahoma. The Commission carries out its statutory duty with the guidance of four short-term goals: the creation of state and federal legislation; the creation of an advisory committee; the development and implementation of research projects and reports and the development of cooperative programs between tribes and state, federal, local, private entities, health organizations, educational agencies, tourism, and economic development entities. The Commission further carries out its mission with two primary long-range goals: Goal I, To improve communication among the target populations, decrease staff time and associated costs, and increase productivity and outcomes; Goal II, To increase the opportunities for state-tribal relations.

STATUTORY REFERENCES

Program Name	Statutory Reference
General Operations	Title 74, Ch 348, Sec 1201-1203 SB 1263 OKLA STATUTES

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Goal: To improve communication among the target populations, decrease staff time and associated costs, and increase productivity and outcomes.

Goal: To increase the opportunities for assisting in the development and advocacy of positive state-tribal relations

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: General Operations

Goal: Create & Track State and Federal Legislation; On-going Communication on Legislative, State, & Tribal Activities

- * The Goal has one objective with four activities that include legislative bill tracking, providing tribes with other legislative resource information, and documenting state requests.

Legislation 88%

Goal: Appoint an Advisory Committee to assist in long and short range planning

- * This Goal has one primary Objective with three activities that include the development and implementation of an Advisory Committee, establishing the schedule for the annual planning meeting, and providing opportunities for board ex officio and other members to give presentation at board meetings.

Advisory Committee 83%

Goal: Develop & Implement Research Projects & Reports; establish a database of information to be used in publications and reports

- * This Goal has one primary Objective with six activities that include website design, publications, reports, annual tribal survey, and dissemination activities.

Projects & Publications 80%

Goal: Develop Cooperative Programs between tribes and State, federal, local, and private entities; advocate for cooperative programs and projects and will promote the understanding of government-to-government relations

- * This Goal has one primary Objective and four activities annually that include co-sponsorship of forums and developing other state-tribal relations activities.

State-Tribal Relations 76%

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>		<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X	General Revenue	285	229	225
200	Indian Affairs Commission Revolving	0	2	0
Total Expenditures by Fund		\$285	\$231	\$225

INDIAN AFFAIRS COMMISSION

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HUMAN SERVICES

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	185	137	130	
Professional Services	28	29	36	
Travel	16	12	0	
Lease-Purchase Expenditures	0	0	0	
Equipment	1	1	2	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	55	52	56	
Total Expenditures by Object	\$285	\$231	\$224	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1 General Operations				
1 General Operations	277	221	214	
88 Data Processing	8	10	11	
Total General Operations	285	231	225	
Total Expenditures by Activity	\$285	\$231	\$225	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1 General Operations	3.4	2.7	2.0	
Total FTE	3.4	2.7	2.0	
Number of Vehicles	0	0	0	

J.D. MCCARTY CENTER (670)

MISSION

The mission of the J.D. McCarty Center for Children with Developmental Disabilities is to provide a comprehensive program of rehabilitation for Oklahoma's citizens with disabilities.

THE COMMISSION

The Oklahoma Cerebral Palsy Commission is composed of five members, appointed by the Governor and selected from a list of ten persons submitted by the Grand Voiture of Oklahoma of la Societe' des Quarante Hommes et Huit Chevaux (FORTY ET EIGHT). Each member serves a term of three years.

DUTIES/RESPONSIBILITES

The J. D. McCarty Center was established by Title 63 O.S. sec. 485.1 - 485.12 in 1949 to provide care, maintenance, training, treatment, and general mental and physical rehabilitation to residents of the state of Oklahoma afflicted with cerebral palsy, other developmental disabilities and behavioral problems.

STATUTORY REFERENCES

Program Name	Statutory Reference
General Operations	Title 63, Sections 485.1-485.10

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Program: General Operations

Goal: To enhance the delivery of cost effective quality care

- * Measure Outpatient salary cost per encounter by dividing outpatient related therapist salary costs by total outpatient encounters. The objective is to increase the number of outpatient encounters to offset the annual increase in salary costs, and thereby to attempt to eliminate or minimize growth in this measure of outpatient health care costs. Estimated values are the average of the two-year actual numbers and the current budgeted number.

Outpatient cost/encounter	53	52	60
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- * Milestone measure - Calculate annual change in Inpatient daily cost by comparing end of year daily inpatient daily data cost computed for the annual Medicaid report. The TBD value will be calculated by multiplying the actual value for the preceding FY by 1.0 plus the annual inflation rate projected by the State of Oklahoma for the next FY. Subsequent fiscal years will be calculated using the same algorithm.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: General Operations

Goal: To enhance the delivery of cost effective quality care

Inpatient daily cost	1222	Avail Nov 2010	1150	1200
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Goal: Provide better service to Oklahoma's unserved and underserved disabled population

- * Measure the number of counties where at least one child with developmental disabilities was served. The estimates are based on increasing the counties served by 2 per year until reaching 64. The estimate will then increase by 1 each year until reaching 70.

Number of Counties Served	52	56	64	65
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Goal: Develop new methods of care delivery and markets to grow both service and revenue.

- * Measure the number of Oklahoma schools with contracts for Teletherapy services. The estimates are based on remaining stable at the average of FY-2009 through FY-2010 levels unless changed in the next required strategic plan for FY-2014-2018.

Teletherapy Delivery Data	7	9	9	9
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- * Measure the number of encounters generated by the Teletherapy program. The estimates are based on remaining stable at FY-2010 levels unless changed in the next required strategic plan for FY-2014 - 2018.

Teletherapy Encounters	1037	1034	962	1035
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- * Measure the total number of units generated by newly implemented therapeutic modalities including Neuromuscular Electrical Stimulation and Therapeutic Listening. The estimates are based on the implementation of these new modalities and a 2% annual increase of the number of units generated by these new modalities through FY-2012. Beginning in FY-2013 the estimates reflect a stabilization of encounters.

New Therapeutic Modalities	Not Measured	Not Measured	Not Budgeted	273
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Goal: Better utilize technology in developing innovative and effective methods of care delivery

- * Measure growth of Telesupport program by tracking the number of home-months. A home-month is a month where computer delivered therapy is available at a home.

Telesupport	NA	NA	18	12
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>		<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X	General Revenue	4,378	3,843	3,995
210	J.D. McCarty Center Revolving	11,416	12,132	14,507
215	Gifts and Bequests Fund	19	20	343
Total Expenditures by Fund		\$15,813	\$15,995	\$18,845

J.D. MCCARTY CENTER

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HUMAN SERVICES

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	11,376	11,968	12,718	
Professional Services	413	406	528	
Travel	67	75	90	
Lease-Purchase Expenditures	0	0	0	
Equipment	1,116	1,232	1,267	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	2,841	2,315	2,912	
Total Expenditures by Object	\$15,813	\$15,996	\$17,515	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1 General Operations				
1 General Operations	15,583	15,766	18,477	
88 Data Processing	230	229	368	
Total General Operations	15,813	15,995	18,845	
Total Expenditures by Activity	\$15,813	\$15,995	\$18,845	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1 General Operations	212.3	220.0	229.6	
Total FTE	212.3	220.0	229.6	
Number of Vehicles	8	8	8	

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
99 New Facility Capital Outlay				
1 New Facility Capital Outlay	418	339	1,330	
Total Capital Outlay by Project	\$418	\$339	\$1,330	

JUVENILE AFFAIRS, OFFICE OF (400)

MISSION

The Office of Juvenile Affairs is a state agency entrusted by the people of Oklahoma to provide professional prevention, education and treatment services as well as secure facilities for juveniles in order to promote public safety and reduce juvenile delinquency. (Refer to Title 10, Section 7301-1.2)

THE BOARD

The Board of Juvenile Affairs is composed of seven members appointed by the Governor with the advice and consent of the State Senate. One member is appointed from each of the state's five Congressional districts and two are appointed from the state at large. Pursuant to HB 2612 enacted during the 2004 session, Board members appointed after July 1, 2004 will be appointed for terms of four (4) years, and they shall include persons having experience in social work, juvenile justice, criminal justice, criminal-justice-related behavioral sciences, indigent defense, and education. In making the appointments, the Governor shall also give consideration to urban, rural, gender, and minority representation.

DUTIES/RESPONSIBILITIES

In 1994, the Oklahoma Legislature passed the Juvenile Reform Act (H.B. 2640), which created the Office of Juvenile Affairs (OJA) as the state juvenile justice agency, effective July 1, 1995, which is governed by the Oklahoma Board of Juvenile Affairs. The Board is composed of seven individuals, appointed by the Governor, from all areas of the state.

OJA was given the responsibility and authority to develop and manage the state's juvenile justice system. This ushered in a new era of innovative programs, community involvement and enhanced relationships with the judiciary. As a result, the justice system provides a wide variety of placement options and consequences for juvenile delinquent offenders. Treatment affectively uncovers root causes and helps bring healing and renewal. Recidivism is down and juveniles are learning to focus on their future.

As stated in Title 10, O.S., Section 7301-1.2, the Office of Juvenile Affairs is to promote the public safety; and reduce delinquency.

STATUTORY REFERENCES

Program Name	Statutory Reference
1 Office of Juvenile Justice & Delinquency Prevention	Juvenile Justice and Delinquency Prevention Act of 2002; as amended 223(a) (12) (A)
5 - 01100/01102 - Community Based Youth Services	Title 10 Statutes 7302-3.3-3.6
3 - 00130 to 00330 Residential - Institutional Services	Title 10, Chapter 73
3 - 01654 / 01750 Residential - Staff Secure Group Homes	10 O.S., Subsection 7303-8.4(A) and (B) and 7302-3.5(B)
3 - 01760 Residential - Therapeutic Foster Care	10 O.S., Subsection 7303-8.4 (A) and (B); 7302-3.5 (B); and 7204
3 - 01604 Residential - Specialized Community Homes	10 O.S., Subsection 7303-8.4 (A) and (B) and 7302-3.5 (B)
3 - 01607 Residential - Vo-Tech Training	10 O.S., Subsection 7303-8.4(A) and (B) and 7302-3.5(B)

FY - 2012 EXECUTIVE BUDGET

4 - 00001 to 00089 Non-Residential - JSU Services	10 O.S. 7302-2.2 establishes the Department of Juvenile Justice under the Office of Juvenile Affairs. 10 O.S. 7302-3.1 (E) (1) (e) establishes the Juvenile Services Unit under the Department of Juvenile Justice.
4 - 03400 Non-Residential - Detention Sanctions Program	10 O.S 7304 1.1 C & 7303 5.3 A
4 - 01310 - Non-Residential - Restitution	OJA is mandated by 10 O.S. 7302-8.1 to establish and administer the juvenile offender victim resitution work program. This program is necessary to provide monetary restitution to victims of juveniles who do not possess the resources to fulfill their financial obligations; and to hold offenders accountable for their behavior. 7303-5.2A.2 addresses comprehensive assessment and evaluation of the child and family.
5 - 01408 -Non-Residential - CARS	10 O.S. 7301-1.3 defines services to be provided by OJA. 10 O.S. 7302-3.3 addresses community-based programs and specifically brokerage of services. 10 O.S. 7302-3.4 and 3.5 also references juvenile delinquency prevention, intervention and treatment. 10 O.S. 7302-5.1 mandates the provision of many services related to serious and habitual offenders.
5 - 01314 Non-Residential - Community Intervention Centers	Title 10 Statutes 7302-3.3, 7302-3.4, and 730 and 7302-3.5 provide for authorization of OJA to enter into financial agreements with federal, state and local agencies for programs.
6 - Juvenile Accountability Block Grant	Title III of H.R.3 (Public L. 105-119, November 26, 1997).
3 - 01621 Residential - Property Offender Prog - Thunderbird	Title 10, Chapter 73 of the Oklahoma Juvenile Code ? 7303-8.4(A) and (B); 7302-3.5(B).
4 - 1103/1106 Delinquency Prevention and Early Intervention	10 O.S. 7302-7.3
2 - Executive and Administrative Services	Title 10
4 - 01320 Non-Residential - Detention	10 O.S. 7304 1.1 C & 7303 5.3 A

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated

Goal: Prevent at-risk youth from entering the juvenile justice court system.

* The number of Graduated Sanctions Programs in operation during the fiscal year.

# Graduated Sanctions Prog	19	18	20	20
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* The number of juveniles referred during the fiscal year.

# juveniles referred to OJA	8919	8045	9000	9000
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Goal: Reduce further delinquent/criminal activity of custody youth.

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Reduce further delinquent/criminal activity of custody youth.

- * Oklahoma employs a more rigorous definition of recidivism than many states. OJA captures information about arrests for felonies and misdemeanors, post arrest stipulations of guilt involving prosecution agreements and post arrest convictions or adjudications and allows ample tracking time. Many other states either do not measure recidivism or limit their measures to either arrests or convictions, but not both. Other states only measure re-incarcerations.

Although there is no such thing as a national average for recidivism because programs differ, recidivism definitions vary, and placement criteria differ, some very general comparisons can be made between Oklahoma and other states. These comparisons involve programs where there are similarities such as secure institutions and staff secure group homes. The following rankings for recidivism were developed based on a survey of states undertaken in FY2001. Comments have been added to elaborate on some of the variation in measurement.

Staff Secure Group Home Recidivism Based on Re-adjudication or Re-conviction: (Based on FY 2001 Survey)

1. Florida - 45% (Only measures recidivism for juveniles returned to their homes.)
2. Louisiana - 19% (1 year tracking period for arrest and conviction.)
3. Oklahoma - 19% (31.2% as of December 2004)

Recidivism Rates-Group Homes	26.3%	Not Available	25%	25%
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- * Recidivism is defined as those juveniles who fail to remain crime-free within one year of discharge from a residential program. OJA's target is to maintain recidivism rates at or below the 5 year average of 27.7% for OJA residential programs.

Residential recidivism %	28.6%	Not Available	27%	27%
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- * Oklahoma employs a more rigorous definition of recidivism than many states. OJA captures information about arrests for felonies and misdemeanors, post arrest stipulations of guilt involving prosecution agreements and post arrest convictions or adjudications and allows ample tracking time. Many other states either do not measure recidivism or limit their measures to either arrests or convictions, but not both. Other states only measure re-incarcerations.

Although there is no such thing as a national average for recidivism because programs differ, recidivism definitions vary, and placement criteria differ, some very general comparisons can be made between Oklahoma and other states. These comparisons involve programs where there are similarities such as secure institutions and staff secure group homes. The following rankings for recidivism were developed based on a survey of states undertaken in FY2001. Comments have been added to elaborate on some of the variation in measurement.

Secure Institutions Recidivism Based on Re-adjudication or Re-conviction: (Based on FY 2001 Survey)

1. Minnesota - 48% (3 year tracking period.)
2. Virginia - 46%
3. Florida - 31% (Only measures recidivism for juveniles returned to their homes.)
4. Oklahoma - 21% (31.3% as of December 2004) (3 year tracking period)
5. Louisiana - 16% (1 year tracking period for arrest and conviction.)

Recidivism Rate-Institutions	11.6%	Not Available	10%	10%
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- * Completion of services is defined as a regularly scheduled discharge from out-of-home placement. Non-completion represents a disruption of services and may occur for a variety of reasons including AWOL, re-offending and re-arrest and placement in detention, hospitalization, or early court dismissal of a case. Percentage is based on the number of youth completing services, divided by the number of youth placed for services.

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Goal: Reduce further delinquent/criminal activity of custody youth.				
# completions-residential	586	564	600	600
* Completion of services is defined as a regularly scheduled discharge from out-of-home placement. Non-completion represents a disruption of services and may occur for a variety of reasons including AWOL, re-offending and re-arrest and placement in detention, hospitalization, or early court dismissal of a case. Percentage is based on the number of youth completing services, divided by the number of youth placed for services.				
Residential completion %	38.9%	31.8%	45%	45%
Goal: Provide seamless continuum of chemical dependency services for youth and families involved in the court system.				
* Drug testing was implemented in FY-2002. In FY-2003 data began to be collected as a means of establishing a baseline. Outcomes will continue to be measured by the percentage of positive drug tests to the number of tests administered each year. At the end of five years of data collection, the effectiveness of drug testing as a tool will be determined.				
% of positive drug tests	27%	24.52%	20%	20%
* To provide agency-wide drug testing of youth to enhance efforts in prevention, intervention, and treatment. Testing also provides a means of individual accountability for youth involved in chemical dependency services and assists in identification of youth for early intervention. Outcomes will be measured by the number of tests administered each year.				
Juvenile Drug Testing	6353	2293	2500	2500
Goal: Adopt a "best practice" means to classify and assess juveniles that provides for accurate placement, service provision and outcomes measurement.				
* Certify and/or annually recertify all OJA staff and OJA contract staff responsible for administering and/or interpreting the YLS/CMI. Outcomes will be measured by numbers of staff trained.				
Recertify Staff on YLSI	176	92	100	100
Goal: Better prioritize funding needs, reduce program duplication, enhance budgeting information necessary to improve the efficiency of operations, and improve services to the public.				
* This KPM measures the costs of JSU as a percentage of the total costs of the agency. The purpose of this measure is to determine whether JSU costs are reasonable relative to the costs of the agency.				
JSU Services cost%	49.71%	51.14%	51.06%	51%
* This KPM measures the costs of Institutional Services as a percentage of the total costs of the agency. The purpose of this measure is to determine whether the Institutional Services costs are reasonable relative to the costs of the agency.				
Inst. Services cost %	29.25%	28.61%	25.96%	27.50%
* This KPM measures the costs of State Office as a percentage of the total cost of the agency. The purpose of this measure is to determine whether the state office costs are reasonable relative to the costs of the agency. The objective is for state office costs to be 6% or less of the total costs of the agency.				
State Office cost percentage	5.36%	5.19%	5.19%	5.00%
Goal: Continue with present grant programs to obtain funds needed to support the mission and programs of OJA and serve youth in the community through the use of the grant programs. Aggressively search for available new funding via grant applications				
* Agencies receiving funds from Formula, Title V, and Juvenile Accountability Block Grants are required to complete a report on their program on a quarterly basis. They are required to report the total estimated number of youth participating in programs funded by OJJDP federal grant awards.				

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Continue with present grant programs to obtain funds needed to support the mission and programs of OJA and serve youth in the community through the use of the grant programs. Aggressively search for available new funding via grant applications

# of youth participating	19000	17414	18750	19000
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* The Office of Juvenile Justice and Delinquency Prevention (OJJDP) appropriates funds to the State of Oklahoma. The Statutory Authority that authorizes this allocation is the Juvenile Justice and Delinquency Prevention Act of 1974, Amended 1992 (Public Law 102-586) Revised 1993, 42 United States Code 5601 et seq. These funds are then awarded by a competitive Request for Funds process to local units of government, private non-profit community-based organizations, and faith-based organizations to provide delinquency prevention programs within their communities. Agencies can apply for funds through Formula Grants, and Title V funding.

The Office of Juvenile Justice and Delinquency Prevention (OJJDP), a program in the Office of Justice Programs, U.S. Department of Justice, administers the Juvenile Accountability Block Grants (JABG) program. Through the JABG program, funds are provided as block grants to States that have implemented, or are considering implementation of legislation and/or programs promoting greater accountability in the justice system. Units of government, in the State of Oklahoma, who qualify for JABG allocations, submit an application on a yearly basis.

Grant funds from the above areas are awarded to at least 40 agencies annually statewide.

Grant Awards to Communities	42	18	20	20
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 1 Office of Juvenile Justice & Delinquency Prevention

Goal: Provide federal Juvenile Justice & Delinquency Prevention funding and continue present grant programs

* This is the number of communities that receive grant funds.

# of grant programs funded	21	20	22	25
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* This is the number of juveniles participating in grant fund programs.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 1 Office of Juvenile Justice & Delinquency Prevention

Goal: Provide federal Juvenile Justice & Delinquency Prevention funding and continue present grant programs

# of juveniles participating	2363	4768	4768	4768
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* Amount of grant funds available to be spent

Amount of grant funds avail.	693075	730486	730486	730000
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Goal: Continue with present grant programs to obtain funds needed to support the mission and programs of OJA and serve youth in the community through the use of the grant programs. Aggressively search for available new funding via grant applications.

* The Office of Juvenile Justice and Delinquency Prevention (OJJDP) appropriates funds to the State of Oklahoma. The Statutory Authority that authorizes this allocation is the Juvenile Justice and Delinquency Prevention Act of 2002. These funds are then awarded by a competitive Request for Funds process to local units of government, private non-profit community-based organizations, and faith-based organizations to provide delinquency prevention programs within their communities. Agencies can apply for funds through Formula Grants and Title V funding.

The Office of Juvenile Justice and Delinquency Prevention (OJJDP), a program in the Office of Justice Programs, U.S. Department of Justice, administers the Juvenile Accountability Block Grants (JABG) program. Through the JABG program, funds are provided as block grants to States that have implemented, or are considering implementation of legislation and/or programs promoting greater accountability in the justice system. Units of government, in the State of Oklahoma, who qualify for JABG allocations, submit an application on a yearly basis.

Awarded to a maximum of 60 agencies annually statewide.

Grant Awards to Communities	42	18	20	20
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* Agencies receiving funds from Formula, Title V, and Juvenile Accountability Block Grants are required to complete a report on their program on a quarterly basis. They are required to report the total estimated number of youth participating in programs funded by OJJDP federal grant awards.

Youth Participating	19000	17414	18750	19000
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Program: 2 - Executive and Administrative Services

Goal: Better prioritize funding needs, reduce program duplication, enhance budgeting information necessary to improve the efficiency of operations, and improve services to the public

* This KPM measures the costs of Residential Services as a percentage of the total costs of the agency. The purpose of this measure is to determine whether the Residential Services costs are reasonable relative to the costs of the agency. The objective is for Residential Services costs to be at least 42.5% of the total costs of the agency.

Residential Services Cost %	43.06%	42.75%	41.71%	42.00%
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* This KPM measures the costs of JSU and Community-Based Services as a percentage of the total costs of the agency. The purpose of this measure is to determine whether the JSU and Community-Based Services costs are reasonable relative to the costs of the agency. The objective is for the JSU and Community-Based Services costs to be at least 47.5% of the total costs of the agency.

JSU/Comm. Services Cost %	49.71%	51.14%	51.06%	51.00%
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* This KPM measures the positions in state office as a percentage of the total number of positions in the agency. The purpose of this measure is to determine whether the total number of state office FTE's are reasonable relative to the number of total agency FTE's. The objective for state office FTE is to be 8% or less of the total agency FTE.

Admin pos as % of total pos	7.9%	8.4%	8%	8%
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 2 - Executive and Administrative Services

Goal: Better prioritize funding needs, reduce program duplication, enhance budgeting information necessary to improve the efficiency of operations, and improve services to the public

- * This KPM measures the costs of state office as a percentage of the total costs of the agency. The purpose of this measure is to determine whether the state office costs are reasonable relative to the costs of the agency. The objective is for state office costs to be 5% or less of the total costs of the agency. State Office includes the Information Services Unit.

Admin sup as % of total cost	5.36%	5.19%	5.19%	5.00%
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Program: 3 - 00130 to 00330 Residential - Institutional Services

Goal: Secure management of custody youth in juvenile institutions

- * The number of juveniles who escaped from the facility during the fiscal year.

Number of escapes	3	2	0	0
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- * The percentage of residents involved in physical assaults on staff will not exceed 15% of the total number of juveniles in secure facilities during the fiscal year.

% of assaults on staff	20%	13%	12%	11.3%
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Goal: Reduce further delinquent/criminal activity of custody youth (in institutions)

- * Total amount of juveniles discharged (includes # completed)

# juveniles discharged	193	229	200	200
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- * OJA has recognized a need to develop an OJA operated stabilization unit at the L. E. Rader Center. This program was designed for youth in need of mental health services. Fifty percent of all admissions will result in stabilization and return to the sending institution within 3 months of admission date.

% MH Juv's returned in 3 Mo	.01%	N/A	N/A	N/A
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- * Seventy-five percent of the admissions to the Mental Health Stabilization Unit who require a longer stay than 3 months will be appropriately placed before 6 months after admission date.

% MH Juv's returned in 6 Mo	.03%	N/A	N/A	N/A
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- * Relapse which results in a return to the Mental Health Stabilization Unit will occur in no more than 25% of all admissions.

% MH Juv's who relapse	.01%	N/A	N/A	N/A
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- * Institutional Services Division to achieve 100% compliance for ACA Mandatory Standards through OJA policy and procedures.

100% ACA Mandatory Stds.	100%	100%	100%	100%
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- * # of residents to graduate or obtain GED.

# to graduate or obtain GED	47	76	63	67
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- * Institutional Services Division to achieve 95% compliance for ACA Non-Mandatory Standards through OJA policy and procedures.

95% ACA Non-Mandatory	100%	95%	95%	95%
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- * Number of juveniles to complete program

# juveniles completed	138	188	150	150
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 3 - 00130 to 00330 Residential - Institutional Services

Goal: Reduce further delinquent/criminal activity of custody youth (in institutions)

- * Oklahoma employs a more rigorous definition of recidivism than many states. OJA captures information about arrests for felonies and misdemeanors, post arrest stipulations of guilt involving prosecution agreements and post arrest convictions or adjudications and allows ample tracking time. Many other states either do not measure recidivism or limit their measures to either arrests or convictions, but not both. There is no such thing as a national average for recidivism because programs differ, recidivism definitions vary, and placement criteria differ.

No more than 32% of residents paroled during the year will recidivate within 365 days of receiving parole. (Recidivism is defined as receiving a Deferred Decision to File, Deferred Prosecution Agreement or Court Adjudication)

Recidivism Rate-Institutions	11.6%	N/A	10%	10%
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- * 55% of juveniles entering secure placement will successfully complete the program by receiving parole status.(2/3 age out or released by court)

% complete to discharge	71.5%	82.1%	75%	75%
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Program: 3 - 01604 Residential - Specialized Community Homes

Goal: Juveniles successfully complete the program.

- * This is the percentage of juveniles who completed the program.

% of juveniles to complete	38.90%	31.80%	45%	45%
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- * This is the total number of juveniles discharged.

# of juveniles discharged	54	44	50	50
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Goal: Juveniles remain crime free one year after release.

- * Percentage of juveniles who recidivated one year after release who completed program.

% of juveniles to recidivate	28.6%	N/A	27%	27%
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Program: 3 - 01607 Residential - Vo-Tech Training

Goal: Provide training to juveniles

Program: 3 - 01621 Residential - Property Offender Prog - Thunderbird

Goal: Property Offender Thunderbird Youth

- * Percentage of juveniles who recidivated within one year of discharge from program.

% juveniles who recidivate	Not Available			
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- * Percentage of juveniles completed the program to number who exited.

% completed to exited	91.90%	N/A		
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- * Total number of juveniles who completed the program.

# juveniles to complete	79	N/A		
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- * Total number of juveniles who exited the program.

# juveniles who exited	86	N/A		
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Program: 3 - 01654 / 01750 Residential - Staff Secure Group Homes

Goal: Reduce further delinquent/criminal activity of custody youth (group homes)

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated

Program: 3 - 01654 / 01750 Residential - Staff Secure Group Homes

Goal: Reduce further delinquent/criminal activity of custody youth (group homes)

* Completion of services is defined as a regularly scheduled discharge from out-of-home placement. Non-completion represents a disruption of services and may occur for a variety of reasons including AWOL, re-offending and re-arrest and placement in detention, hospitalization, or early court dismissal of a case. Percentage is based on the number of youth completing services, divided by the number of youth placed for services.

% Completions-Residential	74.40%	75.80%	80%	80%
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* Completion of services is defined as a regularly scheduled discharge from out-of-home placement. Non-completion represents a disruption of services and may occur for a variety of reasons including AWOL, re-offending and re-arrest and placement in detention, hospitalization, or early court dismissal of a case. Number completing is based on the number of youth completing services.

# Completions-Residential	586	564	600	600
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* Recidivism is defined as those juveniles who fail to remain crime-free within one year of discharge from a residential program. OJA's target is to maintain recidivism rates at or below the most recent 5 year average of 29.2% for OJA residential programs.

The recidivism percentage for FY-2005 does not include any adult convictions for which data will be available at a later date.

Residential Recidivism-All	22.8%	Not Available	20%	20%
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* Oklahoma employs a more rigorous definition of recidivism than many states. OJA captures information about arrests for felonies and misdemeanors, post arrest stipulations of guilt involving prosecution agreements and post arrest convictions or adjudications and allows ample tracking time. Many other states either do not measure recidivism or limit their measures to either arrests or convictions, but not both. There is no such thing as a national average for recidivism because programs differ, recidivism definitions vary and placement criteria differ.

Percentage of juveniles to recidivate within one year.

Recidivism Rate-Group Homes	26.3%	Not Available	20%	20%
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* Percentage of juveniles to complete the program to the number who exited.

% completed to exited.	77.90%	79.4%	80%	80%
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* Total number of juveniles to complete the program.

# juveniles to complete.	327	351	350	350
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* Total number of juveniles to exit the program.

# juveniles to exit program.	420	442	400	400
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Program: 3 - 01760 Residential - Therapeutic Foster Care

Goal: Juvenile successfully completes the program.

* Total number of juveniles discharged by the program.

# of juveniles discharged	14	19	20	20
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* Percentage of juveniles who completed the program.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated

Program: 3 - 01760 Residential - Therapeutic Foster Care

Goal: Juvenile successfully completes the program.

% of juveniles to complete	64.30%	26.30%	70%	70%
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Goal: Juveniles remain crime free one year after release.

* Percentage of juveniles who recidivated within one year after release.*(Based on only 6 youth)

% of juveniles to recidivate	33.3%	Not Available	25%	25%
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Program: 4 - 00001 to 00089 Non-Residential - JSU Services

Goal: Prevent at-risk youth from entering the juvenile justice court system

* DJJ/JSU to achieve ACA compliance of 100% for mandatory standards through OJA Policy & Procedures

100% ACA Mandatory Standards	N/A	100%	100%	100%
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* The number of juveniles referred to the juvenile justice system for the first time each fiscal year for offenses shall not be 10% greater than the number for the previous fiscal year. This will be accomplished by providing specialized intervention through administration of programs that prevent further delinquency (after 1st referral, no new referral within 365 days).

# of Juveniles Referred	8919	8045	8500	8500
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* The percentage of juveniles referred to OJA for the first time each fiscal year for criminal offenses shall not be 10% greater than the percentage for the previous fiscal year. This will be accomplished by providing specialized intervention through administration of programs that intervene in the number of OJA commitments (after adjudication/disposition to probation, no OJA commitment within 365 days).

% Change in # New Referrals	-6.1%	-9.8%	-10%	-10%
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* DJJ/JSU to achieve ACA compliance of 95% for all non-mandatory standards through OJA Policy & Procedures

95% ACA Non-Mandatory	N/A	95%	95%	95%
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* Provide specialized treatment through administration of programs that reduces the number of OJA re-commitments (after adjudication/disposition to OJA custody, no re-commitment within 365 days).

Reduce Re-Commitments	-12.70%	N/A	10%	10%
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Goal: Provide seamless continuum of chemical dependency services for youth and families involved in the court system

* To provide agency-wide drug testing of youth to enhance efforts in prevention, intervention, and treatment. Testing also provides a means of individual accountability for youth involved in chemical dependency services and assists in identification of youth for early intervention. Outcomes will be measured by the number of tests administered each year.

# Juveniles Tested	6353	2293	2500	2500
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* Drug testing was implemented in FY 2002. In FY 2003 data began to be collected as a means of establishing a baseline. Outcomes will continue to be measured by the percentage of positive drug tests to the number of tests administered each year. At the end of five years of data collection, the effectiveness of drug testing as a tool will be determined.

% Positive Drug Testing	27%	24.52%	20%	20%
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Goal: Adopt a "best practice" means to classify and assess juveniles that provides for accurate placement, service provision and outcomes measurement

* Certify and/or annually recertify all OJA staff and OJA contract staff responsible for administering and/or interpreting the YLS/CMI. Outcomes will be measured by numbers of staff trained.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated
Program: 4 - 00001 to 00089 Non-Residential - JSU Services				
Goal: Adopt a "best practice" means to classify and assess juveniles that provides for accurate placement, service provision and outcomes measurement				
Recertify Staff on YLSI	65	92	95	95
Program: 4 - 01310 - Non-Residential - Restitution				
Goal: Provide Victims Restitution				
* Provide a resource for victim restitution payments through job placement.				
# Jobs	119	100	100	100
Program: 4 - 01320 Non-Residential - Detention				
Goal: Provide regional detention services				
* Monitor all programs annually and by announced visits to ensure compliance with the established requirements.				
Ensure compliance	100%	100%	100%	100%
Program: 4 - 03400 Non-Residential - Detention Sanctions Program				
Goal: Ensure compliance and respond to non-compliance behavior among juveniles on court probation				
* Ensure compliance of probation rules and conditions by reducing by 10% per year the length of time juveniles remain on probation.				
Ensure Compliance	10%	10%	10%	10%
* Provide courts with resources equal to 90% or less based on need to access immediate sanctions for non-compliance of rules and conditions.				
Provide Quick Response	90%	90%	90%	90%
Program: 4 - 1103/1106 Delinquency Prevention and Early Intervention				
Goal: Provide delinquency and youth gang intervention and prevention services.				
* Number of gang members involved in recreational programs.				
Recreation opportunities	230	1325	1400	1400
* Number of gang identified juveniles receiving educational skills training.				
Enhance Educational skills	128	175	180	180
* The number of high-risk youth and families mentored.				
Mentor high-risk youth & fam	230	210	200	200
Program: 5 - 01100/01102 - Community Based Youth Services				
Goal: Provide quality community-based services to children in local contracted schools				
* Maintain licensure and certifications.				
Effectiveness Percentage	100%	100%	100%	100%
* Respond to local school request for services within 3 days 100% of the time.				
Efficiency Percentage	100%	100%	100%	100%
Goal: Provide Emergency Shelter Services				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated

Program: 5 - 01100/01102 - Community Based Youth Services

Goal: Provide Emergency Shelter Services

- * This service is for youth needing emergency shelter care services. Shelters are designed as either host homes or staffed residential facilities and provide approximately 300 beds.

# Youth receiving services	4542	3478	5000	5000
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Goal: Provide First Time Offender Services

- * First Time Offender Program services are provided statewide. The program is designed for juveniles who have committed a first-time misdemeanor or nonviolent felony and are referred to the program by the Juvenile Services Unit, municipal courts and the Juvenile Bureaus. The program involves juveniles and their parents in 12 hours or more of skill development classes emphasizing communication, anger management, problem solving, decision-making, values and understanding the consequences of their misconduct. During fiscal year 2002 curriculum expansion included smoking cessation and drug and alcohol services.

# Parents served	3800	4034	4000	4000
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- * The efficiency goal for this area of service is for at least 80% of the youth entering the program will complete the services.

Completion percentage	84%	88.4%	80%	80%
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- * The effectiveness goal for the FTOP is to have less than a 20% recidivism rate.

Recidivism Rate	9.1%	Not Available	20%	20%
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- * The goal for client satisfaction is for the client to indicate their satisfaction on the survey at a rating of "4" on a scale of 1-5 with 5 being the highest rating.

Client satisfaction	4	4	4	4
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- * First Time Offender Program services are provided statewide. The program is designed for juveniles who have committed a first-time misdemeanor or nonviolent felony and are referred to the program by the Juvenile Services Unit, municipal courts and the Juvenile Bureaus. The program involves juveniles and their parents in 12 hours or more of skill development classes emphasizing communication, anger management, problem solving, decision-making, values and understanding the consequences of their misconduct. During fiscal year 2002 curriculum expansion included smoking cessation and drug and alcohol services.

# Juveniles served	3276	3478	3400	3400
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Program: 5 - 01314 Non-Residential - Community Intervention Centers

Goal: Provide Community Intervention Center Services

- * Average time officer is with CIC facility.

Time officer with CIC	10 minutes	5.92 minutes	10 minutes	10 minutes
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Program: 5 - 01408 -Non-Residential - CARS

Goal: Juveniles successfully complete the program and do not recidivate.

- * Percent to recidivate

% Recidivated	16.3%	Not Availavle	10%	10%
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- * Number to recidivate

# Recidivated	357	Not Available	500	500
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- * Number to exit program

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: 5 - 01408 -Non-Residential - CARS				
Goal: Juveniles successfully complete the program and do not recidivate.				
# Exited	2908	2494	2400	2400
* Number to complete program				
# Completed	2190	1848	2000	2000
Program: 6 - Juvenile Accountability Block Grant				
Goal: Increase Number of Local Accountability Programs in Operation				
* The amount of federal funds available to be spent in this area during the fiscal year				
Amt of Fed. Funds Available	584900	622200	638600	638600
* # of graduated sanctions programs in operation during the fiscal year.				
# of Graduated Sanctions Pro	19	18	20	20
Goal: Increase Number of Specialized Programs which Address Truancy, Substance Abuse, and Gun Violence				
* The number of specialized programs in operation during the fiscal year				
Specialized Prog. in oper.	5	4	5	5

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
19X General Revenue	113,427	101,814	100,162	
200 OJA Revolving Fund	854	1,800	989	
205 Parental Responsibility Fund	100	373	224	
210 Santa Claus Commission Revolving	6	5	10	
400 Delinquency Prevention Fund	766	652	1,169	
405 Federal Grants - Pass Through	0	0	180	
410 Federal Grants - Reimbursement	8,910	9,207	7,741	
415 Juv Account Incentive Block Grant	557	680	1,242	
Total Expenditures by Fund	\$124,620	\$114,531	\$111,717	

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
Salaries and Benefits	53,227	51,246	48,463	
Professional Services	1,629	1,459	1,545	
Travel	442	236	446	
Lease-Purchase Expenditures	0	765	573	
Equipment	1,023	922	218	
Payments To Local Govt Subdivisions	18,763	16,629	16,965	
Other Operating Expenses	49,537	43,277	43,507	
Total Expenditures by Object	<u>\$124,621</u>	<u>\$114,534</u>	<u>\$111,717</u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
1 Office Juv Jus & Delinq Prev				
1 Formula Grants-PA	157	150	172	
2 Formula Grants-SAG	8	6	12	
5 Alternatives To Jail/Sec Det	539	380	797	
7 Comm-Based Svcs For Minor Yth	13	47	0	
8 Comm-Based Svcs Nat Amer Youth	62	62	91	
20 Title V P & A	6	7	9	
21 Title V	45	65	147	
195 OJJDP Training	2	2	8	
295 SAG Training	14	14	24	
Total Office Juv Jus & Delinq Prev	<u>846</u>	<u>733</u>	<u>1,260</u>	
2 Administration				
9501 State Office Employee Training	21	4	5	
10001 State Office Exec Director	347	244	260	
10010 Chief of Staff	194	197	130	
10020 State Office Gen'l Counsel	0	0	0	
10050 State Office Off Of Director	669	625	660	
10060 State Office Federal Fds Devel	256	260	260	
10080 State Office Public Integrity	830	714	784	
10090 Financial Services Division	1,156	1,063	1,045	
10120 State Office Bd Of Juv Affairs	6	4	4	
20071 State Office Training Unit	189	189	251	
20220 Suport Services Division	1,223	1,054	934	
22088 State Office Data Processing	10	9	12	
30030 Institutional Services Divisio	462	418	384	
40040 Juvenile Services Division	764	737	698	
50050 Community Based Youth Services	390	393	373	
Total Administration	<u>6,517</u>	<u>5,911</u>	<u>5,800</u>	
3 Residential Services				
100 SOJC - Executive Services	0	0	0	
105 SOJC - Worker's Compensation	294	444	223	
130 SWOJC Institutional Services	7,798	6,897	8,024	
140 SOJC - Food Services	8	0	0	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
3 Residential Services			
200 COJC Executive Services	(1)	0	0
205 COJC Worker's Compensation	1,003	716	971
220 COJC Educational Services	11	0	0
230 COJC Institutional Services	9,696	9,626	10,031
232 COJC Security Services	31	0	0
240 COJC Food Services	0	0	0
300 LERC Executive Services	0	0	0
305 LERC Worker's Compensation	859	949	1,095
310 LERC Medical Services	24	0	0
330 LERC Institutional Services	15,892	13,963	8,661
332 LERC Security Services	18	0	0
360 LERC Maintenance Services	4	0	0
1500 Field Offices - Adm Support	691	1,514	3,100
1604 Specialized Comm Homes	397	203	136
1607 Training	92	42	58
1621 Property Offender Program	1,317	631	0
1687 O of H C Medical Services	839	706	806
1750 O of H C Level E	11,776	11,272	11,475
1755 Out of Home Care Level D	317	307	296
1760 O of H C Therapeutic Foster C	91	60	98
21610 Debit Services - OCIA Bonds	486	203	0
21800 FFP Matching - RBMS	1,968	1,190	1,627
Total Residential Services	<u>53,611</u>	<u>48,723</u>	<u>46,601</u>
4 Non Residential Services			
1 JSU District 1	0	0	2,233
2 JSU District 2	0	0	2,112
3 JSU District 3	0	0	2,280
4 JSU District 4	0	0	1,788
5 JSU District 5	0	0	2,520
6 JSU District 6	0	0	2,068
7 JSU District 7	0	0	2,558
8 JSU District 8	0	0	2,096
90 General Administrative	177	546	546
1103 Delinquency Prevention	1,070	819	0
1305 Det Alt Attendent Care	99	50	0
1307 Alt to Det - Home Bound	138	86	0
1310 Restitution	70	45	40
1311 Psychological Evaluation	375	291	480
1317 High Risk & Detention Transpor	1,234	1,120	1,174
1318 Graduated Sanactions	96	80	80
1320 Detention - Regional Secure	12,494	11,660	12,138
1325 Mental Health Screenings	21	16	23
1330 Residential Sanction Detention	525	272	0
1360 Interstate Compact	20	22	27
1380 Multi-Systemic Treatment	503	412	75
1700 O-of-H-C Foster Care	25	22	28
3010 Grants - Miscellaneous	92	73	120
3400 Grants- Sanction Detention	495	402	248
4278 Clothing, Bus Tickets, Etc.	51	28	55
7866 JSU- Rogers	32	0	0
7874 JSU- Washington	2,022	1,912	0

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
4	Non Residential Services		
7895	68	17	0
7931	0	0	0
7945	22	0	0
7961	1,443	1,451	0
8055	1,689	1,622	0
8080	11	0	0
8162	1,944	1,856	0
8181	7	1	0
8195	0	0	0
8277	1,349	1,344	0
8282	0	0	0
8419	1	0	0
8472	1,470	1,435	0
8508	20	0	0
8509	11	0	0
8520	1,566	1,527	0
8651	2,192	2,089	0
8686	0	0	0
8714	1,676	1,727	0
8763	51	0	0
8860	1,743	1,598	0
8895	0	0	0
8916	1,262	1,265	0
21800	964	758	922
22089	1,412	1,324	1,176
	<u>38,440</u>	<u>35,870</u>	<u>34,787</u>
5	Community Based Youth Services		
1100	7,656	7,079	8,594
1101	1,857	1,639	0
1102	9,476	9,007	8,514
1104	233	215	226
1314	1,873	1,716	1,852
1408	3,834	3,356	3,074
	<u>24,929</u>	<u>23,012</u>	<u>22,260</u>
6	Juv Accountability Inc Blk Gnt		
610	109	93	157
620	75	103	401
640	27	7	70
650	62	64	363
6195	3	1	8
6595	0	10	0
	<u>276</u>	<u>278</u>	<u>999</u>
10	Santa Claus Commission		
9000	6	5	10
	<u>6</u>	<u>5</u>	<u>10</u>
Total Expenditures by Activity	<u><u>\$124,625</u></u>	<u><u>\$114,532</u></u>	<u><u>\$111,717</u></u>

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES

Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1 Office Juv Jus & Delinq Prev	1.0	2.0	1.9
2 Administration	83.8	69.3	66.0
3 Residential Services	623.5	464.3	442.0
4 Non Residential Services	346.0	308.5	314.3
6 Juv Accountability Inc Blk Gnt	2.0	1.0	1.3
10 Santa Claus Commission	0.1	0.0	0.0
Total FTE	1,056.4	845.1	825.5
Number of Vehicles	129	143	143

CAPITAL OUTLAY and SPECIAL PROJECTS

\$000's

Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated
90 Statewide Capital Projects			
20013 COJC Roof Repairs	145	0	0
78901 Replacement of Servers that ru	0	3	0
79733 LERC Maintenance Repairs	108	149	0
89122 Norman Roof Repairs	36	0	0
89401 Craig County Detention Ctr Con	2,820	0	0
99101 SWOJC Maintenance Repairs	85	3	0
99201 COJC Maintenance Repairs	147	55	55
99901 Institutions - RFID System	49	140	0
99902 State-Wide Vehicle Replacement	233	42	235
Total Capital Outlay by Project	\$3,623	\$392	\$290

OUTSTANDING DEBT

\$000's

	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
Lease-purchase obligations	0	0	6,892
Revenue bond issues	0	0	0
Other debt	0	0	0
Total Outstanding Debt	\$0	\$0	\$6,892

OFFICE OF DISABILITY CONCERNS (326)

MISSION

The Office of Disability Concerns provides accurate and timely information, technical assistance, referral, and advocacy. We act as an intermediary for persons with disabilities and provide services to those with disabilities.

DUTIES/RESPONSIBILITIES

STATUTORY REFERENCES

Program Name	Statutory Reference
General Operations 01	Title 74, Section 9.21 - 9.35 of the Oklahoma Statutes
Client Assistance Program	The Rehabilitation Act of 1973 as amended in 1992 Title 74, Section 9.21-9.35 of the Oklahoma Statutes.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated
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Goal: Increase public awareness and understanding of the Office of Disability Concerns as an advocate and a guide to services for persons with disabilities. To ensure that the information provided by ODC to Oklahoma citizens is the most up to date and accurate information available.

* Quality control surveys based on a yes/no basis for satisfaction of services. Funding cuts did not allow for postage in FY10

Quality Control Surveys	300 sent 88 ret	0	250	250
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* Percentage of returned surveys who were satisfied with services shall be measured.

Satisfied Clients	98%	n/a	100	100
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Goal: Increase public awareness and understanding of the Office of Disability Concerns as a clearinghouse of information for persons with disabilities.

* Count the number of contacts made to the Office of Handicapped Concerns requesting information, technical assistance, and advocacy which include website hits, telephone requests, publication mailings, walk-ins, and other outreach activities. Agency contacts will have a 5% growth year over year.

Number of contacts	35,929	25,772	35,000	35,000
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Goal: Promote awareness and acceptance of the value and potential of persons with disabilities with the general public.

* Promote and provide 6 HRDS training to state employees on disability awareness. Also to provide training to ADA Coordinators in the other state agencies.

Dis. Awareness Training	8	6	7	7
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* Provide statewide speakers to talk about disability issues.

Disability Awareness Outreach	35	22	25	25
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Client Assistance Program

Goal: This program's goals and performance measures are federal in nature.

Program: General Operations 01

Goal: Increase public awareness and understanding of the Office of Disability Concerns as an advocate and a guide to services for persons with disabilities. To ensure that the information provided by ODC to Oklahoma citizens is the most up to date and accurate information available.

- * Quality control surveys based on a yes/no basis for satisfaction of services. Funding reductions did not allow for mailing costs.

Public Awareness	300 sent 66 ret	0	250	250
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Goal: Increase public awareness and understanding of the Office of Disability Concerns as a clearinghouse of information for persons with disabilities.

- * Count the number of contacts made to the Office of Disability Concerns requesting information, technical assistance, and advocacy which include website hits, telephone requests, publication mailings, walk-ins and other outreach activities. Agency contacts will have a 5% growth year over year.

Number of contacts	35929	25772	35000	35000
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Goal: Promote awareness and acceptance of the value and potential of persons with disabilities with the general public.

- * Promote and provide 6 HRDS classes on disability awareness.

Disability Awareness classes	6	6	6	6
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- * Provide statewide speakers to talk about disability issues. FY10 Budget cuts made travel impossible except for local.

Disability Awareness Outreac	35	22	25	25
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

<u>Type of Fund:</u>	<u>\$000's</u>		
	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X General Revenue	423	363	342
400 Client Assistance Federal Funds	101	103	138
Total Expenditures by Fund	<u>\$524</u>	<u>\$466</u>	<u>\$480</u>

OFFICE OF DISABILITY CONCERNS

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HUMAN SERVICES

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	448	423	363	
Professional Services	0	1	34	
Travel	4	0	7	
Lease-Purchase Expenditures	0	0	0	
Equipment	9	1	3	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	64	40	71	
Total Expenditures by Object	\$525	\$465	\$478	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1 General Operations				
1 General Operations	416	361	334	
88 Data Processing	7	1	8	
Total General Operations	423	362	342	
10 Client Assistance Program				
1 Client Assistance Program	99	103	137	
88 Data Processing	2	0	1	
Total Client Assistance Program	101	103	138	
Total Expenditures by Activity	\$524	\$465	\$480	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1 General Operations	7.0	7.0	6.0	
10 Client Assistance Program	1.0	1.0	1.0	
Total FTE	8.0	8.0	7.0	
Number of Vehicles	0	0	0	

PHYSICIAN MANPOWER TRAINING COMMISSION (619)

MISSION

The mission of the Physician Manpower Training Commission is to enhance medical care in rural and underserved areas of Oklahoma by administering residency, internship and scholarship incentive programs that encourage medical and nursing personnel to establish a practice in rural and underserved areas. Further, PMTC is to upgrade the availability of health care services by increasing the number of practicing physicians, nurses and physician assistants in rural and underserved areas of Oklahoma.

THE COMMISSION

The Commission consists of seven members appointed by the Governor with the advice and consent of the Senate. Three members must be practicing allopathic physicians, two must be practicing osteopathic physicians, and the remaining two members shall not be physicians. The term of office is five years. There are also twelve additional nonvoting ex officio members of the Commission who serve in an advisory capacity only. These members include the Dean of the University of Oklahoma College of Medicine, the Dean of the University of Oklahoma College of Medicine - Tulsa, the Chairman of the Department of Family Medicine of the University of Oklahoma Health Sciences Center, the Chairman of the Department of Family Practice of the University of Oklahoma College of Medicine - Tulsa, the Chairman of the Department of General Practice of the Oklahoma State University College of Osteopathic Medicine, the President of the Oklahoma Academy of Family Physicians, the President of the Oklahoma State Medical Association, the President of the Oklahoma State Osteopathic Association, the President of the Oklahoma Hospital Association, the Chairman of the State Board of Health, the Provost of the University of Oklahoma Health Sciences Center, and the Dean of the Oklahoma State University Center for Health Sciences. Any of these members may assign a designee to fill the position on this Commission.

DUTIES/RESPONSIBILITIES

The Physician Manpower Training Commission has developed, in accordance with legislative intent, six high priority goals:

- 1) Work to improve the balance of physician manpower distribution in the State of Oklahoma, both by type of practice and by geographic location;
- 2) Aid accredited physician training facilities in the establishment of additional primary medical care and family practice internship and residency training programs by sharing in the cost of these programs;
- 3) Assist Oklahoma communities in selecting and financing qualified physicians to participate in the Physician Community Match Program;
- 4) Assist Oklahoma communities, in any manner possible, in contacting medical students, interns and residents, or other physicians (inside and outside Oklahoma) who might wish to practice in Oklahoma;
- 5) Work with Oklahoma communities and the leadership of Oklahoma's nurse training institutions to provide nurses for underserved areas of the state;
- 6) Provide scholarship assistance for physician assistant students to practice in rural communities under 20,000 population.

STATUTORY REFERENCES

Program Name	Statutory Reference
Administration/Data Processing/Physician Placement Program	Title 70: 697.3 through 697.17

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Nursing Student Assistance Program	Title 70:697.17
Medical Residency Program	Title 70:697.1; 697.2; 697.6 and 697.7
Osteopathic Intern and Residency Program	Title 70: 697.1, 697.2, 697.6 and 697.7
CMRSIP (OK Rural Medical Education Scholarship Loan Program)	Title 70: 625.2 through 625.5, 625.13 and 697.18
CMRSIP (Physician Community Match Program)	Title 70: 625.13 and 697.18
CMRSIP (Family Practice Resident Rural Scholarship Program)	Title 70: 625.13 and 697.18
State Loan Repayment Program	Title 62, Section 41.8
Physician Assistant Scholarship Program	Oklahoma State Statutes Section 697.21 of Title 70.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Increase Physician Incentives

- * Increase funding to allow the agency to provide incremental raises in amounts provided to support the salary, benefits and program administration costs incurred for the training of interns and residents in order to remain competitive within the region. Incremental increases of 3%, as shown below, should allow the agency to accomplish this goal.

Increase Salary and Benefits	3,737,048	3,350,403	2,115,595	5,577,653
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- * Increase funding level of physician incentive programs. Plans are to increase scholarships for medical students to \$15,000 per year. NOTE: This KPM achieved during FY-2004 through Senate Bill 908.

Increase Funding Level	533,750	491,947	502,000	502,000
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Goal: Increase Nursing Scholarship Program Awareness

- * Increase funding to allow agency to provide financial assistance for 100 additional nursing scholarship recipients. This measure will show a progressive increase in recipients and the need for increased funding because of the nursing shortage. NOTE: THIS KPM ACHIEVED FY-2009.

Increase Recipients	307	276	339	330
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- * Through prudent screening and selection of recipients, reduce defaults in Nursing Student Assistance Program. This measure will show the decreased number of defaults each year until default rate reaches 8% of recipients.

Reduce Defaults in Program	25	25	25	25
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- * Expand the number of qualified nursing applicants by 10% each year until applicant pool reaches 600 by increasing public awareness. This measure will compare the increase of qualified applicants from one year to the next year.

Expand the Applicant Pool	413	395	299	300
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Goal: Improve Retention Rate of Physicians in Rural Oklahoma

- * Increase the retention rate of PMTC assisted physicians in Rural Oklahoma to 80%. Plans are to work with the Oklahoma Legislature to provide tax incentives to remain in rural areas.

Increase Physician Retention	60%	62%	62%	65%
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Goal: Improve Timeliness and Efficacy of Collection Procedures

- * Speed the collection process on loan defaults to 24 months for full repayment in 60% of defaults of nursing scholarship loans. This measure will show the number of defaults who were able to repay their loans in less than two years.

Speed Defaults Collection	17 of 43 (40%)	13 of 33 (40%)	7 of 13 (54%)	13 of 22 (60%)
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STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Improve Timeliness and Efficacy of Collection Procedures

- * Reduce the time required to collect on physician defaults by requiring full repayment in less than or equal to the total number of months the recipient was on the program to achieve an 80% or better success rate. Percentages below reflect, by fiscal year, the number who have completed repayment, as well as those on tract to repay in less than or equal to the time allowed.

Decrease Collection Time	75%	76%	76%	78%
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Goal: Create a State Loan Repayment Program

- * Create State Loan Repayment Program for physicians and Allied Health Professionals. Complete a study to determine other health professional needs in Oklahoma and develop a state wide loan repayment program. NOTE 1: STATE LOAN REPAYMENT PROGRAM ESTABLISHED OCTOBER 1, 2003. NOTE 2: DUE TO THE LACK OF APPROPRIATED FUNDING, PMTC BEGAN PHASING OUT OPERATIONS JULY 2006. PROGRAM ENDED AUGUST 2007.

Establish Program

Goal: Expand the State Loan Repayment Program

- * Increase State Loan Repayment Program for physicians and allied health professionals. NOTE: DUE TO THE LACK OF APPROPRIATED FUNDING, PMTC BEGAN PHASING OUT OPERATIONS JULY 2006. PROGRAM ENDED AUGUST 2007.

Increase Interest

- * Increase funding for the State Loan Repayment Program for physicians and allied health professionals. NOTE: DUE TO THE LACK OF APPROPRIATED FUNDING, PMTC BEGAN PHASING OUT OPERATIONS JULY 2006. PROGRAM ENDED AUGUST 2007.

NOTE: During the 2010 Legislative Session HB 1043 created the Oklahoma Medical Loan Repayment Program. Although the program was created it did not receive funds. PMTC will educate and inform Legislators about the need to get this program funded.

Increase Funding

Goal: Expand the Physician Assistant Scholarship Program

- * Increase funding for Physician Assistant Scholarship Program

Funding for PA Program	223,810	81,810	12,000	12,000
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- * Increase Awareness of and Participation in the Physician Assistant Scholarship Program

Awareness & Participation	19	25	36	30
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Administration/Data Processing/Physician Placement Program

Goal: To insure adequate personnel and resources to successfully achieve the agency's objectives outlined in the Strategic Plan.

- * Number of communities receiving new physicians.

Successful Placement	35	35	35	35
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- * Number of physicians assisted or provided information.

Answer physician requests	450	450	450	450
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- * The agency decreased carryover of \$5,000 (FY04) and did not replace this amount with revolving at this time.

Adequate Funding	\$540	\$510	\$542	\$542
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Program: CMRSIP (Family Practice Resident Rural Scholarship Program)

Goal: To provide financial assistance to Family Practice Residents in return for an obligation to serve up to three years in a rural community outside Oklahoma City and Tulsa.

- * The amount of funds received for family practice residents.

Amount of Funds	\$302	\$230	\$120	\$156
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- * The number of family practice residents receiving scholarship funds.

Number Receiving Funds	30	24	10	20
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Program: CMRSIP (OK Rural Medical Education Scholarship Loan Program)

Goal: To provide financial assistance to medical students in return for an obligation to establish a practice and serve in a rural community upon completion of training.

- * Amount of funds received by medical students.

Amount of Funds	\$517	\$380	\$210	\$255
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- * The number of medical students receiving scholarship funds.

Number Receiving Funds	35	26	14	17
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Program: CMRSIP (Physician Community Match Program)

Goal: To work with rural communities to fund licensed physicians to relocate practices in rural communities.

- * The amount of funds received for licensed physicians to relocate to rural communities.

Amount of Funds Received	\$440	\$350	\$460	\$480
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- * The number of physicians receiving PMTC funds to relocate in rural communities.

Number Receiving Funds	11	10	12	12
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Program: Medical Residency Program

Goal: To provide fiscal resources to fund salaries for state family practice/primary care residency programs.

- * To make Oklahoma Family Practice/Primary Care Residents' salaries more competitive with the programs in other states in our region.

Competitive Salaries	\$2,350	\$2,104	\$1,281	\$3,374
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- * To train the maximum number of Family Practice/Primary Care Residents as possible.

Train Maximum Number	83	81	80	98
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PHYSICIAN MANPOWER TRAINING
COMMISSION

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HUMAN SERVICES

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Nursing Student Assistance Program

Goal: Through financial assistance and work obligation incurred, create a pool of nurses available for rural and underserved communities in Oklahoma.

- * Increase the number of nursing scholarships awarded by 100 for FY-06. NOTE: ACHIEVED

Increase Number	307	276	339	330
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- * Increase funding to cover 50 additional scholarships for FY-07 & 50 additional scholarships for FY-08.

Increase Funding	\$1,064	\$865	\$989	\$989
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Program: Osteopathic Intern and Residency Program

Goal: To provide fiscal resources to fund salaries for state Internship and Family Practice Residency Programs.

- * To make Oklahoma Interns and Family Practice Residents' salaries more competitive with programs in other states of our region.

Increase Salaries	\$1,357	\$1,246	\$834	\$2,203
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- * To train the maximum number of Family Practice Residents as possible.

Train maximum number	41	45	45	62
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Program: Physician Assistant Scholarship Program

Goal: To provide financial assistance to physician assistant students in return for practice obligation in rural community upon completion of training.

- * Amount of funds received by physician assistant students

Amount of Funds Expended	\$330	\$203	\$95	\$72
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Program: State Loan Repayment Program

Goal: To provide assistance in the repayment of educational loans to eligible health providers for service in HPSAs.

- * Amount of funds received by health provider.

Funds Received by Provider	0
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- * Increase number of health providers participating.

Increase # of Providers	0
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
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19X General Revenue	5,027	4,486	3,341
205 Community Residency Revolving	259	234	272
210 PMTC Revolving Fund	745	211	58
215 Phys Asst Scholarship Rev Fund	0	17	60
450 Nursing Student Assistance Fund	471	351	400
490 American Recov. & Reinv. Act	0	285	0
57X Special Cash Fund	400	400	400

PHYSICIAN MANPOWER TRAINING
COMMISSION

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HUMAN SERVICES

Total Expenditures by Fund

<u>\$6,902</u>	<u>\$5,984</u>	<u>\$4,531</u>
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EXPENDITURES BY OBJECT

\$000's

Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
Salaries and Benefits	445	433	435
Professional Services	3,727	3,471	2,145
Travel	28	10	20
Lease-Purchase Expenditures	0	0	0
Equipment	0	0	2
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	2,704	2,066	1,929
Total Expenditures by Object	<u>\$6,904</u>	<u>\$5,980</u>	<u>\$4,531</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

\$000's

Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1 Administration			
1 Administration	537	505	537
88 Data Processing	4	4	5
Total Administration	<u>541</u>	<u>509</u>	<u>542</u>
15 Nursing Program			
15 Nursing Student Assistance	1,064	864	989
Total Nursing Program	<u>1,064</u>	<u>864</u>	<u>989</u>
30 MD/FP Residency Programs			
50 Primary Care Residenc (HSC-OU)	1,243	1,113	669
51 Primary Care Residenc (TMC-OU)	1,107	1,056	612
Total MD/FP Residency Programs	<u>2,350</u>	<u>2,169</u>	<u>1,281</u>
52 Osteopathic Residency Prog.			
3 Family Medicine Residencies	1,357	1,278	834
Total Osteopathic Residency Prog.	<u>1,357</u>	<u>1,278</u>	<u>834</u>
54 Community Match Rural Schol.			
10 Rural Scholarship	518	380	210
20 Physician Community Match	440	350	460
30 Resident Rural Scholarship	302	230	120
Total Community Match Rural Schol.	<u>1,260</u>	<u>960</u>	<u>790</u>
56 Physician Manpower Trng Comm			
1 Physician Asst Scholarship Prg	331	203	95
Total Physician Manpower Trng Comm	<u>331</u>	<u>203</u>	<u>95</u>
Total Expenditures by Activity	<u>\$6,903</u>	<u>\$5,983</u>	<u>\$4,531</u>

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES

<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
1 Administration	6.0	6.0	6.0
Total FTE	6.0	6.0	6.0
Number of Vehicles	0	0	0

REHABILITATION SERVICES, DEPARTMENT OF (805)

MISSION

The mission of the Department of Rehabilitation Services is to provide opportunities for individuals with disabilities to achieve productivity, independence, and an enriched quality of life.

THE COMMISSION

The Commission of Rehabilitation Services is composed of three members. One member each is appointed by the Governor, Speaker of the House of Representatives and the President Pro Tempore of the Senate. Commission members shall be knowledgeable of and have concern for rehabilitation and disability issues. Members appointed to the commission shall be residents of the state and shall be qualified electors at the time of their appointment.

DUTIES/RESPONSIBILITIES

The Department of Rehabilitation Services (DRS) provides assistance to Oklahomans with disabilities through vocational rehabilitation, employment, residential and outreach education programs, and the determination of medical eligibility for disability benefits.

STATUTORY REFERENCES

Program Name	Statutory Reference
Division of Vocational Rehabilitation and Visual Services	a) Division of Vocational Rehabilitation: 29 USC 701 et. seq. (Rehabilitation Act); 56 OS 164; 56 OS 199.1 to 199.2; 56 OS 328; 56 OS 330; 63 OS 2417; 74 OS 166.1 et. seq. b) Division of Visual Services: 29 USC 701 et. seq. (Rehabilitation Act); 56 OS 164; 56 OS 199.1 to 199.2; 56 OS 328 to 330; 74 OS 166.1 et. seq.; 2 USC 135(a) and 135(b) (Pratt-Smoot Act), 7 OS 8: 20 USC 107 (Randolph Sheppard Act)
Oklahoma School for the Blind -Muskogee	Title 7, Section 8: Title 56, Sections 164, 199.1, 199.2 & 328 through 330. Title 63, Section 2417 et Seq. & 168 et Seq. of the Oklahoma Statutes. Oklahoma statutes Title 70, Chapter 41,; passed 1913, established OSB at Muskogee. JHR 1026, 1982 mandated OSB as the State Resource Enter for the Blind & Visually Impaired. Article 13, Section 2 of the Oklahoma Constitution; 70 OS 1721 to 1725; 74 OS 166.1 et Seq.
Oklahoma School for the Deaf	SB1272, Section 18-114.12 of Title 70; Section 13-115.2 Title 70 Section 5; Article 13, Section 2, of the Oklahoma Constitution, Title 7, Section 8, Title 56, Sections 164, 199.1 and 328 through 330; Title 63, Section 2417; and Title 74, Section 166 et. seq. and 168 et. Seq. of Oklahoma Statutes. HJR 1026, 1982, mandated OSD as the State Resource Center for the Deaf and hard-of-hearing. Article 13, Section 2 of the Oklahoma Constitution; 70 OS 1721 to 1725; 74 OS 166.1 et. seq.
Disability Determination Division	Article 13, Section 2, of the Oklahoma Constitution; Title 7, Section 8: Title 56, Sections 164, 199.1, 199.2, and 328 through 330; Title 63, Section 2417; and Title 74; Section 166 et. seq. and 168 et. seq. of the Oklahoma Statutes.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES				
<u>Goals/Measures</u>	<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY- 2011</u> <u>Budgeted</u>	<u>FY-2012</u> <u>Estimated</u>
Program: Disability Determination Division				
Goal: Program Achievement				
* Meet or exceed performance levels for productivity established by the Social Security Administration.				
DDD Meet/exceed federal PM	Meets	Meets	Meets	Meets
Program: Division of Vocational Rehabilitation and Visual Services				
Goal: Consumer Satisfaction with core services				
* Increase DRS average customer service rate to 90%				
Incr. Customer Satisfaction	76.6%	75.4%	78%	80%
Goal: Program Achievement				
* Meet or exceed at least 4 of 6 performance indicators on federal Standards and Indicators Report				
VR/VS meet/exceed fed PM	6 of 6	6 of 6	4 of 6	4 of 6
Program: Oklahoma School for the Blind -Muskogee				
Goal: Program Achievement				
* Maintained at 90% or higher each year				
OSB Graduation Rate	100%	100%	100%	100%
* Maintain job placement/post secondary rate of 90% or higher annually.				
Job Placement/Post Secondary	40%	60%	65%	70%
Program: Oklahoma School for the Deaf				
Goal: Program Achievement				
* Maintain job placement/post secondary rate of 90% or higher annually				
Job placement/post secondary	94%	70%	90%	90%
* Maintained at 90% or higher each year				
OSD Graduation rate	100%	100%	100%	100%

FY - 2012 EXECUTIVE BUDGET

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
205	SCHL FOR BLND/DEAF REV FUND	29	15	0
212	School for the Blind Revolving	41	59	50
213	School for the Deaf Revolving	51	32	47
216	Donation Fund	34	27	43
218	Interpreter Certification Fund	22	19	25
235	Telecom for Hearing Impaired Fund	800	929	1,020
340	DRS Medical & Assistance Fund	20,810	29,985	38,138
350	Rehab Services Disbursing Fund	0	73,947	0
351	Rehab Services Disbursing Fund	0	0	103,544
352	Rehab Services Disbursing Fund	0	0	0
357	Rehab Services Disbursing Fund	77	0	0
358	Rehab Services Disbursing Fund	2,484	88	0
359	Rehab Services Disbursing Fund	65,876	2,350	0
380	Education Lottery Fund	18	5	0
410	Rehab Services - Federal Fund	294	592	2,000
490	American Recov. & Reinv. Act	0	2,043	1,254
491	FY11 Surplus Property Fund	0	0	22
499	Sales Fund - Surplus Property	6	0	0
Total Expenditures by Fund		<u><u>\$90,542</u></u>	<u><u>\$110,091</u></u>	<u><u>\$146,143</u></u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
	Salaries and Benefits	53,383	58,681	71,798
	Professional Services	2,727	4,408	5,207
	Travel	948	1,328	1,305
	Lease-Purchase Expenditures	0	0	0
	Equipment	3,244	4,597	5,775
	Payments To Local Govt Subdivisions	0	0	0
	Other Operating Expenses	30,251	41,078	62,058
Total Expenditures by Object		<u><u>\$90,553</u></u>	<u><u>\$110,092</u></u>	<u><u>\$146,143</u></u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
11	DVR/DVS SSA Reimbursement			
41000	DVR/DVS SSA Reimbursement	294	561	1,900
	Total DVR/DVS SSA Reimbursement	294	561	1,900
12	DVR/DVS SSA Reimbursemen			
41000	DVR/DVS SSA Reimbursement-DP	0	31	100
REHABILITATION SERVICES,		599		
DEPARTMENT OF				HUMAN SERVICES

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
Total DVR/DVS SSA	0	31	100
Reimbursement-DP			
14 Independent Living			
14000 Independent Living	196	454	618
Total Independent Living	196	454	618
15 Older Blind			
15000 Older Blind	685	749	935
34000 Older Blind Medical and Direct	0	245	138
Total Older Blind	685	994	1,073
16 In Service Training			
16000 In Service Training	150	103	71
Total In Service Training	150	103	71
17 United We Ride			
17000 United We Ride	54	17	0
Total United We Ride	54	17	0
22 DVR/DVS - DP			
22000 DVR/DVS - DP	1,600	2,140	4,017
Total DVR/DVS - DP	1,600	2,140	4,017
23 Rehab and Visual Services			
21800 Interpreter Certification	22	19	25
23000 RVS - Non DP	3,414	3,985	8,776
23002 Business Enterprise Program	0	0	0
23004 Strategic Planning	244	246	250
23005 DVR/DVS Training	401	410	809
23006 DVS Field Services	4,113	4,595	5,865
23007 DVR Field Services	12,985	13,500	16,658
23008 DVS Administration	686	765	844
23009 DVR Administration	939	957	1,102
Total Rehab and Visual Services	22,804	24,477	34,329
27 DVR Field Services			
27000 DVR/DVS Non-Med Client Svcs	723	2,361	2,500
34000 DVR/DVS Medical & Dir Client	14,435	21,650	29,000
Total DVR Field Services	15,158	24,011	31,500
28 Busines Enterprise Program			
28000 DVS Administration	0	0	0
28001 Business Enterprise Program	545	556	400
28002 Business Enterprise Program	1,215	1,240	1,732
Total Busines Enterprise Program	1,760	1,796	2,132
32 Okla Library for the Blind DP			
32000 Okla Lib for the Blind & Physi	43	45	61
Total Okla Library for the Blind DP	43	45	61
33 Okla Lib for the Blind & Phys			
33000 Okla Lib for the Blind & Phys	1,978	1,589	1,381
Total Okla Lib for the Blind & Phys	1,978	1,589	1,381
34 Able Tech			
34000 Able Tech	100	100	100
Total Able Tech	100	100	100

REHABILITATION SERVICES,
DEPARTMENT OF

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HUMAN SERVICES

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's	
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
35	Services to the Deaf			
35000	Services to the Deaf	105	94	137
	Total Services to the Deaf	105	94	137
36	Braille Education			
36000	Braille Education	0	0	583
	Total Braille Education	0	0	583
38	DRS Commission			
38000	DRS Commission	74	74	86
	Total DRS Commission	74	74	86
42	School for the Blind			
21200	OSB Revolving DP	1	0	0
21201	OSB Career Tech Fund - DP	0	4	7
42000	Okla School for the Blind - DP	86	187	92
	Total School for the Blind	87	191	99
43	School for the Blind			
20500	OSB Tax Refund Donation Fund	10	0	0
21200	OSB Revolving Non DP	40	51	36
21201	OSB Career Tech Fund	0	4	7
21600	OSB Donation	11	25	10
43000	Oklahoma School for the Blind	6,714	6,613	7,500
	Total School for the Blind	6,775	6,693	7,553
52	School for the Deaf			
21300	OSD Revolving Fund DP	11	3	0
21301	OSD Career Tech Fund - DP	0	8	19
52000	Okla School for the Deaf - DP	21	92	25
	Total School for the Deaf	32	103	44
53	School for the Deaf			
20500	OSD Tax Refund Donation	19	15	0
21300	OSD Revolving Fund Non DP	41	17	20
21301	OSD Career Tech Fund	0	4	8
21600	OSD Donation	23	2	33
23500	TDD - Communication	800	929	1,020
53000	Oklahoma School for the Deaf	8,613	8,248	9,448
	Total School for the Deaf	9,496	9,215	10,529
72	Disability Determinat Div - DP			
72000	Disability Determinat Div - DP	417	474	589
	Total Disability Determinat Div - DP	417	474	589
73	Disability Determination Divis			
34000	DDD Medical & Transportation	6,375	7,938	8,400
73000	Disability Determination Divis	16,618	20,904	28,735
	Total Disability Determination Divis	22,993	28,842	37,135
74	Disability Det Div EST			
34000	DDD EST Medical & Transp	0	152	600
74000	Disability Det Div EST	0	1,303	3,711
	Total Disability Det Div EST	0	1,455	4,311
82	DRS Support Services - DP			
82000	DRS Support Services - DP	916	945	1,491

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
Total DRS Support Services - DP	916	945	1,491
83 DRS Support Services			
83000 DRS Support Services	4,838	2,345	2,299
83001 DRS Support Services - MSD	0	2,353	2,648
83002 DRS Support Services - FSD	0	990	1,355
Total DRS Support Services	4,838	5,688	6,302
Total Expenditures by Activity	\$90,555	\$110,092	\$146,141

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
23 Rehab and Visual Services	434.8	444.6	527.0
24 School for the Blind	99.6	96.9	103.5
25 School for the Deaf	135.8	129.4	139.0
30 Disability Determination	216.8	285.4	369.0
Total FTE	887.0	956.3	1,138.5
Number of Vehicles	39	39	51

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
94 OSB Capital Outlay				
43000 OSB Security Fence	279	0		0
43001 OSB HVAC	1,575	0		0
95 OSD Capital Outlay				
53000 OSD Security Fence	72	3		10
Total Capital Outlay by Project	\$1,926	\$3		\$10

UNIVERSITY HOSPITALS AUTHORITY (825)

MISSION

The mission of the University Hospitals Authority is to be a catalyst for medical excellence, to support medical education, clinical research and to assure the best care available to all Oklahoma citizens regardless of means while growing essential alliances and maximizing utilization of State and Federal resources.

THE AUTHORITY

The University Hospitals Authority consists of six members: one appointed by the Governor with the advice and consent of the State Senate, one appointed by the President Pro Tempore of the State Senate, one appointed by the Speaker of the House of Representatives, the Provost of the University of Oklahoma Health Sciences Center, the Director of the Oklahoma Health Care Authority, and the Executive Director of the University Hospitals Authority, who is an ex officio member. Appointed members initially serve staggered terms ranging from one to three years; subsequent appointees shall serve three-year terms. Each member of the Authority must be a resident of the state and a qualified elector.

DUTIES/RESPONSIBILITIES

The University Hospitals Authority, by virtue of a Joint Operating Agreement with HCA Health Services of Oklahoma Inc., is responsible for the assurance of the provision of Indigent Care to the citizens of the State of Oklahoma. Additionally, the Authority takes an active role in the promotion and support of research and education at the University of Oklahoma Health Sciences Center. This historic partnership combined University Hospital, Children's Hospital of Oklahoma, O'Donoghue Rehabilitation Institute and Presbyterian Hospital to form O.U. Medical Center.

STATUTORY REFERENCES

Program Name	Statutory Reference
INDIGENT CARE PAYMENTS TO O.U. MEDICAL CENTER (HCA)	Title 63 Oklahoma Statutes, Section 3205 (B) and
GRADUATE MEDICAL EDUCATION PROGRAMS	Title 63 Oklahoma Statutes, Section 3205
CLINICAL SERVICES SUPPORT	Title 63 Oklahoma Statutes, Section 3203
ADMINISTRATION, OVERSIGHT AND ALL OTHER	Title 63 Oklahoma Statutes, Section 3203 (B)

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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- Goal: ASSURE INDIGENT CARE - Assure quality accessible care to all Oklahomans regardless of means.**
- Goal: SUPPORT MEDICAL EDUCATION - Recruit, educate, and retain the highest caliber physicians and health care.**
- Goal: SUPPORT/ENCOURAGE CLINICAL RESEARCH - Breakthrough medical innovations.**
- Goal: SUPPORT PHYSICIAN RECRUITMENT/RETENTION - Create an attractive and productive environment for career physicians.**
- Goal: SUPPORT QUALITY PATIENT CARE - Assure a positive total health experience.**
- Goal: INCREASE FINANCIAL RESOURCES - Identify and maximize utilization of state, federal and private financial resources.**

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: ADMINISTRATION, OVERSIGHT AND ALL OTHER				
Goal: To assure compliance with all operational and financial contract performance requirement.				
* Measures percent compliance with 89 compliance standards.				
% Compliance	100%	100%	100%	100%
Program: CLINICAL SERVICES SUPPORT				
Goal: Assure that screening, diagnosis and follow-up treatment is performed.				
* Number of patients				
Specimens	23,650	24,600	22,400	22,400
Program: GRADUATE MEDICAL EDUCATION PROGRAMS				
Goal: To increase residency programs/supply to Oklahoma physicians.				
* Monthly residents at hospitals - O.U. Medical Center Hospitals.				
Resident Months	315	322	328	328
Program: INDIGENT CARE PAYMENTS TO O.U. MEDICAL CENTER (HCA)				
Goal: Assure Indigent Care				
* Indigent Care provided at cost as percent of subsidy.				
% of Ind. Care to Subsidy	144%	152%	163%	150%

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
19X General Revenue	43,134	26,312	19,826	
201 University Hospitals Disbursing	63,362	78,339	107,630	
215 Donations Fund	0	50	100	
Total Expenditures by Fund	\$106,496	\$104,701	\$127,556	

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
Salaries and Benefits	754	815	869	
Professional Services	58,560	60,029	120,547	
Travel	3	2	12	
Lease-Purchase Expenditures	7	10	10	
Equipment	278	58	165	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	46,894	43,787	5,953	
Total Expenditures by Object	<u>\$106,496</u>	<u>\$104,701</u>	<u>\$127,556</u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
10 Patient Care				
1 Administration	2,458	7,605	7,617	
3 Indigent Care	103,946	97,002	119,829	
4 Residual Claims	84	76	90	
88 Data Processing	8	18	21	
Total Patient Care	<u>106,496</u>	<u>104,701</u>	<u>127,557</u>	
Total Expenditures by Activity	<u>\$106,496</u>	<u>\$104,701</u>	<u>\$127,557</u>	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
10 Patient Care	7.0	7.2	8.0	
Total FTE	<u>7.0</u>	<u>7.2</u>	<u>8.0</u>	
Number of Vehicles	0	0	0	

OUTSTANDING DEBT		\$000's		
	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
Lease-purchase obligations	44	0	0	
Revenue bond issues	53,005	52,080	51,100	
Other debt	0	0	0	
Total Outstanding Debt	<u>\$53,049</u>	<u>\$52,080</u>	<u>\$51,100</u>	

MILITARY DEPARTMENT (25)

MISSION

The Oklahoma National Guard provides ready units and personnel to the state and nation in three roles:

1. As a part of the United States Army and Air Force, our federal role is to provide fully-trained units, soldiers, and airmen prepared to mobilize, deploy, and execute all war time missions on order of the President of the United States.
2. Our state role is to provide fully-trained units, soldiers, and airmen to perform as a force multipliers for civil authorities. Further, the Oklahoma National Guard mobilizes and provides special services, preserves peace, order, and public safety on order of the Governor of Oklahoma.
3. Our community role is to participate in local, state, and national programs that add value to America.

DUTIES/RESPONSIBILITIES

The Oklahoma Military Department is responsible for: 1. Recruiting of enlisted members and commissioning of officers to the allowable strength, and maintenance of all records pertaining to National Guard personnel; 2. Receiving, storing, issuing, and accounting for federal and state property, including distribution to all units throughout the State of Oklahoma. 3. Maintenance of armories, facilities, Air National Guard Bases, training sites, ranges, and all federal and state property issued to the Oklahoma National Guard in accordance with the Federal and State Agreement and Title 44 of the Oklahoma Statutes. 4. Construction of armories and facilities on a federal matching basis. The National Guard is utilized in time of local and statewide emergencies under the direction of the Governor. 6. The Oklahoma National Guard administers the Oklahoma Youth Challenge, State Transitional and Reintegration System (STARS), and Starbase programs.

STATUTORY REFERENCES

Program Name	Statutory Reference
Youth Programs	National Guard Youth Challenge (Thunderbird Youth Academy) is federally-reimbursed (75% federal and 25% state) through the Master Cooperative Agreement. STARBASE is 100% federally-funded through Master Cooperative Agreement. STARS is 100% state funded.
Command and Control	Title 44 Oklahoma Statutes; Various federal regulations

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Goal: Reorganize or right-size infrastructure, personnel, and equipment to meet force structure needs and requirements.

Goal: Maintain and align workforce (FTE) requirements to meet future force structure/mission requirements.

Goal: Plan for and upgrade facilities to meet future requirements and Centers of Excellence standards, to include improvements in Force Protection initiatives.

Goal: Maintain and plan for future requirements of state-of-the-art information technology systems in concert with federal programs initiatives.

Goal: Initiate future organization actions to improve and increase Youth Program involvement.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Command and Control				
Goal: Maintain 100% Strength in Army and Air National Guard				
* The overall strength of units of the Army and Air National Guard				
Overall Strength of Units	100%	100%	100%	100%
Goal: Provide for Missions at the Request of the Governor of Oklahoma				
* Ability to meet the needs for support during all state emergencies in State of Oklahoma and EMAC				
Request for Assistance	100%	100%	100%	100%
Goal: Provide for Missions at the Request of the President of the United States				
* Ability to provide personnel and equipment to support the defense of the United States of America in time of war				
Federal Assistance	100%	100%	100%	100%
Goal: Fiscal Accounting				
* Process all claims within 10 working days				
Accounts Payable	99%	99%	100%	100%
Goal: Personnel Operations				
* Maintain personnel records with zero deficiencies				
Personnel Records	99%	99%	100%	100%
Program: Youth Programs				
Goal: Enhance Youth Challenge Program				
Goal: State Transition and Reintegration System (STARS)				

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>		<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X	General Revenue	13,711	12,128	10,811
200	OK MILITARY DEPT REVOLVING F	140	149	25
205	45th Infantry Division Museum Fund	8	7	24
210	Military Department Revolving Fund	1,596	1,068	231
220	Income Tax Checkoff Revolving	9	6	60
225	Patriot License Plate Revolvin	0	0	10
400	Army Federal Reimbursement	16,034	17,366	23,754
405	Air Guard Reimbursement	5,657	6,003	6,754
415	Counter Drug	1	1	15
424	State Emergency Fund	99	695	475
490	American Recov. & Reinv. Act	0	445	941
MILITARY DEPARTMENT		- 607 -	MILITARY DEPARTMENT	

EXPENDITURES BY FUND (continued)

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY-2011 Budgeted</u>
57X Special Cash Fund	\$ 0	0	42
Total Expenditures by Fund	\$37,255	\$37,868	\$43,142

EXPENDITURES BY OBJECT

<u>Object of Expenditure</u>	\$000's		
	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits	21,381	21,711	21,535
Professional Services	1,911	1,977	1,192
Travel	319	300	409
Lease-Purchase Expenditures	0	0	0
Equipment	3,417	2,002	4,618
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	10,224	11,880	15,393
Total Expenditures by Object	\$37,252	\$37,870	\$43,147

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

<u>Activity No. and Name</u>	\$000's		
	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
1 Administration			
88 Data Processing	1,205	335	495
100 The Adjutant General	1,693	1,758	2,007
101 Chief Of Staff	275	228	164
102 DOIM (Formerly Public Affairs)	1,411	1,138	2,258
103 St Employ Personnel-OKSRM-SP	841	884	1,070
104 Military Personnel Admin-OKPA	316	350	416
Total Administration	5,741	4,693	6,410
2 Support Services			
201 State Accounting-OKSRM-SP	7,566	6,097	5,067
202 Environmental	1,638	1,703	1,741
203 Plan, Ops, Tng, & Organization	1,015	807	2,170
204 OKDE	893	737	875
206 State Active Duty	99	871	575
Total Support Services	11,211	10,215	10,428
3 Armory Maintenance			
301 Facilities Maint. (OKSRM-FM)	3,356	3,290	5,086
Total Armory Maintenance	3,356	3,290	5,086
4 Museum Management			
401 45th Infantry Division Museum	136	141	306
Total Museum Management	136	141	306
5 Youth Programs			
88 Youth Programs Data Processing	131	56	66
502 Thunderbird Youth Academy	3,403	3,527	4,479
503 Tulsa/STARBASE	352	674	671

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
5 Youth Programs			
504 Community Based Res Care Co B	1,658	923	0
505 State Trans Aftercare Reg Sys	1,313	1,276	1,157
507 Oklahoma City STARBASE	196	200	235
508 Youth Program Administration	170	120	0
509 STARBASE Tulsa Native American	203	3	0
583 STARBASE Data Processing	14	89	37
Total Youth Programs	7,440	6,868	6,645
6 Federal Programs			
601 Training Site-Military Academy	329	306	399
602 Aviation - Fixed Wing	38	2	0
603 AASF - Lexington	143	312	0
604 AASF - Tulsa	314	444	0
605 Training Site - Camp Gruber	2,770	5,660	7,040
606 Training Site - WETC	242	268	299
607 OKC Air Base-Ops & Maintenance	1,987	1,941	1,935
608 OKC Air Base - Security	536	543	582
609 Tulsa Air Base-Ops&Maintenance	2,321	2,435	3,196
610 Tulsa Air Base - Security	690	754	818
Total Federal Programs	9,370	12,665	14,269
Total Expenditures by Activity	\$37,254	\$37,872	\$43,144

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1 Administration	39.0	39.0	47.0
2 Support Services	34.0	43.0	79.0
3 Armory Maintenance	27.0	29.0	37.0
4 Museum Management	2.0	2.0	5.0
5 Youth Programs	117.0	100.0	100.0
6 Federal Programs	133.0	148.0	138.0
Total FTE	352.0	361.0	406.0
Number of Vehicles	73	73	73

CAPITAL OUTLAY and SPECIAL PROJECTS			\$000's
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated
91 Construction			
6 Federal Military Construction	43,301	92,858	80,000
Total Capital Outlay by Project	\$43,301	\$92,858	\$80,000

ABLE COMMISSION (30)

MISSION

To protect the public's welfare and interest through the enforcement of the laws and regulations pertaining to alcoholic beverages, charity gaming, and youth access to tobacco products.

THE COMMISSION

The Alcoholic Beverage Laws Enforcement Commission consists of seven members appointed by the Governor with the advice and consent of the State Senate. Five of the members represent the lay citizenry at-large; the remaining two are required to possess experience in law enforcement. Members are appointed for terms of five years. The state constitution directs that no more than four of the Commission members shall be from the same political party and no more than two of the members shall be from the same federal congressional district. In addition, the constitution prohibits any Commission members from holding any alcoholic beverage license or having any interest in the manufacture, sale, distribution or transportation of alcoholic beverages.

DUTIES/RESPONSIBILITIES

The Alcoholic Beverage Laws Enforcement Commission has the following powers and duties:

1. To supervise, inspect and regulate every phase of the business of manufacturing, importing, exporting, transporting, storing, selling, distributing and possessing alcoholic beverages for the purposes of the Oklahoma Beverage Control Act.
2. Charity Games. 3. Tobacco - Synar Amendment.

STATUTORY REFERENCES

Program Name	Statutory Reference
Administrative Services	OS 37, 508 & 509 - Powers & duties of the Director OS 37-514 - Powers & duties of ABLE Commission; OS 37-530 -Hearings for aggrieved persons OS 37-530.1-Director or hearing officer to conduct hearing, report, recommendation, notice & review.
Alcohol Education	OS 37-508 & 509 - Powers & duties of the Director; OS 37-514 - Powers & duties of ABLE Commission
Enforcement	OS 37-503 -Exercise of police power-ordinances OS 37-512 Police Officers powers and authority; OS 37-514 Powers & duties of Commission, OS 37-600.1, OS 501, and OS 3A-401
Motor Vehicles	Title 37, Section 507.2
Business Office	Title 37 - Record Maintenance OS 37, 505, 506, 508, 509, 511, 514, 517, 518, 518.2, 521, 522, 523.1, 523.2, 524, 525, 526.1, 527, 527.1, 528, 528.1, 528.2, 529, 530, 530.1, 531, 532, 532.1, 532.2, 534, 535, 535.1, 535.2, 568, 588, 590, 591, 594, 594.1, 595, 597, 598, 599

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Goal: Provide professional enforcement and compliance of the liquor, tobacco, and gaming laws of Oklahoma.

* Enforcement personnel will respond to all complaints within forty-eight (48) hours of effective receipt. Estimates based on increased budgets beyond FY2011.

Complaint response time (%)	99.3%	95.2%	95%	96%
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STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Goal: Provide professional enforcement and compliance of the liquor, tobacco, and gaming laws of Oklahoma.				
* Increased contact with licensees and local law enforcement agencies will increase the number of complaints or assertions of law violations. This will inevitably increase agency investigative activities. Again estimates based on increases in budget as requested.				
Increase # of investigations	2538	2251	2300	3200
* Currently, enforcement personnel are required to inspect licensed establishments once per year. This is a reduction from twice a year prior to FY'05; however, strength has been so depleted by funding cuts there was no option but to do so. The number of on-site visits will be increased to include uniformed "walk-through", and being a visible presence at major community functions, such as Oktoberfest, Mayfest, county and state fairs, festivals, etc., if additional funding is provided. Estimated on-site visits beyond FY2011 are based on increased funding on budget requests.				
Increase # of on-site visits	3301	3263	3200	3800
* Commission will contract with private provider for training of industry personnel in techniques to use with customers to ensure that services are not provided to intoxicated or underage potential customers.				
Education of Licensees.	780	0	0	700
Goal: Be a consistent provider of quality service for our customers.				
* The Commission was without a data services technician, programmer, and supervisor since August 2003, and without any trained data services staff since June 2004 until a new supervisor was installed in February 2005. Errors slowed the license issue process and the information supply. It is also wasteful of resources; both personnel hours and paper/forms, etc. Additional data services personnel were only replaced during FY'2007. A programmer has not yet been placed in service. Estimates based on increases in budgeted funds for Data Services.				
Reduce data errors	0.11	0.100	0.090	0.07
* Manage the agency such that all available resources are used to their maximum potential with special emphasis on personnel and monetary resources with emphasis on retention of experienced, capable people. Reduce personnel losses as a percent of employed personnel.				
Retention of Pers. Resources	0.112	0.111	0.020	0.054
* The time necessary to process a license application is 60 days.				
Reduce turn around time	67%	75%	75%	80%
Goal: Develop cooperative partnerships with the hospitality industry, groups with special interest, associations, law enforcement agencies, and the general public.				
* The Commission's efforts to provide quality service and effective law enforcement and to obtain voluntary compliance should be a cooperative effort, which will be better served through formalized networking. The Commission was co-founder of the Oklahoma Hospitality Advisory Council, which consists of members from the hospitality industry and regulatory agencies. It meets to discuss common issues, problems, and trends. Staff attends training and provides training to local groups, i.e. Wholesale Grocers Association, Petroleum Marketers and Convenience Store Operators, Oklahoma Restaurant Association, Oklahoma Retailers Association, Oklahoma Grape Growers and Winemakers Association and national groups, i.e. National Conference of State Liquor Administrators, National Liquor Law Enforcement Association, National Alcoholic Beverage Control Association. Estimates based on increases in budget as requested.				
Interact w/industry assn	37	30	31	39

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Administrative Services

Goal: Be a consistent provider of quality service for our customers, i.e. applicants, licensees, law enforcement, staff, and the general public.

- * Manage the agency such that all available resources are used to their maximum potential with special emphasis on personnel and monetary resources with emphasis on retention of experienced, capable people. Reduce personnel losses as a percent of employed personnel.

Retention of Pers. Resources	.112	.111	.02	.054
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Goal: Develop cooperative partnerships with the hospitality industry, groups with special interest (MADD, SADD, SUN Coalition, and Projection 21), associations, law enforcement agencies, and the general public.

- * The Commission's effort to provide quality service and effective law enforcement and to obtain voluntary compliance should be a cooperative effort, which will be better served through formalized networking. The Commission was co-founder of the Oklahoma Hospitality Advisory Council, which consists of members from the hospitality industry and regulatory agencies. It meets to discuss common issues, problems, and trends. Staff attends training and provides training to local groups, i.e. Wholesale Grocers Association, Petroleum Marketers and Convenience Store Operators, Oklahoma Restaurant Association, Oklahoma Retailers Association, Oklahoma Grape Growers and Winemakers Association and national groups, i.e. National Conference of State Liquor Administrators, National Liquor Law Enforcement Association, National Alcoholic Beverage Control Association.

Interact with industry assn.	37	30	31	39
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Program: Alcohol Education

Goal: Provide professional enforcement and compliance of the liquor, tobacco, and gaming laws of Oklahoma.

- * Commission will contract with private provider for training of industry personnel in techniques to use with customers to ensure that services are not provided to intoxicated or underaged potential customers.

Education Licensees	780	0	0	600
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Program: Business Office

Goal: Be consistent provider of quality service for our customers.

- * The time necessary to process a license applicaiton is 60 days.

Reduce turn around time	67%	33%	50%	80%
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- * The Commission was without a data services technician, programmer, and supervisor since August 2003, and without any trained data service staff since June 2004 until a new supervisor was installed in February 2005. Errors slow the license issue process and the information supply. It is also wasteful of resouces; both personnel hours and paper/forms, etc. Additional data services personnel were only replaced during FY 2007. A programmer has not yet been placed in service.

Reduce data errors	0.11	0.100	.09	.07
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Program: Enforcement

ABLE COMMISSION

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Provide professional enforcement and compliance of the liquor, tobacco, and gaming laws of Oklahoma.

- * Enforcement personnel will respond to all complaints within forty-eight (48) hours of effective receipt.

Complaint response time (%)	99.3%	95.2%	95%	96%
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- * Currently, enforcement personnel are required to inspect licensed establishments once per year. This is a reduction from twice a year prior to FY'05; however, strength has been so depleted by funding cuts there was no option but to do so. The number of on-site visits will be increased to include uniformed "walk-through", and being a visible presence at major community functions, such as Oktoberfest, Mayfest, county and state fairs, festivals, etc. if additional funding is provided.

Increase # on-site visits	3301	3263	3200	3800
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- * Increased contact with licensees and local law enforcement agencies will increase the number of complaints or assertions of law violations. This will inevitably increase agency investigative activities.

Increase # of investigations	2538	2251	2300	3200
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Goal: Develop cooperative partnerships with the hospitality industry, groups with special interest, associations, law enforcement agencies, and the general public.

- * Enforcement personnel will contact each county sheriff and all major police department chiefs annually. Enforcement personnel will regularly attend professional meetings that afford meaningful information exchanges, such as the Association of Chiefs of Police, Sheriffs Association, and the Sheriff and Peace Officers Association. Personnel will actively participate with organized efforts to reduce illegal liquor activities such as the SUN Coalition and Project 21.

Increase contact law enf.	2393	1201	1200	2300
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>		<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X	General Revenue	3,919	3,638	3,377
200	ABLE Comm. Revolving Fund	0	0	491
205	Seized and Forfeited Property Fund	1	81	315
443	Interagency Reimbursement Fund	26	171	647
491	Sales Fund - Surplus Property	0	0	50
497	Sales Fund - Surplus Property	0	3	0
498	Sales Fund - Surplus Property	8	0	0
499	Sales Fund - Surplus Property	5	0	0
Total Expenditures by Fund		\$3,959	\$3,893	\$4,880

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	3,217	3,286	3,356	
Professional Services	186	151	368	
Travel	65	29	92	
Lease-Purchase Expenditures	0	0	0	
Equipment	25	13	461	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	467	413	602	
Total Expenditures by Object	\$3,960	\$3,892	\$4,879	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10 Administrative Services				
1 Administration	707	648	685	
2 Legal	63	95	90	
Total Administrative Services	770	743	775	
20 Alcohol Education				
1 STAR/Cops In Shop	34	16	49	
Total Alcohol Education	34	16	49	
30 Enforcement				
1 Enforcement	2,008	2,026	2,392	
Total Enforcement	2,008	2,026	2,392	
40 Motor Vehicle Operations				
1 Motor Vehicles	13	3	254	
Total Motor Vehicle Operations	13	3	254	
50 Business Office				
1 Finance	209	176	190	
2 Licensing	202	209	212	
3 Registration & Business Serv.	113	120	129	
4 Management And Payroll	370	362	511	
88 Data Processing	241	237	368	
Total Business Office	1,135	1,104	1,410	
Total Expenditures by Activity	\$3,960	\$3,892	\$4,880	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES

Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
10 Administrative Services	5.7	6.3	6.3
30 Enforcement	23.1	23.9	25.0
50 Business Office	12.9	13.6	13.0
Total FTE	41.7	43.8	44.3
Number of Vehicles	33	31	35

ATTORNEY GENERAL (49)

MISSION

Representing Oklahoma by serving and protecting citizens, government and the law.

DUTIES/RESPONSIBILITIES

The principal duties of the Attorney General, as the Chief Law Officer of the State, are: (1) Appear for the State in all actions or proceedings, civil or criminal, in the Supreme Court, Criminal Court of Appeals, District and other state trial courts, and any Federal Courts; (2) Appear, as required by statute, and prosecute or defend, before any court, and any of the Federal Courts; (3) Appear, as required by statute, and prosecute or defend, before any court, board or commission, any cause or proceeding in which the state is an interested party; (4) Combat fraud and abuse in the medicaid system; (5) Investigate and prosecute insurance fraud and workers' compensation fraud; (6) Investigate criminal matters anywhere in the state through the Multi County Grand Jury; (7) Enforce the Consumer Protection Act; (8) Represent and protect the collective interest of all public utility customers in all rate-related proceedings; (9) District Attorney recusals; (10) Give opinions, in writing, upon all questions of law submitted to the Attorney General by persons or bodies with proper statutory authority; (11) Furnish advice and counsel to all State Officers, Boards and Commissions; (12) Review and approve all leases, deeds, agreements, contract and similar documents to which the State is a party or has an interest; (13) Brief cases, civil and criminal; (14) Prepare and approve contract, requisition and extradition proceedings; (15) Check and pass upon the legal form of State, County and Municipal bond issues; (16) To prepare drafts or bills and resolutions for individual members of the Legislature upon written request; (17) To enforce the proper application of monies appropriated by the Legislature and to prosecute breaches in trust in the administration of such funds; (18) Investigate any report by the State Auditor and Inspector filed with the Attorney General and prosecute all actions, civil or criminal, relating to such reports or any irregularities or derelictions in the management of public funds or property; (19) To institute civil actions against members of any state board or commission for failure of such members to perform their duties as prescribed by the statutes and the Constitution and to prosecute members of any state board or commission for violation of the criminal laws of this state where such violations have occurred in connection with the performance of such members official duties; (20) Provide services for persons who require domestic violence or sexual assault services through a domestic violence or sexual assault program; develop and maintain a twenty-four-hour statewide telephone communication service for the victims of rape, forcible sodomy and domestic violence; provide address confidentiality for victims of domestic abuse, sexual assault, or stalking; establish the Domestic Violence Fatality Review Board within the Office of the Attorney General; and (20) perform all other functions as required by statute.

STATUTORY REFERENCES

Program Name	Statutory Reference
Administration	Title 74, Section 18b et. Seq. of the Oklahoma Statutes
Legal Services	The Office of the Attorney General is a Constitutional Elected Office. Eligibility, term of office and authority of the Attorney General are provided for in Art. 6,m 1,3,& 4 of the Oklahoma Constitution. Statutory duties are listed in Title 74, Section 18b et.seq. of the Oklahoma Statutes. Numerous statutory references require the Office of the Attorney General to perform duties.
Financial Fraud & Special Investigation	Okla. Const - Article 2, Section 18, 22 O.S. 351 et. seq. 22 O.S. 311 19 O.S. 215.9 51 O.S. 91, 74 O.S. 18b. 18dm 18f
Patient Abuse and Medicaid Fraud Control Unit (PAMFCU)	56 O.S. 1001 et seq.42 USC 1320a-7b.42 CFR 1001.1 et seq
Workers Compensation and Insurance Fraud Unit	a) 74 O.S. 18m-1, b) 74 O.S. 18m-2, c) 74 O.S. 19.2, d.) 74 O.S. 18n-1, e) 74 O.S. 18n-2, f) 74 O.S. 19.3

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES				
<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>

Goal: Continue to be the State's most economical and knowledgeable legal team.

- * Compare our estimated rate per case from different sections/units to current open market firms doing the same type work

Rate Comparison	62 v 161	65 v 175	70 v 175	75 v 185
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- * Offer instruction, training and education to state agencies through seminars and educational material directed at helping agencies avoid legal liability. Values will reflect number of seminars given along with educational materials distributed.

Education and Instruction	10	16	20	25
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES				
<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>

Program: Administration

Goal: Provide leadership and staff development opportunities through training and experience.

- * The training programs allow in-house training programs developed and implemented by the Office of Attorney General to target specific needs of the office. It assists administration in providing a more effective training program identified by personnel actually working in these situations.

Training Programs	10	14	15	15
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Program: Legal Services

Goal: To effectively represent and protect the collective interests of Oklahoma utility consumers in rate-related proceedings before the Oklahoma Corporation Commission and other state or federal judicial or administrative proceedings as required by 74 O.S.2001, Sec 18(b)(A)(20). To defend and represent State Agencies, Directors, Officers and employees in civil actions. To uphold and defend the constitutionality of the State Constitution and State Statutes.

Goal: Persevere in obtaining and upholding criminal convictions by improving communication and education with judges and prosecutors.

- * How many training programs with other agencies and law enforcement were developed and implemented.

Training Programs	18	14	15	15
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Goal: Continue to be the State's most economical and knowledgeable legal team

- * Cost comparison between an Assistant Attorney General (AAG) and an open market rate of private counsel. AAG rates are estimated on average time spent on typical case, average salary (s) of attorneys participating in this type of case plus benefits with a weighted figure for overhead.

Cost comparison	70 vs 169	70 vs 165	75 vs 165	75 vs 175
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Legal Services

Goal: Recognize, identify, and implement new legal solutions to persistent problems through legislation, litigation, and education.

Program: Patient Abuse and Medicaid Fraud Control Unit (PAMFCU)

Goal: Educate the public on recognizing and reporting fraud and abuse

Goal: Maintain the high level of criminal investigations by utilizing the least amount of resources

* Number represents the number of criminal convictions achieved by the unit after a case is reported and investigated.

Number of Convictions	30	28	30	40
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* Measure would show dollars recovered from Medicaid fraud investigations and prosecutions.

Money recovered	14,988,220	13,054,309	9,000,000	9,000,000
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Goal: Remove from the system those who commit fraud and those who abuse and neglect vulnerable citizens. Deter and discourage fraud, abuse, and neglect by our actions.

* Number will represent the number of sanctions given to those who commit fraud and abuse against the elderly and disabled.

Sanctions	36	11	25	30
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* Number of cases opened and investigated during the fiscal year.

Cases Opened	220	215	230	230
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Program: Workers Compensation and Insurance Fraud Unit

Goal: To reduce fraud by investigation, prosecution, education, and training and through recommended legislative changes.

* Number of complaints received by Workers' Compensation Fraud Unit

Number of complaints	250 WC, 23 IF	245 WC, 21 IF	250 WC, 25 IF	250 WC, 25 IF
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* Number of defendants charged with a crime after investigation of complaint.

Defendants charged	35 WC, 19 IF	18 WC, 19 IF	35 WC, 20 IF	35 WC, 20 IF
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* Number of investigations initiated after receiving complaint from stake holders.

Investigations Initiated	80 WC	61	80	80
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X General Revenue	14,657	12,787	12,704
200 Attorney General Revolving Fund	3,770	4,076	4,743
205 Telemarketer Revolving Fund	262	165	166
220 Workers' Comp Fraud Fund	806	775	793

ATTORNEY GENERAL

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SAFETY AND SECURITY

EXPENDITURES BY FUND (continued)

<u>Type of Fund:</u>		<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY-2011</u> <u>Budgeted</u>
225	Insurance Fraud Unit Revolving	\$ 329	342	343
240	Court Appointed Special Advoca	13	20	15
260	Victim Services Unit Fund	976	1,205	1,308
400	Federal Funds (DAC)	105	163	106
405	Medicaid Fraud Settlement Fund	1,610	1,674	1,764
410	Medicaid Fraud Unit Fund	1,229	1,166	1,343
425	VINES Grant	179	134	276
440	VPO Grant	294	321	383
57X	Special Cash Fund	1	0	0
Total Expenditures by Fund		\$24,231	\$22,828	\$23,944

EXPENDITURES BY OBJECT

<u>Object of Expenditure</u>	\$000's		
	<u>FY-2009</u> <u>Actual</u>	<u>FY-2010</u> <u>Actual</u>	<u>FY-2011</u> <u>Budgeted</u>
Salaries and Benefits	14,198	13,307	13,312
Professional Services	1,500	1,037	984
Travel	103	136	232
Lease-Purchase Expenditures	0	0	0
Equipment	205	56	375
Payments To Local Govt Subdivisions	6,895	6,397	6,972
Other Operating Expenses	1,335	1,893	2,068
Total Expenditures by Object	\$24,236	\$22,826	\$23,943

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

<u>Activity No. and Name</u>		\$000's		
		<u>FY-2009</u> <u>Actual</u>	<u>FY-2010</u> <u>Actual</u>	<u>FY-2011</u> <u>Budgeted</u>
10	General Operations			
1	Administration	2,071	2,336	2,166
88	Data Processing	406	325	632
	Total General Operations	2,477	2,661	2,798
25	Legal Services			
2	Criminal Appeals	1,575	1,582	1,506
3	Consumer Protection	1,108	935	785
4	Environmental Law	532	452	486
5	Utility Rate	441	395	402
6	Litigation	2,058	1,878	1,844
7	General Counsel	2,878	2,465	2,929
	Total Legal Services	8,592	7,707	7,952
30	Financial Fraud & Spec Invest			
1	Financial Fraud & Spec Inv	873	653	598

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
	873	653	598
35			
1	767	716	668
	767	716	668
40			
1	449	1,726	1,815
2	1,230	23	0
	1,679	1,749	1,815
45			
1	832	785	793
2	303	333	343
	1,135	1,118	1,136
50			
1	7,250	6,890	7,523
	7,250	6,890	7,523
55			
1	679	549	615
	679	549	615
60			
1	486	379	345
	486	379	345
65			
1	294	321	383
	294	321	383
70			
1	0	54	111
	0	54	111
75			
1	0	32	0
	0	32	0
Total Expenditures by Activity	\$24,232	\$22,829	\$23,944

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES

Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
10 General Operations	26.5	28.0	25.0
25 Legal Services	98.0	88.0	75.5
30 Financial Fraud & Spec Invest	10.0	9.0	7.0
40 Medicaid Fraud Control Unit	20.0	20.0	20.0
45 Workers Comp Fraud Unit	13.0	12.0	12.0
50 Domestic Violence Unit	6.0	6.0	6.0
60 Tobacco Enforcement Unit	5.0	4.0	3.0
Total FTE	178.5	167.0	148.5
Number of Vehicles	25	25	25

CORRECTIONS DEPARTMENT (131)

MISSION

The mission of the Oklahoma Department of Corrections is to protect the public, the employees and the offenders.

THE BOARD

The Oklahoma Department of Corrections was created May 1, 1967, as a result of the 1967 Oklahoma Corrections Act. The Department is governed by the state Board of Corrections, a seven-member bi-partisan panel of gubernatorial appointees serving six-year staggered terms. One member is to be appointed from each of the six Congressional Districts; the seventh is selected from the state at large. Not more than four members of the Board shall be from the same political party. The Board is empowered by statute to set policies for the operation of the Department, to establish and maintain institutions as necessary and to appoint a Department Director. Meetings of the board are conducted monthly and are normally open to the public. Meeting locations are throughout the state to encourage local participation and attendance.

DUTIES/RESPONSIBILITIES

The Administrator of Internal Audit conducts all financial related audits within the Agency and other audits as directed.

The Division of Administrative Services controls the functions of Legal Services, which provides legal representation for the department in matters involving inmates and staff. They also provide legal representation for all legal documents, contracts and negotiations with private and public concerns. Internal Affairs, is responsible for conducting and monitoring all internal investigations of inmates and employees. Training, responsible for all training and staff development of department employees. This division is also responsible for all, personnel, purchasing and the departments information technology and administrative management needs. Additionally, the Division contains Departmental Services, composed of financial and accounting for the management of budget and financial operations, document services, building maintenance and evaluation and analysis, a unit that maintains agency information concerning the offender population and provides this information to the public, legislature and executive leadership as needed.

The Division of Treatment and Rehabilitative Services is responsible for offender programs, offender medical services, mental health, quality assurance and grant administration. Offender programs offers educational and treatment services for offenders. Medical services and mental health offer treatment to offenders.

The Division of Field Operations is responsible for national accreditation of facilities, management of offender classification, placement, transportation, agency-wide safety and sentence administration. Also, the management of all contracted services from private prisons and county jails and operational support to field units. In addition, this division supervises prison industries which includes manufacturing and agricultural production. This involves the manufacture of furniture, modular buildings, road signs, license plates, clothing, and other items tailored to meet changing market demands. Agriculture production consists of cattle, dairy and eggs. A meat processing plant also exists and other agricultural activity occurs as needed to meet prison population requirements.

Institutions administration is responsible for the operations of fifteen prisons in the state. This consists of Howard McLeod CC, a male offender minimum security facility located at Farris; Jackie Brannon CC, a male offender minimum security facility located at McAlester; Mack Alford CC, a male offender medium security facility located at Stringtown; James E. Hamilton CC, a male offender minimum security facility located at Hodgen; Oklahoma State Penitentiary, a male offender maximum security facility at McAlester; Dick Conner CC, a male offender medium security facility located at Hominy; Jess Dunn CC, a male offender minimum security facility located at Taft; and Northeast Oklahoma CC, a male offender minimum security facility located at Vinita; Oklahoma State Reformatory, a male offender medium security facility located at Granite; William Key CC, a male minimum security facility located at Ft. Supply; John Lilley CC, a male offender minimum security facility at Boley; Joseph Harp CC, a male offender medium security facility located at Lexington; Lexington Assessment and Receptions Center (A&R) which is the receiving point for all incarcerated offenders entering the system and a male offender medium security facility at Lexington; Bill Johnson CC, a male offender minimum level facility located at Alva; and James Crabtree CC, a male offender medium/minimum

security facility located at Helena.

Division of Female Operations was established to encompass all female facilities. These include Mabel Bassett CC, a female offender minimum, medium, and maximum security facility at McCloud and Eddie Warrior CC, a female offender minimum security facility located at Taft. Also included are these community facilities - Hillside Comm. Corr. Ctr; Kate Bernard Comm. Corr Ctr.; and all female halfway houses.

The Division of Community Corrections is responsible for the operation of five community corrections centers, fifteen offender work centers, state-wide probation and parole operations. Community Corrections is responsible for implementing community corrections functions as specified by statute. This also involves 6 probation and parole district offices.

The Division of Community Sentencing and Offender Information Services involves the responsibility for the Community Service Sentencing Program and thirty-six state-wide planning councils. Grant Administration is also contained within this division.

STATUTORY REFERENCES

Program Name	Statutory Reference
1 through 17 - Prison Operations	57 S501 and S510
21 - Probation and Parole Supervision	57 S512 and S515, 22 S991a
31 - Community Corrections Centers & Work Centers	57 S543, 57 S510.1, 57 S504.7, 57 S510.A14, and 57 S563
42 - Prison Industries - Agriculture & Manufacturing	57 S510, S549, S549.1
51 - Offender Programs	O. S. 57 S510, 57 S561, 57 S509.4
56 - Contracted Services - Private Inmate Facilities	57 S561
61 - Central Office Operations	57 S510.A.7-16
63 - Health Services	57 S561.A.
60 General Operations	57 S510.A.16
62 Divisional Office Operations	57 S501 and S510
52 Community Sentencing	22 S987.8 and S991a-2

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 1 through 17 - Prison Operations

Goal: The Field Operations Division will create a culture that empowers individuals, encourages team work, employs best practices, and embraces diversity through: Employment of best practices to efficiently and effectively ensure secure management of sentenced offenders in correctional facilities; Proper classification of offenders based on security and programmatic needs; Empowerment of individuals to ensure sound correctional policies, procedures, and practices; Encouragement of team work by monitoring private prisons to ensure consistency; and Meaningful employment skills for successful reentry to society.

- * Reduce the number of institutional escapes (Outcome Measurement).

Description: Oklahoma has approximately 58 percent of its public and contract bed space designated as secure beds. Most offender escapes occur at non-secure facilities. While offender action is difficult to predict, good classification systems reduce risk and increase public safety by ensuring offenders with the greatest potential for escape are appropriately classified. The goal will be to keep lower security offender escapes below historical trends and offenders housed in secure facilities at a zero escape rate.

Includes all security levels including Community Corrections.

Number of escapes	135	125	115	110
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- * Decrease the number of offender assaults on staff (Outcome Measurement).

Description: A well-managed correctional system that properly classifies its offender population, employs a professional and well-trained staff, and utilizes its resources efficiently reduces physical risk for both the staff who work there and the offenders who live there. The number of assaults on staff is one measurement of the security of a facility. While zero assaults are not realistic, it is the ultimate goal.

Assaults on staff	43	17	20	15
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- * Reduce the number of institutional escapes (Outcome Measurement).

Description: Oklahoma has approximately 58 percent of its public and contract bed space designated as secure beds. Most offender escapes occur at non-secure facilities. While offender action is difficult to predict, good classification systems reduce risk and increase public safety by ensuring offenders with the greatest potential for escape are appropriately classified. The goal will be to keep lower security offender escapes below historical trends and offenders housed in secure facilities at a zero escape rate.

Maximum/Medium escapes	0	0	0	0
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- * Number of minimum institution escapes. An (Outcome Measurement) that acts as a determinate for security measures and their effectiveness.

Oklahoma has approximately 58 percent of its public and contract bed space designated as secure beds. Most inmate escapes occur at non-secure facilities. One of the goals of a good classification system with periodic review is placement of offenders at the lowest classification level commensurate with the inmate's propensity to offend while incarcerated in a correctional institution. While offender action is difficult to predict, good classification systems reduce risk and increase public safety by ensuring offenders with the greatest potential for escape are appropriately classified.

Minimum escapes	14	10	5	5
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Goal: Through employing best practices, embracing diversity, and encouraging teamwork, the division will create a cost-effective, results-driven, integrated delivery system of treatment and rehabilitative services that empowers offenders to become healthy, law-abiding individuals.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 1 through 17 - Prison Operations

Goal: Through employing best practices, embracing diversity, and encouraging teamwork, the division will create a cost-effective, results-driven, integrated delivery system of treatment and rehabilitative services that empowers offenders to become healthy, law-abiding individuals.

- * Reduce the number of female offender receptions (Outcome Measurement).

Description: With regard to the incarceration rate of females in Oklahoma, gaps have been identified related to the availability of programs, as well as consistency in number of achievement credits awarded for those programs; needs and custody assessment processes; offender movement through the system; continuity of mental health services and other treatment programs within the agency and the community; identification of outcome measures; family reunification efforts; career technology training; employment making a livable wage; and diversionary alternatives. The Division of Female Offender Operations has identified specific short-term and long-term strategies for addressing these gaps.

Female offender management	1285	1393	1255	1240
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Program: 21 - Probation and Parole Supervision

Goal: Through empowering individuals, encouraging teamwork, employing best practices, and embracing diversity, the Division of Community Corrections will reduce the number of offenders who are accelerated or revoked to prison from probation or parole supervision by 2 percent and reduce the number of offenders involved in drug/alcohol related incidents by 5 percent annually.

- * Reduce the number of offenders under community supervision who are accelerated or revoked to prison (Outcome Measurement).

Description: The key performance measure will be the number of offenders under community supervision (probation and parole offenders supervised by officers in the Division) who are accelerated or revoked to prison. This measure will be monitored on a monthly basis and tabulated from each district's reported revocations for the month.

Accelerated or Revoked	1705	1682	1970	1931
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Program: 31 - Community Corrections Centers & Work Centers

Goal: Secure Management of Sentenced Offenders in Correctional Facilities

- * Decrease the number of offenders involved in drug/alcohol related incidents by 5 percent (Outcome Measurement).

Substance related incidents	37	45	43	41
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Program: 42 - Prison Industries - Agriculture & Manufacturing

Goal: The Field Operations Division will create a culture that empowers individuals, encourages team work, employs best practices, and embraces diversity through: Employment of best practices to efficiently and effectively ensure secure management of sentenced offenders in correctional facilities; Proper classification of offenders based on security and programmatic needs; Empowerment of individuals to ensure sound correctional policies, procedures, and practices; Encouragement of team work by monitoring private prisons to ensure consistency; and Meaningful employment skills for successful reentry to society.

- * Fiscal year sales dollars of Oklahoma Correctional Industry products (Outcome Measurement).

Correctional Industries historically averages an employment base of 1,025 offenders in 21 varied industrial and administrative activities at eleven correctional facilities producing a variety of products for the state of Oklahoma and other qualified customers such as county and local government, municipalities and non-profit and charitable organizations. This key performance measure is based on fiscal year dollar sales.

Sales of Manufactured Items	\$19.6 million	\$14.8 million	\$18.0 million	\$18.5 million
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated

Program: 42 - Prison Industries - Agriculture & Manufacturing

Goal: The Field Operations Division will create a culture that empowers individuals, encourages team work, employs best practices, and embraces diversity through: Employment of best practices to efficiently and effectively ensure secure management of sentenced offenders in correctional facilities; Proper classification of offenders based on security and programmatic needs; Empowerment of individuals to ensure sound correctional policies, procedures, and practices; Encouragement of team work by monitoring private prisons to ensure consistency; and Meaningful employment skills for successful reentry to society.

- * Fiscal year sales dollars of Oklahoma Agricultural Services products (Outcome Measurement).
On average, 450 offenders work at the Agricultural Services Division's ten farms and the Meat Processing Center. This program is a fully integrated production effort that provides all the meat and dairy products consumed by the Department. While not totally self-supporting, The Agricultural Services Division does efficiently provide needed food products at cost for institutional use while providing agricultural industry experience to inmates for future job opportunities upon release.

Sales in Agriculture	\$8.5 million	\$9.5 million	\$9.7 million	\$10.1 million
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- * Number of inmates employed (Outcome Measurement).
Many offenders who enter prison do so because they have poor work habits and no job skills. A well-managed correctional industries program is both a work program and a skills program that facilitates an offender's successful return to society.

Inmates employed	375	360	365	385
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Program: 51 - Offender Programs

Goal: Through employing best practices, embracing diversity, and encouraging teamwork, the division will create a cost-effective, results-driven, integrated delivery system of treatment and rehabilitative services that empowers offenders to become healthy, law-abiding individuals.

- * Description: Low risk offenders, by the very nature of their crime and punishment, are unlikely to return to prison upon release. However, high and moderate risk offenders have significant criminogenic needs that unless identified and addressed will likely result in future criminal behavior upon their return to society. Recidivism, while impacted by numerous factors besides those addressed during an offender's period of incarceration, is a byproduct of how difficult it is for an offender to successfully re-enter society and how ready the offender is to follow an acceptable path of behavior.

Key Performance Measures

KPM: Increase the number of offenders completing substance abuse treatment (Outcome Measurement).

Complete Treatment	1188	1558	1100	1100
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- * Increase the number of offenders receiving educational services (Outcome Measurement).

Educational Services	8087	8045	7500	7500
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- * Increase the participants in the reentry wraparound model (Outcome Measurement).

Reentry Wraparound	198	140	150	150
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Goal: The Field Operations Division will create a culture that empowers individuals, encourages team work, employs best practices, and embraces diversity through: Employment of best practices to efficiently and effectively ensure secure management of sentenced offenders in correctional facilities; Proper classification of offenders based on security and programmatic needs; Empowerment of individuals to ensure sound correctional policies, procedures, and practices; Encouragement of team work by monitoring private prisons to ensure consistency; and Meaningful employment skills for successful reentry to society.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated

Program: 51 - Offender Programs

**Goal: The Field Operations Division will create a culture that empowers individuals, encourages team work, employs best practices, and embraces diversity through: Employment of best practices to efficiently and effectively ensure secure management of sentenced offenders in correctional facilities;
Proper classification of offenders based on security and programmatic needs;
Empowerment of individuals to ensure sound correctional policies, procedures, and practices;
Encouragement of team work by monitoring private prisons to ensure consistency; and
Meaningful employment skills for successful reentry to society.**

- * Increase the percentage of offenders at earned credit Levels 3 and 4 (Efficiency Measurement).

Description: Earned credit level assignments are determined by an adjustment review committee/unit treatment team at the offender's facility based upon the desired behavior in all areas of institutional life: work attendance and productivity, conduct record, program participation, cooperative general behavior, and appearance of self and living area. Offenders at the two highest credit levels (3 and 4) are awarded earned credits that, when applied to an offender's sentence, reduce actual incarceration time.

Credit Level 3 and 4	62.7%	62.8%	64.0%	66.0%
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- * Increase the number of offenders moved to lower security (Outcome Measurement).

Description: Most sentenced offenders eventually re-enter society. The timely identification and meeting of offender criminogenic needs through the individual accountability plan directly impacts the flow of offenders throughout the system and their eventual release and re-entry. Offenders who have the initiative to complete their identified needs are a priority when transferring to lower security.

Security Level Move	11,154	10,462	10,400	10,700
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Program: 52 Community Sentencing

Goal: By employing best practices, embracing diversity, and encouraging teamwork, the effective use of Community Sentencing as an alternative to incarceration will be encouraged.

- * Ensure 1,500 offenders receive a community sentence each year through continuing education of Community Sentencing Systems and judges (Outcome Measurement).

Level of participation	1,500	1,570	1,500	1,500
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- * Maintain offenders success rate at 75 percent or greater through continuing education of Community Sentencing providers (Outcome Measurement).

Success Rate	87%	87%	87%	87%
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Program: 56 - Contracted Services - Private Inmate Facilities

**Goal: The Field Operations Division will create a culture that empowers individuals, encourages team work, employs best practices, and embraces diversity through: Employment of best practices to efficiently and effectively ensure secure management of sentenced offenders in correctional facilities;
Proper classification of offenders based on security and programmatic needs;
Empowerment of individuals to ensure sound correctional policies, procedures, and practices;
Encouragement of team work by monitoring private prisons to ensure consistency; and
Meaningful employment skills for successful reentry to society.**

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated

Program: 56 - Contracted Services - Private Inmate Facilities

Goal: The Field Operations Division will create a culture that empowers individuals, encourages team work, employs best practices, and embraces diversity through: Employment of best practices to efficiently and effectively ensure secure management of sentenced offenders in correctional facilities; Proper classification of offenders based on security and programmatic needs; Empowerment of individuals to ensure sound correctional policies, procedures, and practices; Encouragement of team work by monitoring private prisons to ensure consistency; and Meaningful employment skills for successful reentry to society.

- * Increase serious incident monitoring and reporting requirements for private prisons housing non-Oklahoma offenders (Outcome Measurement).

Description: Reporting of serious incidents by private prisons housing non-Oklahoma offenders will provide an improved assessment of conditions within the facilities, as well as provide a mechanism whereby problematic areas may be identified and more quickly addressed. This is a new key performance measure. There is no data available for Fiscal Year 2007 and Fiscal Year 2008.

On State Offender Monitoring	2.64	2.77	2.14	1.89
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- * Reduce the aggregate serious incident rate among private prisons housing Oklahoma offenders (Outcome Measurement).

Description: The average number of serious incidents per month will provide an accurate assessment of the climate of the facility. Increased serious incidents indicate a breakdown of communication and lack of focus on security within the facility. Proper attention to these factors will reduce the situations from which serious incidents occur.

Serious incident rate	7.16	7.11	7.06	7.01
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Program: 60 General Operations

Goal: Goals and performance measures for this program are displayed in Central Office Operations

Program: 61 - Central Office Operations

Goal: At all levels throughout the department, improve staff awareness in regard to Employee Grievance Resolution Procedures thereby increasing cognizance as to what constitutes appropriate workplace behavior and what steps to take should one feel victimized.

- * ACTION PLAN: Create a questionnaire to establish the current awareness level and randomly distribute and retrieve (the appropriate number to be determined). Once the questionnaire has been developed, ask the head administrator of all divisions/units to verify that all employees in their chain of command have been afforded access to: Operations Memorandum No. OP-110214 entitled, "Workplace Violence"; Operations Memorandum No. OP-110215 entitled, "Rules Concerning the Individual Conduct of Employees"; and Operations Memorandum No. OP-110205 entitled, "Employee Grievance Resolution Procedures." Create and randomly distribute a brochure hi-lighting appropriate and inappropriate workplace behavior. Periodically randomly re-distribute the questionnaire to staff.

Key Performance Measure

KPM: Improve the results of each subsequent questionnaire by 5 percent over the previous survey (Outcome Measurement).

Awareness Level Questionnaire	Est Baseline	Dev Tool Kit	+5% over FY2010	+5% over FY2011
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Goal: The Administrative Services Division will empower individuals, encourage teamwork, employ best practices, and embrace diversity by providing efficient and effective support services that meet operational needs.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 61 - Central Office Operations

Goal: The Administrative Services Division will empower individuals, encourage teamwork, employ best practices, and embrace diversity by providing efficient and effective support services that meet operational needs.

- * Turnover rate of correctional officer positions. An (Outcome Measurement) evaluating retention effectiveness.

There are a number of positions within the correctional environment that are difficult to fill, hard to retain, yet critical to maintaining public safety because they place great stress on staff and often do not offer great economic reward. Correctional officers, probation and parole officers, and fugitive apprehension agents are just some of these critical positions where if turnover rates increase too much important experience is lost with a resultant increase in institutional and public safety risk.

Critical Turnover Rate	13.61%	15.42%	13.00%	13.00%
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- * Decrease claims processing time for vendor payment (Outcome Measurement).

Description: This key performance measure reports the number of days between the receipt of a vendor's invoice and the payment of that invoice as an average of all invoices processed during the year for the agency as a whole. All invoices are processed through the accounts payable section of the Finance and Accounting Unit after being received and approved by the individual field business offices. Title 62 O.S., Section 41.4a requires that invoices be processed within 45 days of receipt, however most vendors expect payment within thirty days. Quick and efficient processing maintains a good relationship with vendors and helps produce accurate and timely expenditure data for management reporting.

Claim Processing Time	36.47 days	30.69 days	28.00 days	28.00 days
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- * Increase annual federal grant funds received (Outcome Measurement).

Description: Annual review of federal grant awards received during each state fiscal year will show consistent growth.

Grants	\$2,720,189	\$4,112,338	\$3,840,596	\$3,290,596
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- * Reduce the number of recordable injuries/illnesses by employees (Outcome Measurement).

Description: Better workplace safety leads to fewer injuries, and fewer injuries directly affect the agency's worker compensation rates. Increased safety training and awareness at facilities is a key component to fewer injuries and investigations into accidents and near misses to determine root cause will also aid in lowering the number of recordable injuries agency wide. The data below is based on a 2.0 percent reduction per calendar year for worker compensation claims for the agency.

*The current figures are based off data collected during calendar year.

Reduce Injuries/Illnesses	231	282	276	270
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- * : Increase the percentage of correctional officers who complete their probationary periods and receive career progressions following training (Outcome Measurement).

Description: This key performance measure includes: (1) The number of correctional officers who completed their pre-service training and probationary periods, and (2) the percentage who currently remain with the agency (data obtained through June 30, 2008). It should be noted that not all those remaining with the agency continued in the correctional officer ranks.

CO's completing probation	83%	81%	80%	80%
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 61 - Central Office Operations

Goal: The Administrative Services Division will empower individuals, encourage teamwork, employ best practices, and embrace diversity by providing efficient and effective support services that meet operational needs.

- * Increase the percentage of leadership development participants who continue employment with the department after completion of two or more levels of training (Outcome Measurement).

Description: An organization must ensure the investment in developing future leaders is maintained and those indentified for career enhancement opportunities benefit the agency through the continuation of their services. Effective leadership training is a cornerstone of retention.

This key performance measure includes: (1) The number of employees who completed their second or higher level of leadership training in the year indicated, and (2) the percentage that currently remain employed with the agency (data obtained through June 30, 2008).

Leadership Development	98%	98%	90%	90%
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- * Maintain the percentage of filled full-time equivalents (FTE) (Outcome Measurement).

Description: The number of filled FTE will be maintained at the Fiscal Year 2008 level.

Filled FTE level	4,653.70	4,357.20	4,824.00	4,824.00
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- * Meet the minimum time for responding to customer requests statewide 95 percent of the time (Outcome Measurement).

Description: Customer requests (personal computer failure, network access, new application development, etc.) are primary elements in assignment of personnel within the Information Technology Unit. Response times are assigned based upon the criticality and type of request. These requests can be submitted by any of the Oklahoma Department of Corrections offices throughout the state. Responding to these requests within established time frames is critical to the Information Technology Unit being perceived as providing quality support. Response times are tracked by automated help desk software.

Help desk response	92%	94%	95%	95%
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- * Attain 99.9 percent availability for all telecommunications, information, and telephone systems under the Information Technology Unit control (Outcome Measurement).

Description: Availability is defined as the amount of system up time during normal operating hours for that system. Availability of systems is attained by ensuring the systems are operating properly and accessible by customers when needed. Availability is achieved by implementing redundant systems, protection from cyber attacks, quick restoral of systems after unplanned outages, and performance of maintenance during off hours.

System Availability	99.2%	99.6%	99.7%	99.8%
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- * Actual annual procurement savings by following efficient purchasing procedures (Outcome Measurement). For every transaction handled through the purchasing process, a computed savings is identified that reflects the difference between the high bid cost and the actual purchase cost. This computed savings reflects an actual efficiency of following state and department purchasing policies and law.

*NOTE: Statutory changes exempting certain raw material purchases for OCI, now bid and awarded by the DOC Purchasing Unit caused the savings figure to increase from previous years.

Procurement savings	\$3,802,719	\$1,578,177	\$1,500,000	\$1,500,000
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 61 - Central Office Operations

Goal: The Administrative Services Division will empower individuals, encourage teamwork, employ best practices, and embrace diversity by providing efficient and effective support services that meet operational needs.

- * Decrease the amount of agency carry-over funds (Outcome Measurement).

Description: The amount of carryover funds is an indication of how responsibly an agency conducts business and the accuracy of its budgeting processes. The department has had issue with carryover in past years, partly because supplemental appropriations made by the legislature were made late in the fiscal year. The goal is for each year's carryover to be less than or equal to the previous year.

Carryover Funds	\$8,942,816	\$5,000,000	\$5,000,000	\$5,000,000
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Goal: The Field Operations Division will create a culture that empowers individuals, encourages team work, employs best practices, and embraces diversity through: Employment of best practices to efficiently and effectively ensure secure management of sentenced offenders in correctional facilities; Proper classification of offenders based on security and programmatic needs; Empowerment of individuals to ensure sound correctional policies, procedures, and practices; Encouragement of team work by monitoring private prisons to ensure consistency; and Meaningful employment skills for successful reentry to society.

- * Maintain 100 percent compliance with American Correctional Association national accreditation standards (Outcome Measurement).

Description: The accreditation process is an ongoing monitoring assessment which reflects best practices and affords the agency the opportunity to evaluate agency policy, practices and includes a clear assessment of strengths and weaknesses with reduced liability by requiring adherence to nationally recognized standards for fire, safety, health and training. The accreditation cycle is a three-year evaluation which demonstrates ongoing compliance levels.

Standards Compliance	100%	100%	100%	100%
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- * Ensure 100 percent of the agency's policies and procedures are reviewed annually and in compliance with legal and accreditation requirements (Outcome Measurement).

Description: Ensure agency policies and procedures are reviewed on an annual basis by the responsible unit, as well as stakeholders who are impacted by the defined process. Provide all staff the ability to make necessary improvements when deficiencies are recognized. Ensure the distribution process provides accessibility to policies and procedures. Ensure language defines the current process in place to demonstrate compliance with agency policy, as well as legal and accreditation requirements. Ensure provisions are in place for the timely distribution and availability of approved policy and procedures from the Board of Corrections and the director.

Annual Reviews	100%	100%	100%	100%
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Goal: Through Empowering Individuals, Encouraging Teamwork, Employing Best Practices, and Embracing Diversity, Executive Communications will create a more efficient and effective method of educating the public that can be tailored to specific audiences.

- * Increase awareness of the public and other stakeholders about the Oklahoma Department of Corrections (Outcome Measurement)

Description: Awareness will be measured by comparing post-assessment questionnaire results to pre-assessment questionnaire results. Cumulative post-assessment results will represent a 5 percent improvement when compared to cumulative pre-assessment results.

Awareness	N/A	Dev Tool Kit	+5% over FY2010	+5% over FY2011
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Program: 62 Divisional Office Operations

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 62 Divisional Office Operations

Goal: The Field Operations Division and Community Corrections will create a culture that empowers individuals, encourages team work, employs best practices, and embraces diversity through: Employment of best practices to efficiently and effectively ensure secure management of sentenced offenders in correctional facilities; Proper classification of offenders based on security and programmatic needs; Empowerment of individuals to ensure sound correctional policies, procedures, and practices; Encouragement of team work by monitoring private prisons to ensure consistency; and Meaningful employment skills for successful reentry to society.

Measures are contained in 1 - 17 and 21, 31, 51.

Program: 63 - Health Services

Goal: Through employing best practices, embracing diversity, and encouraging teamwork, the division will create a cost-effective, results-driven, integrated delivery system of treatment and rehabilitative services that empowers offenders to become healthy, law-abiding individuals.

- * Maintain emergency room visits for non-emergency conditions at less than 5 percent of visits reviewed (Efficiency Measurement).

Emergency room visits	4.06%	1.8%	5.00%	5.00%
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- * Increase the number of offenders discharged through Integrated Services Discharge (Outcome Measurement).

Description: The Oklahoma Department of Corrections and the Oklahoma Department of Mental Health and Substance Abuse Services (ODMHSAS) collaborative Mental Health Reentry Program transitions incarcerated offenders with serious mental illness into appropriate community based mental health services in the community. Reentry Intensive Care Coordination Teams are under ODMHSAS contracts to be responsible for engaging with the offender with serious mental illness prior to discharge and then working with them in the community until they are fully participating in the appropriate community based mental health and substance abuse services.

Reentry Services	136	150	175	200
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- * Maintain the number of offender suicides to below the national average (15 suicides per 100,000 offenders). (Outcome Measurement)

Description: This key performance measure indicates the rate of offender suicides per 100,000.

Offender Suicides	8	12	14	14
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- * Increase the number of incarcerated offenders provided enhanced integrated, co-occurring treatment services (Outcome Measurement).

Description: This key performance measure indicates the number of offenders identified with co-occurring disorder who received treatment.

Treatment Services	170	429	550	700
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		Actual	Actual	Budgeted
10X	Constitutional Reserve Fund	0	0	50,000
19X	General Revenue	491,547	480,448	382,143
200	Dept of Corrections Revolving	21,560	14,570	7,185
205	Inmate & Emp. Welfare and Cant	2,176	4,385	997
210	Community Sentencing Revolving	172	274	449
280	Prision Industries Fund	30,161	21,920	33,321
410	Federal Funds - Title 1	917	1,066	1,202
430	Agency Relationship Fund	229	371	1,577
490	ARRA	0	516	909
57X	Special Cash	20,000	7,197	30,000
Total Expenditures by Fund		\$566,762	\$530,747	\$507,783

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		Actual	Actual	Budgeted
Salaries and Benefits		297,751	288,283	273,606
Professional Services		116,002	111,341	103,334
Travel		1,403	811	747
Lease-Purchase Expenditures		444	240	201
Equipment		21,785	10,392	12,328
Payments To Local Govt Subdivisions		35	163	35
Other Operating Expenses		129,345	119,518	117,534
Total Expenditures by Object		\$566,765	\$530,748	\$507,785

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009	FY-2010	FY-2011
		Actual	Actual	Budgeted
1	Jess Dunn C C			
11100	Jess Dunn C C	10,655	10,788	10,944
	Total Jess Dunn C C	10,655	10,788	10,944
2	Jim E Hamilton C C			
11100	Jim E Hamilton CC	9,292	8,052	8,223
	Total Jim E Hamilton C C	9,292	8,052	8,223
3	Mack Alford C C			
11100	Mack Alford C C	11,642	11,253	10,713
	Total Mack Alford C C	11,642	11,253	10,713
4	Howard McLeod C C			

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's	
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
4	Howard McLeod C C			
11100	Howard McLeod C C	8,718	7,955	7,814
	Total Howard McLeod C C	8,718	7,955	7,814
5	Oklahoma State Penitentiary			
11100	Oklahoma State Penitentiary	25,768	25,476	24,481
11101	Rodeo	25	15	0
	Total Oklahoma State Penitentiary	25,793	25,491	24,481
6	Lexington A&R Center			
11100	Lexington A&R Center	15,300	15,479	15,132
11101	Friends for Folks	3	6	5
	Total Lexington A&R Center	15,303	15,485	15,137
7	Joseph Harp C C			
11100	Joseph Harp C C	17,034	15,292	14,588
	Total Joseph Harp C C	17,034	15,292	14,588
8	Dick Conner C C			
11100	Dick Conner C C	14,065	13,899	13,396
	Total Dick Conner C C	14,065	13,899	13,396
9	Mabel Bassett C C			
11100	Mabel Bassett C C	11,844	11,572	11,125
11101	MBCC Con & Renovation	2	262	500
	Total Mabel Bassett C C	11,846	11,834	11,625
10	Oklahoma State Reformatory			
11100	Oklahoma State Reformatory	12,860	13,443	12,992
11101	Upholstery	0	0	10
	Total Oklahoma State Reformatory	12,860	13,443	13,002
11	James Crabtree C C			
11100	James Crabtree CC	11,476	11,372	11,003
11101	Wild Mustang	2	0	0
	Total James Crabtree C C	11,478	11,372	11,003
12	John Lilly C C			
11100	John Lilley C C	8,985	8,123	7,736
	Total John Lilly C C	8,985	8,123	7,736
13	Jackie Brannon C C			
11100	Jackie Brannon C C	7,758	7,675	7,370
	Total Jackie Brannon C C	7,758	7,675	7,370
14	William S. Key C C			
11100	William S. Key C C	10,133	9,444	9,022
	Total William S. Key C C	10,133	9,444	9,022
15	Northeastern Ok Correction Ctr			
11100	Northeast Oklahoma C C	7,569	7,179	7,008
	Total Northeastern Ok Correction Ctr	7,569	7,179	7,008
16	Eddie Warrior C C			
11100	Eddie Warrior C.C.	7,626	7,797	7,477
11101	Frame Shop	3	4	4
	Total Eddie Warrior C C	7,629	7,801	7,481
17	Bill Johnson C.C.			
11100	Charles E. Johnson C.C.	6,892	6,327	6,283
CORRECTIONS DEPARTMENT		- 6 -	SAFETY AND SECURITY	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
Total Bill Johnson C.C.	6,892	6,327	6,283
21 Probation and Parole Services			
21100 Probation & Parole Supervision	1	0	0
21101 Northeast Dist Community Corr	4,485	4,039	4,113
21102 Tulsa County District Comm Cor	4,726	4,527	4,446
21103 Southeast District Comm Corr	4,822	4,644	4,115
21104 Southwest District Comm Corr	4,755	4,490	3,966
21105 Northwest District Comm Corr	4,749	4,387	3,969
21106 Central District Community Cor	7,481	6,636	6,118
21107 P&P Equipment	427	135	0
21108 P&P Equipment	0	0	0
21109 Parole Conditions	210	260	0
Total Probation and Parole Services	31,656	29,118	26,727
31 Community Corrections			
31100 Community Corrections Centers	0	0	0
31101 Enid CCC	2,158	2,318	1,563
31102 Hillside CCC	2,227	1,755	1,881
31103 Female Offender Community Corr	873	834	729
31104 Kate Barnard CCC	1,836	1,405	1,547
31105 Lawton CCC	2,190	2,319	1,826
31106 Muskogee CCC	1,670	1,495	1,208
31107 Oklahoma City CCC	1,800	1,990	1,881
31108 Union City CCC	2,125	2,430	2,235
31109 Clara Waters CCC	1,838	2,072	1,839
31110 Clara Waters CCC Reconstructio	650	238	125
31111 Okla Co Res. Svcs-North	230	424	405
31112 Northwest District CWC Support	0	110	319
31201 Altus CWC	970	1,228	834
31202 Ardmore CWC	863	810	794
31203 Beaver CWC	632	618	564
31204 Elk City CWC	917	948	821
31205 Frederick CWC	975	906	824
31206 Carter County CWC	743	755	685
31207 Hobart CWC	990	783	760
31208 Earl Davis CWC	1,189	1,028	843
31209 Hollis CWC	778	697	550
31210 Idabel CWC	854	836	744
31211 Mangum CWC	828	780	704
31212 Madill CWC	695	743	661
31213 Sayre CWC	744	786	629
31214 Walters CWC	723	726	718
31215 Waurika CWC	640	625	578
Total Community Corrections	30,138	29,659	26,267
42 Prison Industries			
42100 Agri-Services Administration	636	814	10,466
42101 Agri-Services/JDCC	406	358	0
42102 Agri-Services/MACC	254	144	0
42103 Agri-Services/HMCC	488	416	0
42104 Agri-Services/LARC	282	215	0
42105 Agri-Services/OSR	598	546	0

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
42	Prison Industries		
42106	Agri-Services/JCCC	1,740	0
42107	Agri-Services/JLCC	709	0
42108	Agri-Services/JBCC	3,005	0
42109	Agri-Services/WKCC	790	0
42110	Agri-Services/NEOCC	339	0
42111	Agri-Services/JBCC Meat	2,966	0
42140	Connor Inmate Payroll	0	0
42200	OCI Administration	1,435	24,873
42201	OCI/Accounting	212	0
42202	OCI/Marketing	468	0
42203	OCI/OSP	101	0
42204	OCI/JHCC	2,553	0
42205	OCI/JLCC	1,307	0
42206	OCI/LARC	2,041	0
42207	OCI/MACC	1,954	0
42208	OCI/DCCC	7,560	0
42209	OCI/MBCC	423	0
42210	OCI/OSR	1,101	0
42211	OCI/JCCC	213	0
42212	OCI/EWCC	5	0
42213	OCI/Muskogee CCC	734	0
	Total Prison Industries	32,320	35,339
51	Offender Programs		
51100	Offender Programs Admin	22	0
51101	Offender Programs Unit	1,645	1,494
51102	Classification Unit	2,165	2,161
51103	Sentence Administration	1,164	895
51104	Classification & Program Admin	354	275
51200	Rehabilitation	191	0
51202	Electronic Monitoring	938	1,012
51205	Vio Offender Re-entry	10	520
51207	RSAT	1,329	1,075
51208	Byrne Grant WKCC	516	392
51209	Bullet Proof Vests	24	150
51210	Substance Abuse BJCC	245	255
51212	Substance Abuse MBCC	177	171
51215	John Lilley CC Substance Abuse	700	0
51216	TRS Faith & Character	25	20
51217	Female Intervention&Diversion	0	650
51218	2nd Chance Re-entry Grant	0	1,125
51300	Education	6,839	6,329
51301	Enid Learning Center	52	0
51302	Library	23	23
51303	ABE	216	254
51304	Chapter 1	330	265
51305	Dept of Education Grant	346	600
51307	Special Ed Idea	18	38
51308	Transforming Lives Network/TLN	0	25
51400	Sex Offender Programs	3	0
51401	Sex Offender JDCC	43	0
51402	Sex Offender JHCC	8	0

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's	
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
51	Offender Programs			
51404	Sex Offender P&P/CCC	106	101	0
	Total Offender Programs	17,489	17,175	17,729
52	Community Sentencing			
52100	Community Sentencing Admin	2,921	2,030	5,221
52101	Comm Service Sentencing Prog	218	0	0
52107	Unit 025	18	27	0
52109	Unit 027	218	184	0
52110	Unit 028	2	0	0
52114	Unit 034	45	18	0
52115	Unit 035	1	0	0
52116	Unit 037	90	122	0
52117	Unit 038	0	2	0
52118	Unit 039	94	136	0
52119	Unit 180	112	108	0
52120	Unit 181	25	12	0
52122	Unit 183	142	98	0
52123	Unit 184	1,358	1,202	0
52124	Unit 185	101	111	0
52125	Unit 186	10	70	0
52127	Unit 188	101	107	0
52128	Unit 189	152	147	0
52129	Unit 190	47	96	0
52130	Unit 191	1,553	1,335	0
52131	Unit 192	105	85	0
52132	Unit 193	60	39	0
52133	Unit 194	58	8	0
52134	Unit 195	264	280	0
52135	Unit 196	265	253	0
52136	Unit 197	54	54	0
52137	Unit 198	1	4	0
52138	Unit 199	34	14	0
52139	Unit 200	29	35	0
52140	Unit 201	56	59	0
52141	Unit 202	19	17	0
	Total Community Sentencing	8,153	6,653	5,221
56	Contracted Services			
56100	Halfway Houses	18,120	14,015	13,553
56101	Female Halfway Houses	0	1,863	1,831
56200	County Jails	7,599	5,574	7,219
56201	Jail Backup	12,734	18,236	11,383
56300	Prison Facilities	78,216	77,116	72,399
56400	Private Prison, Jails & Safety	1,087	896	976
	Total Contracted Services	117,756	117,700	107,361
60	General Operations			
60100	General Administration	19,372	15,741	11,886
60101	Hillside CCC Renovation	24	0	0
60880	Information Tech Pass-through	1,500	641	1,735
	Total General Operations	20,896	16,382	13,621
61	Central Office Operations			
61100	Administration	20	0	0
CORRECTIONS DEPARTMENT		- 6 -	SAFETY AND SECURITY	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
61	Central Office Operations		
61101	1,263	1,003	1,289
61102	420	407	333
61103	999	960	1,055
61104	616	503	277
61105	2,278	3,137	2,610
61106	560	568	483
61107	525	499	344
61108	2,082	1,724	1,640
61109	2,376	1,982	1,970
61110	778	406	0
61111	734	466	0
61113	780	540	571
61114	612	512	464
61115	2,077	3,100	2,563
61116	298	257	263
61117	1,854	1,650	1,604
61118	1,918	2,062	1,791
61119	1,173	1,024	883
61880	21	0	0
61882	3,796	3,717	4,345
61883	769	587	706
	<u>25,949</u>	<u>25,104</u>	<u>23,191</u>
	Operations		
62	Divisional Office Operations		
62100	11,732	5,616	4,116
62200	2,478	1,942	1,628
62300	2,989	2,988	3,074
62400	212	266	0
62600	174	637	613
	<u>17,585</u>	<u>11,449</u>	<u>9,431</u>
	Operations		
63	Health Services		
63100	39,101	34,858	39,312
63103	1,038	948	506
63104	832	786	348
63105	1,970	1,833	1,178
63106	1,950	2,366	2,204
63107	1,727	1,908	1,220
63108	802	772	378
63109	845	759	397
63111	901	754	414
63112	702	697	317
63113	873	743	388
63114	765	820	318
63115	1,691	1,588	1,005
63116	1,818	1,963	1,151
63117	1,077	1,085	556
63118	973	923	457
63119	923	902	406
63120	582	590	277
63121	463	516	140
63122	121	97	57

CORRECTIONS DEPARTMENT

- 6 -

SAFETY AND SECURITY

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
63 Health Services			
63123 Oklahoma County Medical	1,069	1,048	597
63124 Tulsa Halfway House Medical	137	0	0
63125 Union City CCC Medical	79	83	54
63126 Mental Health	6,723	6,184	5,390
Total Health Services	67,162	62,223	57,070
79 Clearing and ASA Department			
99999 Clearing and ASA Department	0	0	0
Total Clearing and ASA Department	0	0	0
Total Expenditures by Activity	\$566,756	\$530,745	\$507,783

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1 Jess Dunn C C	146.0	139.0	154.7
2 Jim E Hamilton C C	128.0	118.0	110.9
3 Mack Alford C C	155.0	153.0	149.6
4 Howard McLeod C C	120.0	110.0	101.3
5 Oklahoma State Penitentiary	357.0	350.0	380.2
6 Lexington A&R Center	211.0	203.0	215.3
7 Joseph Harp C C	225.5	220.0	207.2
8 Dick Conner C C	183.4	177.0	178.8
9 Mabel Bassett C C	166.0	135.0	156.9
10 Oklahoma State Reformatory	181.0	169.0	189.7
11 James Crabtree C C	157.0	157.0	154.7
12 John Lilly C C	122.0	116.0	103.2
13 Jackie Brannon C C	101.0	106.0	105.0
14 William S. Key C C	124.6	127.0	113.1
15 Northeastern Ok Correction Ctr	109.0	88.0	100.6
16 Eddie Warrior C C	109.0	100.0	106.5
17 Bill Johnson C.C.	104.0	95.0	89.7
21 Probation and Parole Services	459.0	410.6	363.9
31 Community Corrections	415.0	378.0	316.1
42 Prison Industries	134.0	118.0	124.8
51 Offender Programs	171.0	141.9	177.8
52 Community Sentencing	25.0	25.0	27.6
56 Contracted Services	13.0	13.0	10.6
61 Central Office Operations	315.5	292.5	267.5
62 Divisional Office Operations	66.0	59.0	77.9
63 Health Services	371.3	356.2	319.5
Total FTE	4,669.3	4,357.2	4,303.1
Number of Vehicles	1147	1137	1020

OUTSTANDING DEBT	\$000's		
	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Lease-purchase obligations	0	0	0
Revenue bond issues	35,080	33,180	31,224
Other debt	0	0	0
Total Outstanding Debt	<u><u>\$35,080</u></u>	<u><u>\$33,180</u></u>	<u><u>\$31,224</u></u>

DEPARTMENT OF EMERGENCY MANAGEMENT (309)

MISSION

The mission of the Department of Emergency Management is to minimize the effects of attack, technological and natural disasters upon the people of Oklahoma by preparing and exercising preparedness plans, assisting local government sub-divisions with training for and mitigation of disasters, and by coordinating actual disaster response and recovery operations.

DUTIES/RESPONSIBILITIES

In addition to the preparation and implementation of disaster response/aid plans, the department is responsible for supervising and administering the annual Comprehensive Cooperative Agreement (CCA), a joint federal, state and local government disaster aid and preparedness program. The department provides professional assistance and information for, and maintains liaison with, all state agencies, federal agencies, American Red Cross, Civil Air Patrol, local governments, industry and the general public with a primary purpose of providing protection and relief of pain and suffering for the people of Oklahoma in the event of a disaster.

Continue to develop and maintain a computerized emergency information system to allow state and local access to information regarding hazardous materials, location, quantity and potential threats.

Administer and enforce all planning requirements of Title III Superfund Amendments and Reauthorization Act of 1986.

STATUTORY REFERENCES

Program Name	Statutory Reference
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY- 2011</u> <u>Budgeted</u>	<u>FY-2012</u> <u>Estimated</u>
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Program: 1. Emergency Management Program Grant (EMPG) -- Administration

Goal: Administration

Goal: Operations (all after administration)

FY - 2012 EXECUTIVE BUDGET

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
19X	General Revenue	775	813	692
410	US DOT Matching Funds	231	329	233
425	Odd Federal Year Operations	356	3,181	1,820
440	Even Federal Year Operations	3,199	1,125	3,001
Total Expenditures by Fund		<u>\$4,561</u>	<u>\$5,448</u>	<u>\$5,746</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
	Salaries and Benefits	1,680	1,676	1,559
	Professional Services	146	314	86
	Travel	268	295	89
	Lease-Purchase Expenditures	0	0	0
	Equipment	84	476	0
	Payments To Local Govt Subdivisions	1,721	1,552	6,765
	Other Operating Expenses	662	1,135	668
Total Expenditures by Object		<u>\$4,561</u>	<u>\$5,448</u>	<u>\$9,167</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
10	Administration			
23	St Local Assist/ 50% (OK+FEMA)	520	453	464
	Total Administration	<u>520</u>	<u>453</u>	<u>464</u>
20	Operations			
1	US DOT HMTUSA	173	115	137
2	HMEP (even year)	58	221	96
11	Disaster Recovery	234	189	223
19	Civil Air Patrol	39	102	63
23	St Local Asst 50%	3,146	3,961	3,954
24	HVAC	0	50	0
33	Map Modernization - 06	31	36	0
38	CAP-SEEE: WR BD	295	262	186
39	MMMS-09	0	8	52
57	MMMS 07	7	22	285
88	Data Processing	57	31	286
	Total Operations	<u>4,040</u>	<u>4,997</u>	<u>5,282</u>

Total Expenditures by Activity	<u><u>\$4,560</u></u>	<u><u>\$5,450</u></u>	<u><u>\$5,746</u></u>
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FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES

<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
10 Administration	4.0	4.0	4.0
20 Operations	21.0	21.0	21.0
Total FTE	<u>25.0</u>	<u>25.0</u>	<u>25.0</u>
Number of Vehicles	0	0	0

CAPITAL OUTLAY and SPECIAL PROJECTS

\$000's

<u>Expenditures by Project:</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Estimated</u>
<u># Project name</u>			
91 State Disaster Relief			
3013 FY-03 Windstorms Southern OK	0	301	3,000
94 Homeland Security			
58 HS CERT-05	0	109	0
12024 HS CC Council	152	30	0
12071 SUBB-EOC2(A)	85	0	0
95 Disaster Assistance			
1204 SRL PJ MC (08)	474	202	0
8023 Beaver County 08	100	0	0
9284 SRL PJ, MC Severe Rep Loss	175	721	1,265
10017 PDM-CPL(05)	92	0	114
10019 PDM-C-06	47	0	10
10020 PDMC PL, TA	19	0	0
10021 PDCM PA, TL	52	112	0
12054 Rep. Fire Control (07)	139	26	31
12074 FMA-PL(05)	7	0	0
12075 FMA(07) Flood Miti.	0	4	200
13553 FY-01 Ice Storms Statewide PA	0	0	265
13554 FY-01 Ice Storms Statewide HM	125	105	45
13844 FY-02 Windstorm Statewide HM	2	0	0
14013 FY-02 Ice Storms West OK - PA	0	0	329
14014 FY-02 Ice Storms West OK - HM	1,639	107	385
14523 FY-03 Ice Storms NW OK - PA	0	0	19
14653 FY-03 Tornadoes Cent OK PA	0	0	111
16233 1623-PA Wildfires 1-10-06	0	0	124
16234 1623-HM Wildfires 1-10-06	1,053	17	454
16374 Hazard Mitigation	106	10	123
16379 1637-SC Counseling	0	0	9
16773 1677-PA Panhandle Storm 12-06	981	2,217	2,314
16774 1677-HM Panhandle Storm 12-06	48	29	224
16783 1678-PA Ice Storm 1-07	10,790	3,673	7,417

FY - 2012 EXECUTIVE BUDGET

\$000's

Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
16784	1678-HM Ice Storm 1-07	133	853	6,603
17073	1707-PA Severe Storms 5-07	943	330	298
17074	1707-HM Severe Storms 5-07	0	42	145
17121	1712-IA Ind. Assist.	37	0	37
17123	1712 PA Pub. Asst.	6,488	1,181	9,033
17124	1712-HM Haz. Miti.	85	435	584
17181	1718 IA Ind. Asst.	0	0	0
17183	1718 PA Pub. Asst.	6,747	401	5,301
17184	1718 HM Haz. Mitigation	0	89	330
17233	1723 PA Pub. Asst.	367	0	170
17234	1723 HM Haz. Mitigation	0	39	63
17353	Severe Winter Storms-07	56,034	4,475	7,799
17354	1735-HM	0	400	0
17523	1752_PA FLOODS MAR 08	2,700	242	129
17543	1754-PA FLOODS APR 08	8,911	219	1,071
17544	1754_HM FLOODS APR 08	0	16	0
17561	1756-IA Tornado May 08	170	2	0
17563	1756-PA TORNADO MAY 08	2,405	110	201
17564	1756-HM TORNADO MAY 08	0	0	10
17753	1775-PA June 08 Flood	8,131	481	1,339
17754	1775-HM June 08 Flood	0	17	0
18033	1803-PA Sept 08 Storms	2,952	578	1,220
18034	1803-HM Severe Rep Loss	0	0	9
18201	ONA FEB 09 TORNADO	59	4	0
18203	PA FEB 09 TORNADO	378	334	1
18204	HM FEB 09 TORNADO	0	0	3
18233	PA JAN 09 ICE STORM	2,417	5,344	2,997
18234	HM JAN 09 ICE STORM	0	0	43
18461	1846-IA JUN09 W/FIRE	0	64	0
18763	BLIZZARD DEC 09	0	4,328	28,003
18833	ICE STORM JAN 10	0	7,652	78,107
25893	Antiock Fire Complex	1	0	0
25903	Texanna Road Fire Complex	0	3	0
25953	Eastern Oklahoma County Fires	2	0	0
27553	Repetitive Fire Control	82	0	0
28083	2808-FM MIDWEST CITY FIRE	0	178	0
28093	2809-FM MCCLAIN FIRE	0	71	0
28113	2811-FM HEALDTON FIRE	0	83	0
28123	2812-FM VELMA FIRE	0	185	0
28133	2813-FM MULHALL FIRE	0	71	0
32193	PA 3219 Emergency Relief	0	0	795
32721	Ice Storm 2007 IA	47	0	0
32723	3272-PA Ice Storm 2007	166	0	3
32803	3280-EM Ice Storm Emer Decl	184	0	0
32893	3289 Hurricane Gustav 08	593	1,265	0
32953	3295 Hurricane Ike 08	0	182	0
33053	3305 Snow storm, 4 Counties	0	384	5
42400	Governor's Emergency Fund	286	218	0
80137	Ellis Fire	67	0	0
90137	Spring Snow Event 03/09	0	200	0
90237	Spring Flood April/May 09	0	843	0
90337	Tornado July 09	0	100	0

FY - 2012 EXECUTIVE BUDGET

\$000's

Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
98202	NOAA Radios	0	250	0
99004	Rep Fire Control 09	0	19	0
96	Disaster Field Office Admin.			
11	Contract Reservists	1,467	1,274	3,500
12	Disaster Field Office Admin	33	254	1
Total Capital Outlay by Project		<u><u>\$117,971</u></u>	<u><u>\$40,879</u></u>	<u><u>\$164,239</u></u>

DISTRICT ATTORNEY'S COUNCIL (220)

MISSION

To protect the citizens of Oklahoma through effective and efficient administration of justice.

THE COUNCIL

The Council is comprised of the following members:

- The President of the Oklahoma District Attorneys Association,
- The President-Elect of the Oklahoma District Attorneys Association,
- A District Attorney selected by the Court of Criminal Appeals for a three-year term,
- A District Attorney selected by the Board of Governors of the Oklahoma Bar Association for a three-year term, and
- the Attorney General.

DUTIES/RESPONSIBILITIES

The 27 District Attorneys are required to prosecute actions for crimes committed in their respective districts, and to prosecute or defend civil actions in which any county in their district is interested, or is a party.

STATUTORY REFERENCES

Program Name	Statutory Reference
10 - Prosecutorial Services	19 O.S. Section 215.1
20 - General Administration	19 O.S. Section 215.28
42 - Bogus Check/Restitution and Diversion	22 O.S. Section 111; 22 O.S. Section 991f-1.1
43 - Federal Grant Programs	19 O.S. Section 215.28
45 - Drug Asset Forfeiture	63 O.S. Section 2-416, Uniformed Controlled Dangerous Substances Act
50 - Federal Pass-Through Grants	19 O.S. 215.25 H 3
60 - Crime Victim Services	21 O.S. Section 142.1
41 - Child Support Services	Title IV-D of the Social Security Act and 56 O.S. 1995, section 237.1

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Goal: Hire and retain necessary, highly skilled, experienced staff to handle the core functions of the office, as developed in the staffing and funding formula.

- * Increase the state share of the budget to decrease reliance on unreliable fund sources, such as forfeitures, bogus check fees, fines, court costs and federal grants.

State Funding Percent	44%	33%	31%	31%
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- * Develop a Zero-Based State Funding Formula and obtain funding that adjusts for changes in population, crime, benefits, salaries and other costs. Formula will also distribute funds equitably to District Attorneys.

Zero-Based Formula	82%	57%	47%	47%
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- * By FY2011, all Oklahoma prosecutors complete a course in trial advocacy.

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Goal: Hire and retain necessary, highly skilled, experienced staff to handle the core functions of the office, as developed in the staffing and funding formula.				
Trial Advocacy Training	51%	59.2%	75%	100%
Goal: Continuously improve and enhance the Criminal Justice System.				
* Integrate District Attorney data with other state criminal justice agencies, including OSBI, CJRC and local law enforcement.				
Integrate Criminal Systems	40%	40%	50%	60%
* Increase the number of county drug courts.				
Drug Courts	48	53	55	57
* By FY07, establish and implement a uniform data collection system that can be integrated with criminal justice agencies.				
Case Mgmt System	89%	92.6%	92.6%	100%

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: 10 - Prosecutorial Services				
Goal: Hire and retain necessary, highly skilled, experienced staff to handle the core functions of the office, as developed in the proposed staffing and funding plan, and review and update the plan to provide sufficient state funding to District Attorneys to effectively and efficiently meet the mandates of the office.				
* Obtain state funding to reach proposed state-funded staffing levels and provide equitable distribution of state funds.				
Zero-Based Funding Formula	82%	57%	47%	47%
* By FY2011, all Oklahoma prosecutors complete a course in trial advocacy.				
Trial Advocacy Training	51%	59.2%	75%	100%
Goal: Continuously improve and enhance the Criminal Justice System.				
* By FY07, establish and implement a uniform data collection system that can be integrated with the criminal justice system. At this time, 25 of 27 districts are currently operational.				
Data collection system	88.9%	92.6%	92.6%	100%
* Increase the number of county drug courts.				
Increase drug courts	48	53	55	57

Program: 20 - General Administration

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>

Program: 20 - General Administration

Goal: Same as Prosecutorial Services and the agency's Strategic Plan.

Program: 41 - Child Support Services

Goal: DHS is responsible for establishing goals and measures for this program.

Program: 42 - Bogus Check/Restitution and Diversion

Goal: Increase percentage of checks collected.

- * Increase the percentage of checks collected versus checks received from merchants.

Checks Collected	100	100%	100%	100%
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Program: 45 - Drug Asset Forfeiture

Goal: Forfeit funds utilized in illegal drug activities to offset the cost of drug enforcement.

- * Maintain or increase current staffing levels devoted and dedicated to drug enforcement and prosecution.

Prosecutors/Investigators	36.3	37.9	38.3	38.3
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Program: 60 - Crime Victim Services

Goal: Increase awareness of the Victims Compensation Program

- * Increased awareness should enhance participation in the program.

In FY-09, there was an 8.5% increase in the number of claims filed due to an enhanced awareness campaign. In FY-10, the program expects a significant increase in claims due to a new requirement that law enforcement advise victims of their rights.

Increase # of claims filed	1691	1658	1660	1660
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Goal: Provide quality services to crime victims

- * Annually survey clients to determine overall satisfaction.

Client Satisfaction	85.0	91%	92%	93%
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- * Reduce the number of months it takes to process a claim.

Claim Processing Time	6.8 mos	6.7 mos	6.7 mos	6.7 mos
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
<u>Type of Fund:</u>		<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X	General Revenue	42,465	36,657	33,766
210	District Attorneys Council Revolving	36,246	41,368	46,493
225	District Attorneys Evidence Fund	530	491	491
230	Crime Victims Comp Revolving	5,681	5,081	6,000
240	Sexual Assault Examination Fund	641	827	1,000
405	JAG Trust Fund	2,006	348	638

DISTRICT ATTORNEY'S COUNCIL - 648 -

SAFETY AND SECURITY

EXPENDITURES BY FUND (continued)

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY-2011 Budgeted</u>
410 Federal Funds	\$ 2,254	2,738	4,391
420 Federal Funds - Victims of Crime	3,627	4,165	5,100
490 American Recov. & Reinv. Act	0	128	613
491 ARRA - JAG Program	0	6,175	9,445
492 ARRA - VAWA Grant	0	778	1,337
Total Expenditures by Fund	\$93,450	\$98,756	\$109,274

EXPENDITURES BY OBJECT

\$000's

<u>Object of Expenditure</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits	75,702	77,781	77,524
Professional Services	280	334	474
Travel	861	826	1,523
Lease-Purchase Expenditures	0	0	0
Equipment	742	694	699
Payments To Local Govt Subdivisions	6,071	8,979	11,267
Other Operating Expenses	9,796	10,143	17,787
Total Expenditures by Object	\$93,452	\$98,757	\$109,274

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

\$000's

<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
10 Prosecutorial Services			
1 District Attorneys	41,009	35,455	32,519
4 Evidence Fund (225)	530	491	491
5 Other (Disp Med& Comm Sent)	871	1,410	1,308
6 Victim Witness Services	1,291	1,279	1,501
7 Jail Fees	39	115	91
8 Community Sentencing	217	103	64
9 Prosecution Assessments	2,199	2,264	2,202
10 Supervision Fees	2,805	6,667	9,632
11 Drug Court	618	739	675
12 Drug Court Fines	0	0	145
13 Def Prosecution Agreemnt Funds	0	0	198
44 County	1,453	1,599	1,751
10088 Data Processing	(5)	0	0
20088 Data Processing Match	523	165	600
Total Prosecutorial Services	51,550	50,287	51,177
20 General Administration			
10001 District Attorneys Council	1,142	954	955
10002 Conference Expenses	143	75	115

DISTRICT ATTORNEY'S COUNCIL

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SAFETY AND SECURITY

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's	
Activity No. and Name		FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
20	General Administration			
10088	Data Processing	288	297	290
	Total General Administration	1,573	1,326	1,360
41	Child Support Services			
1	Child Support	8,510	8,667	9,029
	Total Child Support Services	8,510	8,667	9,029
42	Bogus Check Enforce/Restitute			
1	Bogus Check	10,741	11,059	10,837
2	Restitution & Diversion Prog	37	210	20
	Total Bogus Check Enforce/Restitute	10,778	11,269	10,857
43	Federal Grant Programs			
1	Drug Grant	1,396	119	87
2	VOCA Grant	784	906	970
3	Juvenile Acct Incent Blk Grant	5	8	0
4	Violence Against Women Act	490	573	700
5	Local Law Enforce Blk Grant	0	0	0
6	Residential Sub Abuse Treatmt	23	18	21
8	Nat'l Forensic Sci Imp Act	21	20	22
10	Project Safe Neighborhoods	12	19	29
11	Project Safe Neighborhoods-Wes	81	135	98
12	PSN-Eastern	71	53	65
13	Anti-Gang Northern	34	1	0
14	Anti-Gang Western	36	2	0
15	Anti-Gang Eastern	23	1	0
16	Rural Domestic Violence Progra	0	205	735
17	Sexual Assault Services Progra	0	5	7
19	Capital Case Litigation Initat	0	0	125
28	DAC ARRA JAG CL Grant	0	109	270
88	DAC IT ARRA JAG Data Rec Grant	0	275	0
91	ARRA Justice Assistance Grant	0	3,971	4,425
92	ARRA Violence Against Women Ac	0	312	452
10088	Data Processing	104	76	150
20088	Data Processing Match	839	1,121	1,064
	Total Federal Grant Programs	3,919	7,929	9,220
45	Drug Asset Forfeiture			
1	Drug Asset Forfeiture	3,401	2,816	3,206
	Total Drug Asset Forfeiture	3,401	2,816	3,206
50	Federal Pass-Through Grants			
1	Drug Grant	626	229	551
2	VOCA Grant	3,627	4,165	5,100
4	Violence Against Women Act	1,224	1,500	1,900
6	Residential Sub Abuse Treatmt	147	149	485
7	Nat'l Crim Histor Improv Prog	(1)	0	0
8	Nat'l Forensic Sci Imp Act	132	171	548
10	Project Safe Neighborhoods	225	282	401
11	Anti-Gang	141	0	0
12	Arrest Policies & Enforcement	372	368	569
13	Arrest Technical Assistance	1	2	0

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
50	Federal Pass-Through Grants		
16	Rural Domestic Violence Progra	0	368
17	Sexual Assault Services Progra	0	120
18	ARRA Victims Asst (VOCA)	0	590
91	ARRA Justice Assistance Grant	0	4,750
92	ARRA Violence Against Women Ac	0	885
	Total Federal Pass-Through Grants	6,494	16,267
60	Crime Victim Services		
1	Crime Victims Comp State	3,727	4,000
2	Crime Victims Comp Federal	1,954	2,000
3	Sexual Assault Examination Fd	641	1,000
5	Victim Compensation Admin	589	775
6	VOCA Assistance Admin	238	240
7	VOCA Comp Admin	76	120
9	ARRA Victims Assistance VOCA	0	23
	Total Crime Victim Services	7,225	8,158
Total Expenditures by Activity		\$93,450	\$109,274

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
10	Prosecutorial Services	659.2	627.9
20	General Administration	18.9	14.4
41	Child Support Services	154.6	155.0
42	Bogus Check Enforce/Restitute	192.0	167.3
43	Federal Grant Programs	59.8	107.1
45	Drug Asset Forfeiture	36.3	38.3
50	Federal Pass-Through Grants	2.3	3.3
60	Crime Victim Services	12.8	15.6
Total FTE		1,135.9	1,128.9
Number of Vehicles		109	126

FIRE MARSHAL (310)

MISSION

To promote safety and awareness and reduce the loss of lives and property to the citizens and businesses of Oklahoma through public education, investigations, inspections, building plan reviews, code enforcement and statistical data collection.

THE AUTHORITY

The Oklahoma Fire Marshal Commission consists of seven (7) members appointed by the Governor to staggered terms of five (5) years. Member organizations include the association of career and volunteer firefighters (OSFA), the association of fire chiefs (OFCA), the association of municipalities (OML), the organization of professional firefighters and the association of electrical workers. One member shall represent safety engineers and one member shall be selected by the Governor. Each appointment requires Senate confirmation.

DUTIES/RESPONSIBILITIES

State Fire Marshal agents are located throughout the state. All Agents in the Field Operations Division investigate crimes of arson, conspiracy to commit fraud by arson and other laws of the state and accurately maintain records of all investigations conducted. All Agents work in conjunction with city and county law enforcement officials to secure convictions and testify in court as expert witnesses when necessitated. All Agents conduct life safety inspections in nursing homes, schools, childcare centers, hospitals and other public use buildings.

The Agency issues orders for condemnation or repair of dangerous, dilapidated buildings that constitute a hazard to life or property. The Agency examines plans and specifications of certain types of new construction or remodeling to ensure minimum safety standards adopted by the Commission and State Legislature. The Agency is authorized to assist any city, town or county in the enforcement of the building codes and standards adopted by the state. The Agency issues permits and enforces the laws governing transportation, storage and use of explosives and inspects, at least once a year by statute, all public and private correctional facilities, room and board houses and juvenile institutions.

The Agency compiles and documents every fire in the state by receiving annual incident reports from all fire departments in the state as required by law. This information is collected under the Oklahoma Fire Incident Report System (OFIRS) as directed by the State Fire Marshal Commission and reported nationally. Agency personnel participate and/or coordinate fire prevention programs with public, private and educational organizations and volunteer and municipal fire, police and sheriff departments.

STATUTORY REFERENCES

Program Name	Statutory Reference
Administrative Services - 01	74 O.S. 314-324.21 (Establishment), 21 O.S. 1401-1405 (Arson), 2 O.S. Section 741 (Prairie Fires), 2 O.S. Section 1301.105, 205, 206, 214 (Forestry), 21 O.S. 349 (Public Buildings), 10 O.S., Section 37302-6.6 (Juvenile Centers). 63 O.S. 122.2.2 (Explosives) Articles 16 Title 68 O.S. (Fireworks)
Education - 02	74 O.S. 314-324.21 (Establishment), 21 O.S. 1401-1405 (Arson), 2 O.S. Section 741 (Prairie Fires), 2 O.S. Section 1301.105, 205, 206, 214 (Forestry), 21 O.S. (Criminal Syndication), 21 O.S. 349 (Public Buildings), 10 O.S., Section 37302-6.6 (Juvenile Centers). 63 O.S. 122.2.2 (Explosives) Articles 16 Title 68 O.S. (Fireworks)Chapter 11, 74 Section 311 through 324.21
Field Operations - 05	74 O.S. 314-324.21 (Establishment), 21 O.S. 1401-1405 (Arson), 2 O.S. Section 741 (Prairie Fires), 2 O.S. Section 1301.105, 205, 206, 214 (Forestry), 21 O.S. (Criminal Syndication), 21 O.S. 349 (Public Buildings), 10 O.S., Section 37302-6.6 (Juvenile Centers). 63 O.S. 122.2.2 (Explosives) Articles 16 Title 68 O.S. (Fireworks)

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Administrative Services - 01

- Goal:** Efficiently manage fiscal, financial and personnel matters pertaining to daily operations.
- Goal:** Reduce fires and fire-related fatalities and injuries through public education.
- Goal:** Upgrade equipment and computers for field agents and office staff.
- Goal:** Maintain and promote the Mobile Command Trailer and tow vehicle to assist with statewide Homeland Security issues, natural and man-made disasters, major loss fires and hazardous materials incidents.
- Goal:** Conduct public school annual inspections every three years.
- Goal:** Assist public schools to achieve greater levels of safety, security and health through a multi-hazard approach to reduce and manage risk.
- Goal:** Assist fire departments in identifying grant opportunities for equipment, prevention programs and retention of volunteer firefighters.
- Goal:** Assist emergency first responders in obtaining interoperable communications equipment funded by grants.
- Goal:** Statewide major media emphasis on changing the behavior of adults on installation and annual maintenance of smoke alarms.

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

<u>Type of Fund:</u>	\$000's		
	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X General Revenue	2,272	2,077	1,932
200 State Fire Marshal Revolving	633	588	500
210 Firefighter Training Rev Fund	0	9	100
400 Federal Fund	0	4	0
Total Expenditures by Fund	<u><u>\$2,905</u></u>	<u><u>\$2,678</u></u>	<u><u>\$2,532</u></u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	2,020	1,903	1,822	
Professional Services	68	48	55	
Travel	21	20	15	
Lease-Purchase Expenditures	0	0	0	
Equipment	7	14	0	
Payments To Local Govt Subdivisions	449	384	357	
Other Operating Expenses	340	309	283	
Total Expenditures by Object	\$2,905	\$2,678	\$2,532	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1 Administrative Services				
1 Administration	861	886	855	
Total Administrative Services	861	886	855	
5 Field Operations				
1 Field Operations	1,595	1,408	1,320	
Total Field Operations	1,595	1,408	1,320	
6 Council on Firefighter Trainin				
1 Council on Firefighter Trainin	449	384	357	
Total Council on Firefighter Trainin	449	384	357	
Total Expenditures by Activity	\$2,905	\$2,678	\$2,532	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1 Administrative Services	6.0	6.0	6.0	
5 Field Operations	22.0	21.0	21.0	
Total FTE	28.0	27.0	27.0	
Number of Vehicles	22	21	21	

INDIGENT DEFENSE (47)

MISSION

The Oklahoma Indigent Defense System implements the Indigent Defense Act by providing trial, appellate and post-conviction criminal defense services to persons who have been judicially determined to be entitled to legal counsel at State expense. The mission of the System is to provide indigents with legal representation comparable to that obtainable by those who can afford counsel and to do so in the most cost effective manner possible.

THE BOARD

The Board of Directors is composed of five members appointed by the Governor for five-year terms with the advice and consent of the Senate. At least three Board members must be attorneys with criminal defense experience who are licensed to practice law in the State. The Governor designates one member as chair for the Board. No congressional district or county may be represented by more than one member on the Board. A Board member continues to serve until a successor is appointed, qualified, and confirmed by the Senate.

DUTIES/RESPONSIBILITIES

The Oklahoma Indigent Defense System is appointed by the courts to represent all adult and juvenile indigents in 75 counties who are charged in felony, misdemeanor, and traffic cases punishable by incarceration. The System is also appointed by the courts to represent all adult and juvenile indigents in 75 counties where the State is seeking the death penalty.

Upon conviction, the System is appointed by the courts to represent defendants on direct appeal to the Oklahoma Court of Criminal Appeals, and, in death penalty cases, in post-conviction proceedings before the Oklahoma Court of Criminal Appeals. The System is responsible for capital and non-capital direct appeals from judgments and sentences, including death sentences, imposed in 75 counties and the remaining two counties if the indigent appellant was represented at trial by retained counsel or by court-appointed counsel other than the county public defender or where the county defender has a conflict of interest on appeal. The System is responsible for all capital post-conviction appeals in the State, including those where the indigent appellant was represented by a county defender on direct appeal.

STATUTORY REFERENCES

Program Name	Statutory Reference
Appellate Services (10)	An appeal in a criminal case is guaranteed by Art. 2, Sec. 6, of the Okla. Const., 22 O.S. Sec. 1051, and in a death penalty case, by 21 O.S. Sec. 701.13 and 22 O.S. Sec. 1089. Right to counsel at State expense on direct appeal was established in Douglas v. California, 372 U.S. 353 (1963). Right to counsel at State expense in capital post-conviction proceedings is found in 22 O.S. Sec. 1089. OIDS is appointed under 22 O.S. Sec. 1355-1369 and 1089(B).
General Operations (20)	Sections 1355-1369, Title 22.
Trial Services (30)	Sections 1355-1369; Title 22
Non-Capital Contracts (40)	Title 22, Sections 1355-1369
Regional Offices (60)	Title 22, Sections 1355-1369
Forensic Testing (70)	Title 22, Sections 1355-1369

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated
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Goal: Improve the Quality of Representation by General Appeals (Non-Capital) attorneys.

* This performance measure is predicated on the ABA standards of 25 cases per year per attorney. The measure represents the average number of cases handled by each attorney during the year.

Decr.client/attorney ratio.	41	43	45	45
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Goal: Improve the Quality of Representation by Non-Capital Trial Regional Offices' attorneys.

* This performance measure is predicated on the ABA standards for non-capital felony, misdemeanor, traffic, and juvenile cases. These offices currently do not have sufficient attorneys to handle total caseload. The number presented is the total number of cases handled by all Regional Office attorneys during the year.

Decr. client/attorney ratio	7,395	10,209	10,500	12,049
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Goal: Recruit and retain a more diversified workforce.

* An increase in the percentage of minority employees, both attorney and non-attorney staff, will reflect the success of continued efforts to recruit and retain a more diversified workforce.

Add minority employees.	16%	16%	19%	20%
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Appellate Services (10)

Goal: Provide legal representation to clients who have a right under State law to appeal their convictions and sentences and who have been judicially determined to be unable to afford appellate counsel.

* Number of open court appointments

Non-Capital Appeals Cases	529	558	600	600
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* Number of open court appointments

Capital Post Conviction Case	42	57	60	60
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* Division annual operating costs allocated to open court appointments

Avg Hom Direct App Case Cost	\$16,154	\$12,865	\$12,000	\$12,000
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* Division annual operating costs allocated to open court appointments

Avg NonCap App Case Cost	\$2,703	\$2,521	\$2,305	\$2,305
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* Division annual operating costs allocated to open court appointments.

Avg Cap Post-Conv. Case Cost	\$19,595	\$12,947	\$12,783	\$12,783
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* The average number of cases handled by each attorney in the General Appeals Division.

Gen. Appeals Atty Caseload	41	43	45	45
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated
Program: Appellate Services (10)				
Goal: Provide legal representation to clients who have a right under State law to appeal their convictions and sentences and who have been judicially determined to be unable to afford appellate counsel.				
* Number of open court appointments				
Homicide Dir Appeals Cases	91	104	110	110
Program: Forensic Testing (70)				
Goal: Provide legal defense representations to clients against criminal charges brought by the State in District Courts, when the client has been judicially determined to be unable to afford counsel.				
* The number of clients provided forensic evaluation services.				
Clients Provided Services	128	93	110	110
Program: General Operations (20)				
Goal: Provide administrative, financial and computer operations support to agency personnel and contractors.				
* Database maintained of potential private providers consisting of attorneys, investigators and other experts desiring to provide services to the agency.				
Private Providers	1,123	1,150	1,200	1,200
* Quantity of claims processed to reimburse private suppliers, contractors and agency personnel for supplies and services rendered.				
Claims Processed	4,623	3,225	3,300	3,300
* Open capital trial & appellate cases where a conflict exists within agency divisions, requiring outside private counsel to be contracted.				
Conflict Cases	16	11	15	15
Program: Non-Capital Contracts (40)				
Goal: Provide legal defense representations to clients against criminal charges brought by the State in District Courts, when the client has been judicially determined to be unable to afford counsel.				
* Open appointments of Non-Capital Trial Client Cases where a conflict exists that prohibits either a staff attorney or a contract attorney from accepting the case.				
Non-Capital Conflict Cases	477	550	600	600
* Open appointments of Non-Capital Trial Clients Cases assigned to Contract Attorneys.				
Non-Cap Contract Atty Cases	30,029	32,199	33,000	33,000
Program: Regional Offices (60)				
Goal: Provide legal defense representations to clients against criminal charges brought by the State in District Courts, when the client has been judicially determined to be unable to afford counsel.				
* Open appointments of Non-Capital Trial Clients Cases assigned to System Staff Attorneys				
Non-Cap Staff Atty Cases	7,395	10,209	10,500	12,049
* Non-Capital Regional Offices annual operating costs allocated to open court appointments.				
Avg Non-Cap Staff Case Costs	\$373	\$279	\$277	\$284

Program: Trial Services (30)

Goal: Provide legal defense representation to clients against criminal charges brought by the State in district courts, when the client has been judicially determined to be unable to afford counsel.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Trial Services (30)				
Goal: Provide legal defense representation to clients against criminal charges brought by the State in district courts, when the client has been judicially determined to be unable to afford counsel.				
* Open appointments of Capital Trial Client Cases where a conflict exists that prohibits a staff attorney from accepting the case.				
Cap Trial - Conflict Cases	3	3	5	5
* Divisions 300 & 301 annual operating costs allocated to trial and appellate court appointments handled during fiscal year.				
Avg CapTrial Staff Case Cost	\$28,756	\$28,423	\$22,663	\$22,663
* The number of open court appointments in cases where the potential sentence includes incarceration, up to life without the possibility of parole. Clients are served by either contract or staff attorneys.				
Non-Cap Trial Cases	37,424		44,000	44,000
* The number of open trial court appointments in cases where the State is seeking the death penalty and in selected first degree murder cases.				
Capital Trial Clients	86	85	110	110

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
19X General Revenue	16,181	14,989	14,834	
200 Indigent Defense System Revolving	1,158	985	2,328	
230 Contract Retention Revolving	628	499	601	
240 Forensic Testing Revolving Fund	70	73	19	
410 DOJ	0	58	342	
490 American Recov. & Reinv. Act	0	611	0	
57X Special Cash Fund	0	0	100	
Total Expenditures by Fund	\$18,037	\$17,215	\$18,224	

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
Salaries and Benefits	10,410	10,208	10,040	
Professional Services	6,175	5,539	6,701	
Travel	330	229	344	
Lease-Purchase Expenditures	0	0	0	
Equipment	132	71	92	
Payments To Local Govt Subdivisions	0	310	0	
Other Operating Expenses	991	859	1,046	
Total Expenditures by Object	<u><u>\$18,038</u></u>	<u><u>\$17,216</u></u>	<u><u>\$18,223</u></u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
10 Appellate Services				
110 General Appeals	1,430	1,407	1,383	
120 Homicide Direct Appeals Div	1,470	1,338	1,320	
130 Capital-Post Conviction	823	738	767	
140 Contract Legal Services	71	20	37	
141 General Appeals Conflicts	14	0	0	
142 Capital Appeals Conflicts	15	18	0	
170 Appellate Operations	398	367	421	
188 Data Processing	170	168	166	
Total Appellate Services	<u>4,391</u>	<u>4,056</u>	<u>4,094</u>	
20 General Operations				
200 Executive	504	493	581	
210 Training	0	1	51	
288 Data Processing	85	71	105	
Total General Operations	<u>589</u>	<u>565</u>	<u>737</u>	
30 Trial Services				
300 Capital Trial Norman	1,338	1,272	1,303	
301 Capital Trial Tulsa	1,135	1,144	1,190	
302 Conflict Services	12	7	25	
308 Non-Capital Contracts	173	78	88	
309 Non-Capital Court Appointments	146	54	50	
310 Non-Capital	509	573	644	
320 Witnesses	3	0	5	
370 Trial Operations	647	896	807	
388 Data Processing	350	310	324	
Total Trial Services	<u>4,313</u>	<u>4,334</u>	<u>4,436</u>	
40 Non-Capital Contracts				
408 Non-Capital County Contracts	5,088	4,925	5,131	
409 Non-Capital Conflict Contracts	101	135	200	
411 Non-Capital Overload Contracts	506	78	30	
Total Non-Capital Contracts	<u>5,695</u>	<u>5,138</u>	<u>5,361</u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
60	Regional Offices		
611	Non-Capital Clinton Office	865	932
612	Non-Capital Mangum Office	650	592
613	Non-Capital Okmulgee Office	491	554
614	Non-Capital Sapulpa Office	551	569
615	Non-Capital Guymon Office	198	263
	Total Regional Offices	<u>2,755</u>	<u>2,910</u>
70	Forensic Testing		
711	Gen'l Appeals Forensic Testing	5	6
712	Homicide Direct Appeals Foren	18	16
713	Cap Post Convict Foren Test	11	15
731	Cap Trial Norman Foren Test	95	260
732	Cap Trial Tulsa foren Testing	40	200
733	Cap Trial Conflicts Foren Test	3	39
741	Non-Cap Trial Foren Testing	121	150
	Total Forensic Testing	<u>293</u>	<u>686</u>
79	Clearing and ASA Department		
99999	Clearing and ASA Department	0	0
	Total Clearing and ASA Department	<u>0</u>	<u>0</u>
Total Expenditures by Activity		<u>\$18,036</u>	<u>\$18,224</u>

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
10	Appellate Services	46.9	39.5
20	General Operations	4.3	4.3
30	Trial Services	40.3	40.0
60	Regional Offices	34.0	33.0
Total FTE		<u>125.5</u>	<u>116.8</u>
Number of Vehicles		9	11

INVESTIGATION, BUREAU OF (308)

MISSION

The mission of every OSBI member is to ensure the safety and security of the citizens of Oklahoma.

THE COMMISSION

The Oklahoma State Bureau of Investigation Commission consists of seven members appointed by the Governor with the advice and consent of the State Senate. Four members represent the lay citizenry-at-large, one member will be a district attorney, one member must be a sheriff, and one member will be a chief-of-police. Members are appointed for terms of seven years and not more than two may be from the same congressional district. Annually, the Commission selects one of the Commission members to serve as Chairman and one to serve as Vice Chairman. Members of the Commission serve without salary but may be reimbursed under the State Travel Reimbursement Act for travel expenses in attending meetings and performing their duties; in addition, per Title 74, Section 150.3, the Lay Members shall be reimbursed \$30 per diem for attending meetings.

DUTIES/RESPONSIBILITIES

The statutory duties and responsibilities of the Oklahoma State Bureau of Investigation include:

- Maintaining scientific laboratories to assist all law enforcement agencies in the discovery and detection of criminal activity, including operating a DNA program and convicted offender DNA database;
- Maintaining fingerprint and other identification files;
- Operating the Arrest/Disposition Reporting System;
- Operating teletype, mobile and fixed radio or other communication systems;
- Conducting schools and training programs for the agents, peace officers and technicians of the state charged with the enforcement of law and order and the investigation and detection of crime;
- Assisting all law enforcement officers and district attorneys when such assistance is requested;
- Investigating and detecting criminal activity as directed by the Governor, Attorney General, Council on Judicial Complaints, Legislative investigative committees with subpoena powers, Director of DHS, or District Court Judge as authorized by law;
- Conducting special background investigations of nominees for the Supreme Court, Horse Racing Commission, Lottery Commission, for the Governor with written consent of the investigation subject, or the State Treasurer as authorized by law;
- Maintaining a Uniform Crime Reporting system; collecting and correlating information and compiling statistics concerning the volume and nature of crime and the administration of criminal justice within the state;
- Administering the Oklahoma Reward Fund to give cash awards to people who assist law enforcement agencies in the solution of specified crimes;
- Investigating motor vehicle thefts, oil and gas thefts, and computer crimes violations in Oklahoma;
- Directing, controlling, and administering a Missing Persons Information Program for all law enforcement offices in the State of Oklahoma;
- Investigating threats against the person of elected or appointed officials and providing protection for foreign visiting officials;
- Administering the Self Defense Act licensing of individuals to carry a concealed weapon;
- Operating the Criminal Information Unit, including the Statewide Intelligence Network, to collect, analyze, and disseminate information concerning the "activity and identity of individuals reasonably believed to be engaged in organized crime, criminal conspiracies, or threatening violent crime;"
- Provide criminal history record info to the public; conduct criminal justice information system audits;
- Investigate and enforce all laws relating to any crime listed as an exception to the definition of "non-violent offense" as set forth in Section 571 of Title 57 of the Oklahoma Statutes that occur on turnpikes;
- Establish, coordinate, and maintain the Automated Fingerprint Identification System (AFIS) and the DNA Laboratory;
- Establish an Internet Crimes Against Children (ICAC) unit for the primary purpose of investigating Internet crimes committed against children; the unit shall additionally promote safe Internet use among children and their parents;
- Establish the Child Abuse Response Team (CART) for the purpose of investigating cases of physical and sexual abuse of a child;

- Recognized by the Bureau of Justice Statistics as the Statistical Analysis Center (SAC) to provide a central contact point for federal, state, and local criminal justice agencies.
- Administer a data information system called the "Offender Data Information System" (ODIS).

STATUTORY REFERENCES

Program Name	Statutory Reference
01-Administration	Title 20, O.S. Sections 1313.2-1313.4, Title 21, O.S. Sections 1290.1-1290.26, Title 74, O.S. Sections 150.1-150.38a, O.S. Sections 151.1-151.2, and Sections 152.2-152.12
10 - Investigative Services	Title 74, O.S. Section 150.2 - 150.34 and Section 152.2 - 152.9
30 - Criminalistic Services	Title 20, O.S. Section 1313.2, Title 74, O.S. Sections 150.2-150.34
80 - Information Services	Title 20, O.S. Section 1313.3; Title 21, O.S. Sections 1290.1-1290.25; Title 74, O.S. Sections 150-150.34 and Sections 152.2 through 152.9; Title 22 O.S. Chapter 1, Sections 18 and 19, Chapter 16, Section 991C; Title 51 O.S. Chapter 1, Section 24A.1.
88 - Information Technology Services	Title 74, O.S. Section 150.2 - 150.34 and Section 152.2 - 152.9

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Program: 01-Administration

Goal: The Administrative Services Division, Accounting Section will provide quality customer service and support.

- * A measure of the Accounting Section's performance is the proficiency at collecting outstanding revenues, by monitoring the percent of the Accounts Receivable billing uncollected greater than 60 days (the standard is 3%).

Accounts Receivable	2.9%	4.0%	3.0%	3.0%
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- * The Accounting Section will excel at processing travel reimbursement claims to help reduce the financial burden on traveling employees. The measurement is the percent of travel reimbursement claims processed within 5 workdays from the receipt of all necessary documentation (the standard is 98%).

Travel Reimbursement	100%	100%	98%	98%
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- * The Accounting Section will provide a positive impact on agency operations through promoting positive vendor relations by the timely processing of payments for services and supplies. This will be measured by the percent of claims processed within 15 workdays from receipt of all necessary documentation (the standard is 98%).

Accounts Payable Claims	100%	99.9%	98%	98%
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 01-Administration

Goal: The Administrative Services Division, Procurement, Facilities & Communications Section will provide quality customer service and support.

- * To promote efficient agency operations, the purchasing of goods and services will be accomplished in a timely manner. One of the workload measurements for this unit will be the number of Internal Purchase Requests processed.

IPR Processing Time	2,638	2,425	2,500	2,500
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- * Positive customer service will be provided to employees and external customers through the timely answering incoming telephone calls to the OSBI headquarters facility. This will be measured by the percent of incoming telephone calls answered in a timely manner (the standard is 98%).

Telephone Call Response - HQ	99%	98%	98%	98%
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- * Efficient agency operations depend on timely renewal of annual contracts and leases to prevent disruption of services. The measurement of accomplishing this aspect of customer service is the percent of contracts and leases renewed prior to expiration (the standard is 95%).

Contract & Lease Renewals	99%	97%	98%	98%
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Goal: The Administrative Services Division, Human Resources and Training Section will provide quality customer service and support.

- * The Training Section will measure customer service provided to the agency through the number of training hours coordinated.

Training Hours Coordinated	11,293	12,393	12,000	12,000
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- * Human Resources will measure workload by the number of personnel transactions processed.

Personnel Transactions	1,013	1,733	1,000	1,000
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- * To measure the effectiveness of HR's review of new position allocation or existing position re-allocation requests, the percentage of position allocations finalized without a second review will be monitored.

Position Allocations	100%	100%	100%	100%
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Program: 10 - Investigative Services

Goal: The quality of customer service will be measured by the percentage of investigations opened within established time frames.

- * Customer service quality will be measured by the percent of violent crime investigations opened upon request.

Violent Crime Investigations	100%	100%	100%	100%
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- * The performance measure is the percent of background investigations opened upon request.

Background Investigations	100%	100%	100%	100%
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- * Customer service quality will be measured by the percent of non-violent crime investigations opened within ten workdays of receipt of the request.

Non-Violent Crime	98%	97.8%	100%	100%
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Goal: To the extent possible, the Investigative Services Division will respond to all requests for service.

- * The performance measurement is the percent of polygraph service requests completed within 30 working days of the request.

Polygraph Service Requests	100%	100%	100%	100%
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated

Program: 10 - Investigative Services

Goal: To the extent possible, the Investigative Services Division will respond to all requests for service.

* The measurement is the number of investigative service requests.				
Investigative Service Reqs.	873	893	900	900
* Another measurement is the number of investigative service requests opened.				
Investigative Request Opened	864	874	900	900
* The measure is the number of cases opened on schedule.				
Cases Opened on Schedule	854	848	900	900

Goal: To ensure quality investigations through extensive use of training.

* To ensure quality investigations through extensive use of training, the percent of employees that meet all mandated in-service training requirements.				
Mandated In-Service Training	100%	100%	100%	100%
* The measure is the number of criminal justice community personnel trained.				
Training Provided	1,700	674	500	600
* The measure is the number of manhours used to provide training.				
Training Hours Provided	1,507	926	800	900

Program: 30 - Criminalistic Services

Goal: The Criminalistic Services Division will meet the needs of the law enforcement community by processing forensic evidence in criminal cases.

* The number of laboratory disciplines that complete as much or more evidence than received for the year. There are six disciplines: Controlled Substance, Toxicology, Trace Evidence, Firearms, Latent Evidence, and Forensic Biology.				
Completion of Casework	4 of 6	6 of 6	6 of 6	6 of 6
* Progress towards attaining an average days open for submitted evidence of 30 days or less for the firearms, controlled substances, toxicology, trace and latent evidence disciplines and of 60 days or less for the biology discipline. Measure will be the number of disciplines meeting or exceeding its set goal.				
Casework Average Days Open	n/a	5	6	6

Goal: The laboratory will present unbiased, scientific testimony.

* The average of Witness Critique Forms completed by peers, court officials and law enforcement personnel that are rated as satisfactory or higher will be at a minimum of 99%.				
Testimony Evaluation	99%	97.7%	99%	99%

Goal: The Criminalistic Services Division conducts audits annually of agency laboratories to ensure continued compliance with accreditation standards. Any findings, from internal and external audits, will be remediated within the time frame specified in the corrective plan.

* The measurement is the percent of findings remediated within the specified time frame.				
Annual Lab Quality Audits	n/a	n/a	98%	98%
* The percent of forensic analysts taking proficiency tests annually who obtain results consistent with the manufacturer's results will be at least 98%.				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 30 - Criminalistic Services

Goal: The Criminalistic Services Division conducts audits annually of agency laboratories to ensure continued compliance with accreditation standards. Any findings, from internal and external audits, will be remediated within the time frame specified in the corrective plan.

Proficiency Tests	98.7%	98.8%	98%	98%
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Program: 80 - Information Services

Goal: Improve the quality of Criminal History Information Data.

In an effort to improve the quality of criminal history information, the OSBI will strive to make sure the information received and disseminated is complete, accurate and timely.

Through the use of Livescan booking devices, Cardscan devices and other means, the OSBI will assist local booking facilities to submit their arrest and disposition information electronically, thereby reducing the chance for errors in the data and improving the timeliness of the submissions.

- * Track percentage of criminal history information received electronically towards goal of 95%.

Electronic Submissions	76%	85.6%	88%	90%
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- * Track the number of subjects re-printed by OSBI; the better the quality of submitted cards, the fewer re-prints by OSBI.

Fingerprints Re-printed	2,996	2,638	2,500	2,400
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- * Track the percentage of fingerprint cards that are rejected; the fewer that are rejected, the better the quality.

Fingerprints Rejected	9.7%	7.2%	7.0%	6.8%
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Goal: Improve the quality of Criminal Justice Information.

In an effort to improve the quality of criminal justice information received by the OSBI, the agency will provide training on the lawful submission of justice information to include the reporting of arrests, dispositions, case filings and declinations, as well as the proper methods for fingerprinting arrested subjects and collecting their demographic and charge information.

- * Track the number of criminal justice information system training hours provided by OSBI annually.

Criminal Justice Training	1,382	882	660	670
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- * Track the number of personnel trained in the collection of justice information.

Personnel Training	1,417	1,032	1,100	1,150
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Goal: Improve the quality of Reported Crime Statistics.

To better collect usable crime data, the OSBI will transition reporting agencies from the Legacy Summary Reporting Systems to the new and in-depth Incident Based Reporting System methodology. The new system will collect more specific crime data including a broader scope of criminal events and their relationship between the victim and suspect.

To facilitate this effort, understanding the lack of automation found in many of our local law enforcement agencies across the state, the OSBI continues to offer a web-based reporting product we are making available at no cost to the local agencies. For larger agencies that have their own automated systems, the OSBI has designed a new reporting mechanism to make the reporting of the sizable data more timely, efficient, and accurate. The OSBI will assist law enforcement agencies in the transition to the new Incident Based Reporting format.

- * To improve incident based reporting, track the number of NIBRS/SIBRS classes taught.

NIBRS/SIBRS Classes	78	73	90	95
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated

Program: 80 - Information Services

Goal: Improve the quality of Reported Crime Statistics.

To better collect usable crime data, the OSBI will transition reporting agencies from the Legacy Summary Reporting Systems to the new and in-depth Incident Based Reporting System methodology. The new system will collect more specific crime data including a broader scope of criminal events and their relationship between the victim and suspect.

To facilitate this effort, understanding the lack of automation found in many of our local law enforcement agencies across the state, the OSBI continues to offer a web-based reporting product we are making available at no cost to the local agencies. For larger agencies that have their own automated systems, the OSBI has designed a new reporting mechanism to make the reporting of the sizable data more timely, efficient, and accurate. The OSBI will assist law enforcement agencies in the transition to the new Incident Based Reporting format.

* Track the total number of agencies transitioned to the State Incident Based Reporting System (SIBRS).				
Agencies Transitioned	159	183	232	251
* Track the quantity of UCR data reports received annually.				
UCR Reports Received	18,690	18,960	9,840	7,560
* To improve the quality of crime report data, measure the number of summary based reporting classes taught.				
Summary Based Classes	23	19	17	15

Goal: Improve Access to Justice Information

* Increase in the number of criminal history checks done through electronic access is tracked by the percentage of record checks received annually by electronic means (ACHS/E-Commerce) versus manual requests.				
Electronic Criminal History	17%	12.5%	14%	15%

Program: 88 - Information Technology Services

Goal: The ITS Division will deploy and support a fully web-centric IT environment supported by on-going technical expertise and training with integration of the latest technical methods.

* The ITS Division will be fully staffed with highly trained, certified professionals. The measurement is the percentage of staff that received at least one technical training course applicable to their area of expertise or agency need each fiscal year.				
Trained Staff	70%	95%	100%	100%

Goal: The ITS Division will enhance and expand network security and infrastructure to protect the network and provide efficient access and utilization.

* The Division will expand the network infrastructure so that the average network bandwidth utilization will not exceed 50% during any workday period. This will be the LAN/WAN average.				
Network Bandwidth Utilized	80%	70%	60%	50%
* The ITS Division will enhance network security through upgrades and other measures to protect the network from virus attacks measured by the number of successful intrusions into protected critical systems by outside sources over the course of this plan (goal is zero).				
Network Security	1	0	0	0
* The Division will expand the network infrastructure increasing the average dial-up connection speed to at least 128 kbs digital or broadband access by utilizing network technology such as VPN and the Internet on 100% of our mobile/resident agents. The measure will be the percent of eligible personnel that meet this standard.				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 88 - Information Technology Services

Goal: The ITS Division will enhance and expand network security and infrastructure to protect the network and provide efficient access and utilization.

Network Dial-up Speed	95%	100%	100%	100%
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>		<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X	General Revenue	18,115	16,417	14,716
200	OSBI Revolving Fund	9,864	11,738	15,237
210	Automated Fingerprint ID System	3,012	2,324	2,703
220	Forensic Science Improvement	3,791	2,830	3,300
230	Ok Crim Justice Res Rev Fund	0	27	74
400	Federal Grants Fund	624	1,105	1,634
490	American Recov. & Reinv. Act	0	187	226
Total Expenditures by Fund		\$35,406	\$34,628	\$37,890

EXPENDITURES BY OBJECT

		\$000's		
<u>Object of Expenditure</u>		<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
	Salaries and Benefits	23,583	24,554	25,615
	Professional Services	268	291	583
	Travel	330	359	335
	Lease-Purchase Expenditures	0	0	0
	Equipment	2,218	1,169	1,651
	Payments To Local Govt Subdivisions	0	0	0
	Other Operating Expenses	9,012	8,256	9,703
Total Expenditures by Object		\$35,411	\$34,629	\$37,887

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

		\$000's		
<u>Activity No. and Name</u>		<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
1	Administration			
1	Administration	3,490	3,509	3,626
INVESTIGATION, BUREAU OF		- 667 -		SAFETY AND SECURITY

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1 Administration			
88 Administration/Admin Svcs DP	32	36	11
Total Administration	3,522	3,545	3,637
10 Investigative Services			
1 Investigations	10,825	10,932	11,103
40 Investigative Svcs - Fed Grnt	471	838	1,613
88 Investigative Services DP	184	26	46
107 State Funded Crimes Against Ch	663	0	0
4088 Investigative Serv Grant Data	137	71	147
Total Investigative Services	12,280	11,867	12,909
30 Criminalistic Services			
1 Criminalistic Services	10,073	10,554	11,272
40 Criminalistic Svcs Fed Grnt	491	782	659
88 Criminalistic Services DP	30	6	16
89 Criminalistic Svcs AFIS	4	0	0
301 Forensic Science Center	1,134	52	0
304 State Funded CODIS	566	4	0
4088 Criminalistic Serv Grant Data	27	18	0
Total Criminalistic Services	12,325	11,416	11,947
80 Information Services			
1 Information Services	2,505	3,012	3,470
40 Information Svcs - MIS	71	99	169
88 Information Services DP	35	26	24
89 Auto Fingerprinting ID System	2,498	1,683	1,931
Total Information Services	5,109	4,820	5,594
88 Information Tech Services-ITS			
1 ITS	1,532	2,163	2,462
40 ITS Grant Data Processing	165	175	569
89 ITS-AFIS	478	642	772
Total Information Tech Services-ITS	2,175	2,980	3,803
Total Expenditures by Activity	\$35,411	\$34,628	\$37,890

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1 Administration	37.9	38.0	38.5
10 Investigative Services	117.5	120.2	123.0
30 Criminalistic Services	87.0	86.2	86.8
80 Information Services	61.5	65.8	69.7
88 Information Tech Services-ITS	12.6	15.4	19.5
Total FTE	316.5	325.6	337.5
Number of Vehicles	199	171	142

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
90	Capital Outlay Projects			
1	OSBI Capital Improvement Proj	0	55	0
2	AFIS Upgrade Project	59	0	0
40	Capital Improv Projects-Grants	0	517	229
88	Capital Improve Projects - DP	0	242	0
89	Capital Improve Projects-AFIS	0	120	0
300	Scientific Lab Equipment	226	0	0
301	Forensic Science Center	16	0	0
381	NERL Renovations & Equipment	238	4	0
700	HQ - Minor Facility Projects	20	2	0
880	E-Commerce Project	15	2	0
885	ITS OASIS Extension Grant	65	65	0
4088	Capital Improve Proj-Grants DP	0	435	2,359
Total Capital Outlay by Project		<u>\$639</u>	<u>\$1,442</u>	<u>\$2,588</u>

LAW ENFC. EDUC. & TRAINING, COUNCIL ON (415)

MISSION

To provide the citizens of Oklahoma with peace officers who are trained to be professional, ethical, conscientious, sensitive to needs of the public, knowledgeable and competent in identified learning objectives; and to protect the public by regulating private security in the State of Oklahoma through education and licensing requirements and to ensure licensees practice within the provision of the law.

THE COUNCIL

The governing Council is appointed by statute. The composition of the thirteen (13) members is as follows:

1. Commissioner of the Department of Public Safety, or designee
2. Director of the Okla. State Bureau of Narcotics and Dangerous Drugs Control, or designee
3. Director of the Oklahoma State Bureau of Investigation, or designee
4. A law enforcement administrator representing a tribal law enforcement agency appointed by the Governor
5. A Chief of Police of a municipality with a population over 100,000 appointed by the Governor
6. A Sheriff of a county with a population under 50,000 appointed by the Oklahoma Sheriff's and Peace Officers Association
7. A Chief of Police of a municipality with a population under 10,000 appointed by the Oklahoma Association of Police Chiefs
8. A Sheriff of a county with a population over 100,000 appointed by the Oklahoma Sheriff's Association
9. A member appointed by the Fraternal Order of Police
10. A member appointed by the Chancellor of Higher Education who shall be a representative of East Central University
11. The immediate past chair of the Council on Law Enforcement Education and Training
12. Appointment by the President Pro Tempore of the Senate
13. Appointment by the Speaker of the House of Representatives

Members of the Board serve without compensation and may be reimbursed for their necessary travel expenses.

The terms of appointment are unspecified.

The Director and Assistant Director are appointed and serve at the pleasure of the Council.

DUTIES/RESPONSIBILITIES

Provide for basic peace officer certification by establishing standards, developing and conducting basic academies for all full-time peace officers employed by city, county or state entities of government, with the exception of approved academy city/agencies, and investigate matters that could result in revocation of peace officer certification.

Deliver high quality professional training programs that focus on success for Oklahoma peace officers through continuing and advanced education programs; Oversee basic reserve officer training, administer certification examinations, and certify reserve officers. Enforce training and firearms requalification requirements for peace officers.

Establish standards for background screening, training and licensing of private security guards, private investigators and agencies. Regulate unlicensed activity and investigate complaints against applicants or licensees that may result in punitive action including filing of criminal charges.

Collect and reconcile various licensing fees, fines, and the Penalty Assessment Fee which is dedicated to fund peace officer training.

STATUTORY REFERENCES

Program Name	Statutory Reference
10 Administrative Services	70 O.S. Sec. 3311, 20 O.S. Sec. 1313.2 and 59 O.S. Sec.1750.1-12

FY - 2012 EXECUTIVE BUDGET

20 Training Services O.S. 70 Sec. 3311; 11 O.S. Sec. 34-101; 19 O.S. Sec. 510; 20 O.S. Sec. 1313.2;
 21 O.S. Sec. 1290.1; 59 O.S. Sec. 1451-1476; 59 O.S. Sec. 1750.1-12
 30 Private Security 59 O.S. Sec. 1750. 1-12

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY- 2011</u> <u>Budgeted</u>	<u>FY-2012</u> <u>Estimated</u>
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Goal: All CLEET graduates will possess the skills and knowledge needed to perform their law enforcement functions effectively and professionally.

- * This measure requires the basic academy lesson plans, objectives and outlines to be reviewed in depth and updated annually. In addition to review by CLEET's Curriculum Coordinator and staff instructors, CLEET will seek input from stakeholders through post training evaluations to determine relevancy of training to the daily job tasks performed after students return to their agencies. It is CLEET's goal to review 100% of all lesson plans for basic and reserve peace officer certification annually.

Curriculum review and update	85%	70%	100%	100%
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- * This measure will determine the increase in number of hours required for annual training.

There is an expectation by citizens for quality, well-trained law enforcement officers. For officers to perform difficult and hazardous tasks associated with police work, they must have the proper preparation through delivery of high quality professional training programs. For example, recent trends in police departments across the country revealed a rise in contacts with people with mental illness. Crisis Intervention Training has a strong potential to reduce unnecessary arrests and use of force and through mandated training, topics such as this would be presented to all law enforcement personnel.

Continuing education also affords public safety officers an opportunity to share information and form alliances that will better serve communities in the event of a large scale disaster. CLEET will seek to increase the number of hours required for peace officers to retain their certification to 40 hours by 2015.

Mandated Cont. Education	25	25	25	25
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Goal: Expand the access to and availability of quality law enforcement training.

- * CLEET reduced the number of months a student must wait to attend the basic academy by FY-2010 to provide training upon demand by increasing the class size of certain basic academy topics, by running more classes simultaneously and through the use of online classes. Since FY-2010, through the utilization of these methods, CLEET has been able to enroll each student in the first academy starting after their date of hire. As a result, the only wait time is now based entirely on when the student is hired by their department. Since CLEET has achieved a "no wait" enrollment, it appears departments have been hiring students approximately 3.5 months prior to each academy.

Monitor wait time	4.45	3.49	3.50	3.50
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- * This measure will provide information about the acquisition of facilities and technologies. Facility improvements will be needed until all phases of law enforcement training can be accomplished on campus such as canine training, water rescue, computer forensics, etc. Technology development will drive our ability to implement distance learning as travel and absence from home and work become more expensive and undesirable. Distance learning would also provide immediate access to critical information.

Facilities and technology	84%	84%	86%	88%
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Goal: Improve the level of service provided to the public by the private security and private investigative industries.

- * This measure will illustrate the trend in licensing for security guards and private investigators. Oklahoma has been experiencing a modest increase of approximately 0.16% over the past two years. This trend is expected to continue.

Number Active Licenses	8,631	8,637	8,650	8,665
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STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Improve the level of service provided to the public by the private security and private investigative industries.

- * CLEET aggressively enforces violations of the Private Security Act. This measure reflects the number of complaints against security guards, private investigators, and agencies. A decline in complaints occurred during FY-2010 as we have more proactive communication with individuals through personal contacts and increased information on the web to reduce violatons. From this point forward, it is anticipated complaints should match the growth rate in the number of licensees.

Complaints on licensees	110	69	69	69
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Goal: Effectively organize, develop, and lead CLEET's personnel in support of the Agency's mission.

- * This measure reflects the number of agency personnel who received training in a given fiscal year.

Training for Personnel	65%	64%	70%	74%
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Goal: Effectively manage agency resources.

- * This measure will reflect the number of applications for outside funding to include federal grants, endowments, and donations from foundations. The goal is to obtain matched funding from grants and work towards establishment of a foundation by 2012 for other sources of revenue to reduce reliance upon state appropriated dollars.

Outside Funding Requests	0	2	2	2
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- * This measure will determine the percent of automation for customer access to exchange information electronically, access forms on-line, and implement e-commerce. Our goal is to have 95% of our forms and reports electronically available by FY-2015.

Use of technology	78%	80%	85%	87%
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BUDGET REOUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 10 Administrative Services

Goal: Effectively organize, develop, and lead CLEET's personnel in support of the Agency's Mission.

- * This measure reflects the number of agency personnel who received training in a given fiscal year.

Training for Personnel	65%	64%	70%	74%
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Goal: Effectively manage agency resources.

- * This measure will reflect the number of applications for outside funding to include federal grants, endowments, and donations from foundations. The goal is to obtain matched funding from grants and work toward establishment of a foundation by 2012 for other sources of revenue to reduce reliance upon state appropriated dollars.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 10 Administrative Services

Goal: Effectively manage agency resources.

Outside Funding Requests	0	2	2	2
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- * This measure will determine the percent of automation for customer access to exchange information electronically, access forms on-line, and implement e-commerce. Our goal is to have 95% of our forms and reports electronically available by FY-2015.

Use of Technology	78%	80%	85%	87%
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Program: 20 Training Services

Goal: All CLEET graduates will possess the skills and knowledge needed to perform their law enforcement functions effectively and professionally.

- * This measure requires the basic academy lesson plans, objectives, and outlines to be reviewed in depth and updated annually. In addition to review by CLEET's Curriculum Coordinator and staff instructors, CLEET will seek input from stakeholders through post training evaluations to determine relevancy of training to the daily job tasks performed after students return to their agencies. It is CLEET's goal to review 100% of all lesson plans for basic and peace officer certification annually.

Curriculum Review and Update	85%	70%	100%	100%
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- * This measure will determine the increase in the number of hours required for annual training.

Mandate Continuing Education	25	25	25	32
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Goal: Expand the access to and availability of quality law enforcement training.

- * This measure will provide information about the acquisition of facilities and technologies. Facility improvements will be needed until all phases of law enforcement training can be accomplished on campus such as canine training, water rescue, computer forensics, etc. Technology development will drive our ability to implement distance learning as travel and absence from home and work become more expensive and undesirable. Distance learning would also provide immediate access to critical information.

Facilities and technology	84%	84%	86%	88%
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- * CLEET reduced the number of months a student must wait to attend the basic academy by FY-2010 to provide training upon demand by increasing the class size of certain basic academy topics, by running more classes simultaneously, and through the use of online classes. Since FY-2010, through the utilization of these methods, CLEET has been able to enroll each student in the first academy starting after their date of hire. As a result, the only wait time is now based entirely on when the student is hired by their department. Since CLEET has achieved a "no wait" enrollment, it appears departments have been hiring students approximately 3.5 months prior to each academy.

Monitor wait time	4.45	3.49	3.50	3.50
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Program: 30 Private Security

Goal: Improve the level of service provided to the public by the private security and private investigative industries.

- * This measure will illustrate the trend in licensing for security guards and private investigators.

Number of Active Licensees.	8631	8637	8650	8665
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 30 Private Security

Goal: Improve the level of service provided to the public by the private security and private investigative industries.

- * CLEET aggressively enforces violations of the Private Security Act. This measure reflects the number of complaints against security guards, private investigators, and agencies. A decline in complaints occurred during FY-2010 as we have more proactive communication with individuals through personal contacts and increased information on the web to reduce violations. From this point forward, it is anticipated complaints should match the growth rate in the number of licensees.

Complaints on Licensees.	110	69	69	69
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X General Revenue	940	939	639
205 Firearms Instructor Revolving Fund	16	16	15
210 Peace Officer Revolving Fund	0	0	550
215 CLEET Training Center Revolving	2,120	2,171	1,847
220 CLEET Private Security Revl Fd	0	0	120
499 Surplus Property Fund	2	1	0
57X Special Cash Fund	145	0	0
58X CLEET Fund	3,652	3,230	3,799
Total Expenditures by Fund	\$6,875	\$6,357	\$6,970

EXPENDITURES BY OBJECT

\$000's

<u>Object of Expenditure</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits	2,754	2,942	3,098
Professional Services	69	97	127
Travel	73	48	31
Lease-Purchase Expenditures	0	0	0
Equipment	2,038	2,047	1,998
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	1,941	1,222	1,196
Total Expenditures by Object	\$6,875	\$6,356	\$6,450

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10 Administrative Services				
1010 Administration	1,313	940	951	
1020 Administration Recurring Cost	2,722	2,457	2,625	
1050 Credentialing	0	0	250	
1088 Data Processing	180	221	239	
Total Administrative Services	<u>4,215</u>	<u>3,618</u>	<u>4,065</u>	
20 Training Services				
2010 Basic Academy	1,855	1,723	1,603	
2020 Continuing/Advanced Education	446	606	626	
2050 Active Shooter	0	0	300	
2088 Data Processing	20	4	4	
Total Training Services	<u>2,321</u>	<u>2,333</u>	<u>2,533</u>	
30 Private Security Services				
3010 Licensing	310	387	357	
3030 Self Defense Compliance	16	16	15	
3088 Data Processing	12	3	0	
Total Private Security Services	<u>338</u>	<u>406</u>	<u>372</u>	
Total Expenditures by Activity	<u>\$6,874</u>	<u>\$6,357</u>	<u>\$6,970</u>	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
10 Administrative Services	22.3	19.0	17.8
20 Training Services	16.4	20.5	21.2
30 Private Security Services	5.7	6.2	6.0
Total FTE	<u>44.4</u>	<u>45.7</u>	<u>45.0</u>
Number of Vehicles	30	30	29

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project: # Project name	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated	
90 CLEET Training Center				
1 CLEET Training Center	156	17	250	
Total Capital Outlay by Project	<u>\$156</u>	<u>\$17</u>	<u>\$250</u>	

OUTSTANDING DEBT	\$000's		
	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Lease-purchase obligations	0	0	0
Revenue bond issues	21,595	20,805	19,980
Other debt	0	0	0
Total Outstanding Debt	<u><u>\$21,595</u></u>	<u><u>\$20,805</u></u>	<u><u>\$19,980</u></u>

MEDICOLEGAL INVESTIGATIONS, BOARD OF (342)

MISSION

The mission of the Board of Medicolegal Investigations and the Office of the Chief Medical Examiner is to protect public health and the safety of Oklahomans through the scientific investigation of deaths as prescribed by the statutes of the State of Oklahoma.

THE BOARD

Members of the Board of Medicolegal Investigations are designated by statute - 63 OS 931. The Board of Medicolegal Investigations is comprised of the following Members, or a designee: Director of the State Bureau of Investigations, State Commissioner of Health, Dean of the University of Oklahoma College of Medicine, President of the Oklahoma Bar Association, President of the Oklahoma State Medical Association, President of the Oklahoma Osteopathic Association, a Funeral Director appointed by the Oklahoma State Funeral Board (formerly the Oklahoma State Board of Embalmers and Funeral Directors), and President or Dean of the Oklahoma State University Center for Health Sciences. Board members serve indefinite terms. The Chief Medical Examiner is an ex officio, non-voting member.

DUTIES/RESPONSIBILITIES

The Office of the Chief Medical Examiner operates under the direction of the Board of Medicolegal Investigations through the provisions of Title 63, O.S. 931 - 954 of the Oklahoma State Statutes. Under this law, the Office of the Chief Medical Examiner is charged with investigating the following type of deaths:

- a. Violent deaths, whether apparently homicidal, suicidal, or accidental including, but not limited to, deaths due to thermal, chemical, electrical, or radiational injury;
- b. deaths under suspicious, unusual or unnatural circumstances;
- c. death related to disease which might constitute a threat to public health;
- d. death unattended by a licensed medical or osteopathic physician for a fatal or potentially fatal illness;
- e. deaths of persons after unexplained coma;
- f. death that are medically unexpected and that occur in the course of a therapeutic procedure;
- g. deaths of any inmates occurring in any place of penal incarceration; and
- h. deaths of persons whose bodies are to be cremated, buried at sea, transported out of state, or otherwise made ultimately unavailable for pathological study.

Investigations of deaths, and determination of cause and manner are accomplished through physical examinations, both external and internal, histological studies, toxicology, anthropology, and other recognized forensic sciences.

STATUTORY REFERENCES

Program Name	Statutory Reference
01 Administration	Title 63, Section 931 - 954, As amended
10 - Investigations	Title 63, Section 931 - 954, As Amended

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 01 Administration

Goal: Maintain claims processing at current level of service

Goal: Maintain claims processing time to 30 days or less

Program: 10 - Investigations

Goal: Provide Family members answers as soon as results are available

Goal: Maintain quantity of drug screens performed

Goal: Total Number Autopsies

Goal: Maintain Reports Distribution

Goal: Reach Board Mandated 50% Autopsy Rate

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>		<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X	General Revenue	4,440	4,811	4,794
200	Medical Examiner Special Fund	2,380	2,035	1,900
215	Toxicology Laboratory Fund	2	38	0
400	Federal Funds	56	301	85
57X	Jobs & Growth Tax Relief Fund	0	99	0
Total Expenditures by Fund		\$6,878	\$7,284	\$6,779

EXPENDITURES BY OBJECT

		\$000's		
<u>Object of Expenditure</u>		<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits		5,291	5,638	5,540
Professional Services		86	178	120
Travel		52	69	15
Lease-Purchase Expenditures		0	0	0
Equipment		177	160	50
Payments To Local Govt Subdivisions		0	0	0
Other Operating Expenses		1,270	1,238	1,053
Total Expenditures by Object		\$6,876	\$7,283	\$6,778

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1	Administration			
1	Administration	578	855	880
	Total Administration	578	855	880
10	Investigations			
1	Central Office - OKC	4,401	4,479	4,399
2	Eastern Office - Tulsa	1,816	1,843	1,500
88	Data Processing	83	107	0
	Total Investigations	6,300	6,429	5,899
Total Expenditures by Activity		\$6,878	\$7,284	\$6,779

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES		FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1	Administration	6.0	6.5	6.5
10	Investigations	64.0	68.9	68.9
Total FTE		70.0	75.4	75.4
Number of Vehicles		18	18	18

NARC. & DANG. DRUGS CONTROL, BUREAU OF (477)

MISSION

COMMITTED TO HONOR, INTEGRITY, AND EXCELLENCE. THE OKLAHOMA BUREAU OF NARCOTICS WILL SERVE THE CITIZENS OF OKLAHOMA IN THE QUEST FOR A DRUG FREE STATE.

The mission of the Oklahoma State Bureau of Narcotics and Dangerous Drugs Control (OBNDCC) is to measurably reduce drug trafficking; thereby reducing the availability of illicit drugs in Oklahoma. This mission is to be accomplished through the use of multi-jurisdictional law enforcement (Federal, State, and Local), and intelligence initiatives designed to attack, disrupt, and dismantle major drug trafficking and money laundering organizations that are operating throughout Oklahoma. Additionally, the mission will be accomplished through working closely with medical professionals to target and disrupt the diversion of legitimate pharmaceutical drugs from medical to recreational use; working closely with various groups in educating law enforcement officers, medical professionals, students, in universities and public schools, and the general public; and providing a research base for understanding the threat of drugs within the State of Oklahoma.

THE COMMISSION

The Oklahoma State Bureau of Narcotics and Dangerous Drugs Control is governed by a Commission that consists of seven (7) Governor appointed members, not more than two (2) of whom will be from the same Congressional District. The members are appointed by the Governor and confirmed by the Senate for terms of seven (7) years. The commission is comprised of four (4) lay members, one (1) District Attorney, one (1) active Sheriff, and one (1) active Chief of Police.

DUTIES/RESPONSIBILITIES

The Oklahoma Bureau of Narcotics and Dangerous Drugs Control (OBNDCC) is the state agency responsible for drug enforcement in Oklahoma. Primary responsibilities are to enforce the Uniform Controlled Dangerous Substance Act as outlined in the Oklahoma Statutes, Title 63; to train state and local law enforcement officers; provide leadership, logistical, technical, and tactical support to local, state, and federal agencies for drug enforcement; and to compile drug-related statistics.

The strength of OBNDCC lies in the unique skills and abilities of dedicated agents and support staff. They conduct a wide variety of specialized programs to combat the local availability of various domestic and foreign produced drugs. Enforcement, intelligence, diversion, regulatory, wire intercept, legal, analytical, and educational activities are directed from OBNDCC headquarters in Oklahoma City; five (5) district offices located in Ardmore, Lawton, McAlester, Tulsa, and Woodward; and twelve (12) regional offices in Ada, Altus, Clinton, Duncan, Durant, Enid, Guymon, Idabel, Muskogee, Poteau, Stillwater and Vinita. OBNDCC maintains an aggressive and proactive approach toward reducing the local availability of drugs and addressing the ever-changing climate of narcotics distribution and abuse. This combined with future strategies, defines the character of OBNDCC and drives this agency toward the ultimate quest of creating a "drug-free" Oklahoma.

OBNDCC partners with various local, state, and federal agencies on major long-term projects. OBNDCC provides leadership, training, resources and infrastructure for the federally funded District Attorneys drug task forces and local law enforcement entities throughout the state. In FY-2007, OBNDCC created the Mobile Operations Team consisting of highly covert undercover agents strategically deployed into an area that local law enforcement have identified as trouble spots within their communities. From direct case support to overseeing major statewide program initiatives, OBNDCC works directly with a multitude of federal, state and local agencies to identify and remove primary sources of drug supply as well as aggressive demand reduction efforts through:

1. Cooperation with federal, state, and local agencies in suppressing abuse of dangerous drugs.
2. Facilitation of the exchange of information between governmental and local officials and the maintenance of records including the operation of a statewide intelligence database.
3. Coordination and cooperation in programs of marijuana eradication aimed at destroying wild or illicit marijuana plant growth.
4. Coordination and cooperation in educational programs for demand reduction purposes; interfacing with state agencies and boards to assist in demand reduction. The COPNET program was developed in FY-2007 with federal funding to provide drug education programs for schools and the general public. Now funded by OBNDCC, the COPNET concept is continuing to provide demand reduction programs to address the dangers of substance abuse and provide preventative measures for parents and educators.

5. Dissemination of information on the use and abuse of controlled dangerous substances and promotion of public understanding of problems.
6. Assistance in the education and training of state and local law enforcement officials in narcotic enforcement through assistance to CLEET and annual two-week Narcotic Investigation Schools.
7. Registration of professional handlers of Controlled Dangerous Substances (CDS).
8. Authorization of the lawful possession, distribution, and use of CDS by persons engaged in research or scientific activities, and authorization for possession of CDS for drug education purposes.
9. Operation of the Prescription Monitoring Program and Pseudoephedrine Tracking System (PMP/PSE) and development of investigations based upon tracking reports and queries.
10. Participation in the Rural Law Enforcement Methamphetamine Initiative (RLEMI) to create and coordinate a State Meth Action Team which will address the production, distribution and use of methamphetamine within the state.
11. Creation and coordination of the OBNDCC FAST Team to address issues related to financial asset seizures and drug money laundering activities in the state.

The efficacy of OBNDCC and its successes can be attributed to the many specialized skills and abilities of the dedicated and well-trained agents and support staff working cooperatively with regulatory boards, authorities, and the law enforcement community. These courageous and unique individuals are continuously working together as a team to develop new ideas and implement strategies aimed at reducing the availability of illicit drugs in Oklahoma; dismantling those organizations responsible for illegal trafficking, and to reduce the demand for illegal drugs statewide. OBNDCC continues to be a national model for drug enforcement.

STATUTORY REFERENCES

Program Name	Statutory Reference
ADMINISTRATION	Title 63 of the Oklahoma State Statutes.
ENFORCEMENT	Title 63 of the Oklahoma State Statutes and Title 21 of the United States Code.
ESI/INTERDICTION	Title 63 of the Oklahoma State Statutes.
DIVERSION	Title 63 of the Oklahoma State Statutes, 2-309A-H (Anti-Drug Diversion Act).
INFORMATION SERVICES DIVISION	Title 63 of the Oklahoma Statutes
PREVENTION, EDUCATION & TRAINING	Title 63
MARIJUANA ERADICATION PROGRAM	Title 63 of the Oklahoma Statutes and Title 21 of the United States Code
MOBILE OPERATIONS TEAM (MOT)	Title 63 of the Oklahoma Statutes and Title 21 of the United States Code

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated
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Goal: Provide drug education awareness and enable the citizens of Oklahoma to reject illicit and pharmaceutical drugs.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY- 2011</u> <u>Budgeted</u>	<u>FY-2012</u> <u>Estimated</u>
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Program: ADMINISTRATION

Goal: The Administration Services Division, Fiscal Section will provide quality customer service and support.

- * The Fiscal Section will provide a positive impact on agency operations through promoting positive vendor relations by the timely processing of payments for services and supplies. This will be measured by the percent of vouchers processed within 15 workdays from receipt of all necessary documentation (the standard is 98%).

Accounts Payable	98.0%	98.0%	98.0%	98.0%
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- * The Fiscal Section will excel at processing travel reimbursement vouchers to help reduce the financial burden on employees travelling. The measurement is the percent of travel reimbursement vouchers processed within 5 workdays from the receipt of all necessary documentation (the standard is 98%).

Travel Reimbursement	98.0%	98.0%	98.0%	98.0%
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- * To promote efficient agency operations, the purchasing of goods and services will be accomplished in a timely manner. One of the workload measurements for this section will be the number of section requests processed.

Section Requests Processing	615	896	941	988
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- * Efficient agency operations depend on timely renewal of annual contracts and leases to prevent disruption of services. The measurement of accomplishing this aspect of customer service is the percent of contracts and leases renewed prior to expiration (the standard is 95%).

Contract and Lease Renewals	95%	95%	95%	95%
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Goal: Staff Briefing on Public Information Issues

- * Provide public information briefing at weekly staff meetings or more as needed.

Weekly Briefing	1 Per Week	1 Per Week	1 Per Week	1 Per Week
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Goal: The Public Information Officer will provide a press release weekly or as needed about the activities of OBN.

- * The Public Information Officer will provide press release about the activities of OBN. This may occur more often as necessary and may involve a press conference that includes the Director and/or other members of the Administrative Staff.

The Public Information Program at OBN is staffed by one Program Information Officer and designed to inform the general public about drug activities, trends, and emerging problems. This Unit is also charged with interfacing with the press, providing honest and straightforward answers to their questions, making presentations to civic groups, schools, and other groups about the effects of drug abuse and the OBN statewide effort to decrease the viability of choosing to use illegal drugs. The OBN Public Information Officer is also designated as the agency's Legislative Liaison, responsible for tracking drug-related legislation at the State Capitol and visiting frequently with state legislators about bills critical to the OBN mission and function.

Press Release	15	35	25	25
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Goal: Making public appearances, to include speeches to civic groups and schools, newspaper and television appearances, and special presentations as needed to specific groups.

- * It shall be the goal of the Public Information Officer to make at least 100 public appearances per year, to include speeches to civic groups and school, newspaper and television appearances, and special presentations as needed to specific groups. With the anticipated addition of five education instructors shown in the Education & Training Program, the OBN Public Information Officer anticipates increasing the number of public appearances & drug awareness presentations combined to 500 by FY-2013.

Number of Public Appearances	219	325	300	300
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated

Program: ADMINISTRATION

Goal: Preparation of the OBN Annual Agency Activity Report

- * Preparation of Annual Report with 0% error rate.

Annual Report	1	1	1	1
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Goal: Providing legal support for the agency including: Administration, Enforcement, ESI/Interdiction and Diversion Divisions of OBN. Attorneys spend 50- 75% of time prosecuting civil and criminal as well as administrative cases.

- * The Legal Section of OBN is staffed by two full-time attorneys who serve respectively as General Counsel and Assistant General Counsel to the Bureau, as well as a ¾ time Legal Secretary. The division represents the Bureau, its Director, members of the Commission, and Agents on Bureau Rules and Regulations, contracts, personnel issues, MOU's, interagency leases and agreements and proposed legislation. Additionally, the Bureau attorneys advise Agents on search and seizure, arrests, and other criminal law and procedure matters, and assist District Attorneys in the prosecution of suspected violators of Oklahoma's drug laws. They also issue administrative subpoenas for records and documents to aid Agents in their investigations. The Legal Section is also closely involved in obtaining and executing Court Orders authorizing wiretaps of those suspected of trafficking in illegal drugs. These cases require constant legal advice and oversight for these highly technical but imminently useful investigations.

Legal Support	1,018	1,069	1,122	1,178
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Goal: Representation of OBN in legal matters

- * Appearances at Court Hearings and preparation of documents in civil, criminal and administrative matters.

Court Hearings	107	112	118	123
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Goal: Review and advise on legal matters to Agency

- * Review and/or advise given on certain contracts, leases, personnel matters as it relates to laws and rules, federal and state seizure laws, search and seizure laws, review of affidavits, legal questions from agents and other staff, review of wiretap affidavits and search warrants with agents, MOU's, legal questions relating to certain expenditures, review of administrative subpoenas and review of administrative rules and other laws as needed.

Review and Advise	140	147	154	162
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Goal: Provide Education and Training, Represent Agency in Meetings and Events, Preparation of Legislative and Administrative Laws

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Agency Representation	23	25	27	30
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- * The OBN Attorneys will provide legal education at conferences, events, small groups and via e-mail when needed, work with and coordinate with other agencies on cases and ongoing issues, provide assistance to outside agencies when possible, prepare legislation and administrative rules, and assist Legislative Staff and legislators in explanation and information about bills as needed.

Education and training	16	17	18	19
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Legis and Admn Laws	4	6	9	14
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Goal: Education and Legal Research

- * The legal staff will complete legal research, including attendance at conferences when possible to stay abreast of legal issues.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: ADMINISTRATION

Goal: Education and Legal Research

Legal Research	352	370	389	408
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Goal: Serving in the Administration Division, the Human Resources Section will support the agency, its efforts and resources by providing quality and consistent services and support.

- * Completion of personnel actions maintains important information in an employee's employment history and agency records for documentation and consistency. This data is collected and stored providing historical data for the agency, employee and the State of Oklahoma. This measurement is measured by the number of personnel transactions processed.

Personnel Transactions	76	43	50	50
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- * With maximum accuracy, processing payroll achieves the maintenance of employee and agency financials, salary and other earnings, deductions that occur and must be completed in a timely manner. This function is imperative to the agency and its employees. The measurement of accomplishment is by the number of payrolls processed with 99% accuracy within established deadlines.

Payroll	36	36	36	36
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- * Insurance is a large piece of an employee's compensation package. The efficiency in processing insurance requests and/or changes and renewals must be completed timely to prevent the cancellation or delay of coverage. Additionally processing this benefit has great effect on the monies the agency must spend and can save. This item is measured by the number of requests/changes completed within 5 days of receipt and the reconciliation, when necessary addressed within one pay period.

Insurance	442	131	131	173
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Program: DIVERSION

Goal: To provide public safety as related to controlled substances to the citizens of Oklahoma

- * This would include, but is not limited to, telephone calls from citizens, registrants, and law enforcement. Meeting with citizen groups, legislators, health care professionals, and law enforcement. Faxing information to registrants, law enforcement, and the public.

Assist	1,664	8,360	8,400	8,400
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- * This would include, but is not limited to, investigations assigned, initiated or assisted by analysts and agents within the Diversion Division. This would also include Court/Show Cause hearing appearances, etc.

Investigations	188	202	220	220
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- * Training and educating the public, health care professionals and law enforcement about the dangers of pharmaceutical abuse. Also, regulating the 16,260 registrants in Oklahoma in compliance with state laws and regulations.

Training	1,977	1,587	1,600	1,600
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Goal: Regulating the dispensing of controlled drugs by physicians, pharmacies, etc. through registration

- * Number of registrants registered - new and renewals.

# of Registrants	5,655	5,925	6,000	6,000
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Goal: Decrease drug abuse by tracking prescriptions through the Prescription Monitoring Program (PMP)

Program: ENFORCEMENT

Goal: The quality of customer service will be measured by the ability to enforce State and Federal drug laws

Goal: Arrests of violators of State and Federal drug laws

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated

Program: ENFORCEMENT

Goal: Arrests of violators of State and Federal drug laws

* Number of arrests made.

Arrests	866	364	400	400
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Goal: Cases Initiated

* Initiate cases on known drug violators

Number of Cases Initiated	532	700	720	720
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Goal: Search/Arrest Warrants

* Serve search/arrest warrants on violators of State and Federal drug laws.

Number of Warrants Served	225/457	141/307	200/400	200/400
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Goal: Knock/Talk Warrantless Searches

* Perform/serve knock/talks and warrantless searches on suspected drug violators.

Number of Knock/Talks	679	229	300	300
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Goal: Asset Seizures

* Number of vehicles seized related to drug activity.

Vehicles	43	15	20	20
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* Amount of drug-related money seized.

Money	\$244,663	\$622,799	\$500,000	\$500,000
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* Number of weapons seized related to drug activity.

Weapons	387	46	50	50
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Program: ESI/INTERDICTION

Goal: Address mexican cell groups through covert wire taps and other major distributors of narcotics

* ESI is one of only a few full time units in the United States completely staffed with bi-lingual agents and support staff to address Mexican cell groups. Support staff is crucial to transcribe Spanish calls into English for agents and prosecutors. The unit is complimented with English speaking agents as well.

# of Wire Taps	8	6	12	14
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Goal: Target drug trafficking organizations by utilizing K9 searches to interdict loads of illegal drugs on Oklahoma highways and assist other units with K-9 searches

* The Interdiction Unit targets drug trafficking organizations by utilizing K9 searches to interdict loads of narcotics on Oklahoma highways. The interdiction unit consists of 5 officers and 4 K9s who interdict vehicles on Oklahoma highways in an attempt to locate drugs and identify traffickers and their organizations within Oklahoma, other states, and Mexico.

Money Seized	\$2,746,275	\$989,847	\$500,000	\$500,000
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* The Interdiction Unit targets drug trafficking organizations by utilizing K9 searches to interdict loads of narcotics on Oklahoma highways. The interdiction unit consists of 5 officers and 4 K9s who interdict vehicles on Oklahoma highways in an attempt to locate drugs and identify traffickers and their organizations within Oklahoma, other states, and Mexico.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: ESI/INTERDICTION

Goal: Target drug trafficking organizations by utilizing K9 searches to interdict loads of illegal drugs on Oklahoma highways and assist other units with K-9 searches

Vehicles Seized	21	15	10	10
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* The Interdiction Unit targets drug trafficking organizations by utilizing K9 searches to interdict loads of narcotics on Oklahoma highways. The interdiction unit consists of 5 officers and 4 K9s who interdict vehicles on Oklahoma highways in an attempt to locate drugs and identify traffickers and their organizations within Oklahoma, other states, and Mexico.

Drugs Seized	697 lbs/35 gms	1,130 lbs/92 gm	156 lbs	168 lbs
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* The Interdiction Unit targets drug trafficking organizations by utilizing K9 searches to interdict loads of narcotics on Oklahoma highways. The interdiction unit consists of 5 officers and 4 K9s who interdict vehicles on Oklahoma highways in an attempt to locate drugs and identify traffickers and their organizations within Oklahoma, other states, and Mexico.

Firearms Seized	5	0	5	5
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Goal: Run the HIDTA deconfliction center

* OBN currently runs the deconfliction center that provides direct case support on a 24 hour basis. The center will support GPS tracking for the HIDTA enforcement initiatives for other agencies within the Oklahoma law enforcement community. Results based on calendar year basis.

Event Deconfliction	1,155	2,258	1,322	1,322
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* OBN currently runs the deconfliction center that provides direct case support on a 24 hour basis. The center will support GPS tracking for the HIDTA enforcement initiatives for other agencies within the Oklahoma law enforcement community. Results based on calendar year basis.

Database Query	4,070	4,832	5,692	5,692
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* OBN currently runs the Deconfliction Center that provides direct case support on a 24 hour basis. The center will support GPS tracking for the HIDTA enforcement initiatives for other agencies within the Oklahoma law enforcement community. Results based on calendar year basis.

Subject Deconfliction	1,270	2,580	1,392	1,392
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Program: INFORMATION SERVICES DIVISION

Goal: Maintenance of existing computer hardware and software with minimal breakdowns and interruptions in service.

* Average number of monthly user help desk calls answered and completed with minimal breakdowns and interruptions in service.

Help Desk Assistance Calls	3000	1498	2000	2000
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Goal: Maintenance of iCase (OBN Statewide Case Management Database)

* Maintain Case Management systems with minimal interruptions in service

Number of development hours	3,180	977	2500	2500
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* Maintain Case Management systems with minimal interruptions in service

User assistance calls	1,560
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Goal: Maintain the OBN Prescription Monitoring Program (PMP) with minimal interruptions in service

* Track the transactions from medical professionals, check for compliance and investigate irregularities as they emerge.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated

Program: INFORMATION SERVICES DIVISION

Goal: Maintain the OBN Prescription Monitoring Program (PMP) with minimal interruptions in service

Track transactions monthly	200,000	257,000	280,000	350,000
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* Track the transactions from medical professionals, check for compliance and investigate irregularities as they emerge.

New accounts added to system	928			
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Goal: Review real-time data of Schedule V Pseudoephedrine sales from pharmacies, check for compliance, and investigate irregularities as they emerge.

* Review real-time data of Schedule V Pseudoephedrine sales from pharmacies, check for compliance and investigate irregularities as they emerge.

Review real-time data	900,000	1,238,000	1,200,000	1,200,000
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Program: MARIJUANA ERADICATION PROGRAM

Goal: To enforce State and Federal marijuana laws and to train other law enforcement agencies in marijuana investigations

Goal: Outdoor Cultivated Plants

* Seizure and destruction of outdoor cultivated marijuana plants

Plants Destroyed	16,078	19,126	20,000	20,000
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Goal: Indoor Cultivation

* Indoor grows seized and destroyed

Indoor Growths	0	0	5	5
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Goal: Asset Seizures

* Number of firearms seized

Firearms	65	17	10	10
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Program: MOBILE OPERATIONS TEAM (MOT)

Goal: To enforce State and Federal drug laws and to assist other law enforcement agencies in narcotics investigations

* To enforce State and Federal drug laws and to assist other law enforcement agencies in narcotics investigations.

Enforce and Assist	10	28	20	20
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Goal: Arrest violators of State and Federal drug laws

* Arrest violators of State and Federal drug laws.

# of Arrests	125	140	130	130
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Goal: Cases Initiated

* Open cases of on violators of State and Federal drug laws.

Cases Open	125	120	100	100
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Goal: Search/Arrest Warrants

Goal: Community and Law Enforcement Presentations

* Community and Law Enforcement Presentations given by OBN agents/personnel.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated

Program: MOBILE OPERATIONS TEAM (MOT)

Goal: Community and Law Enforcement Presentations

# of Presentations	7	5	5	5
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Program: PREVENTION, EDUCATION & TRAINING

Goal: Facilitate Training for OBN and other Law Enforcement Agencies

* This program will measure the customer service provided through the number and types of training activities conducted.

Training Activities	133	135	150	150
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* Customer service provided through the number of training hours coordinated.

Training Hours Coordinated	496	544	500	500
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Goal: Review Training Materials and Current Drug Enforcement Techniques

* Number of hours spent staying abreast of current Drugs Enforcement Techniques.

Drug Enforcement Techniques	81	90	100	100
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* Research, review, and apply current available training materials.

Review Training Materials	424	450	600	600
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Goal: Drug Education Awareness Program

* Students and adults will demonstrate increased knowledge and awareness of drug abuse consequences.

Inc. Knowledge & Awareness	22,488	18,249	10,000	10,000
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* The COPNET program will no longer be federally funded through AmeriCorps. Therefore OBNDCC is requesting five additional FTE in order to continue the drug education awareness program. These education instructors will draw on a library of programs and design the drug education awareness program to meet the request of the general public to include schools, civic groups, faith-based groups, parents, teachers, administrators, etc. in their area of operation. These programs will be customized based on the audience.

Drug Education Awareness	718	625	300	300
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* Students will learn preventive strategies to avoid the peer pressure to use drugs.

Learn Preventive Strategies	20,008	16,019	10,000	10,000
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009 Actual	FY-2010 Actual	FY-2011 Budgeted

19X	General Revenue	7,069	6,282	5,467
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NARC. & DANG. DRUGS CONTROL,
BUREAU OF

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SAFETY AND SECURITY

EXPENDITURES BY FUND (continued)

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY-2011 Budgeted</u>
210 Bureau of Narcotics Revolving	\$ 3,830	1,742	5,147
215 Narcotics Drug Education Rev F	0	0	5
220 Drug Money Laundering and Wire	0	3,770	2,860
410 Federal Seizures Fund	127	0	0
415 Crime Commission Grants	274	317	386
418 District Atty Council Grants	1,094	550	475
490 American Recov. & Reinv. Act	0	496	403
Total Expenditures by Fund	\$12,394	\$13,157	\$14,743

EXPENDITURES BY OBJECT

\$000's

<u>Object of Expenditure</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits	9,367	9,547	10,670
Professional Services	121	43	45
Travel	224	191	303
Lease-Purchase Expenditures	0	0	0
Equipment	735	773	1,261
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	1,949	2,598	2,464
Total Expenditures by Object	\$12,396	\$13,152	\$14,743

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

\$000's

<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
10 Administrative Services			
10010 Administration	1,405	1,278	1,408
Total Administrative Services	1,405	1,278	1,408
20 Enforcement			
20001 Enforcement	3,949	4,390	4,732
20002 Intelligence	1	0	0
20003 Marihuana Eradication	277	317	386
20004 Evidence Fund	0	605	200
20009 HIDTA Intell DP	32	26	48
20050 Motor Vehicles	370	134	534
20051 Mobile Operations Team	209	339	345
20053 OBN Woodward District 26	55	117	201
20090 Ardmore Task Force	0	111	201
Total Enforcement	4,893	6,039	6,647
30 Electronic, Surveillance & Int			
30002 ESI	1,908	1,903	1,833

NARC. & DANG. DRUGS CONTROL,
BUREAU OF

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SAFETY AND SECURITY

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
30 Electronic, Surveillance & Int			
30007 Drug Interdiction	475	645	746
Total Electronic, Surveillance & Int	2,383	2,548	2,579
40 Diversion			
40002 Diversion	1,249	1,042	1,183
40003 Methamphetamine Initiative '06	3	114	32
40005 Comprehensive Meth Strategy	78	0	0
40006 Methamphetamine Grant	325	0	0
40008 Comp Internet Meth Educ Prog	5	0	0
40009 Prescription Drug Monitoring	275	37	403
40010 OBN & Chickasaw Nation Meth Gr	210	174	50
Total Diversion	2,145	1,367	1,668
50 Information Systems			
50001 Information Systems	15	0	0
50040 Information Sys/Communications	664	605	727
50041 PMP/Data Share	0	190	0
50088 Information Sys/Data Processin	379	779	1,209
Total Information Systems	1,058	1,574	1,936
60 Education/Training			
60001 Education/Training	512	218	227
60002 Marijuana Fee Education Fund	0	0	5
60006 COPNET	0	132	272
Total Education/Training	512	350	504
Total Expenditures by Activity	\$12,396	\$13,156	\$14,742

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
10 Administrative Services	12.0	13.0	13.0
20 Enforcement	61.0	56.0	56.0
30 Electronic, Surveillance & Int	21.0	23.0	23.0
40 Diversion	11.0	13.0	13.0
50 Information Systems	10.0	14.0	14.0
60 Education/Training	23.0	11.0	11.0
Total FTE	138.0	130.0	130.0
Number of Vehicles	98	114	114

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
90	Headquarters			
90001	Land and Building fund	0	0	4,275
90040	Helicopter Camera System	0	0	50
Total Capital Outlay by Project		<u>\$0</u>	<u>\$0</u>	<u>\$4,325</u>

PARDON AND PAROLE BOARD (306)

MISSION

The mission of the Oklahoma Pardon and Parole Board is to perform its duties as imposed by Article 6, Section 10, of the Oklahoma Constitution and; determine which offenders are to be released on parole or discretionary mandatory supervision; determine conditions of parole and mandatory supervision; determine revocation of parole mandatory supervision; and recommend resolution of clemency matters to the governor.

THE BOARD

The Pardon and Parole Board is a constitutional, (Article 6.10) five-member, part-time body charged with making clemency recommendations to the Governor concerning convicted adult felons. Members of the Board are appointed, three by the Governor, one by the Chief Justice of the State Supreme Court, and one by the presiding Judge of the Court of Criminal Appeals. They hold office co-terminous with that of the Governor. Board members are removable only for cause in the manner provided by law for elected officers not liable for impeachment. The Board meets several days each month at one of the State penal institutions. Upon Board recommendation, the Governor has the authority to make the final decision on the granting of clemency, with the restrictions and stipulations recommended by the Board.

DUTIES/RESPONSIBILITIES

The parole decision makers (board members), guided by sound application of the discretionary authority vested by the Constitution of the State of Oklahoma, shall: render just determination in regard to parole release and revocations; thereby maximizing the restoration of human potential while restraining the growth of prison and jail population; impose reasonable and prudent conditions of release consistent with the goal of structured reintegration of the release into the community; and resolutely administer the clemency process with recommendation to the Governor sully commensurate with public safety and due consideration.

The Board appoints an Executive Director who employs a full-time staff and administers the daily operations of the agency. The staff determine eligibility, based on the law, for each person sentenced to the Department of Corrections, prepares an extensive investigative report that includes a recommendation to the Board, provides notifications to victims/representatives and various entities as required by law and processes parole recommendations.

HISTORICAL INFORMATION:

Although, in recent years the Board has been mandated to assist with alleviating prison overcrowding, it remains our goal to maintain a low revocation and recidivism rate for the State of Oklahoma. Administrative staff provides quality and timely information to the Board Members and Governor enabling informed clemency decisions on adult incarcerated felons.

STATUTORY REFERENCES

Program Name	Statutory Reference
Public Safety and Clemency	Article 6 Section 10, State of Oklahoma Constitution Title 57:332.2 & 332.ET LA

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Public Safety and Clemency

Goal: Aid the offenders in a successful transition back to the community

Goal: Pardons

Goal: Notify all victims/representatives who request notification as directed by State Statute

Goal: Recruit and retain adequate staffing levels and a staff that is experienced, knowledgeable and trained in the clemency process

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X General Revenue	2,641	2,373	2,334
Total Expenditures by Fund	<u>\$2,641</u>	<u>\$2,373</u>	<u>\$2,334</u>

EXPENDITURES BY OBJECT

\$000's

<u>Object of Expenditure</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits	2,404	2,233	2,208
Professional Services	10	7	3
Travel	35	11	12
Lease-Purchase Expenditures	0	0	0
Equipment	18	7	0
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	174	114	111
Total Expenditures by Object	<u>\$2,641</u>	<u>\$2,372</u>	<u>\$2,334</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
10 Administrative Services				
1 Administration	2,641	2,373	2,334	
Total Administrative Services	2,641	2,373	2,334	
Total Expenditures by Activity	<u>2,641</u>	<u>2,373</u>	<u>2,334</u>	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
10 Administrative Services	39.0	36.0	31.0
Total FTE	<u>39.0</u>	<u>36.0</u>	<u>31.0</u>
Number of Vehicles	0	0	0

PUBLIC SAFETY, DEPARTMENT OF (585)

MISSION

To provide a safe and secure environment for the public through courteous, quality and professional services.

DUTIES/RESPONSIBILITIES

As public servants, we must strive to uphold the ideals outlined in our Mission and Goal statements. In this respect, the Department of Public Safety is organized into various sections and sub-sections to carry out these responsibilities. The agency is organized into the following programs: Administrative Services, Homeland Security, Highway Safety, Law Enforcement Services, Driver Licensing, Telecommunication Services, Motor Vehicle Operations, Size and Weight Permits, and the Board of Tests for Alcohol and Drug Influence.

STATUTORY REFERENCES

Program Name	Statutory Reference
Highway Safety	O. S. Title 69, sections 4008, 4009, 4009.1
Law Enforcement Services	O. S. Title 47, section 2-105 et seq. O. S. Title 63, section 4202 et seq. O. S. Title 70, section 3311 et seq. O. S. Title 74, section 1811.1 O. S. Title 74, section 1811.4E
Telecommunications Services	O. S. Title 47, section 2-105.8 O. S. Title 47, section 2-124 - 2-129
Driver Licensing	O. S. Title 11, section 14-112B O. S. Title 21, section 1550.41 et seq. O. S. Title 22, section 1115 O. S. Title 26, section 4-103.1 O. S. Title 36, section 924.1 O. S. Title 37, section 600.1 O. S. Title 47, sections 2-104, 6-101 et seq., 7-101 et seq., 8-101 et seq., 10-115, 15-111 thru 15-113, 801 et seq.
Motor Vehicle Operations	O. S. Title 51, section 24A.5 O. S. Title 47, section 1-103 O. S. Title 47, section 2-101 et seq. O. S. Title 47, section 151 et seq.
Size and Weight Permits	O. S. Title 47, section 14-101 et seq.
Administrative Services	O. S. Title 47, section 2-101 et seq.
Homeland Security	74 O. S., section 10.6.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Administrative Services				
Goal: Effective Administration of Alcohol/Drug related Driving Laws				
* Number of Implied Consent Hearings Conducted by Legal Staff				
Hearings Conducted	4366	3756	3981	4219
* Number of Driver License Modifications Issued following Alcohol/Drug Related Arrests				
DL Modifications Issued	2989	3694	3989	4308
* Number of Implied Consent Hearing Findings Appealed to District Court				
Appeals Defended	1078	935	1009	1090
Goal: Improve Agency Security				
* Percent of Agency Facilities with Implemented Access Control Systems				
Complete Access Control	98	98	98	98
Program: Driver Licensing				
Goal: Oversee Driver Training and Testing				
* Number of Driver Tests Administered (calendar year estimates)				
Driver Tests	123350	287870	302263	317376
Goal: Administer an Effective Driver Compliance Program for Problem Drivers				
* Number of Drivers appearing before a Driver Compliance Hearing Officer				
Drivers Counseled	876260	82114	82936	84594
* Number of Medical Report Files Reviewed				
Medical Reviews	8519	8218	8464	8718
* Number of Previously Revoked, Suspended, Cancelled, Denied, or Modified Driver Licenses Reinstated				
License Reinstatements	68361	88760	89647	91439
* Number of Driver Licenses Revoked, Suspended, Cancelled, Denied, Disqualified or Modified				
Driver License Actions	85893	85948	86807	88543
Goal: Efficiently Issue Driver Licenses, ID Cards, and Handicap Placards				
* Number of Handicap Placards Issued				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated
Program: Driver Licensing				
Goal: Efficiently Issue Driver Licenses, ID Cards, and Handicap Placards				
Handicap Placards	79492	83208	85704	87418
* Number of Driver Licenses Issued, Renewed, or Replaced and ID Cards Issued				
DLs, IDs Issued	1071840	1050367	1102884	1158028
Goal: Efficiently Process Driving Record Related Documents				
* Number of Collision Reports, Violations, Citations, and other records processed per calendar year.				
Enter Records	936508	621013	621013	621013
* Number of documents retrieved or copied from microfilm or digital image file per calendar year				
Retrieve Documents	80,000.00			
* Number of documents digitally scanned per calendar year				
Save/Store Documents	2723504	2570645	2570645	2570645
Goal: Administer an Effective Financial Responsibility Program				
* Number of Revoked/Modified Driver Licenses Reinstated				
License Reinstatements	22815	21114	21325	21751
* Number of Driver Licenses revoked for Financial Responsibility violations				
FR License Revocations	26707	28106	28668	29241
* Number of Collision Reports Reviewed for Financial Responsibility Violations				
Collision Report Reviews	3305	3940	3979	3983
* Number of Financial Responsibility Notices Mailed to Violators				
FR Violation Notices	26707	28106	28668	29241
Program: Highway Safety				
Goal: Improve Traffic Safety in Oklahoma				
* Estimated Use Rate of Safety Belts in Vehicles on Oklahoma Roadways				
Safety Belt Use Rate	84.2%	85.9%	86%	86.5%
* Estimated Use Rate of Child Safety Restraint Devices in Vehicles on Oklahoma Roadways				
Child Restraint Use Rate	86.1%	85.5%	87.5%	88.0%
Program: Homeland Security				
Goal: Improve statewide emergency responsive capabilities				
* Number of state agency/county/municipality grants approved for funding.				
Sub-Grants Awarded	46	114	150	150
Program: Law Enforcement Services				
Goal: Improve Traffic Safety				
* Total Number of OHP Personnel				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: Law Enforcement Services				
Goal: Improve Traffic Safety				
OHP Manpower	831	822	798	793
* Number of Cadets completing OHP Academies				
OHP Cadets	26	0	0	0
Program: Motor Vehicle Operations				
Goal: Maintain Agency Vehicle Fleet				
* Number of Vehicles Maintained in Service				
Vehicle Maintenance	1061	1061	1061	
* Number of New Enforcement Vehicles Placed in Service				
New Vehicles	122	146	136	136
Program: Size and Weight Permits				
Goal: Efficiently Issue Permits to Applicants				
* Percent completion of internet-based automated permit issuance				
Automated Issuance	0%	29%	29%	29%
* Percent completion of internet-based processing of permit issuance				
Automated Processing	30.8%	30.8%	50%	50%
Program: Telecommunications Services				
Goal: Improve Communications Capabilities				
* Number of Dispatcher In-Service Training Sessions				
Dispatcher Training	2	2	6	7
* Cumulative Percent of Dispatch Telephone Systems Upgraded to New Technology				
Telephone Capabilities	13%	0	2%	3%
Goal: Maintain Oklahoma Law Enforcement Telecommunications System				
* Percent of time OLETS network is operational.				
System Availability	99.9%	99.9%	99.9%	99.9%

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
19X General Revenue	96,095	87,601	88,432	
PUBLIC SAFETY, DEPARTMENT OF	. 698 .			SAFETY AND SECURITY

EXPENDITURES BY FUND (continued)

Type of Fund:		FY- 2009 Actual	FY- 2010 Actual	FY-2011 Budgeted
200	Public Safety Revolving Fund	\$ 22,062	26,775	31,335
210	Patrol Vehicle Revolving Fund	5,534	3,992	0
215	Asset Forfeiture Funds	1,896	4,116	1,790
220	Driving Privilege Fund	0	0	326
225	Computer Imaging System Revolving	5,112	5,180	5,061
230	Boating Safety Education Fund	0	0	1
235	OK Homeland Security Rev Fun	130	70	100
405	Federal Matching Fund	31,991	35,830	71,959
490	American Recov. & Reinv. Act	0	0	0
57X	Special Cash Fund	20	3,056	0
Total Expenditures by Fund		\$162,840	\$166,620	\$199,004

EXPENDITURES BY OBJECT

Object of Expenditure	\$000's		
	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
Salaries and Benefits	107,998	109,265	109,401
Professional Services	3,433	4,336	265
Travel	749	426	324
Lease-Purchase Expenditures	8	0	0
Equipment	12,833	18,600	7,691
Payments To Local Govt Subdivisions	511	2,823	0
Other Operating Expenses	37,304	31,165	81,623
Total Expenditures by Object	\$162,836	\$166,615	\$199,304

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

Activity No. and Name	\$000's		
	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
10 Administration			
1010 Commissioner's Office	865	737	521
1011 Safety & Health Compliance	246	77	0
1012 Comptroller	431	602	719
1015 Budget	363	319	293
1020 Finance	598	816	771
1021 Human Resources	984	957	874
1022 Procurement	338	271	218
1023 Legal	1,979	1,855	1,787
1024 Wrecker Licensing	435	447	454
1030 Supply Division	560	425	419
1035 Print Shop	218	199	170
1040 Property Management	1,179	1,348	1,011
1047 Risk Management	651	698	947

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
10 Administration			
1049 Utilities	572	272	600
1081 Data Services	2,126	1,670	599
1082 Computer Operations	88	0	0
1085 Applications Support	66	0	0
1088 Information Systems	2,138	2,292	2,840
1089 Copier Contracts	0	0	133
Total Administration	13,837	12,985	12,356
12 Homeland Security			
1210 Homeland Security	16,021	21,970	51,931
1220 Homeland Security - DPS Awards	434	720	675
1225 Homeland Security - 800 MHZ	3,331	1,348	792
Total Homeland Security	19,786	24,038	53,398
13 Highway Safety Office			
1310 Highway Safety Office	8,004	6,393	7,201
1320 Highway Safety - DPS Grants	826	966	1,164
Total Highway Safety Office	8,830	7,359	8,365
20 Law Enforcement Services			
2005 Chief's Office	0	0	75
2010 Highway Patrol	55,536	58,159	57,341
2011 Troop K - Pawnee	22	0	0
2012 Investigations	115	49	35
2013 Law Enforcement Technology Dev	1	2	9
2014 Bomb Squad	55	69	26
2015 OHP Personal Services	2,355	2,086	2,288
2016 Motorcycles	65	21	31
2017 Aircraft Services	1,205	572	526
2018 Motorcycle Safety	24	0	0
2019 Evidence	10	10	11
2020 Turnpike Law Enforcement	11,603	11,376	12,285
2022 Public Affairs	3	0	1
2025 Dive Team	16	1	11
2028 Tac Team	13	5	20
2029 Command Post	19	9	5
2030 Asset Forfeiture Fund - Enforc	490	1,757	945
2035 Asset Forfeiture Fund - Genera	661	1,219	1,801
2040 Training Center	270	126	208
2042 Recruitment	68	1	0
2045 Academy	2,196	1,019	0
2050 Special Operations	464	522	682
2060 D A R E	226	163	188
2070 Executive Security	124	95	116
2071 Lt Governor's Security	57	12	30
2080 Commercial Vehicle Enforcement	6,488	6,728	8,492
2085 New Entrant Program	0	990	1,285
2201 Troop A - OKC	0	0	6
2202 Troop B - Tulsa	0	0	13
2203 Troop C - Muskogee	0	0	2
2204 Troop D - McAlester	0	0	13
2205 Troop E - Durant	0	0	3
2206 Troop F - Ardmore	0	0	1

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
20	Law Enforcement Services		
2207	0	0	3
2208	0	0	6
2209	0	0	1
2210	0	4	3
2211	0	20	15
2212	0	0	1
2213	0	0	3
2302	0	0	1
2510	4,945	5,003	5,539
2610	32	13	58
	<u>87,063</u>	<u>90,031</u>	<u>92,079</u>
30	Management Information Service		
3010	560	69	72
3011	2,536	2,868	3,838
3012	873	891	834
3013	0	0	670
3020	1,187	1,490	1,434
3030	599	717	1,239
	<u>5,755</u>	<u>6,035</u>	<u>8,087</u>
33	Driver Licensing		
3310	10,188	10,321	9,021
3311	3,718	3,873	3,314
3312	315	0	0
3313	1,264	951	727
3314	59	0	0
3315	3	8	15
3318	310	276	295
3320	562	1,028	2,995
3330	0	213	285
	<u>16,419</u>	<u>16,670</u>	<u>16,652</u>
35	Motor Vehicle Operations		
3510	1,375	1,350	1,896
3511	2,956	2,290	3,406
3512	4,318	3,241	0
3513	532	504	444
3517	171	33	32
	<u>9,352</u>	<u>7,418</u>	<u>5,778</u>
36	Size and Weights Permits		
3610	1,431	1,677	1,950
	<u>1,431</u>	<u>1,677</u>	<u>1,950</u>
53	Board of Chemical Tests		
5310	367	402	338
	<u>367</u>	<u>402</u>	<u>338</u>
79	Clearing and ASA Department		
99999	0	0	0

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Total Clearing and ASA Department	0	0	0
Total Expenditures by Activity	<u>\$162,840</u>	<u>\$166,615</u>	<u>\$199,003</u>

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
10 Administration	146.0	119.0	115.0
12 Homeland Security	2.0	2.0	3.5
13 Highway Safety Office	23.0	17.0	23.0
20 Law Enforcement Services	1,042.0	1,000.0	1,000.0
30 Management Information Service	31.0	31.0	28.5
33 Driver Licensing	246.0	219.0	210.0
35 Motor Vehicle Operations	26.0	21.0	21.0
36 Size and Weights Permits	30.0	29.0	30.0
Total FTE	<u>1,546.0</u>	<u>1,438.0</u>	<u>1,431.0</u>
Number of Vehicles	1355	0	0

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
<u>Expenditures by Project:</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Estimated</u>	
<u># Project name</u>				
90 Capital Outlay Troop Headqtrs				
2090 Capital Outlay - Training Cent	548	1,015	500	
Total Capital Outlay by Project	<u>\$548</u>	<u>\$1,015</u>	<u>\$500</u>	

OUTSTANDING DEBT				\$000's
	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
Lease-purchase obligations	48	0	43	
Revenue bond issues	0	0	0	
Other debt	0	0	0	
Total Outstanding Debt	<u>\$48</u>	<u>\$0</u>	<u>\$43</u>	

ADVANCEMENT OF SCIENCE & TECH, CTR. FOR (628)

MISSION

To foster innovation in existing and developing businesses by:

- * Supporting basic and applied research;
- * Facilitating technology transfer between research laboratories and firms and farms;
- * Providing seed capital for new innovative firms and their products; and
- * Fostering enhanced competitiveness of Oklahoma companies and small and medium sized manufacturing firms through productivity and modernization initiatives.

(O.S. Title 74 Section 5060.3)

THE BOARD

OCAST is governed by a 21-member board of directors - the Oklahoma Science and Technology Research and Development (OSTRaD) Board - consisting of: the director, Oklahoma Department of Commerce; the chancellor, Oklahoma State Regents for Higher Education; the presidents of the University of Oklahoma, Oklahoma State University, one of the regional universities in the State System of Higher Education designated by the Chancellor, and a private Oklahoma university classified by the Carnegie Foundation as a national doctorate-granting institution offering graduate engineering degrees; the Governor's appointed cabinet Secretary of Agriculture; one member of the House of Representatives and one member of the Senate; and twelve members appointed by the Governor, representing various segments of the science and business communities.

DUTIES/RESPONSIBILITIES

The intent and goals of the Legislature and the Governor upon creating the Oklahoma Center for the Advancement of Science and Technology were:

- 1) Establish Oklahoma as a premier information technology and biotechnology center for the twenty-first century;
- 2) Enhance the lives of, and expanding opportunity for, all Oklahomans through growth of information technology and biotechnology industries and infrastructure throughout the urban and rural areas of the state;
- 3) Expand and diversify Oklahoma's economy and provide new and higher quality jobs for Oklahomans.

To these ends, following are many of the specific statutory responsibilities of OCAST.

- 1) Work with the Oklahoma Health Research Committee to establish and operate a state program designed to secure and impartially distribute funds to support health research projects.
- 2) Create an advisory committee and award competitive Applied Research funds to principal investigation at institutions of higher education, non-profit research foundations and private enterprises. Such research should be of special importance to the Oklahoma economy, and lead to innovation, new knowledge or technology that has a reasonable probability to Oklahoma's economy.
- 3) Create an advisory committee and develop a small business innovation research (SBIR) matching support program which meets the highest current standards for state matching support to federal SBIR program grants.
- 4) Create an advisory committee and develop and implement a program to financially support the preparation of SBIR grant proposals by Oklahomans.
- 5) Establish a clearinghouse to provide technology transfer and technical referral services.
- 6) Provide to private enterprises and individuals services including disseminating research and technical information, referring clients to researchers or laboratories for testing and evaluating new products, processes or innovations, assisting

in locating enterprises or entrepreneurs that may be interested in applying innovations or new technologies, and providing managerial assistance to enterprises requesting such assistance. Contract with a non-profit 501-C to assist with the start-up and growth of technology-based firms in Oklahoma.

7) Assist minority businesses in obtaining financial assistance.

8) Sponsor an annual conference of health research to accelerate and facilitate the commercial development of new products and services conceived or developed as a consequence of professional service contracts supporting health research projects.

9) Work in conjunction with a non-profit 501-C to foster competitiveness in the national and international markets by small and medium sized manufacturing firms located in Oklahoma.

10) Create an advisory committee and establish two types of centers of excellence at institutions of higher education: centers of excellence for basic research and centers of excellence for applied research, development and technology transfer.

11) Provide match funds from the More Oklahoma Science and Technology (MOST) Eminent Scholars and Research Equipment Account to institutions of higher education, nonprofit research foundations and private enterprises of special importance to the Oklahoma economy, such funds will support endowed chairs and research equipment acquisitions.

12) Create a seed capital investment committee and make authorized investments and loans to business incubators and purchase qualified securities.

13) Create a Plant Science Research Committee and establish and operate a state program designed to secure and distribute funds to support professional service contracts for basic and applied plant science research projects to be awarded on the basis of scientific and technical merit.

14) Develop and implement the Oklahoma Nanotechnology Applications Project (ONAP) to assist qualified Oklahoma companies in the process of applying nanotechnology through research, development, and manufacturing to improve current products or create new, cutting-edge products.

STATUTORY REFERENCES

Program Name	Statutory Reference
Administration	Title 74, Section 5060.1
Program - Oklahoma Applied Research	Title 74, Section 5060.19
Program - Oklahoma Health Research	Title 74, Section 5060. 14-18.
Program - Small Business Research Assistance	Title 74, Section 5060.19.D
Program - Technology Commercialization	Title 74, Sections 5060.20 and 5060.20a
Program - Oklahoma Industrial Extension System	Title 74, Sections 5060.25, 5060.26 and 5060.27
Program - Oklahoma Inventors Assistance Service	Title 74, Section 5064.1
Program - Technology Information Services	Title 74, Section 5060.19.D
Program - Plant Science Research	Title 74, Sections 5060.4, 5060.53 and 5060.54
Program - Oklahoma Nanotechnology Applications Project	Title 74 Sections 5060.1a, 5060.4, 5060.43
EDGE Fund Policy Board	O.S. 62 § 52 (H) & (I)
Seed Capital	Oklahoma Constitution, Article X, Section 15 and O.S. Title 74, Section 5060.21.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Increase the impact of OCAST's programs

* The increase in federal funds, capital investments, sales, productivity value, cost avoidance value and license and royalties value attributed by clients to OCAST-funded projects or services.				
Financial impacts	\$430,978,136	\$307,743,516	\$315,000,000	\$320,000,000
* The number of clients and firms served by the Technology Commercialization Center, the Industrial Extension Service, the Small Business Research Assistance program, the Inventors Assistance Service, and the R&D Intern Partnership program				
Clients and Firms Served	1,359	1,423	1,500	1,600
* As reported by universities, businesses, and non-profit research centers, the number of scientific and technical jobs added and retained that are attributable to OCAST-funded projects or services				
Jobs created/retained	2,815	3,140	3,500	3,600
* Leverage is the amount of private and federal grants, contracts and venture capital attracted by researchers at universities, businesses and non-profit research centers that are directly attributable to OCAST-funded projects or services.				
Leverage	\$58,532,590	\$57,328,586	\$60,000,000	\$63,000,000

Goal: Generate public awareness of the impact of science and technology in Oklahoma

* The Oklahoma Innovations radio show geographic coverage area as a percentage of the state.				
Radio Show Coverage	95%	95%	95%	95%
* The number of Oklahoma citizens informed annually about OCAST opportunities.				
Informed Citizens	1,500,000	1,510,000	1,520,000	1,530,000
* The number of informational meetings and workshops conducted and/or meetings where a presentation was made.				
Meetings and Workshops	47	144	150	160
* The number of students participating in the Oklahoma Science & Technology Month program.				
Student Participants	1,200	1,403	1,450	1,500

Goal: Improve OCAST's productivity

* The percentage of administrative expenses relative to overall expenditures.				
Percentage Admin Expense	2.8%	3.6%	3.9%	4.0%
* Number and amount of vouchers processed within 10 business days of receiving approved invoice.				
Payment Voucher Processing	2,027 / \$20M	2517 / \$22.5M	2500 / \$19.1	3500 / \$43.1M

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Administration

Goal: Improve OCASTS's productivity

- * Number and amount of vouchers processed within 10 business days of receiving approved invoice.

The number and amount in FY-2009 are expected to increase with OCAST being the fiscal agent for the EDGE Fund Policy Board.

The number and amount for FY-2010 and FY-2011 will include the EDGE Fund Policy Board plus additional vouchers for expanded programs.

Payment Voucher Processing	2027/\$20.2M	2517/\$22.5M	2500/\$19.1M	3500/\$43.1M
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- * The percentage of administrative expenses in relation to the agency's annual appropriation.

The goal is to keep administrative costs under 5%. The FY-2010 Budget Request includes a reallocation of \$625,000 of expenditures that have been charged to programs will be reallocated to administration to more accurately reflect the cost of specific programs.

Percentage Admin Expense	2%	3.6%	3.9%	2.0%
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Program: Program - Oklahoma Applied Research

Goal: Increase the impact of OCAST's programs

- * This measure represents reported leveraged private and federal dollars that are attributed to OCAST funded projects.

Leverage	\$27,332,352	\$27,762,733	\$30,000,000	\$32,000,000
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- * This performance measure represents the total financial impacts (increase in capital investments, increase in sales, productivity value, cost-avoidance value, licenses, royalty value, payroll of jobs added/retained) that are attributed to OCAST funded projects.

Business Financial Impacts	\$70,419,932	\$63,186,361	\$65,000,000	\$70,000,000
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Program: Program - Oklahoma Health Research

Goal: Increase the impact of OCAST's programs

- * Leverage is the amount of private and federal grants, contracts, and venture capital that researchers at universities, businesses, and non-profit research centers have received that they attribute to a Health Research project.

Leverage	\$16,740,234	\$17,326,022	\$18,000,000	\$20,000,000
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- * The number of patent applications that have been made for a fiscal year period as reported by researchers at universities, businesses, and non-profit research centers which they have attributed to an OCAST Health Research project.

Patent applications	19	13	20	25
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated

Program: Program - Oklahoma Health Research

Goal: Increase the impact of OCAST's programs

* The estimated number of scientific and technical jobs added/retained as reported by researchers at universities, businesses, and non-profit research centers that they attribute to an OCAST Health Research project.				
Scientific/technical jobs	221	223	225	235
* The estimated payroll of scientific and technical jobs added and retained as reported by researchers at universities, businesses, and non-profit research centers that they attribute to an OCAST Health Research project.				
Job payroll	\$8,262,088	\$7,752,044	\$8,000,000	\$8,250,000

Program: Program - Oklahoma Industrial Extension System

Goal: Increase the impact of OCAST's programs

* Dollar amount of capital investment.				
Capital investment	\$143,324,971	\$41,194,148	\$50,000,000	\$55,000,000
* Dollar amount of cost savings generated by manufacturers served.				
Cost savings	\$47,283,508	\$41,875,686	\$45,000,000	\$50,000,000
* Number of firms served.				
Number of firms served	451	457	475	500
* Dollar increase in gross sales.				
Increase in gross sales	\$123,353,660	\$122,353,660	\$125,000,000	\$130,000,000
* Number of manufacturing jobs created/retained.				
Jobs created/retained	1,808	1,556	1,700	2,000

Program: Program - Oklahoma Inventors Assistance Service

Goal: Increase the impact of OCAST's programs

* Number of clients assisted through information, referrals, technical assistance.				
Number of clients assisted	99	122	130	150
* Number of selected inventions for support services				
Selected Inventions	11	6	10	12
* Number of workshop attendees.				
Workshop attendees	84	118	125	135
* Number of incidents of service where the IAS responds to questions and provides general information.				
Number of service incidents	578	582	600	625

Program: Program - Oklahoma Nanotechnology Applications Project

Goal: Increase the impact of OCAST's programs

* This performance measure represents the total financial impacts (increase in capital investments, increase in sales, productivity value, cost-avoidance value, licenses, royalty value, payroll of jobs added/retained) that are attributed to OCAST funded Nanotechnology Application projects.				
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated

Program: Program - Oklahoma Nanotechnology Applications Project

Goal: Increase the impact of OCAST's programs

ONAP Business Financials	\$11,611,851	\$5,091,482	\$5,500,000	\$6,000,000
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* This measure represents reported leveraged private and federal dollars that are attributed to OCAST funded Nanotechnology Applications projects.

ONAP Leverage	\$8,732,367	\$4,762,906	\$5,000,000	\$5,500,000
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* The estimated number of jobs added and retained as reported attributable to OCAST funded Nanotechnology Application projects.

ONAP Jobs created/retained	28	19	25	30
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Program: Program - Plant Science Research

Goal: Increase the impact of OCAST's programs

* This measure represents the amount of private and federal grants, contracts, and venture capital that researchers at universities, businesses, and non-profit research centers have received that they attribute to OCAST funded projects.

Leverage	\$1,695,040	\$6,010,485	\$8,000,000	\$10,000,000
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* The estimated payroll of scientific and technical jobs added and retained as reported by researchers at universities, businesses, and non-profit research centers that they attribute to OCAST funded projects.

Jobs Payroll	\$487,528	\$669,440	\$750,000	\$1,000,000
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* The estimated number of scientific and technical jobs added and retained as reported by researchers at universities, businesses, and non-profit research centers that they attribute to OCAST funded projects.

Scientific/technical jobs	20	24	30	35
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* Participants in workshops and award programs.

Clients Served	35	88	100	125
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Program: Program - Small Business Research Assistance

Goal: Increase the impact of OCAST's programs.

* Number of jobs created/retained

Jobs created/retained	57	61	75	85
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* Number of firms served (change in measurement methodology in FY-2007)

Number of firms served	280	152	200	225
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* This performance measure represents the total financial impacts (increase in capital investments, increase in sales, productivity value, cost-avoidance value, licenses, royalty value, payroll of jobs added/retained) that are attributed to OCAST funded projects.

Business Financials	\$16,274,324	\$8,769,231	\$10,000,000	\$15,000,000
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* Annual private and federal dollars leveraged consist of funds received from federal contracts (SBIR and non SBIR) plus private investment and revenue from commercial sales.

Private & federal leverage	\$4,032,597	\$1,466,440	\$2,500,000	\$5,000,000
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Program: Program - Technology Commercialization

Goal: Increase the impact of OCAST's programs

ADVANCEMENT OF SCIENCE & TECH,
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SCIENCE AND TECHNOLOGY
DEVELOPMENT

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Program - Technology Commercialization

Goal: Increase the impact of OCAST's programs

* Dollar amount of financing obtained by Tech Center clients. Metrics for the Tech Center includes monies invested in Oklahoma businesses from out-of-state.				
Private source financing	\$54,311,500	\$44,033,728	\$55,000,000	\$60,000,000
* Number of jobs created.				
Jobs created	251	257	270	280
* Clients for which the Technology Commercialization Center has provided services.				
Number of project clients	114	151	200	225

Program: Program - Technology Information Services

Goal: Generate public awareness of the impact of science and technology on Oklahoma.

* The number of students participating in the Oklahoma Science & Technolgy Month program.				
Student Participants	1,448	1498	1550	1,600
* How many Oklahoma public officials are informed annually about city and state oppourtunities for economic growth.				
Elected and Civic Officials	2,510	2,510	2,510	2,510
* How many Oklahoma citizens are informed annually about OCAST oppourtunities for them.				
Citizens	1,435,000	1,525,,000	1,525,,000	1,525,,000
* The number of informational meetings and workshops conducted and/or meetings where a presentation was made.				
Meetings and Workshops	33	31	32	33
* The Oklahoma Innovations radio show coverage area as a percentage of the state (geographic, not listenership)				
Radio Show Coverage Area	96%	96%	96%	96%

Program: Seed Capital

Goal: Increase in Seed Capital Investment in Oklahoma

* The amount of private sector co-investment and/or later-stage venture capital attracted for Oklahoma's start-up technology companies through the OCAST Seed Capital Revolving Fund.				
Capital Investment	\$11,835,000	\$3,900,000	\$7,000,000	\$10,000,000
* The amount of equity and other funding per company from the seed capital program.				
Equity and Other Funds	\$1,690,714	\$2,399,364	\$3,000,000	\$5,000,000
* The number of start-up or early-stage technology companies obtaining capital as a result of the OCAST Seed Capital Revolving Fund.				
Number of Companies	7	4	4	4

FY - 2012 EXECUTIVE BUDGET

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
19X	General Revenue	655	726	14,887
200	Research Support Revolving	18,435	22,557	16,703
210	Admin & Data Process Revolving	87	278	0
220	Seed Capital Revolving Fund	1,038	632	4,265
Total Expenditures by Fund		<u>\$20,215</u>	<u>\$24,193</u>	<u>\$35,855</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
	Salaries and Benefits	1,976	1,881	1,737
	Professional Services	5,831	5,388	4,809
	Travel	62	41	57
	Lease-Purchase Expenditures	0	0	0
	Equipment	18	6	1
	Payments To Local Govt Subdivisions	0	0	0
	Other Operating Expenses	12,330	16,877	29,251
Total Expenditures by Object		<u>\$20,217</u>	<u>\$24,193</u>	<u>\$35,855</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
1	Administration			
1	Administration	641	726	739
2	Admin - MIS	14	0	0
	Total Administration	<u>655</u>	<u>726</u>	<u>739</u>
5	Programs			
1	Program Services	0	789	1,293
2	Programs - MIS	373	249	70
3	Industrial Extension System	1,858	1,464	1,193
4	Small Business Research Awards	340	168	206
5	Technology Information Service	326	317	317
6	Technology Commercialization	3,310	2,975	2,534
7	Inventors Assistance Program	148	156	165
8	Health Research	4,762	4,082	4,000
9	Applied Research	4,613	4,717	2,160
10	Plant Science Research	558	532	710
11	Bioenergy	500	0	0
12	Nanotechnology Applications Pr	0	479	1,500

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SCIENCE AND TECHNOLOGY
DEVELOPMENT

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
Total Programs	16,788	15,928	14,148
6 Seed Capital			
1 Seed Capital Program	1,126	911	4,265
Total Seed Capital	1,126	911	4,265
7 EDGE Funded Programs			
1 Programs/Administrative Support	1,647	6,628	16,703
Total EDGE Funded Programs	1,647	6,628	16,703
Total Expenditures by Activity	\$20,216	\$24,193	\$35,855

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1 Administration	7.9	8.6	8.5
5 Programs	13.7	11.2	9.5
6 Seed Capital	1.0	1.5	0.0
7 EDGE Funded Programs	1.0	1.0	1.0
Total FTE	23.6	22.3	19.0
Number of Vehicles	0	1	1

ELECTION BOARD (270)

MISSION

The mission of the Oklahoma State Election Board is to achieve and maintain uniformity in the application, operation and interpretation of the state and federal election laws with a maximum degree of correctness, impartiality and efficiency.

THE BOARD

The State Election Board was established under the Oklahoma Constitution in 1907. Board members are appointed to four-year terms by the Governor, with the advice and consent of the Senate, from a list of ten nominees recommended by the state committee of the political party with the largest number of registered voters, and a list of five nominees recommended by the state committee of the political party with the second largest number of registered voters. Two members are appointed from the party with the largest number of registered voters, and one member is appointed from the party with the second largest number of registered voters. The Secretary of the Senate serves as Secretary of the Board.

DUTIES/RESPONSIBILITIES

The State Election Board functions under the state and federal Constitutions and laws as the administrative agency for the conduct of state and federal elections and for oversight of County Election Boards. Specific functions are as follows: accepts filing fees for all state, judicial, district attorney, U.S. Senate, and Congressional offices; prints and distributes state and federal ballots to each county; prints or acquires and distributes election supplies to each county; promulgates rules and regulations for the conduct and administration of elections; designs and oversees training for county and precinct election officials; maintains all voting equipment; supervises and supports the 77 County Election Boards to ensure uniformity in the application of election and voter registration laws and rules.

STATUTORY REFERENCES

Program Name	Statutory Reference
01 Administration/Data Processing	Title 26 of the Oklahoma Statutes. See also Oklahoma Constitution, Article III.
10 Election Management	Title 26 of the Oklahoma Statutes. See also Oklahoma Constitution, Article III.
20 Voter Outreach	Title 26, Sections 2-107, 3-108.1, 5-112 and 20-102
40 Voter Registration	Title 26 of the Oklahoma Statutes, and specifically Article 4; Title 42 of the United States Code, Sections 1973gg et seq.
50 Help America Vote Act	Title 26 of the Oklahoma Statutes, Sections 2-107 and 3-107.2. Title 42 of the United States Code, Sections 15301 et seq.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY- 2011</u> <u>Budgeted</u>	<u>FY-2012</u> <u>Estimated</u>
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Goal: To modernize the Oklahoma Election System.

Goal: To modernize the Oklahoma Election System, continued.

- * Complete plans for ongoing update of voter registration database and election management system by March 1, 2011. Place a priority on interface with the new voting system. Coordinate planning with the selected vendor of the new voting system. Measure performance using the requirements of the Help America Vote Act, state law, and agency rule. Include input from county election boards through the Regional Coordinator program and a design committee.

Planning and Design

Complete

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: To modernize the Oklahoma Election System, continued.

- * Complete development and testing of upgrades to the voter registration database and the absentee processing system by December 1, 2010. Begin development of the interface with the new voting system by March 1, 2011. Complete development and testing of the interface with the voting system by October 1, 2011. Continue development of additional modules through December 31, 2012. Use a phased approach so that components of the system are made available to users as development and testing are complete.

Development and Testing 30% Complete 60% Complete

Goal: To maximize use of technology in performing reprecincting tasks after the 2010 census.

Goal: To enhance the State Election Board's program of voter education and outreach.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 10 Election Management

Goal: To make the opportunity to vote available to all eligible persons.

Program: 20 Voter Outreach

Goal: To make information about registration and voting available to interested persons.

Program: 40 Voter Registration

Goal: To comply with the National Voter Registration Act address confirmation requirements.

Goal: To keep voter registration rolls accurate and current

Goal: To make voter registration services more convenient

Program: 50 Help America Vote Act

Goal: To modernize Oklahoma's voting system

Goal: To modernize Oklahoma's voter registration database and election management system

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>		<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X	General Revenue	3,376	5,362	5,488
200	Election Board Revolving Fund	3,675	608	301
205	ELECTION SYSTEM REVOLVING F	494	925	2,367
210	HELP AMERICA VOTE ACT REV F	1,238	131	27,705
57X	Special Cash Fund	539	0	2,559
Total Expenditures by Fund		\$9,322	\$7,026	\$38,420

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	1,377	1,237	1,496	
Professional Services	871	1,301	1,972	
Travel	28	16	35	
Lease-Purchase Expenditures	0	0	6	
Equipment	13	11	27,016	
Payments To Local Govt Subdivisions	4,055	3,714	4,787	
Other Operating Expenses	2,974	747	3,108	
Total Expenditures by Object	\$9,318	\$7,026	\$38,420	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1 Administration/Data Processing				
2 Administration	1,650	1,521	1,869	
3 County Election Boards	2,791	2,944	3,022	
4 Data Processing	426	399	564	
6 HAVA Election Systems	494	925	2,367	
Total Administration/Data Processing	5,361	5,789	7,822	
10 Elections Management				
2 Election Cost	1,984	1,000	2,609	
Total Elections Management	1,984	1,000	2,609	
20 Voter Outreach				
1 Voter Education/Refunds	276	4	120	
Total Voter Outreach	276	4	120	
40 Voter Registration				
2 Voter Reg. Administration	145	103	120	
Total Voter Registration	145	103	120	
50 Help America Vote Act				
1 Help America Vote Act	1,553	131	27,749	
Total Help America Vote Act	1,553	131	27,749	
Total Expenditures by Activity	\$9,319	\$7,027	\$38,420	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1 Administration/Data Processing	21.9	19.4	23.3	
Total FTE	21.9	19.4	23.3	
Number of Vehicles	1	1	1	

ETHICS COMMISSION (296)

MISSION

The Ethics Commission is a constitutional state agency which promotes Oklahoma citizens' confidence in state government by:

1. Promulgating rules of ethical conduct for state officers and employees;
2. Promulgating rules of ethical conduct for state candidate and issue campaigns;
3. Providing assistance in and monitoring the disclosure of campaign financing for state and local candidates and committees, personal financial disclosure for state and county officers/employees, and registration and reporting by lobbyists;
4. Providing assistance in and monitoring the political activity and official conduct of state officers/employees in order to prevent conflicts of interest;
5. Serving as the repository and making available for public inspection and copying all required disclosure documents; and
6. Issuing opinions on and investigating and/or prosecuting alleged violations of its rules.

THE COMMISSION

This Commission consists of five (5) members who serve for five years as follows:

- One member appointed by the Governor.
- One member appointed by the President Pro Tempore of the State Senate.
- One member appointed by the Speaker of the State House of Representatives.
- One member appointed by the Attorney General.
- One member appointed by the Chief Justice of the Supreme Court.

No congressional district shall be represented by more than one Commissioner, and no more than three persons of the same political registration shall serve on the Ethics Commission at the same time.

DUTIES/RESPONSIBILITIES

The Ethics Commission serves as the official repository for personal financial disclosure; campaign registration and reporting requirements for state and county candidates, as well as ballot measures and committees supporting or opposing them; lobbyist registration and reports of things of value given by lobbyists and other persons; and other documents filed by campaign committees, state officers, state employees, lobbyists and other persons. It makes available or distributes forms, manuals and the law to filers; conducts random reviews of reports; makes registrations, statements and reports available to the public; subpoenas records; conducts investigations; prosecutes violations per civil proceedings in district court; enters into settlement agreements; has the goal of educating the public and persons within its jurisdiction; promulgates constitutional rules and issues an annual report on its activities of the preceding year.

STATUTORY REFERENCES

Program Name	Statutory Reference
Administration/Policy Review/Investigations	Article 29 of the Oklahoma Constitution ["Art. 29"]; Section 257: 1-1-1 et seq. of the Rules of the Ethics Commission, 74 O.S. Supp. 2010, Ch. 62, App. ["Rules"] The Political Subdivisions Ethics Act ["PSEA"] 51 O.S. 2001 and Supp. 2010, Sections 301 to 325
Registration Services/Hearings and Appeals	Article 29 of the Oklahoma Constitution ["Art. 29"] Section 257:1-1-1 et seq. of the Rules of the Ethics Commission, 74 O.S. Supp. 2010, Ch. 62, App. ["Rules"] The Political Subdivisions Ethics Act ["PSEA"] , 51 O.S. 2001 and Supp. 2010, Sections 301 to 325

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated

Goal: Hire an Auditor/Investigator, Attorney III and Legal Secretary II

- * The Ethics Commission has the duty to investigate and prosecute violations of its Rules. However, with only one investigator - who must divide his time between helping filers with compliance and auditing reports, and one General Counsel, who handles all legal matters for the Commission - the Commission has struggled to investigate the Informations which come before it. Over the years, these have become more complex, requiring the issuance of subpoenas, extensive review of bank records, and construction of flow charts and spread sheets to follow the money trail. Neither the Executive Director, General Counsel nor Investigator have an assistant, a secretary, or access to such. Each does his or her own typing, copying, filing and mailing.

Although many Informations in the past were deemed worthy of trial, the Commission has been effectively precluded from prosecuting them in District Court because both the general revenue appropriation and the allotted number of employees do not permit it. This goal of hiring an additional Auditor/Investigator, Attorney III and Legal Secretary II would greatly assist the Commission in its duty to investigate and prosecute violations of its Rules.

However, it is very difficult to measure this goal because the Commission has no control over how many Informations it receives, how extensive the investigations will be or whether they will require prosecution in District Court. But without the necessary staff to actually prosecute violations, we will never be able to take a case to District Court.

We estimate that there would be at least two cases per year that would be prosecuted in District Court.

Prosecute Rule Violations	0	0	0	2
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Goal: Hire an Administrative Assistant I

- * The Ethics Commission ["EC"] currently has an electronic filing system for both state candidate campaigns and lobbyist reporting. These systems were developed in FY-07 and FY-08, respectively.

It is mandatory for all state candidate committees which exceed \$10,000 in contributions or expenditures during their campaigns and all state non-candidate committees which exceed \$10,000 in contributions or expenditures during the previous or current calendar year to file their reports electronically. Many other state candidate and non-candidate committees file their reports electronically. However, about 20 to 25% do not. In order for ALL reports to be available to the public online, the EC staff inputs all paper-filed reports online. This would be approximately 150 to 175 reports each reporting period. Each report is approximately 3 - 5 pages in length. During an election year, there are five reporting periods and during non-election years there are four reporting periods. We are estimating that 2,500 pages of reports are manually entered by staff during an election year and 2,000 during a non-election year.

Effective January 1, 2011, mandatory electronic filing by lobbyists takes effect. Therefore, at that time, staff will no longer be entering paper filed lobbyist registrations online. And lobbyist expenditure reports will all be filed electronically by lobbyists effective July 1, 2011. This will eliminate the need for staff to enter this data online. However, there will be an increased need to assist lobbyists with the electronic filing process.

Entering all paper-filed campaign reports online and assisting lobbyists with electronic filing would be additional duties for the Administrative Assistant I.

Report data entry	3,600	3,100	2,900	2,000
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STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Goal: Hire an Administrative Assistant I

- * The Ethics Commission has the duty to assess late fees against those required to file reports or statements with the Commission, who fail to file them when due.

However, due to workload, for calendar years 2007 and 2008, the head of the repository was not able to assess late fees, which are required by Oklahoma Statutes at 74 O.S. 2001, Section 4256. Since at that time all late fees were to be deposited into the State General Revenue Fund, this deprived the state of revenue. However, House Bill 2408, effective July 1, 2010, allows the first \$25,000 per calendar year collected for late fees to be deposited to the credit of the Ethics Commission Fund rather than the General Revenue Fund. The amounts assigned to late-filing infractions are pre-set by the Commission.

Assessing late fees has become a top priority for the Ethics Commission, not only for the revenue to the state and to the Commission itself, but because they are the enforcement mechanism to ensure filing of campaign reports. Without assessments, the Commission cannot back up timely filing. Absent the latter, the public is deprived of vital information when going to the polls. This is an important function.

The main duty of an Administrative Assistant I would be late fee assessments. Since assessing late fees is almost a full-time job, this would relieve the Principal Assistant of this duty and allow her to perform her other myriad duties.

This performance measure is the number of individual late fee assessments made.

Assess late fees	0	112	200	300
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- * County candidates and committees supporting or opposing county candidates file campaign reports on paper with the Ethics Commission. Currently, these reports are only available for viewing in our office. The public can request photocopies of these reports at \$0.25 per page.

The Commission would like to make these reports available to the public via our website by scanning them. This would be another duty of an Administrative Assistant I.

There are approximately 500 to 600 county candidates who run for office each election year and they each file from 1 to 6 reports. Each report is from 1 to 5 pages in length. Therefore, we are estimating that 5,000 sheets per election year would need to be scanned and approximately 1,000 sheets per non-election years.

County reports online	0	0	0	1000
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Goal: To better inform the public by increasing the accuracy and quality of filed documents

- * By hiring an educational trainer in FY-2012, the agency will be able to increase the number of training programs by 100%.

This training would include educating state officers and employees about the Rules and state candidate committees and other political committees about campaign regulations, reporting rules and electronic filing.

Currently, our Executive Director and General Counsel handle all training on a limited basis as time permits.

Training programs increased	10	10	10	20
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Goal: To serve the public better by providing improved access to Ethics Commission records by doubling the space it occupies

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated
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Goal: To serve the public better by providing improved access to Ethics Commission records by doubling the space it occupies

- * To increase the number of people who can be seated to access online reports from three (3) in FY-2011 to six (6) in FY-2012. And, to enlarge waiting, reception area.

Those coming into the office would be better accommodated by larger quarters. The public space - our "waiting area" - is small. What there is of it is filled by three public-access computers sitting on desks. The Commission provides these to serve those who have no personal computer and who wish to view online filings. This leaves two chairs for those waiting to view records or talk with staff. With such tight seating, most people prefer to stand.

While online filing of state campaign records has reduced the number of those coming into the office, it has not eliminated on-site assistance. Members of the public and press visit regularly to review paper filings. Candidates who file their reports on paper come in for assistance. Hence, traffic into our office remains. It would assist those accessing EC services to have larger quarters.

Even with electronic filing, each year the Commission takes in more and more paper. About 20% of all state candidates and non-candidate committees file paper reports. County candidates file hard copies, as do most lobbyists. This will change with mandatory electronic filing by lobbyists. Until we develop our electronic filing system for financial disclosure, all 5,000 state officers and employees who make financial disclosure will continue to file their yearly Statements of Financial Interests on paper. That paper has to be sorted, entered into our data base, filed, accessed, retrieved, provided for view to the public and copied upon request. We maintain the past six years of reports in our office. But, we do not have the space to maintain more than that. And, since the Department of Libraries archives are full, we are not able to archive our older documents. They are maintained in the hallway outside our office. This constitutes a fire hazard.

Serve more people	3	3	3	6
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- * The Ethics Commission ["EC"] currently occupies approximately 2,650 sf in the State Capitol Building at no rental expense. Our goal is to increase this to 5,300 sf by FY-2012.

The EC is requesting to add five additional FTE. But, it has no place to house them. There is no space for another file cabinet in our present quarters, let alone a work station for an additional employee.

Even with no new FTE, the EC requires more room for current employees. Each is required to interface with members of the public who access Commission services. Some deal with people face-to-face; all spend time taking phone calls. Only three- the Executive Director, General Counsel and Investigator - who deal with confidential and highly sensitive information - have offices. The rest are seated in common areas. The Principal Assistant's desk is seated near that of the Information Systems Applications Specialist and front reception area. The phone conversation of one is overheard by the other. Our Information Systems Network Administrator position is currently vacant, and while vacant, the job title is being changed to Business Manager to better reflect the needs of the Commission. When the Business Manager position is filled, that employee will be seated outside of the offices of the Executive Director and General Counsel. And, his/her phone conversations will be overheard by both.

EC employees work under pressure, both in terms of volume, the demands of a short turn-around time and the sensitivity of material we handle. Work this body produces is held up to public scrutiny and must be of high caliber. This work is carried out in cramped quarters, amidst noise, talk, ringing phones and the risk of exposure to members of the public and press, who walk through our work areas on their way to the office of the Director, Counsel or Investigator. Confidential information - much of it pertaining to investigations - would be far better protected in redesigned and reconfigured space.

Existing space increased	2,650 sq ft	2,650 sq ft	2,650 sq ft	5,300 sq ft
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Goal: To better serve filers and those who use the information filed by developing a new electronic filing system for financial disclosure reporting.

STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: To better serve filers and those who use the information filed by developing a new electronic filing system for financial disclosure reporting.

- * Due to replacing the obsolete out-dated electronic filing system in FY-03 with an updated user-friendly system and effective July 1, 2006, mandating electronic filing of reports for state candidate and non-candidate committees exceeding \$10,000 in contributions or expenditures, the number of electronic filers has increased dramatically. This number will continue to increase each year as more and more filers choose to file online, whether required to or not. Also, beginning with reports filed after January 1, 2006, the EC staff is inputting all paper-filed reports online, so the public has access to ALL campaign contributions and expenditures reports for state candidate and non-candidate committees.

We added an electronic filing system for lobbyist registration and reporting in FY-2008. There are approximately 350 - 375 registered lobbyists each year. Effective January 1, 2011, every lobbyist is required to file registrations required by Section 4250 of Title 74 of the Oklahoma Statutes and reports required by this section electronically.

We plan to add an electronic filing system for financial disclosure in FY-2012 or 13. Approximately 5,000 state elected officials, board and commission members and certain state employees file Statements of Financial Interests yearly. We are anticipating another dramatic increase in electronic filers when this system becomes available.

Increase electronic filers	546	649	1,000	1,100
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Administration/Policy Review/Investigations

Goal: Hire an Auditor/Investigator, Attorney III and Legal Secretary II

- * The Ethics Commission has the duty to investigate and prosecute violations of its Rules. However, with only one investigator - who must divide his time between helping filers with compliance and auditing reports, and one General Counsel, who handles all legal matters for the Commission - the Commission has struggled to investigate the Informations which come before it. Over the years, these have become more complex, requiring the issuance of subpoenas, extensive review of bank records, and construction of flow charts and spread sheets to follow the money trail. Neither the Executive Director, General Counsel nor Investigator have an assistant, a secretary, or access to such. Each does his or her own typing, copying, filing and mailing.

Although many Informations in the past were deemed worthy of trial, the Commission has been effectively precluded from prosecuting them in District Court because both the general revenue appropriation and the allotted number of employees do not permit it. This goal of hiring an additional Auditor/Investigator, Attorney III and Legal Secretary II would greatly assist with the Commission in its duty to investigate and prosecute violations of its Rules.

However, it is very difficult to measure this goal because the Commission has no control on how many Informations it receives, how extensive the investigations will be or whether they will require prosecution in District Court. But, without the necessary staff to actually prosecute violations, we will never be able to take a case to District Court.

We are estimating that there would be at least two cases per year that would be prosecuted in District Court.

Prosecute Rule Violations	0	0	0	2
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Administration/Policy Review/Investigations

Goal: To serve the public better by providing improved access to Ethics Commission records by doubling the space it occupies

- * The Ethics Commission currently occupies approximately 2,650 square feet in the State Capitol Building at no rental expense. Our goal is to increase this to 5,300 square feet of space by FY-2012.

The EC is requesting to add five additional FTE. But, it has no place to house them. There is no space for another file cabinet in our present quarters, let alone a work station for an additional employee.

Even with no new FTE, the EC requires more room for current employees. Each is required to interface with members of the public who access Commission services. Some deal with people face-to-face; all spend time taking phone calls. Only three - the Executive Director, General Counsel and Investigator, who deal with confidential and highly sensitive information - have offices. The rest are seated in common areas. The Principal Assistant's desk is seated near that of the Information Systems Applications Specialist and front reception area. The phone conversation of one is overheard by the other. Our Information Systems Network Administrator position is currently vacant, and while vacant, the job title has been changed to Business Manager to better reflect the needs of the Commission. When the Business Manager position is filled, that employee will be seated outside the offices of the Executive Director and General Counsel. And, his/her phone conversations will be overheard by both.

EC employees work under pressure, both in terms of volume, the demands of a short turn-around time and the sensitivity of material we handle. Work this body produces is held up to public scrutiny and must be of high caliber. This work is carried out in cramped quarters, amidst noise, talk, ringing phones and the risk of exposure to members of the public and press, who walk through our work areas on their way to the office of the Director, Counsel or Investigator. Confidential information - much of it pertaining to investigations - would be far better protected in redesigned space.

Existing space increased	2,650 sq ft	2,650 sq ft	2,650 sq ft	5,300 sq ft
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- * To increase the number of people who can be seated to access online reports from three (3) in FY-2011 to six (6) in FY-2012. And to increase waiting, reception area.

Those coming into the office would be better accommodated by larger quarters. The public space - our "waiting area" - is small. What there is of it is filled by three public-access computers sitting on desks. The Commission provides these to serve those who have no personal computer and who wish to view online filings. This leaves two chairs for those waiting to view records or talk with staff. With such tight seating, most people prefer to stand.

While online filing of state campaign reports has reduced the number of those coming into the office, it has not eliminated on-site assistance. Members of the public and press visit regularly to review paper filings. Candidates who file their reports on paper come in for assistance. Hence, traffic into our office remains. It would assist those accessing EC services to have larger quarters.

Even with electronic filing, each year the Commission takes in more and more paper. About 20% of all state candidate and non-candidate committees file paper reports. County candidates file hard copies, as do most lobbyists. Until we develop our electronic filing system for financial disclosure, all 5,000 state officers and employees who make financial disclosure will continue to file their yearly Statements of Financial Interests on paper. That paper has to be sorted, entered into our data base, filed, accessed, retrieved, provided for view to the public and copied upon request. We maintain at least six years of reports in our office. And since the Department of Libraries archives are full, we are not able to archive our older documents anymore. They are maintained in the hallway outside our office, which constitutes a fire hazard.

Serve more people	3	3	3	6
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Program: Registration Services/Hearings and Appeals

Goal: To better inform the public by increasing the accuracy and quality of filed documents

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Registration Services/Hearings and Appeals

Goal: To better inform the public by increasing the accuracy and quality of filed documents

- * By hiring an educational trainer in FY-2012, the agency will be able to increase the number of training programs by 100%.

This training would include educating state officers and employees about the Rules and state candidate committees and other political committees about campaign regulations, reporting rules and electronic filing.

This will help to better inform the public by increasing the accuracy and quality of filed documents. We would accomplish this through training filers.

Currently, our Executive Director and General Counsel handle all training on a limited basis as time permits.

Training programs increased	10	10	10	20
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>

Program: Registration Services/Hearings and Appeals

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Even with electronic filing, each year the Commission takes in more and more paper. About 20% of all state candidate and non-candidate committees file paper reports. County candidates file hard copies, as do the majority of lobbyists. Until we develop our electronic filing system for financial disclosure, all 5,000 state officers and employees who make financial disclosure will continue to file their yearly Statements of Financial Interests on paper. That paper has to be sorted, entered into our data base, filed, accessed, retrieved, provided for view to the public and copied upon request. We maintain the past six years of reports in our office. But, we do not have the space to maintain more than that. And, since the Department of Libraries archives are full, we are not able to archive our older documents anymore. They are maintained in the hallway outside our office, which constitutes a fire hazard.

Serve more people	3	3	3	6
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Goal: Hire an Administrative Assistant I in FY-2012

- * The Ethics Commission has the duty to assess late fees against those required to file reports or statements with the Commission, who fail to file them when due.

However, due to workload, for calendar years 2007 and 2008, the head of the repository was not able to assess late fees, which are required by Oklahoma Statutes at 74 O.S. 2001, Section 4256. Since at that time all late fees were to be deposited into the State General Revenue Fund, this deprived the state of revenue. However, House Bill 2408, effective July 1, 2010, allows the first \$25,000 per calendar year collected for late fees to be deposited to the credit of the Ethics Commission Fund rather than the General Revenue Fund. The amounts assigned to late-filing infractions are pre-set by the Commission.

Assessing late fees has become a top priority for the Ethics Commission, not only for the revenue to the state and to the Commission itself, but because they are the enforcement mechanism to ensure filing of campaign reports. Without assessments, the Commission cannot back up timely filing. Absent the latter, the public is deprived of vital information when going to the polls. This is an important function.

The main duty of an Administrative Assistant I would be late fee assessments. Since assessing late fees is almost a full-time job, this would relieve the Principal Assistant of this duty and allow her to perform her other myriad duties.

This performance measure is the number of individual late fee assessments made.

Assess late fees	0	112	200	300
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Registration Services/Hearings and Appeals

Goal: Hire an Administrative Assistant I in FY-2012

- * County candidates and committees supporting or opposing county candidates file campaign reports on paper with the Ethics Commission. Currently, these reports are only available for viewing in our office. The public can request photocopies of these reports at \$0.25 per page.

The Commission would like to make these reports available to the public via our website by scanning them. This would be one of the duties of an Administrative Assistant I.

There are approximately 500 - 600 county candidates who run for office each election cycle and they each file from 1 to 6 reports. Each report is from 1 to 5 pages in length. Therefore, we are estimating that 5,000 sheets per election year would need to be scanned and approximately 1,000 sheets per non-election years.

County reports online	0	0	0	1000
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- * The Ethics Commission currently has an electronic filing system for both state candidate campaigns and lobbyist reporting. These systems were developed in FY-07 and FY-08, respectively.

It is mandatory for all state candidate committees which exceed \$10,000 in contributions or expenditures during their campaign and all state non-candidate committees which exceed \$10,000 in contributions or expenditures during the previous or current calendar year to file their reports electronically. Many other state candidate and non-candidate committees file their reports electronically. However, about 20 to 25% do not. In order for ALL reports to be available to the public online, the EC staff inputs all paper-filed reports online. This would be approximately 150 to 175 reports each reporting period. Each report is approximately 3 - 5 pages in length. During an election year, there are five reporting periods and during non-election years there are four reporting periods. We estimate approximately 2,500 pages of reports are manually entered by staff during an election year and 2,000 during a non-election year.

Effective January 1, 2011, mandatory electronic filing by lobbyists takes effect. Therefore, at that time, staff will no longer be entering paper filed lobbyist registrations online. And lobbyist expenditure reports will all be filed electronically by lobbyists effective July 1, 2011. This will eliminate the need for staff to enter this data online. However, there will be an increased need to assist lobbyists with the electronic filing process.

Entering all paper-filed campaign reports online and assisting lobbyists with electronic filing would be additional duties for the Administrative Assistant I.

Report data entry	3,600	3,100	2,900	2,000
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Goal: To better serve filers and those who use the information filed by developing a new electronic filing system for financial disclosure reporting

- * Due to replacing the obsolete out-dated electronic filing system in FY-03 with an updated user-friendly system and, effective July 1, 2006, mandating electronic filing of reports for state candidate and non-candidate committees exceeding \$10,000 in contributions or expenditures, the number of electronic filers has increased dramatically. This number will continue to increase each year as more and more filers choose to file online, whether required to or not. Also, beginning with reports filed after January 1, 2006, the EC staff is inputting all paper filed reports online, so the public has access to ALL campaign contribution and expenditure reports for state candidate and non-candidate committees.

We added an electronic filing system for lobbyist registration and reporting in FY-2008. There are approximately 350 - 375 registered lobbyists each year. Effective January 1, 2011, every lobbyist is required to file registrations required by Section 4250 of Title 74 of the Oklahoma Statutes and reports required by this section electronically.

We plan to add an electronic filing system for financial disclosure in FY-2012 or 13. Approximately 5,000 state elected officials, board and commission members and certain state employees file Statements of Financial Interests yearly. We are anticipating another dramatic increase in electronic filers when this system becomes available.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Registration Services/Hearings and Appeals

Goal: To better serve filers and those who use the information filed by developing a new electronic filing system for financial disclosure reporting

Increase electronic filers	546	649	1,000	1,100
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

<u>Type of Fund:</u>	\$000's		
	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
19X General Revenue	678	568	546
200 Ethics Commission Revolving	56	138	101
205 Donations Fund	0	0	0
Total Expenditures by Fund	<u>\$734</u>	<u>\$706</u>	<u>\$647</u>

EXPENDITURES BY OBJECT

<u>Object of Expenditure</u>	\$000's		
	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits	672	657	596
Professional Services	10	18	12
Travel	4	5	12
Lease-Purchase Expenditures	0	0	0
Equipment	13	0	0
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	35	25	27
Total Expenditures by Object	<u>\$734</u>	<u>\$705</u>	<u>\$647</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

<u>Activity No. and Name</u>	\$000's		
	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
10 Admin/Policy Review/Investigat			
10 General Operations	395	395	406
Total Admin/Policy	395	395	406
Review/Investigat			
20 Registration Svcs./Hearings			
10 General Operations	176	170	117
88 Data Processing	163	142	124
Total Registration	339	312	241
Svcs./Hearings			
Total Expenditures by Activity	<u>\$734</u>	<u>\$707</u>	<u>\$647</u>

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES

Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
10 Admin/Policy Review/Investigat	3.0	3.0	3.0
20 Registration Svcs./Hearings	4.0	3.0	3.0
Total FTE	7.0	6.0	6.0
Number of Vehicles	0	0	0

JUDICIAL COMPLAINTS, COUNCIL ON (678)

MISSION

The mission of the Council on Judicial Complaints is to efficiently and impartially investigate the conduct of persons occupying judicial positions. The Council will receive complaints of misconduct by any person or may institute its own investigation. The Council will determine whether complaints would be the subject of an action before the Court on the Judiciary, warrant a reprimand or admonition, or should be dismissed.

THE COUNCIL

The Council consists of three members, two of whom shall be members of the Oklahoma Bar Association. One member is appointed by the President Pro Tempore of the Senate; one member by the Speaker of the House of Representatives; and one member by the President of the Oklahoma Bar Association. Council members serve five-year terms.

DUTIES/RESPONSIBILITIES

STATUTORY REFERENCES

Program Name	Statutory Reference
Council on Juicial Complaints #678	20 O.S. Sections 1651-1661

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

Type of Fund:	\$000's		
	FY- 2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
19X General Revenue	288	237	231
Total Expenditures by Fund	<u><u>\$288</u></u>	<u><u>\$237</u></u>	<u><u>\$231</u></u>

EXPENDITURES BY OBJECT	\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Salaries and Benefits	172	174	176
Professional Services	84	42	33
Travel	5	2	3
Lease-Purchase Expenditures	0	0	0
Equipment	3	1	0
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	24	18	18
Total Expenditures by Object	<u>\$288</u>	<u>\$237</u>	<u>\$230</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY	\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
10 General Operations			
1 General Operations	288	237	231
Total General Operations	<u>288</u>	<u>237</u>	<u>231</u>
Total Expenditures by Activity	<u>\$288</u>	<u>\$237</u>	<u>\$231</u>

SECRETARY OF STATE (625)

MISSION

As dedicated employees, the Secretary of State's office works to provide an exceptional standard of service to the domestic and international public, business community and governmental agencies through a registry of Oklahoma's official documents and through the delivery of services designed to improve public access, public awareness and international relations and services.

DUTIES/RESPONSIBILITIES

The principle duties of the Oklahoma Secretary of State are identified below.

Executive/Legislative Function:

- * Register, and where necessary, attest official acts of the Governor;
- * Reproduce and distribute copies of all laws enacted by the Legislature;
- * Receive, count, file and bind initiative and referendum petitions and transmit same to the Supreme Court;
- * Publish ballot titles;
- * Appoint and file documents on Court of the Judiciary;
- * File policy statements of each public institution of higher education, oaths of office, bonds of public officials and employees;
- * File list of names and signature of county officers and facsimile signatures of public officials and issue Apostilles.
Process domestic and foreign requisitions for extraditions
Maintain original certificate of pardons and paroles;

Business Registration Service:

- * File domestic and foreign corporations, trademarks, domestic and foreign limited partnerships, domestic and foreign limited liability companies, trade names, fictitious names, public trust indentures and official statements;
- * Disburse information on business records;
- * File and record mortgages of public utilities and railroads;
- * File invention developer bonds;
- * File surface damage bonds;
- * Serve summons on non qualified foreign corporations;
- * Act as the registered service agent for all foreign corporations, foreign limited partnerships, and limited liability companies in the event no agent is appointed; and for any domestic entity who has an agent resign and no successor agent is appointed;
- * Register charitable organizations and professional fund raisers and solicitors;
- * File athletic agent registrations.
- * Register personality rights as successor-in-interest
- * File inter local and cooperative agreements.
- * Tattooing Surety Bond

Notary Public Service:

- * Shall appoint and commission notaries public;
- * Shall accept for filing the notary's oath of office, loyalty oath, official signature, an impression of their official seal and an sufficient bond to the state of Oklahoma in the sum of \$1,000.

Central Agriculture Filing:

- * The implementation and operation of the Central Filing System relating to farm products
- * Obtaining the necessary certification from the United States Department of Agriculture
- * Shall record the date and hour of the filing of each effective financing statement
- * Shall compile all effective financing statements into a master list which shall be distributed to all registered buyers on or before the last business day of the month

Office of Administrative Rules:

- * Compilation, codification, conversion and maintenance of Oklahoma's administrative law;
- * Publication of The Oklahoma Register and The Administrative Code;
- * Receiving and maintaining Meeting Notices of state public bodies pursuant to the Open Meeting Act.

International Relations and Services:

- * Primary point of contact for the State of Oklahoma for the U.S. Department of State, the Houston, Chicago and New York Consular Corps, visiting diplomats, officials, educators, and those organizing cultural exchanges.
- * Provide state officials with international briefings and protocol expertise.
- * Primary responsibility for State of Oklahoma Sister State agreements; coordinate with other state officials and agencies and with the private sector.
- * Primary point of contact for Oklahoma cities and towns seeking assistance with Sister City programs.
- * Primary point of contact with state government for Honorary Consul Generals domiciled in Oklahoma.

STATUTORY REFERENCES

Program Name	Statutory Reference
Administrative/Support Services	The office of Secretary of State is created in Section 17 of Article 6 of the Oklahoma Constitution.
Business Registration Services	Title 6, Section 312; Title 12, Sections 1448 and 2004; Title 18, Sections 1 et seq; Title 19, Section 257; Title 28, Section 111; Title 46, Section 17 and 18; Title 52, Section 318.4; Title 54, Sections 1 et seq; Title 60, Section 177-178.2; Title 66, Section 17; Title 78, Section 21-33.
Executive Legislative	See Attached Supplemental Material
Central Filing System for Agricultural Liens	The Oklahoma Central Filing System was created by Title 12A Oklahoma Statutes Supp. 1987, section 9 307.1 to 9 307.6. The purpose of this legislation was to make laws governing the protection of buyers of farm products comply with the provisions of Section 1324 of the Food Security Act of 1985 as codified in Section 1631 of Title 7 of the U.S. Code. The fees are addressed in Title 28, section 111.
Office of Administrative Rules	75 O.S., Sections 250 et seq. (Administrative Procedures Act) and 25 O.S., Section 301 et seq. (Open Meeting Act)
State Question Process	O.S. 34; Article 5 of the Oklahoma Constitution, Sections 2, 3, 4, 5, 6 and 6.1; Article 24 of the Oklahoma Constitution.
Notary Public	49 O.S., Section et seq.
Information Systems	Not Applicable

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Goal: Improve customer service by providing access to information and expanding methods to deliver service.

Goal: Improve customer service by providing access to information and expanding methods to deliver service.

- * Identify 2 new methods to deliver services. Measurement is agency. (continued)

Notary Public

Notary Public Guide is now in a 8 ½ x 11 electronic format.

Update instructions for on-line filings

Timely updates of changes in law or procedure on web welcome page.

Office of Administrative Rules

Added links to proposed rules on agencies' websites in the Online Register.

Published 2010 Supplement to the Oklahoma Administrative Code.

In conjunction with Governor and Legislature, implemented SB 1507 (2008), requiring agencies to electronically, rather than manually, file proposed rules for gubernatorial/legislative review.

Published over 7,900 meeting notices for over 1,500 public bodies on the SOS website. Of these, over 5,200 were posted directly to the SOS website by public bodies who have signed up to receive a login/id for accessing Meeting Notices Online.

Finished testing GEMt (to be implemented with Phase 2 of website renovation).

Arranged for Governor's approval certificates to be sent to us via email, and discontinued receipt of paper copies from SOS Executive/Legislative Division.

State Question Process continued from 2010

Legislative Referendums were hand written in large ledger books, which require retrieving from Archives. Due to the size of the ledger books each page must be copied in sections of four and reduced pieced together, copied again and scanned into the system.

Methods, continued	Monitor Reports	25	2	2
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STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Improve customer service by providing access to information and expanding methods to deliver service.

- * Identify 2 new methods to deliver services. Measurement is agency.
 Information System / Business Registration Services
 Redesigning web interface to improve efficiencies for our customers and staff. Completed May, 2010.
 Upgrade of production database to Oracle 10g release 10.2.0.4 - increased performance allowing almost double the amount of filings processed in a single day.

Central Filing System for Agricultural Liens

Forms are now in PDF format allowing customers to complete necessary data entry, print, and mail the completed forms to the SOS.

On-line lien search requests, and filing of EFS-1 and EFS-2

On-line registration of buyers requesting the monthly download of the agricultural master list. The data provided in DOS and Windows format.

Timely updates of changes in law or procedure on web welcome page.

Executive Legislative

Improved Web Access for the following documents:

Appointments made by the House and Senate

Proclamations issued by the Governor with links to images of the original document filed.

Legislation to all 77 County Court Clerks by email links or Secretary of State's web page.

Allows for sorting by each Legislative Column Definition

Now sorts documents listing the current information first.

New Frequently Asked Questions and definitions for:

State Questions

Legislation filed with the Secretary of State

A project undertaken by the Executive/Legislative department to identify and preserve historical, territorial, and statehood documents continues in partnership with the Oklahoma History Center and State Historian. Several documents related to the Oklahoma Constitutional Convention include early correspondence with the federal government, appointments of Territorial officers and Women's Suffrage have been indexed along with financial records regarding the cost of territorial and early statehood expenses. This project will continue throughout the next fiscal year.

Methods To Deliver Services	10	25	2	2
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Goal: Utilize advanced technology to improve services.

- * Upgrade software annually, as necessary, provided funding is available.

FY 2010 - Software \$46,810.81

FogBugz for Windows 7.0

Vault 5.0

IBM Lotus Notes

Secure Site License

Secure Site License

Oracle Enterprise Renewal

CommVault Galaxy

Upgrade software	262	46	240	240
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STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY- 2011</u> <u>Budgeted</u>	<u>FY-2012</u> <u>Estimated</u>
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Goal: Utilize advanced technology to improve services.

*

Support & Maintain Systems

* Follow 3 year life cycle on upgrades

\$11,095.70 for 11 new computers.

3 Year Life Cycle Upgrades	60	11	50	50
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Goal: Workforce Planning

* Provide training as procedural changes require advanced knowledge and/or new knowledge to successfully complete assigned tasks and compete for new positions.

The office of Secretary of State provided a total of 738 hours of training during FY 2010 to 30 staff members. The average FTE for FY 2010 was 30.9. In addition, the SOS celebrated its first graduate of the Certified Public Manager Program.

Targeted Training Hours	774	738	775	775
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* Provide a development program for employees to meet existing and new performance requirements and to develop exceptional skills for enhanced service delivery.

Monitor Requirements	In Progress	In Progress	In Progress	In Progress
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* Ensure uninterrupted service as tenured staff approach retirement eligibility from SOS service.

Department FTE	Currently Eligible to Retire			Eligible to Retire Within 5 Years		
	# of FTE	# Eligible to Retire	% of FTE	# of FTE	# Eligible to Retire	% of FTE
Administrative Services	6	2	33%	6	3	50%
Information Services	4	0	0%	4	1	25%
Business Filing	8	3	38%	8	4	50%
Receiving	2	1	50%	2	1	50%
Certification	2	0	0%	2	0	0%
Executive Legislative	2	1	50%	2	1	50%
Central Filing System Ag Lien	3	3	100%	3	3	100%
Notary	1	1	100%	1	1	100%
Office of Administrative Rules	3	2	67%	3	2	67%
Total	31	13	42%	31	16	52%
Tenured Staff		No Retirements		No Retirements	1	Unknown

* Provide training to ensure career progression within current classifications and/or newly identified positions.

No career progression during Fy 2010.

Career Progression # Emp	2	0	1	1
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Administrative/Support Services

Goal: Improve customer service by providing access to information and expanding methods to deliver services.

- * Using statistical reports and institutional knowledge, monitor service delivery methods for SOS mandates.

Monitor Existing Services	Continued Use	Continued Use	Continued Use	Continued Use
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Goal: Utilize advanced technology to improve services.

- * Follow 3 year life cycle on computer upgrades. (Measure number of computers.)

3 Year Life Cycle Upgrades	4	1	0	6
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- * Upgrade software are as available annually provided funding is appropriated. (Measure is number of programs.)

FY 2010 - Software

IBM - IBM Lotus Domino

IBM - IBM Lotus Notes

Verisign - Secure Site License

Verisign - Secure Site License

CommVault - CommVault Galaxy

Oracle - Oracle Enterprise Renewal

FogBugz for Windows 7.0

Upgrade software	7	7	7	7
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Goal: Workforce Planning - Agency

- * Provide a development program available to all staff to enable our employees to meet and maintain minimum performance requirements as methods to deliver services improve.

All of our Department Supervisors are cross training staff and monitoring employee development to ensure efficient and effective customer service.

Monitor Requirements	In Progress	In Progress	In Progress	In Progress
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- * Provide training as procedural changes require advanced knowledge and/or new knowledge to successfully complete assigned tasks and compete for new positions.

Accounting Technician has completed the Certified Public Manager Program.

Measurement is the Agency.

Targeted Training - Hours	560	738	775	775
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- * Provide training to ensure career progression within current classifications and/or newly identified positions.

Approximately 42% of all SOS are currently eligible to retire and 33% of this department meets the Rule of 80.

Career Progression- # of Emp	2	1	1	1
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Program: Business Registration Services

Goal: Improve customer service by providing access to information and expanding methods to deliver services.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>

Program: Business Registration Services

Goal: Improve customer service by providing access to information and expanding methods to deliver services.

- * The agency will identify 2 new methods to deliver services.

FY 2010

- * Redesigning web interface to improve efficiencies for our customers and staff. Completed May, 2010.
- * Upgrade of production database to Oracle 10g release 10.2.0.4 - increased performance allowing almost double the amount of filings processed in a single day.

Methods to deliver services	0	2	1	1
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Goal: Utilize advanced technology to increase services.

- * Follow 3 year life cycle computer upgrades.

3yr life cycle on upgrades	4	0	9	0
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- * Upgrade software as available annually provided funding is appropriated. (Measure is number of programs.)

FY 1010 - Software
 IBM Lotus Domino
 IBM Lotus Notes
 Verisign - Secure Site License
 Verisign - Secure Site License
 CommVault - Comm Vault Galaxy
 Oracle - Oracle Enterprise Renewal
 FogBugz for Windows 7.0

Upgrade Software	7	7	7	7
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Goal: Workforce Planning

- * Provide a development program available to all staff to enable employees to meet and maintain minimum performance requirements as methods to deliver services.

Monitor Requirements	Crosstraining	Crosstraining	Crosstraining	In Progress
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- * Provide training as procedural changes require advanced knowledge and/or new knowledge to successfully complete assigned tasks and compete for new positions.

Targeted Training - Hours	78	204	250	250
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- * Provide training to ensure career progression within current classifications and/or newly identified positions.

Ensure uninterrupted service as tenured staff resign / retire from SOS service. Approximately 42% of all SOS are currently eligible to retire and 38% of this department meets the Rule of 80.

Career Progression- # of Emp	2	2	2	2
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Program: Central Filing System for Agricultural Liens

Goal: Improve customer service by providing access to information and expanding methods to deliver services.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Central Filing System for Agricultural Liens

Goal: Utilize advanced technology to increase services.

- * Upgrade software as available provided funding is appropriated.

FogBugz for Windows 7.0
 Vault 5.0
 IBM Lotus Notes
 Secure Site License
 Secure Site License
 Oracle Enterprise Renewal
 CommVault Galaxy

Upgrade Software	5	7	5	5
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- * Follow 3 year life cycle on computer upgrades.

New Printer

3 Year Life Cycle Upgrades	1	3	0	0
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Goal: Workforce Planning

- * Provide a development program available to all staff to enable our employees to meet and maintain minimum performance requirements as methods to deliver services improve.

Monitor Requirements	Crosstraining	Crosstraining	Crosstraining	Crosstraining
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- * Provide training to ensure career progression within current classifications and/or newly identified positions.

The Administrative Programs Officer was promoted to level II. All other employees of this department are at the highest level within the family descriptor.

Approximately 42% of all SOS are currently eligible to retire and 100% of this department meets the Rule of 80.

Career Progression # Emp	0	0	0	0
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- * Provide training as procedural changes require advanced knowledge and/or new knowledge to successfully complete assigned tasks and compete for new positions.

Targeted Training - Hours	24	24	24	24
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Program: Executive Legislative

Goal: Improve customer service by providing access to information and expanding methods to deliver service.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated

Program: Executive Legislative

Goal: Improve customer service by providing access to information and expanding methods to deliver service.

- * Identify 2 new methods to deliver services.

- * Improved Web Access for the following documents:

Appointments made by the House and Senate

Proclamations issued by the Governor with links to images of the original document filed.

Legislation to all 77 County Court Clerks by email links or Secretary of State's web page.

Allows for sorting by each Legislative Column Definition

Now sorts documents listing the current information first.

- * New Frequently Asked Questions and definitions for:

State Questions

Legislation filed with the Secretary of State

- * Preservation of valuable territorial and statehood documents now located at the Oklahoma History Center:

Documents were transferred from the SOS and the Territorial Museum in Guthrie. The documents are being identified and indexed. Of the 48 boxes of documents, 13 have been identified and indexed. Of the documents indexed, we have identified several documents relating to the planning and support of the Oklahoma Constitutional Convention including correspondence from Washington DC, various election precincts, appointments of Territorial officers, Womens Suffrage and various financial records relating to the cost of territorial and early statehood expenses.

Methods to Deliver Services	2	7	2	2
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Goal: Utilize advanced technology to improve services.

- * Upgrade software as available annually provided funding is appropriated.

FogBugz for Windows 7.0

Vault 5.0

IBM Lotus Notes

Secure Site License

Secure Site License

Oracle Enterprise Renewal

CommVault Galaxy

Upgrade Software	5	7	1	1
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- * Follow 3 year life cycle on computer upgrades.

3yr life cycle on upgrades	2	0	0	2
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Goal: Workforce Planning

- * Ensure uninterrupted service as tenured staff resign from SOS service.

Approximately 42% of all SOS are currently eligible to retire and 50% of this department meets the Rule of 80.

Tenured Staff	Crosstraining	Crosstraining	Crosstraining	Crosstraining
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Executive Legislative

Goal: Workforce Planning

- * Provide a development program available to all staff to enable our employees to meet and maintain minimum performance requirements as methods to deliver services improve.

FY 2010

1. Continue crosstraining of Administrative Assistant

Monitor Requirements	Crosstraining	Crosstraining	Crosstraining	Crosstraining
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- * Provide training as procedural changes require advanced knowledge and/or new knowledge to successfully complete assigned tasks and compete for new positions.

Targeted Training Hours	30	30	30	30
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Program: Information Systems

Goal: Improve customer service by providing access to information and expanding methods to deliver services.

- * Using statistical reports and institutional knowledge, monitor service delivery methods for SOS mandates.

Monitor Existing Services	Improve Reports	Improve Reports	Improve Reports	Improve Reports
---------------------------	-----------------	-----------------	-----------------	-----------------

- * Identify 2 new methods to deliver services. Measurement is agency.

* Redesigning web interface to improve efficiencies for our customers and staff. Completed May, 2010.

* Upgrade of production database to Oracle 10g release 10.2.0.4 increased performance allowing almost double the amount of filings processed in a single day.

Methods To Deliver Service	In Process	2	2	2
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Goal: Utilize advanced technology to improve services.

- * Upgrade software as available annually provided funding is appropriated. (Measurement is dollars.)

Upgrade Software	124	47	62	62
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- * Follow 3 year life cycle on computer upgrades. (Measurement for agency - dollars.)

3 Year Life Cycle Upgrades	76	11	75	75
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Goal: Workforce Planning

Approximately 42% of all SOS are currently eligible to retire and 25% of this department will be eligible to retire within 5 years.

- * Provide a development program available to all staff to enable employees to meet and maintain minimum performance requirements as methods to deliver services improve.

Monitor Requirements	Implement Plan	Review Progress	Review Progress	Review Progress
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- * Provide training as procedural changes require advanced knowledge and/or new knowledge to successfully complete assigned tasks and compete for new positions.

Targeted Training - Hours	162	86	100	100
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Information Systems

Goal: Workforce Planning

Approximately 42% of all SOS are currently eligible to retire and 25% of this department will be eligible to retire within 5 years.

- * Provide training to ensure career progression within current classifications and/or newly identified positions.

Ensure uninterrupted service as tenured staff resign from SOS service.

Approximately 39% of all SOS and 0% of this department meet the Rule of 80.

Career Progression # Emp	Develop Plan	Implement Plan	Review Progress	Review Progress
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Program: Notary Public

Goal: Improve customer service by providing access to information and expanding methods to deliver services.

Goal: Utilize advanced technology to increase services

- * Follow 3 year life cycle on upgrades.

3yr Life Cycle on Upgrades	0	1	0	0
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- * Upgrade software as available annually provided funding is appropriated.

FY 2010 - Software
 FogBugz for Windows 7.0
 Vault 5.0
 IBM Lotus Notes
 Secure Site License
 Secure Site License
 Oracle Enterprise Renewal
 CommVault Galaxy

Upgrade software	6	7	2	2
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Goal: Workforce Planning

- * Provide training to ensure career progression within current classifications and/or newly identified positions.

Ensure uninterrupted service as tenured staff resign from SOS service.

Approximately 42% of all SOS are currently eligible to retire and 100% of this department meets the Rule of 80.

Career Progression # Emp	0	0	0	0
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- * Provide a development program available to all staff to enable employees to meet and maintain minimum performance requirements as methods to deliver services improve.

Monitor Requirements	Crosstraining	Crosstraining	Crosstraining	Crosstraining
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- * Provide training as procedural changes require advanced knowledge and/or new knowledge to successfully complete assigned tasks and compete for new positions.

Targeted Training (Hours)	6	12	12	12
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Program: Office of Administrative Rules

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>

Program: Office of Administrative Rules

Goal: Improve customer service by providing access to information and expanding methods to deliver service.

- * Identify 2 new methods to deliver services.

Accomplishments FY 2010

1. Added links to proposed rules on agencies' websites in the Online Register.
2. Published 2010 Supplement to the Oklahoma Administrative Code.
3. In conjunction with Governor and Legislature, implemented SB 1507 (2008), requiring agencies to electronically, rather than manually, file proposed rules for gubernatorial/legislative review.
4. Published over 7,900 meeting notices for over 1,500 public bodies on the SOS website. Of these, over 5,200 were posted directly to the SOS website by public bodies who have signed up to receive a login/id for accessing Meeting Notices Online.
5. Finished testing GEMt (to be implemented with Phase 2 of website renovation).
6. Arranged for Governor's approval certificates to be sent to us via email, and discontinued receipt of paper copies from SOS Executive/Legislative Division.

Methods to Deliver Services	In Process	6	2	2
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Goal: Utilize advanced technology to increase services.

- * Follow 3yr life cycle on computer upgrades.

FY 2008 - Software
 ML Toad for Oracle
 Toade for Oracle DBA Module
 View Star Process
 OAR Publishing

3yr Life Cycle on Upgrades	0	4	0	0
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- * Upgrade software as available annually provided funding is appropriated. (Measure is number of programs.)

FY 2010 - Software
 FogBugz for Windows 7.0
 Vault 5.o
 IBM Lotus Notes
 Secure Site License
 Secure Site License
 Oracle Enterprise Renewal
 CommVault Galaxy

Upgrade Software	5	7	2	2
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Goal: Workforce Planning

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	2,164	2,211	2,681	
Professional Services	471	304	894	
Travel	31	22	50	
Lease-Purchase Expenditures	0	0	0	
Equipment	101	82	73	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	475	398	852	
Total Expenditures by Object	\$3,242	\$3,017	\$4,550	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10 Administration/Support Service				
20 Administration Support Svcs	821	698	593	
21 International Relations & Svcs	0	0	138	
66 Address Confidentially Office	14	0	0	
88 Information Services	715	598	1,181	
Total	1,550	1,296	1,912	
Administration/Support Service				
20 Business Registration Service				
10 Business Registration Service	693	690	937	
65 Document Receiving	63	58	135	
67 Notary	0	0	0	
68 Orders / Certification	165	167	272	
Total Business Registration Service	921	915	1,344	
25 Executive & Legislative Svcs				
70 Executive & Legislative Svcs	155	167	285	
Total Executive & Legislative Svcs	155	167	285	
30 Central Filing				
30 CFS Ag Lien	227	253	297	
67 Notary	79	84	108	
Total Central Filing	306	337	405	
40 Administrative Rules				
40 Administrative Rules	279	304	467	
Total Administrative Rules	279	304	467	
50 Ballot Titles				
50 Ballot Titles	31	0	137	
Total Ballot Titles	31	0	137	
Total Expenditures by Activity	\$3,242	\$3,019	\$4,550	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES

Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
10 Administration/Support Service	11.0	11.0	11.0
20 Business Registration Service	12.0	12.0	12.0
25 Executive & Legislative Svcs	2.0	2.0	2.0
30 Central Filing	4.0	4.0	4.0
40 Administrative Rules	4.0	4.0	4.0
Total FTE	33.0	33.0	33.0
Number of Vehicles	0	0	0

AERONAUTICS COMMISSION (60)

MISSION

The mission of the Oklahoma Aeronautics Commission (OAC) is to promote aviation, which includes fostering the growth of the aerospace industry and ensuring that the needs of commerce and communities across the state are met by the state's 113 public airports that comprise the Oklahoma Airport System.

THE COMMISSION

The Oklahoma Aeronautics Commission consists of seven members. Members are citizens and residents of the state of Oklahoma and must have three years experience in aviation activities. The Governor appoints all seven members of the commission. One member is to be appointed from each congressional district with two members serving in an at-large capacity. Commissioners are appointed for six-year terms. If an appointment is vacated and a new commissioner is appointed, he or she finishes the existing term that was vacated.

DUTIES/RESPONSIBILITIES

The Oklahoma Aeronautics Commission (OAC), under the control of the Oklahoma Aeronautics Commission and its director, is responsible for the administration and/or coordination of a statewide system of airports, cooperating with and assisting local, state and federal authorities in the development of aviation, and fostering the growth of the state's aerospace industry. OAC "channels" federal funds--apportionment and discretionary--to specific airport projects, as determined by the agency itself.

STATUTORY REFERENCES

Program Name	Statutory Reference
General Operations-Administration	Title 3, Section 85
General Operations-Education	Title 3, Section 85(l)
General Operations-Planning	Title 3, Section 85(h)(2)
General Operations-Data Processing	Title 3, Section 85

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Goal: Implementing an Efficient Aviation Infrastructure

* Maintain agency capital outlay expenditures at 80-90% of the Capital Improvement Program through FY-2016.

Capital Improvement Program	80-90%	80-90%	80-90%	80-90%
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* Reduce the number of overall airport safety deficiencies by 2% based on the annual safety inspections of the state's airports.

Airport Safety Inspections	9.0	8.8	8.6	8.4
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* Facilitate the development and maintenance of the 43 jet-capable regional business airports in the state by FY-2016. A jet-capable regional business airport is an airport that has a runway of at least 5,000 feet in length. Oklahoma's regional business airports are the back-bone of our system.

Regional Business Airports	41	42	43	43
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STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Goal: Implementing an Efficient Aviation Infrastructure

- * Maintain an average "Pavement Condition Index" of at least '65' on runways and taxiways surveyed through the Pavement Management System through FY-2016. The "Pavement Condition Index" is a rating scale of '0' (failed pavement) to '100' (new pavement). It is determined by visual distress surveys and reflects the adequacy of the pavement. A network of pavements in good condition would score higher than '75'. Oklahoma's low scores reflect the maintenance and rehabilitation needs of the state's airport pavements.

Pavement Condition Index	67	69	68	66
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Goal: Effectively Communicating with all of our Stakeholders

- * Improve our communication effectiveness with our stakeholders by 2% per year through FY-2016. This measure will be accomplished through a survey of our stakeholders on a bi-annual basis.

Communication Effectiveness	79	81	83	85
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- * Communicate effectively on the Oklahoma Aeronautics Commission's planning and programming processes at the agency's "Regional Planning Meetings." Positive responses on meeting evaluations will increase 2% per year through FY-2016. The Regional Planning Meetings are the agency's primary means of communicating with the state's airports and their sponsors. The meetings are held at various locations around the state each year. Evaluations will be collected at each meeting.

Regional Planning Meetings	71	73	75%	77%
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Goal: Knowledgeable Staff

- * Maintain the competence of the agency's staff at 100% through FY-2016. This will be measured by the relevant sections on the employee's annual "Performance Management Process (PMP)." The entire staff of the agency will earn a "meets standard" or "exceeds standard" on the appropriate sections.

Staff Competence	90%	90%	100%	100%
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- * Improve our stakeholder's perception of the staff's knowledge in the aviation and aerospace field by 3% per year through FY-2016. This measure will be accomplished through a survey of our stakeholders on a bi-annual basis beginning in FY-2011.

Stakeholder Perception			66%	69%
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Goal: Leading Aviation Advocate

- * Increase the number of aviation-related jobs in Oklahoma by 5% by FY-2016. Data will be derived from a study of the economic impact of aviation and the aerospace industry in Oklahoma. An economic study will be done in FY-2011 and FY-2016.

Aviation Jobs			145,600	147,400
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- * Receive a positive response of at least 80% from industry stakeholders concerning our role as their advocate by FY-2016. Agency will conduct a survey of industry stakeholders during FY-2011. A second survey of industry stakeholders will be done by FY-2012 with surveys done after that on a bi-annual basis.

Advocate			70%	70%
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BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: General Operations-Data Processing

Goal: On-line information system availability

Program: General Operations-Education

Goal: To provide an effective educational grant program.

* Number of contracts for Aviation Programs for students in grades K-12.

No. of Contracts	11	11	13	14
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Program: General Operations-Planning

Goal: Oklahoma Airport System Plan

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

\$000's

<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
200 Aeronautics Commission Rev Fun	2,019	2,368	1,658
400 Federal Fund	113	229	343
Total Expenditures by Fund	\$2,132	\$2,597	\$2,001

EXPENDITURES BY OBJECT

\$000's

<u>Object of Expenditure</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
Salaries and Benefits	916	952	989
Professional Services	668	678	704
Travel	37	26	32
Lease-Purchase Expenditures	0	0	0
Equipment	8	1	15
Payments To Local Govt Subdivisions	300	733	23
Other Operating Expenses	202	207	237
Total Expenditures by Object	\$2,131	\$2,597	\$2,000

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY

\$000's

<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
60 General Operations			
100 Administration	1,564	2,089	1,452
200 Education	70	155	151
300 Planning	488	342	361

AERONAUTICS COMMISSION - 745 -

TRANSPORTATION

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)			\$000's
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
60 General Operations			
400 Data Processing	11	11	36
Total General Operations	2,133	2,597	2,000
Total Expenditures by Activity	\$2,133	\$2,597	\$2,000

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
60 General Operations	12.0	11.0	12.0
Total FTE	12.0	11.0	12.0
Number of Vehicles	4	3	3

CAPITAL OUTLAY and SPECIAL PROJECTS

\$000's

Expenditures by Project:	FY-2009 Actual	FY-2010 Actual	FY-2011 Estimated
# Project name			
90 Airport Assistance			
7 Miami Municipal Pavement Maint	0	0	65
14 Automated Weather Observ Syst	243	29	0
17 Lake Murray Pavement Maint	7	0	0
23 Stillwater Municipal Runwy Pro	0	0	285
26 OKC Wiley Post Pavement Const	27	328	1,720
33 Ponca City Mun Airprt Const/Dev	136	649	0
35 Guthrie Mun Airprt Const/Runway	410	0	753
36 Claremore Reg Airprt Const/Run	0	24	0
37 Guymon Mun Airport Pvmt Mngmt	0	0	250
39 El Reno Mun Airprt Const/Runway	0	0	0
40 Westheimer Arprt Const/Runway	545	46	672
41 Bartlesville Mun Const/Develop	0	37	0
46 Durant Eaker Fld Airprt Height	0	188	143
56 Alva Mun Runway Project	0	82	400
57 Muskogee/Davis Field Pvmt Rehb	0	124	300
58 Tulsa/RL Jones Const/Develop	509	54	200
59 Ada Mun Const/Development	121	13	0
62 Ardmore Municipal Const/Dev	0	0	400
63 Enid Woodring Const/Dev	0	0	400
69 Ardmore Downtown Const/Dev	0	43	0
70 Grove Municipal Const/Dev	0	366	0
75 Duncan Halliburton Constr/Dvlp	0	7	0
76 West Woodward Airport Const/De	0	3	0
77 Tahlequah Municipal Const/Deve	4	233	0

FY - 2012 EXECUTIVE BUDGET

\$000's

Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
78	Weatherford Airport Const/Deve	318	48	285
79	Sand Springs Municipal Const/D	15	87	0
80	Ketchum South Grand Lake Const	0	350	0
81	Blackwell-Tonkawa Municipal Ai	374	20	0
83	Shawnee Municipal Airport	54	8	680
84	Okmulgee Regional Airport	0	138	0
86	Kingfisher Municipal Airport	0	0	400
87	McAlester Regional Airport	0	0	95
Total Capital Outlay by Project		<u>\$2,763</u>	<u>\$2,877</u>	<u>\$7,048</u>

SPACE INDUSTRY DEVELOPMENT AUTHORITY (346)

MISSION

The mission of the Oklahoma Space Industry Development Authority is to be aggressive, deliberate and forceful in the planning and development of spaceport facilities, launch systems and projects and to successfully promote and stimulate the creation of space commerce, education and space related industries in Oklahoma.

THE BOARD

Seven member board is appointed by the Governor.

DUTIES/RESPONSIBILITIES

The purpose of the Oklahoma Space Industry Development Authority is to acquire, construct, develop, create, equip, operate, maintain, extend and improve launch pads, landing areas, ranges, payload assembly, buildings, payload processing facilities and to promote aerospace education in Oklahoma schools and universities.

STATUTORY REFERENCES

Program Name	Statutory Reference
Spaceport Oklahoma	Title 74, Section 5208.1 HB 2258

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		Actual	Actual	Budgeted
19X	General Revenue	531	456	424
200	Space Industry Devel Authority Fund	2,149	2,382	2,100
210	Okla Spaceport Management Fund	440	634	630
215	Aerospace Industial Airpark Fund	310	373	430
400	Federal Fund - Nasa	146	337	300
Total Expenditures by Fund		\$3,576	\$4,182	\$3,884

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
Salaries and Benefits	326	317	270	
Professional Services	544	286	47	
Travel	44	23	0	
Lease-Purchase Expenditures	0	0	0	
Equipment	20	38	8	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	290	1,021	1,949	
Total Expenditures by Object	<u>\$1,224</u>	<u>\$1,685</u>	<u>\$2,274</u>	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
10 General Operations				
1 General Operations	3,216	3,822	3,524	
3 SWODA Management	360	360	360	
Total General Operations	<u>3,576</u>	<u>4,182</u>	<u>3,884</u>	
Total Expenditures by Activity	<u>\$3,576</u>	<u>\$4,182</u>	<u>\$3,884</u>	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
10 General Operations	<u>3.0</u>	<u>4.0</u>	<u>3.0</u>	
Total FTE	3.0	4.0	3.0	
Number of Vehicles	0	0	0	

TRANSPORTATION DEPARTMENT (345)

MISSION

The mission of the Department of Transportation is to provide a safe, economical and effective transportation network for the people, commerce and communities of Oklahoma.

THE BOARD

The State Transportation Commission consists of eight members, one from each of the eight commission districts established by statute. The Commission members are appointed by the Governor, with the consent of the Senate. Members must have been a resident of their districts for at least three years and are appointed for staggered terms of eight years each. The Governor is an ex officio member of the Commission, but entitled to vote on Commission matters only in the event of a tie.

The Commission is an advisory, administrative, and policy making board empowered by statutes: to hire, by majority vote, a Department Director; to set policies for the transaction of business including the letting of construction and maintenance contracts; and to prescribe the manner of cooperation between local officials and the Department.

DUTIES/RESPONSIBILITIES

The Oklahoma State Department of Transportation, operating under rules, regulations, and policies prescribed by the State Transportation Commission, is charged with the planning, construction, operation, maintenance and coordination of designated multi-modal transportation systems designed to meet present and future statewide transportation needs of the State of Oklahoma. Coordination of the development and operation of transportation facilities in the state includes, but is not limited to, highways, public transportation, railroads and waterways.

Major areas of activity include the budgeting and accounting for all state and federal funds accruing to the Department; the development and implementation of a statewide transportation plan, considering all modes of transportation, and incorporating by coordination and mutual agreement such transportation plans as may be developed by local units of government; the engineering, acquisition of rights-of-way, and the award and administration of construction contracts for the improvement of the designated State Highway System and other such transportation facilities as may be applicable under the Statutes; the development and implementation of fiscal and administrative management procedures as may be required to minimize administrative costs; and the development of administrative rules and guidelines as needed to insure compliance and compatibility with the objectives of the various state and federal transportation programs coming under the purview of the Transportation Commission.

STATUTORY REFERENCES

Program Name	Statutory Reference
25 Hwy Const Material Tech Certification Board	Oklahoma State Statutes Supplement Title 69, Section 1951
65 Weigh Stations	SB 141 'One Stop Truck Shop' bill

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

Goals/Measures	FY- 2009 <u>Actual</u>	FY- 2010 <u>Actual</u>	FY- 2011 <u>Budgeted</u>	FY-2012 <u>Estimated</u>
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Goal: To develop and maintain a safe and effective multi-modal transportation network

* This measure indicates the number of traffic fatalities per 100,000,000 miles traveled on the highway system.

Traffic fatalities	1.78
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STRATEGIC PLAN GOALS and PERFORMANCE MEASURES (continued)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Goal: To develop and maintain a safe and effective multi-modal transportation network				
* This measure indicates the number of AMTRAK passengers on the Heartland Flyer service from OKC to Ft. Worth, followed by the number of rail crossings improved.				
Rail service/improvement	74,701/45			
* Percentage of construction contracts awarded within 10% of ODOT engineering estimates. 60% is a good industry benchmark.				
Contract awards vs estimates	44%			
* Number of transit trips made by ODOT's federally funded Transit projects.				
Transit trips	3,075,360			
* The greatest potential for tragic crossover accidents is on high volume, high speed roads. This type of accident resulted in 46 deaths in 2004. This measure indicates miles of cable and concrete median barrier installed to prevent crossover accidents.				
Miles of median barrier	61			
Goal: To encourage using project management systems, new techniques and technology which improve productivity and quality in all ODOT processes				
* The International Roughness Index (IRI) is an indication of pavement condition expressed in inches per mile.				
Pavement condition IRI	n/a			
Goal: To provide responsible and effective asset management				
* This measure indicates the percentage growth in construction project costs, which is the difference between the contract award amount versus the actual cost to complete the project. In the field of highway construction any growth less than 3% is considered excellent and reflects on the quality of preconstruction plans and sound construction management practices.				
Construction contract growth	.21%			
* This measure indicates the percentage of on-system bridges rated as functionally obsolete or structurally deficient (FO/SD). There are too many variables at this time to accurately predict the percentage of FO/SD bridges in the future.				
Bridge ratings	22.6%			
* This measure indicates the expenditures per lane mile for routine maintenance and traffic operations including snow and ice removal. It excludes special maintenance projects which normally are included in the construction program.				
Maintenance expenditures	\$2950			
Goal: To train, develop and maintain a qualified internal and external workforce through appropriate resource allocation and compensation				
* Turnover rates are determined by many factors, including the economic climate. Low pay scales compared to the market, especially in good economic times, are a significant factor in turnover.				
Employee turnover rate	12.5%			
* This measure indicates the percentage of ODOT managers receiving management development training.				
Management training	85%			
* This measure indicates the percentage of the market average pay for similar jobs that ODOT employees are compensated. The goal is to pay all ODOT employees at the market average.				
Employee compensation	91%			

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: 20 Administration				
Goal: To provide responsible and effective asset management				
* This measure indicates the percentage of accounts payable paid in less than 6 business days to contractors, vendors, suppliers and consultants.				
Accounts payable timeliness	97.2%	97.2%	98.0%	98.0%
* This measure indicates the percent of federal billings made by deadline. This is the agency's revenue stream.				
Federal billings	100%	100%	100%	100%
Goal: To train, develop and maintain a qualified internal and external workforce through appropriate resource allocation and compensation				
* This measure indicates the percentage of employee turnover.				
Employee turnover rate	8.46%	8.2%	8.0%	8.0%
Program: 21 Transit				
Goal: To develop and maintain a safe and effective multi-modal transportation network				
* This measure indicates the public transit trips provided for elderly and or disabled citizens. Trips are down from the previous fiscal year for the same reasons referenced in the previous performance measure.				
Trips for elderly & disabled	730,956	760,089	800,000	800,000
* This measure indicates the number of passenger trips made by the Department's federally funded public transit projects. The ridership shown is based on the state fiscal year. The increase from 2003 is due in part to the improved effort to coordinate our transportation service with human service agencies and the Road to Work program.				
Public transit trips	2,737,764	2,936,326	3,100,000	3,100,000
Program: 22 Railroads				
Goal: To develop and maintain a safe and effective multi-modal transportation network				
* This measure indicates the number of AMTRAK passengers on the Heartland Flyer service from OKC to Ft Worth, TX.				
Rail Service/Improvement	78,914	77,299	75,000	75,000
Program: 23 Waterways				
Goal: To develop and maintain a safe and effective multi-modal transportation network				
* This measure indicates the total tonnage shipped (imported and exported) on the Oklahoma segment of the MKARN system.				
Waterways freight tonnage	4,041,300	4,151,200	5,000,000	5,000,000
Program: 25 Hwy Const Material Tech Certification Board				
Goal: To train, develop and maintain a qualified internal and external workforce through appropriate resource allocation and compensation				
* This measure indicates the percentage of technicians denied admission to the total number of technicians that applied for participation in the program. The denial of admission being due to various reasons ranging for inelastic training facilities, funding resource, etc to faculty availability.				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated

Program: 25 Hwy Const Material Tech Certification Board

Goal: To train, develop and maintain a qualified internal and external workforce through appropriate resource allocation and compensation

% denied admission	0.00%	0.00%	< 0.5%	< 0.5%
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Program: 31 Operations

Goal: To provide responsible and effective asset management

* This measure indicates the percentage growth in construction project costs, which is the difference between the contract award amount versus the actual cost to complete the project. In the field of highway construction, any growth less than 3% is considered excellent and reflects on the quality of preconstruction plans and sound construction management policies.

Contract Growth	1.81%	2.79%	< 2.00%	< 2.00%
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Goal: To develop and maintain a safe and effective multi-modal transportation network

* This measure indicates the percentage of construction contracts awarded that are within 10% of ODOT engineering estimates. A 60% target for all awarded contracts meeting this criteria is a good industry benchmark.

Contract awards vs estimates	33%	32%	60%	60%
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Program: 35 Pre Construction

Goal: To encourage using project management systems, new techniques and technology which improve productivity and quality in all ODOT processes

* The International Roughness Index (IRI) is an indication of pavement condition expressed in inches per mile.

Pavement condition IRI	109.9	107.9	105	105
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Program: 45 CIP Debt Service

Goal: To develop and maintain a safe and effective multi-modal transportation network

* This is the amount of debt service outstanding by fiscal year.

Outstanding debt svc amount	119,976,740	89,902,102	57,705,992	30,330,634
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Program: 55 Public Transit

Goal: To develop and maintain a safe and effective multi-modal transportation network

* This measure indicates the number of passenger trips made by the Department's federally funded public transit projects. The ridership shown is based on the state fiscal year. The increase from 2003 is due, in part, to the improved effort to coordinate our transportation service with human service agencies and the Road to Work program.

Public transit trips	2,737,764	2,936,326	3,100,000	3,100,000
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Program: 65 Weigh Stations

Goal: Incorporate state-of-the-art technology in 8 new Ports of Entry on Oklahoma Interstate, Turnpike and other high commercial traffic arteries

* This measure describes percentage completion of the engineering contracts awarded for the architectural and technology design 3 new Ports of entry facility planned for Beckham, Sequoyah, and Kay Counties.

Civil engineering design contracts have been entered with Benham Engineering for the site on I-35 N in Kay County, Cobb Engineering for the site on I-40 W in Beckham County, and C.H. Guernsey & Co for the site on I-40 E in Sequoyah County.

% completion of PS&E	60	79	100	100
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Program: 93 CIP Construction

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated

Program: 93 CIP Construction

Goal: To develop and maintain a safe and effective multi-modal transportation network

- * This measure indicates the progress in completion of Phase I and Phase II of the Capital Improvement Program. Prior to FY2008, the calculation was based upon total funding received of the total funding committed by the legislature. Because this program has remained at 72% complete for five fiscal years, ODOT is assuming the remaining \$150,000,000 commitment will not be provided.

Effective FY2008, the calculation to measure the progress of the Capital Improvement Program is based upon the total amount expended of the available budget.

Cap Impr Program Progress	97.17%	98%	100%	100%
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Program: 94 Capital Projects

Goal: To develop and maintain a safe and effective multi-modal transportation network

- * This measure indicates the number of traffic fatalities per 100,000,000 miles traveled on the highway system.

Traffic fatalities	1.7	1.47	0	0
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- * The greatest potential for tragic crossover accidents is on high volume, high speed roads. This type of accident resulted in 46 deaths in 2004. This measure indicates miles of cable and concrete median barrier installed to prevent crossover accidents.

Miles of median barrier	65 miles	489	400	400
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Program: 95 County Programs

Goal: To develop and maintain a safe and effective multi-modal transportation network

- * Sales volume of equipment purchased by agency and distributed back to local governments.

County Eq/Machine Purch	5,960,732	3,979,682	6,900,000	6,000,000
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- * SD/FO is an indicator of deficiency in the structural and geometric properties of the bridge. The percentage is the total number of SD/FO bridges divided by the total number of bridges on the county system.

Bridge deficiency/obsolescence	34.0%	33.3%	32.0%	31.0%
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Program: 99 Railroad Improvement

Goal: To develop and maintain a safe and effective multi-modal transportation network

- * This measurement indicates the number of rail crossings improved.

Rail Crossing Improvement	30	30	30	30
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- * This measure indicates the number of AMTRAK passengers on the Heartland Flyer service from OKC to Ft. Worth.

Rail Service/Improvement	78,914	77,299	75,000	75,000
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
210	Railroad Maintenance Revolving	4,390	5,684	3,800
211	OK Tourism and Passenger Rail	1,608	1,920	7,200
220	Highway Construction Materials	284	308	350
225	Public Transit Revolving Fund	5,635	5,269	5,663
230	County Road Mach & Equip Fund	6,034	3,980	6,900
235	County Road Improvement Fund	0	0	3,960
250	County Bridge and Road Imprv Fund	4,738	5,947	63,122
265	Weigh Station Imprmt Rev Fd	1,252	3,164	16,760
275	Rebldg Ok Access & Drvr Safety	25,665	35,165	57,900
285	CO Improve for Roads & Bridges	1,224	4,284	8,000
310	Construction & Maintenance Fund	333,184	362,235	382,059
340	CMIA Programs Disbursing Fund	942,545	1,132,139	1,033,596
400	County Bridge Revolving Fund	0	0	7,011
Total Expenditures by Fund		<u>\$1,326,559</u>	<u>\$1,560,095</u>	<u>\$1,596,321</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
	Salaries and Benefits	156,302	160,226	175,887
	Professional Services	5,562	6,366	10,000
	Travel	1,275	1,089	1,241
	Lease-Purchase Expenditures	3	0	5
	Equipment	77,759	89,081	174,004
	Payments To Local Govt Subdivisions	58	84	50
	Other Operating Expenses	42,610	58,548	51,371
Total Expenditures by Object		<u>\$283,569</u>	<u>\$315,394</u>	<u>\$412,558</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
20	Administration			
1	Administration	20,301	24,007	0
88310	Data Processing	10,810	14,673	0
	Total Administration	<u>31,111</u>	<u>38,680</u>	<u>0</u>
21	Transit			
1	Transit	495	530	6,240
	Total Transit	<u>495</u>	<u>530</u>	<u>6,240</u>
22	Railroads			
1	Railroads	833	792	993
	Total Railroads	<u>833</u>	<u>792</u>	<u>993</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY (continued)		\$000's		
Activity No. and Name		FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
23	Waterways			
1	Waterways	147	141	180
	Total Waterways	147	141	180
25	Hiwy Const Mat Tech Cert Board			
1	Hiwy Const Mat Tech Cert Board	284	308	0
	Total Hiwy Const Mat Tech Cert Board	284	308	0
31	Operations			
1	Operations	177,474	178,189	0
88310	Operations Data Processing	2,593	3,521	0
	Total Operations	180,067	181,710	0
35	Engineering			
1	Engineering	37,415	49,349	0
88310	Engineering Data Processing	922	1,734	0
	Total Engineering	38,337	51,083	0
45	CIP Debt Service			
310	CIP Debt Service	25,665	35,165	0
	Total CIP Debt Service	25,665	35,165	0
55	Intermodal			
1	Public Transit	5,635	5,269	0
	Total Intermodal	5,635	5,269	0
65	Trucking			
1	Trucking One-Stop Shop Act	995	1,716	0
	Total Trucking	995	1,716	0
68	Highway			
1	Highway	0	0	388,059
88310	Data Processing	0	0	19,068
	Total Highway	0	0	407,127
94	Capital Outlay			
1	Highway Projects	941,316	1,116,641	898,240
	Total Capital Outlay	941,316	1,116,641	898,240
95	County Projects			
1	County Projects	82,485	102,789	248,611
	Total County Projects	82,485	102,789	248,611
96	Transit Projects			
1	Transit Projects	0	0	16,423
	Total Transit Projects	0	0	16,423
97	Rail Projects			
1	Rail Projects	19,189	25,271	18,507
	Total Rail Projects	19,189	25,271	18,507
Total Expenditures by Activity		\$1,326,559	\$1,560,095	\$1,596,321

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES

<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>
20 Administration	214.7	231.0	276.0
21 Transit	5.7	6.0	6.0
22 Railroads	8.6	9.0	9.0
23 Waterways	2.0	2.0	2.0
31 Operations	1,795.5	1,835.0	1,797.0
35 Engineering	460.4	497.0	470.0
Total FTE	2,486.9	2,580.0	2,560.0
Number of Vehicles	276	246	246

CAPITAL OUTLAY and SPECIAL PROJECTS

\$000's

<u>Expenditures by Project:</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Estimated</u>
# Project name			
93 CIP			
90498 CIP Engineering	349	230	0
92498 CIP Construction	2,561	335	0
94 Capital Outlay			
1 Highway Projects	0	0	1,006,559
90265 Ports of Entry Weigh Station	257	1,448	0
90310 Engineering Contracts	45,470	49,974	0
90311 Engineering Planning Contracts	9,318	8,259	0
90312 Engineering Bridge Contracts	4,228	5,070	0
90313 Engineering Environmental Cont	1,212	1,123	0
91310 Federal Aid Construction	865	318	0
91340 Federal Aid Construction	599,129	836,444	0
92310 State Aid Construction	4,000	2,000	0
92340 State Aid Construction	86,353	92,993	0
93310 Building Replacement Program	442	0	0
93340 Right Of Way	149,346	88,205	0
96340 Industrial Access	2,140	1,214	0
97310 Park Roads	568	749	0
97340 Lake Access	2,353	1,005	0
98310 Special Maintenance	3,863	9,191	0
98340 Special Maintenance	15,581	4,156	0
99310 Rural Public Transit	13,630	14,156	0
95 County Projects			
1 County Projects	0	0	273,316
230 County Equipment	6,034	3,980	0
250 CRCB Projects	63,721	73,880	0
285 CIRB	12,730	24,930	0
96 Transit Projects			
1 Transit Projects	0	0	19,679
TRANSPORTATION DEPARTMENT	- 757 -		TRANSPORTATION

FY - 2012 EXECUTIVE BUDGET

\$000's

Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
97	Rail Projects			
1	Rail Projects	0	0	46,830
99	Other Capital Outlay			
210	Railroad Rehabilitation	3,557	4,892	0
211	Rail Passenger Service	1,608	1,920	0
99340	Railroad Projects	14,024	18,459	0
Total Capital Outlay by Project		<u>\$1,043,339</u>	<u>\$1,244,931</u>	<u>\$1,346,384</u>

OUTSTANDING DEBT

\$000's

	FY-2009	FY-2010	FY-2011
	<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Lease-purchase obligations	74	1,358	1,171
Revenue bond issues	0	0	0
Other debt	162,010	244,165	0
Total Outstanding Debt	<u>\$162,084</u>	<u>\$245,523</u>	<u>\$1,171</u>

VETERANS AFFAIRS, DEPARTMENT OF (650)

MISSION

The mission of the Oklahoma Department of Veterans Affairs is to ensure all Oklahoma veterans and their families receive all possible benefits and to provide excellent health services and long-term skilled care in a residential environment to all qualified veterans residing in the state.

THE COMMISSION

The War Veterans Commission of Oklahoma consists of nine members. Members are honorably discharged veterans of any war or conflict in which the United States participated as a belligerent. The Governor appoints four members of the commission from lists submitted by the American Legion, three members from lists submitted by the Veterans of Foreign Wars and two members from lists submitted by the Disabled American Veterans organization.

DUTIES/RESPONSIBILITIES

STATUTORY REFERENCES

Program Name	Statutory Reference
02 Claims & Benefits	Title 38 for Federal issues, Title 72 for State benefits
06 Nursing Facilities	Oklahoma Statutes, Title 72., Title 38, U.S. Code
09 State Accrediting Agency	Title 38, U.S. Code and Oklahoma Statutes, Title 72-242, Chapter 9, Training and Education of Veterans.

STRATEGIC PLAN GOALS & PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009</u> <u>Actual</u>	<u>FY- 2010</u> <u>Actual</u>	<u>FY- 2011</u> <u>Budgeted</u>	<u>FY-2012</u> <u>Estimated</u>
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Goal: Serve a growing number of veterans and facilitate their access to benefits.

* Target rate for increasing the number of submittals by 5% per year.

Increased Submittals	35392	36102	37907	39802
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Goal: Improve the quality of life and services available to each veteran.

* Provide consistent high quality "best practices" care and services across all ODVA facilities.

Best Practices	USDVA CERT.	USDVA CERT.	USDVA CERT.	USDVA CERT.
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Goal: Provide preeminent quality health care and services to Oklahoma veterans.

Goal: Serve veterans with highly qualified and tenured staff.

Goal: Maintain high quality care for residents

Goal: Perform in the Top 10% of Veterans Homes in the U.S.

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: 02 Claims & Benefits

Goal: Serve a growing number of veterans and facilitate their access to benefits.

- * Target rate of increasing the number of submittals by 5% per year.

Increased Submittals	35392	36102	37907	39802
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Program: 06 Nursing Facilities

Goal: Improve the quality of life and services available to each veteran.

- * Provide consistent high quality "best practices" care and services across all ODVA facilities.

Best Practices	USDVA CERT	USDVA CERT	USDVA CERT	USDVA CERT
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NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
19X General Revenue	41,281	37,639	35,957	
210 War Vet. Comm Revolving	134	160	170	
220 Dept Veterans Affairs Fund	35,358	26,956	29,671	
400 Federal Funds	37,637	65,738	51,693	
405 Federal Funds - State Accrediting	362	376	439	
Total Expenditures by Fund	\$114,772	\$130,869	\$117,930	

EXPENDITURES BY OBJECT

		\$000's		
<u>Object of Expenditure</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
Salaries and Benefits	94,252	98,785	94,273	
Professional Services	1,025	1,157	1,346	
Travel	254	247	326	
Lease-Purchase Expenditures	0	0	2	
Equipment	2,503	3,191	2,762	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	16,739	27,487	19,221	
Total Expenditures by Object	\$114,773	\$130,867	\$117,930	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
6 Nursing Care				
1 Claremore Veterans Center	19,285	22,270	20,066	
2 Ardmore Veterans Center	13,937	15,246	14,154	
3 Clinton Veterans Center	12,576	13,755	12,354	
4 Norman Veterans Center	20,558	23,271	20,317	
5 Sulphur Veterans Center	11,627	13,636	11,775	
6 Talihina Veterans Center	13,294	15,644	14,271	
7 Lawton Veterans Center	16,415	19,781	16,861	
Total Nursing Care	<u>107,692</u>	<u>123,603</u>	<u>109,798</u>	
10 Capital Lease				
1 Capital Lease	959	959	959	
Total Capital Lease	<u>959</u>	<u>959</u>	<u>959</u>	
11 Central Administration				
1 Central Administration	1,955	1,871	2,428	
7 Central Administration DP	1,930	2,185	2,218	
Total Central Administration	<u>3,885</u>	<u>4,056</u>	<u>4,646</u>	
20 Claims & Benefits				
1 Claims and Benefits	1,738	1,716	1,918	
17 Claims and Benefits Fin Aid	134	160	170	
Total Claims & Benefits	<u>1,872</u>	<u>1,876</u>	<u>2,088</u>	
30 State Accrediting Agency				
1 State Accrediting Agency	362	376	439	
Total State Accrediting Agency	<u>362</u>	<u>376</u>	<u>439</u>	
Total Expenditures by Activity	<u>\$114,770</u>	<u>\$130,870</u>	<u>\$117,930</u>	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES			
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
1 Central Administration	26.1	24.1	29.0
2 Claims and Benefits	24.7	24.3	29.0
6 Nursing Care	1,827.7	1,884.6	1,887.0
9 State Accrediting Agency	3.9	3.8	4.0
Total FTE	<u>1,882.4</u>	<u>1,936.8</u>	<u>1,949.0</u>
Number of Vehicles	96	96	96

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>
91	ODVA System Wide Cap. Imp.			
1	Systemwide Capital Improvement	1,656	3,223	27,910
11	Claremore Renovation	60	0	0
92	Ardmore Facility Improvements			
5	Sulphur South Wing	857	8,199	3,183
Total Capital Outlay by Project		<u>\$2,573</u>	<u>\$11,422</u>	<u>\$31,093</u>

OUTSTANDING DEBT		\$000's		
		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Lease-purchase obligations		0	0	0
Revenue bond issues		5,040	4,265	3,465
Other debt		0	0	0
Total Outstanding Debt		<u>\$5,040</u>	<u>\$4,265</u>	<u>\$3,465</u>

HOUSE OF REPRESENTATIVES (422)

MISSION

The House of Representatives initiates legislation, holds legislative hearings, and has the sole power of impeachment. Also, all bills for raising revenue must originate in the House of Representatives. At the present time there are one hundred and one members. The term of office of a member of the House of Representatives is two years.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	Article V, Oklahoma Constitution, Sections 1 et seq.

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
19X	General Revenue	19,176	20,335	22,440
57X	Special Cash Fund	2,071	51	30
Total Expenditures by Fund		<u><u>\$21,247</u></u>	<u><u>\$20,386</u></u>	<u><u>\$22,470</u></u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Salaries and Benefits		16,882	17,280	17,208
Professional Services		0	179	320
Travel		956	1,043	1,062
Lease-Purchase Expenditures		0	227	200
Equipment		440	95	130
Payments To Local Govt Subdivisions		0	0	0
Other Operating Expenses		1,121	1,562	949
Total Expenditures by Object		<u><u>\$19,399</u></u>	<u><u>\$20,386</u></u>	<u><u>\$19,869</u></u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name		FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted
10	General Operations			
1	Operations, Perm & Salaried	21,247	20,386	14,067
	Total General Operations	<u>21,247</u>	<u>20,386</u>	<u>14,067</u>
20	Representatives			
1	Representatives	0	0	7,180
	Total Representatives	<u>0</u>	<u>0</u>	<u>7,180</u>
30	Session/Hourly Employees			
1	Session/Hourly Employees	0	0	1,223
	Total Session/Hourly Employees	<u>0</u>	<u>0</u>	<u>1,223</u>
Total Expenditures by Activity		<u>\$21,247</u>	<u>\$20,386</u>	<u>\$22,470</u>

CAPITAL OUTLAY and SPECIAL PROJECTS		\$000's		
Expenditures by Project:		FY-2009	FY-2010	FY-2011
#	Project name	Actual	Actual	Estimated
90	Capitol Budget			
1	Capitol Preservation/Remodel	24	7	0
Total Capital Outlay by Project		<u>\$24</u>	<u>\$7</u>	<u>\$0</u>

LEGISLATIVE SERVICE BUREAU (423)

MISSION

The mission of the Legislative Service Bureau is to serve the Legislature by providing services as directed by the Speaker of the House of Representatives and the President Pro Tempore of the Senate.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	Title 74, Sect. 450.1, 452.4, 452.5, 452.10

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
19X	General Revenue	5,537	1,248	8,701
200	LSB Revolving Fund	0	0	700
210	Criminal Justice Res Ctr Revolving	350	11	0
400	Federal Funds	77	0	0
405	Criminal Justice Res Ctr Federal	300	0	0
Total Expenditures by Fund		<u>\$6,264</u>	<u>\$1,259</u>	<u>\$9,401</u>

EXPENDITURES BY OBJECT

		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
	Salaries and Benefits	1,468	825	700
	Professional Services	720	113	45
	Travel	46	6	0
	Lease-Purchase Expenditures	0	69	0
	Equipment	370	21	140
	Payments To Local Govt Subdivisions	0	0	0
	Other Operating Expenses	2,283	228	8,516
Total Expenditures by Object		<u>\$4,887</u>	<u>\$1,262</u>	<u>\$9,401</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
1	Operations			
1	Operations	4,888	1,262	9,401
	Total Operations	<u>4,888</u>	<u>1,262</u>	<u>9,401</u>
Total Expenditures by Activity		<u><u>\$4,888</u></u>	<u><u>\$1,262</u></u>	<u><u>\$9,401</u></u>

OUTSTANDING DEBT		\$000's		
	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
Lease-purchase obligations	18	91	63	
Revenue bond issues	0	0	0	
Other debt	0	0	0	
Total Outstanding Debt	<u><u>\$18</u></u>	<u><u>\$91</u></u>	<u><u>\$63</u></u>	

SENATE (421)

MISSION

The Senate initiates legislation, holds legislative hearings, confirms appointments of the Governor and tries impeachment cases. The Senate consists of forty-eight members whose term of office is four years.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	Article V, Oklahoma Constitution, Sections 1et seq.

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
19X	General Revenue	14,752	12,555	12,050
200	Senate Revolving Fund	536	2,812	3,000
Total Expenditures by Fund		<u><u>\$15,288</u></u>	<u><u>\$15,367</u></u>	<u><u>\$15,050</u></u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Salaries and Benefits		13,755	13,898	11,500
Professional Services		261	443	443
Travel		623	483	483
Lease-Purchase Expenditures		0	0	0
Equipment		237	450	450
Payments To Local Govt Subdivisions		0	0	0
Other Operating Expenses		412	93	2,174
Total Expenditures by Object		<u><u>\$15,288</u></u>	<u><u>\$15,367</u></u>	<u><u>\$15,050</u></u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
10	General Operations			
9	Administration	14,752	12,555	12,050
	Total General Operations	<u>14,752</u>	<u>12,555</u>	<u>12,050</u>
11	LSB Funds			
9	Administration	536	2,812	3,000
	Total LSB Funds	<u>536</u>	<u>2,812</u>	<u>3,000</u>
Total Expenditures by Activity		<u><u>\$15,288</u></u>	<u><u>\$15,367</u></u>	<u><u>\$15,050</u></u>

OUTSTANDING DEBT		\$000's		
	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Lease-purchase obligations	0	70	52	
Revenue bond issues	0	0	0	
Other debt	0	0	0	
Total Outstanding Debt	<u><u>\$0</u></u>	<u><u>\$70</u></u>	<u><u>\$52</u></u>	

COURT OF CRIMINAL APPEALS (199)

MISSION

To ensure that all criminal cases appealed receive a fair and just hearing in a timely manner.

THE COURT

The Court of Criminal Appeals is composed of five judges, one from each of the Court of Criminal Appeals Judicial Districts. Judges of the Court are appointed then stand for retention by a popular vote in a nonpartisan election for a term of six years.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	Article VII, Oklahoma Constitution; Title 20, Section 31 et seq, of the Oklahoma Statutes.

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

Type of Fund:	\$000's		
	FY- 2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
19X General Revenue	3,510	3,148	3,296
200 REVOLVING FUND	0	190	0
57X Special Cash Fund	0	0	160
Total Expenditures by Fund	<u>\$3,510</u>	<u>\$3,338</u>	<u>\$3,456</u>

EXPENDITURES BY OBJECT

Object of Expenditure	\$000's		
	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Salaries and Benefits	3,403	3,276	3,382
Professional Services	4	3	5
Travel	16	8	10
Lease-Purchase Expenditures	0	0	0
Equipment	34	15	7
Payments To Local Govt Subdivisions	0	0	0
Other Operating Expenses	54	36	52
Total Expenditures by Object	<u>\$3,511</u>	<u>\$3,338</u>	<u>\$3,456</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>	
10 Court Operations				
1 Operations	<u>3,510</u>	<u>3,338</u>	<u>3,456</u>	
Total Court Operations	<u>3,510</u>	<u>3,338</u>	<u>3,456</u>	
Total Expenditures by Activity	<u><u>\$3,510</u></u>	<u><u>\$3,338</u></u>	<u><u>\$3,456</u></u>	

DISTRICT COURTS (219)

MISSION

The District Courts of the State of Oklahoma are the successors to the statehood courts of general jurisdiction. The mission of the courts is to ensure a fair and timely hearing of all causes, matters and proceedings which come before them.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	Article VII, Okla. Constitution, Title 20, Section 91.1 et seq., of the Oklahoma Statutes

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

Type of Fund:	\$000's		
	FY- 2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
19X General Revenue	20,576	18,848	6,042
235 Lengthy Trial Revolving Fund	116	4,012	2,349
443 INTERAGENCY REIMBURSEMENT	37,525	35,657	52,448
Total Expenditures by Fund	<u>\$58,217</u>	<u>\$58,517</u>	<u>\$60,839</u>

EXPENDITURES BY OBJECT

Object of Expenditure	\$000's		
	FY-2009 <u>Actual</u>	FY-2010 <u>Actual</u>	FY-2011 <u>Budgeted</u>
Salaries and Benefits	57,462	57,979	60,002
Professional Services	5	6	0
Travel	412	358	400
Lease-Purchase Expenditures	0	0	0
Equipment	0	0	0
Payments To Local Govt Subdivisions	132	0	0
Other Operating Expenses	206	174	438
Total Expenditures by Object	<u>\$58,217</u>	<u>\$58,517</u>	<u>\$60,840</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
<u>Activity No. and Name</u>	<u>FY-2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
10 Court Operations				
1 Operations	58,217	58,517	60,839	
Total Court Operations	<u>58,217</u>	<u>58,517</u>	<u>60,839</u>	
Total Expenditures by Activity	<u><u>\$58,217</u></u>	<u><u>\$58,517</u></u>	<u><u>\$60,839</u></u>	

SUPREME COURT (677)

MISSION

The mission of the Supreme Court is to serve as the court of last resort and to give all disputes a fair and timely hearing and resolution.

STATUTORY REFERENCES

Program Name	Statutory Reference
Operations	Article VII, Okla. Constitution, Title 20, Sec. 71-79, of the Oklahoma Statutes

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND		\$000's		
Type of Fund:		FY- 2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
19X	General Revenue	15,313	15,216	14,449
200	Court Information System Revolving	9,696	19,845	20,895
205	Supreme Court Revolving Fund	964	878	357
210	Dispute Resolution Sys Revolving	233	1,153	3,806
215	Law Library Revolving Fund	1,549	1,942	2,500
225	Legal Services Revolving Fund	1,165	1,104	933
405	Federal Grant Funds	282	367	1,000
57X	Special Cash Fund	249	52	0
Total Expenditures by Fund		<u>\$29,451</u>	<u>\$40,557</u>	<u>\$43,940</u>

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure		FY-2009	FY-2010	FY-2011
		<u>Actual</u>	<u>Actual</u>	<u>Budgeted</u>
Salaries and Benefits		17,279	24,002	20,221
Professional Services		1,801	2,498	1,018
Travel		311	391	457
Lease-Purchase Expenditures		0	0	0
Equipment		6,941	10,351	5,565
Payments To Local Govt Subdivisions		45	0	0
Other Operating Expenses		3,074	3,315	16,681
Total Expenditures by Object		<u>\$29,451</u>	<u>\$40,557</u>	<u>\$43,942</u>

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1	Supreme Court Justices & Staff			
1	General Operations	5,564	5,549	5,843
4	Sovereignty Symposium	13	30	50
5	Court On The Judiciary	1	0	15
7	Judicial Nominating Comm	6	8	20
	Total Supreme Court Justices & Staff	<u>5,584</u>	<u>5,587</u>	<u>5,928</u>
2	Admin Office of the Courts			
1	Admin Office Of The Courts	4,131	4,778	4,805
2	Supreme Court Expend Rev Fund	54	6	357
4	Law Library Revolving Fund	1,549	1,942	2,500
5	Federal Grant - OCA Program	282	367	1,000
	Total Admin Office of the Courts	<u>6,016</u>	<u>7,093</u>	<u>8,662</u>
30	Court of Civil Appeals			
1	Court Of Appeals	2,567	2,534	2,777
2	Tulsa Court Of Appeals	2,697	2,705	2,929
	Total Court of Civil Appeals	<u>5,264</u>	<u>5,239</u>	<u>5,706</u>
40	Dispute Mediation			
1	Dispute Mediation - Operations	910	872	944
	Total Dispute Mediation	<u>910</u>	<u>872</u>	<u>944</u>
50	Legal Aid Services Contract			
1	Legal Aid Services Contract	1,165	1,104	933
	Total Legal Aid Services Contract	<u>1,165</u>	<u>1,104</u>	<u>933</u>
80	Court Clerk's Office			
1	Court Clerk Operations	803	816	873
	Total Court Clerk's Office	<u>803</u>	<u>816</u>	<u>873</u>
88	Management Info Services			
1	Court Services Operations	14	0	0
2	Court Services Revolving Fund	9,696	19,845	20,895
	Total Management Info Services	<u>9,710</u>	<u>19,845</u>	<u>20,895</u>
Total Expenditures by Activity		<u>\$29,452</u>	<u>\$40,556</u>	<u>\$43,941</u>

WORKERS' COMPENSATION COURT (369)

MISSION

To ensure fair and timely procedures for the informal and formal resolution of disputes and identification of issues involving work-related injuries.

THE COURT

Currently, the Workers' Compensation Court is composed of 10 judges appointed by the Governor from a list of nominees submitted by the Judicial Nominating Commission, for a term of six years. Current judges may be appointed to one successive term.

STATUTORY REFERENCES

Program Name	Statutory Reference
General Court Operations	85 O.S., Section 1 et. seq., Workers' Compensation Act
Data Processing	85 O.S., Section 1, et seq., Workers' Compensation Act

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
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Program: Data Processing

Goal: Provide efficient maintenance and processing of Court records.

* Time period measured in days from the Court's receipt of an employee's notice of injury (Form 3) to mailing of such notice to the employer.				
Form 3 Processing	1 day	1 day	1day	1 day
* Time period measured in days from Court receipt date to Court data processing system entry.				
Form 2 Processing	5 days	5 days	5 days	5 days
* Number of proof of insurance coverage records, including cancellations and reinstatements, added to the database annually.				
Proof of Coverage Records	348,841	311,702	312,000	312,000

Program: General Court Operations

Goal: Fair, efficient and cost-appropriate resolution of workers' compensation disputes

* The time period measured in weeks from filing of appeal before Court en Banc to date of oral arguments				
Time to Oral Argument	11 weeks	11 weeks	11 weeks	11 weeks
* Total number of hearings, including trials, pretrial hearings, hearings on temporary issues, and Court En Banc hearings scheduled by the Docket Office.				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)				
Goals/Measures	FY- 2009 Actual	FY- 2010 Actual	FY- 2011 Budgeted	FY-2012 Estimated
Program: General Court Operations				
Goal: Fair, efficient and cost-appropriate resolution of workers' compensation disputes				
Total Hearings	69,002	83,379	80,000	80,000
* Total number of select court orders, including permanent disability, death, temporary disability, combined disability and denial orders.				
No. Select Court Orders	15,546	16,245	16,000	16,000
* This benchmark evaluates use of court ordered mediation in the workers' compensation system as an alternative to litigation.				
No. Mediation Orders	1,234	1,355	1,400	1,400
* Time period measured in days for Orders Department to process an order once it is received from a Judge.				
Time to Process Order	2 days	4 days	4 days	4 days
* Number of people assisted by the Court's counselor's information program annually, through phone contact, personal meetings, written correspondence, and educational programs.				
Counselors Contacts	41,694	38, 808	40,000	40,000
* Time period measured in days to process a request for an independent medical examiner (IME).				
Time to Process IME Request	3 days	4 days	4 days	4 days
* Time period measured in weeks to process employer or group self-insurance applications for authority to self-insure.				
Process Self-Insurance App.	4 weeks	4 weeks	4 weeks	4 weeks
* Total number of own risk and related applications reviewed by the Court's Insurance Department, including financial analysis.				
Own Risk Apps. Reviewed	450	650	875	875
* Total number of interim financial reviews performed by the Court's Insurance Department of own risk entities that are on quarterly reporting.				
Own Risk Financial Reviews	200	200	200	200
* Total number of proof of coverage data and cancellations/reinstatements of insurance coverage processed by the Court's Insurance Department.				
Coverage Info. Processed	348,841	311,702	312,000	312,000
* Total number of requests processed by the Court's Insurance Department for information regarding insurance coverage of employers.				
Requests for Coverage Info	22,500	15,000	15,000	15,000
* The time period measured in weeks from request for prehearing conference to the date of the conference				
Prehearing Conference	6 weeks	5 weeks	5 weeks	5 weeks
* The time period measured in weeks from interim scheduling conference (Temporary Issue Docket) to trial.				
TID to Trial	8 weeks	7 weeks	7 weeks	7 weeks
* The time period measured in weeks from request for trial for permanent disability to the date of trial.				

BUDGET REQUEST PROGRAM GOALS and PERFORMANCE MEASURES (cont)

<u>Goals/Measures</u>	<u>FY- 2009 Actual</u>	<u>FY- 2010 Actual</u>	<u>FY- 2011 Budgeted</u>	<u>FY-2012 Estimated</u>
Program: General Court Operations				
Goal: Fair, efficient and cost-appropriate resolution of workers' compensation disputes				
Date of Trial	11 weeks	9 weeks	9 weeks	9 weeks
* The time period measured in weeks from request for trial on Temporary Issues to interim scheduling conference (TID.)				
Temporary Issue Dockets	4 weeks	4 weeks	4 weeks	4 weeks
Goal: Efficient processing and storage of records related to workers' compensation disputes				
* Total number of Requests for Prior Claims Information.				
Requests for Claims Info.	144,156	102,282	105,000	105,000
* Total number of case files maintained on site by the Records department				
Total Files	180,859	175,857	180,000	180,000
* Total number of documents processed and filed by the Records department				
No. of Documents Processed	376,931	409,521	410,000	410,000
* The total number of pieces of incoming and outgoing mail processed by the Records department				
Amount of Mail Processed	216,554	206,353	260,000	260,000
* Total number of requests for Court files processed by the Records department.				
Requests For Files Processed	114,298	104,988	105,000	105,000

NOTE: The totals in the next three sections may not match due to rounding.

EXPENDITURES BY FUND

		\$000's		
<u>Type of Fund:</u>	<u>FY- 2009 Actual</u>	<u>FY-2010 Actual</u>	<u>FY-2011 Budgeted</u>	
19X General Revenue	5,250	4,687	4,349	
200 Worker's Comp Court Revolving	1,611	1,877	2,230	
Total Expenditures by Fund	\$6,861	\$6,564	\$6,579	

EXPENDITURES BY OBJECT		\$000's		
Object of Expenditure	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
Salaries and Benefits	6,028	5,803	5,678	
Professional Services	22	11	29	
Travel	121	94	91	
Lease-Purchase Expenditures	0	0	0	
Equipment	95	46	34	
Payments To Local Govt Subdivisions	0	0	0	
Other Operating Expenses	595	609	748	
Total Expenditures by Object	\$6,861	\$6,563	\$6,580	

EXPENDITURES BY BUDGET ACTIVITY / SUB-ACTIVITY		\$000's		
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1 General Court Operations				
1 General Court	6,171	5,911	5,883	
Total General Court	6,171	5,911	5,883	
Operations				
2 Data Processing				
2 Data Processing	690	652	697	
Total Data Processing	690	652	697	
Total Expenditures by Activity	\$6,861	\$6,563	\$6,580	

FULL-TIME-EQUIVALENT EMPLOYEES (FTE) and VEHICLES				
Activity No. and Name	FY-2009 Actual	FY-2010 Actual	FY-2011 Budgeted	
1 General Court Operations	73.1	69.1	74.2	
2 Data Processing	7.7	8.0	9.0	
Total FTE	80.8	77.1	83.2	
Number of Vehicles	1	0	0	

State of Oklahoma
Changes in Fund Balance, Governmental Funds
Last Five Fiscal Years
(expressed in thousands)

	2006	2007	2008	2009	2010
Revenues					
Taxes:					
Income Taxes-Individual	\$ 2,693,548	\$ 2,654,294	\$ 2,753,040	\$ 2,537,221	\$ 1,969,264
Income Taxes-Corporate	426,725	772,668	552,193	449,910	171,555
Sales Tax	1,843,803	1,968,931	2,107,116	2,190,082	1,981,220
Gross Production Taxes	1,036,888	822,888	1,114,950	1,136,279	702,949
Motor Vehicle Taxes	584,294	609,669	604,926	585,084	551,029
Fuel Taxes	414,677	401,992	419,617	397,852	384,383
Tobacco Taxes	216,512	220,556	237,166	254,006	234,540
Insurance Taxes	76,874	104,403	100,778	105,076	87,805
Beverage Taxes	75,517	79,996	86,648	90,071	83,673
Other Taxes	343,978	339,330	204,812	119,946	350,969
Licenses, Permits and Fees	296,521	289,717	323,903	357,226	356,321
Interest and Investment Revenue	382,545	637,729	399,006	89,296	476,597
Federal Grants	4,770,328	5,006,861	5,503,532	6,227,575	7,456,421
Sales and Services	183,369	185,278	173,117	201,307	184,977
Other	434,451	366,988	475,020	570,192	780,630
Total Revenues	13,780,030	14,461,300	15,055,824	15,311,123	15,772,333
Expenditures					
Education	3,762,683	4,218,333	4,387,428	4,619,951	4,648,786
General Government	1,607,212	1,604,462	1,694,758	1,631,219	1,560,521
Health Services	3,447,085	3,936,893	4,200,188	4,525,993	4,737,363
Legal and Judiciary	190,644	207,229	215,942	225,225	248,996
Museums	30,316	17,045	31,586	16,903	14,993
Natural Resources	231,616	238,075	263,551	271,487	279,830
Public Safety and Defense	674,494	773,813	876,660	915,880	755,376
Regulatory Services	114,871	108,231	93,438	127,803	117,821
Social Services	1,697,057	1,758,475	1,755,810	1,933,117	2,259,473
Transportation	224,885	173,532	286,540	199,517	177,683
Capital Outlay	768,003	918,055	1,010,262	1,438,064	1,626,181
Debt Service					
Principal Retirement	98,512	103,606	126,103	95,155	111,816
Interest and fiscal Charges	68,383	69,769	74,108	70,026	70,549
Total Expenditures	12,915,761	14,127,518	15,016,374	16,070,340	16,609,388
Revenues in Excess of (Less Than) Expenditures	864,269	333,782	39,450	(759,217)	(837,055)
Other Financing Sources (Uses)					
Transfers In	50,405	80,606	76,576	77,371	84,362
Transfers Out	(10,080)	(10,634)	(8,253)	(7,545)	(14,047)
Bonds Issued	614,400	6,430	23,000	105,400	148,080
Notes Issued	48,875	95,675	-	98,230	-
Refunding Bonds Issued	-	-	-	-	-
Bond Issue Premiums	14,562	4,573	-	2,478	2,671
Bond Issue Discounts	(975)	(82)	-	(730)	-
Payment to Refunded Bond Escrow Age	-	-	-	-	-
Capital Leases and Certificates of Participation	248	2,501	2,873	3,655	8,116
Sale of Capital Assets	13,191	7,613	7,860	5,832	10,142
Total Other Financing Sources (Uses)	730,626	186,682	102,056	284,691	239,324
Net Changes in Fund Balances	1,594,895	520,464	141,506	(474,526)	(597,731)
Fund Balances - Beginning of Year (as restated)	4,113,814	5,684,898	6,174,576	6,315,187	5,840,650
Fund Balances - End of Year	\$ 5,708,709	\$ 6,205,362	\$ 6,316,082	\$ 5,840,661	\$ 5,242,919
Debt Service as a Percentage of Noncapital Expenditures	1.4%	1.3%	1.4%	1.1%	1.2%

**State of Oklahoma
Tax Collections
For Last Five Fiscal Years**

Taxes	2006	2007	2008	2009	2010
Aircraft Excise Tax	\$ 2,781,702	\$ 4,894,881	\$ 4,756,533	\$ 4,172,388	\$ 4,234,993
Alcoholic Beverage Excise Tax	18,368,824	19,298,490	20,898,973	21,886,066	21,905,099
Beverage Tax	24,253,880	24,876,901	25,337,878	26,359,749	25,303,290
Bingo Tax	1,640,473	1,088,643	491,681	222,436	140,859
Charity Games Tax	136,253	107,404	71,363	51,222	54,607
Cigarette Tax	176,915,506	197,416,262	202,745,622	204,236,542	196,519,460
City Use Tax - Collect/Deposit	790,748	897,305	1,069,561	958,607	1,002,399
Coin Operated Device Decal	2,811,672	3,560,571	3,512,188	3,956,591	3,111,604
Controlled Dangerous Substance Tax	23,564	19,295	17,766	33,260	20,674
County Tax (Use & Lodging)	150,697	192,820	213,985	219,223	194,713
Diesel Fuel Excise Tax	72,494,201	60,427,734	69,806,535	72,681,342	71,963,557
Documentary Stamp Tax	16,768,900	17,153,783	16,584,410	13,307,236	11,090,994
Farm Implement Tax Stamps	5,870	6,305	8,324	8,287	6,397
Franchise Tax	41,476,258	43,068,528	46,103,569	46,908,656	46,714,746
Freight Car Tax	755,298	782,258	676,250	707,694	530,685
Gaming Exclusivity Fees	14,191,695	43,618,582	79,779,165	104,803,681	118,624,111
Gasoline Excise Tax	219,279,433	207,928,969	207,968,692	206,287,240	208,545,441
Gross Production Tax - Oil and Gas	880,226,067	787,621,631	950,392,198	976,062,637	579,700,531
Horse Track Gaming	3,145,867	10,019,698	10,429,536	13,727,728	13,710,940
Income Tax (Individual)	2,761,624,929	2,774,850,747	2,778,617,535	2,605,269,216	2,230,787,128
Income Tax (Corporate)	304,381,318	554,759,229	359,823,533	376,971,517	212,866,850
Inheritance and Estate Tax	81,923,012	69,312,845	52,416,680	43,803,931	23,433,845
Insurance Premium Tax	129,587,854	159,816,876	161,504,757	165,916,913	141,255,690
Mixed Beverage Gross Receipts Tax	23,378,598	25,754,035	29,749,193	31,554,602	32,494,306
Occupational Health and Safety Tax	1,919,509	2,263,308	2,311,554	2,521,511	2,757,188
Pari-Mutuel Taxes	1,638,435	1,834,816	1,811,980	1,647,939	1,265,853
Pari-Mutuel - Other Tax	14,948	18,645	33,155	18,178	28,721
Petroleum Excise Tax	15,540,837	13,214,922	15,861,718	16,010,447	11,045,779
Rural Electric Co-operative Tax	1,249,543	1,448,501	1,488,051	1,602,814	1,521,744
Sales Tax	1,677,854,488	1,790,192,096	1,913,387,580	1,989,494,833	1,806,049,515
Sales Tax - City	12,798,204	13,735,769	12,425,284	13,720,926	13,113,139
Sales Tax - County	2,208,807	2,853,056	2,583,542	2,851,342	2,715,907
Special Fuel Decal	221,878	719,261	320,595	315,226	355,578
Special Fuel Use Tax	990,474	1,461,588	1,423,614	1,438,287	1,498,427
Tag Agent Remittance Tax	261,355,513	277,606,225	307,223,643	261,581,867	247,546,872
Telephone Surcharge	953,770	925,799	917,690	885,980	837,385
Tobacco Products Tax	23,970,831	23,700,748	24,311,479	26,073,091	27,045,416
Tourism Gross Receipt Tax	5,175,280	5,532,552	1,163,187	2,946	-
Tribal Compact in Lieu of Tax Payments	20,383,536	22,734,204	28,031,272	43,708,777	47,165,891
Unclaimed Property Tax	10,829,856	11,849,607	11,756,295	11,444,647	11,418,562
Unclassified Tax Receipts	62,370	135,530	278,502	510,559	274,693
Use Tax	133,775,850	155,857,219	167,314,341	191,222,671	152,323,434
Vehicle Revenue Tax Stamps	45,452	46,074	46,769	39,793	37,247
Workers' Compensation Awards - Assessments	31,319,198	31,355,388	22,427,205	23,056,945	23,440,505
Workers' Compensation Insurance Premium Tax	7,259,704	7,652,100	7,622,856	7,567,468	7,452,455
Other Taxes	18,902,571	17,453,681	19,685,781	19,825,879	19,113,157
Total	\$ 7,005,583,673	\$ 7,390,064,881	\$ 7,565,402,020	\$ 7,535,648,889	\$ 6,321,220,387

Prepared using cash basis to aid in budgetary analysis.
Source: Oklahoma Tax Commission as adjusted.

Note: GASB Statement 44 recommends, but does not require, the information in the statistical section to cover the last ten years or the period retroactive to the adoption of GASB statement 34. The period from 2003 to present is presented above because of the addition of new taxes and the repeal or expiration of other taxes. This is to clarify presentation of the data and does not materially effect the total amount of taxes collected. Please refer to prior year reports for information prior to 2003.

FY-2012 EXECUTIVE BUDGET

SCHEDULE OF STATE TAX REVENUES FOR FY-2008 THROUGH FY-2012

SOURCE	Actual FY-2008	Actual FY-2009	Actual FY-2010	Projected FY-2011	Change from FY-10 to FY-11	Estimated FY-2012	Change from FY-11 to FY-12
TAXES:							
411101 ALCOHOL BEV. EXCISE TAX	\$30,973,324	\$32,228,364	\$32,359,306	\$34,604,000	\$2,244,694	\$35,655,500	\$1,051,500
411102 MIXED BEVERAGE GROSS RECEIPTS TAX	30,114,863	31,652,623	32,432,435	34,981,000	2,548,565	36,540,000	1,559,000
411107 BEVERAGE TAX	25,344,752	26,183,339	25,275,030	25,980,000	704,970	26,318,000	338,000
411201 CIGARETTE TAX	183,580,801	182,532,445	179,427,976	186,605,100	7,177,124	166,078,500	(20,526,600)
411210 TOBACCO PRODUCTS TAX	26,568,840	27,863,290	29,606,500	32,332,000	2,725,500	32,434,600	102,600
411219 TRIBAL COMPACT IN LIEU TAX PAYMENTS	42,224,117	47,416,830	39,437,065	35,493,400	(3,943,665)	35,493,400	0
412101 GROSS PRODUCTION TAX - GAS	808,223,362	707,296,658	354,834,429	341,551,000	(13,283,429)	394,385,000	52,834,000
412102 GROSS PRODUCTION TAX - OIL	360,221,750	344,850,741	377,316,675	348,684,000	(28,632,675)	337,670,000	(11,014,000)
412105 PETROLEUM EXCISE TAX	16,319,765	15,034,902	11,536,047	10,556,900	(979,147)	11,247,000	690,100
412111 CONSERVATION EXCISE TAX	0	0	0	0	0	0	0
412122 COIN OPERATORS DEVICE DECAL	3,353,333	3,219,720	3,408,691	9,300,000	5,891,309	9,300,000	0
412133 FRANCHISE TAX (BUSINESS ACTIVITY TAX,FY-2012	46,696,168	47,459,806	46,406,857	45,073,000	(1,333,857)	45,073,000	0
412144 CORPORATE INCOME TAX	360,064,549	342,761,553	216,399,585	244,883,000	28,483,415	263,593,000	18,710,000
412155 OCCUPATIONAL HEALTH & SAFETY TAX	2,311,554	1,615,000	1,548,500	1,534,250	(14,250)	1,548,500	14,250
412161 CHARITY GAMES TAX	70,292	50,314	50,882	50,800	(82)	50,800	0
412163 BINGO TAX	488,079	194,364	146,341	146,300	(41)	146,300	0
412165 GAMING EXCLUSIVITY FEES	81,667,876	105,738,228	118,186,863	109,700,000	(8,486,863)	113,450,000	3,750,000
413101 INSURANCE PREMIUM TAX	139,371,631	150,318,940	158,127,333	142,614,600	(15,512,733)	142,614,600	0
413105 ASSESSMENTS - WORKERS' COMPENSATION	0	0	0	0	0	0	0
413111 WORKERS COMPENSATION INS. PREMIUMS	7,609,570	7,575,331	7,466,063	7,500,000	33,937	7,500,000	0
414101 CITY SALES TAX	13,390,435	13,790,507	13,144,608	13,790,507	645,899	13,790,507	0
414105 COUNTY SALES TAX	2,787,178	2,853,646	2,735,972	2,853,646	117,674	2,853,646	0
414107 COUNTY USE TAX	204,747	230,229	182,912	230,229	47,317	230,229	0
414108 COLLECTIONS AND DEPOSITS CITY USE TAX	981,240	1,024,295	926,720	1,024,295	97,575	1,024,295	0
414109 COUNTY LODGING TAX (OTC)	8,520	9,738	11,824	9,738	(2,086)	9,738	0
415125 TAG AGENT REMITTANCE	631,684,704	582,645,265	579,341,760	616,906,000	37,564,240	656,882,000	39,976,000
415181 VEHICLE REVENUE TAX STAMPS	46,779	38,600	37,697	37,697	0	37,697	0
415185 FARM IMPLEMENT TAX STAMPS	8,240	7,982	6,616	6,616	0	6,616	0
415501 SPECIAL FUEL USE TAX	18,062	39,168	25,737	25,737	0	25,737	0
415503 SPECIAL FUEL DECAL	197,486	214,458	207,143	207,143	0	207,143	0
415505 DIESEL FUEL EXCISE TAX	83,477,265	102,898,222	101,467,990	101,467,990	0	101,467,990	0
415509 GASOLINE EXCISE TAX	293,556,156	294,759,518	299,670,523	291,628,000	(8,042,523)	294,651,000	3,023,000
415512 ALTERNATIVE FUEL SURCHARGE	0	0	0	0	0	0	0
416101 PERSONAL INCOME TAX	2,787,444,853	2,544,576,061	2,224,782,534	2,461,871,000	237,088,466	2,555,695,000	93,824,000
416105 INHERITANCE & ESTATE TAX	54,556,781	39,562,388	22,702,024	3,910,000	(18,792,024)	0	(3,910,000)
417101 SALES TAX	1,930,951,193	1,972,769,753	1,815,321,356	2,016,826,000	201,504,644	2,076,256,000	59,430,000
417121 DOCUMENTARY STAMP TAX	16,138,216	12,822,244	11,321,014	10,874,000	(447,014)	11,104,000	230,000
417141 USE TAX	165,268,691	189,922,601	152,987,698	171,400,000	18,412,302	185,600,000	14,200,000
417171 AIRCRAFT EXCISE TAX	4,731,340	4,144,115	4,695,452	4,695,452	0	4,695,452	0
418101 PARI-MUTUEL TAXES	1,772,723	1,629,874	1,288,541	1,300,000	11,459	1,300,000	0
418105 PARI-MUTUEL PICK/SIX TAX	32,217	17,896	29,979	20,000	(9,979)	20,000	0
419101 CONTROLLED DANGEROUS SUBSTANCE TAX	0	0	0	0	0	0	0
419125 FREIGHT CAR TAX	676,250	707,694	530,685	530,685	0	530,685	0
419151 RURAL ELECTRIC COOPERATIVE TAX	29,823,130	32,022,078	30,529,958	33,800,000	3,270,042	35,500,000	1,700,000
419155 TELEPHONE SURCHARGE TAX	919,745	888,731	838,202	838,202	0	838,202	0
419165 TOURISM GROSS RECEIPT TAX	671,110	2,946	0	0	0	0	0
419171 UNCLAIMED PROPERTY TAX	10,000,000	10,000,000	10,000,000	10,000,000	0	10,000,000	0
419175 UNCLASSIFIED TAX RECEIPTS	278,502	510,559	274,693	274,693	0	274,693	0
419199 OTHER TAXES	18,284,815	17,267,569	14,554,103	15,000,000	445,898	15,000,000	0
410000 TOTAL TAXES	\$8,213,115,002	\$7,899,348,582	\$6,921,582,322	\$7,371,116,981	\$449,534,659	\$7,627,098,831	\$255,981,850